Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

Meeting Agenda
Thursday, June 6, 2024
9:30 AM
Joseph P. Cresse Hearing Room 148
Betty Easley Conference Center
4075 Esplanade Way
Tallahassee, Florida 32399-0850

1. Approval of meeting minutes
   - 1.1. April 3, 2024
   - 1.2. May 2, 2024
2. Discussion of renewal applications for slot machine licenses
   - 2.1. 2024-012885 – 831 Federal Highway Acquisition, LLC
   - 2.2. 2024-024534 – Calder Race Course, Inc.
3. Discussion of amended applications for pari-mutuel operating licenses
   - 3.1. 2024-026806 – Tampa Bay Downs, Inc.
   - 3.2. 2024-027042 – Tampa Bay Downs, Inc.
4. Discussion of default final orders
   - 4.1. 2024-000442 – Jackson, Shakara
   - 4.2. 2024-003189 – Hayford-Quinones, Jennifer
5. Discussion of consent orders
   - 5.1. 2022-017792 – Brown, Donald L.
   - 5.2. 2023-000965 – Washington County Kennel Club, Inc.
6. Discussion of license denials
   - 6.1. 2024-010658 – Miller, Jordan
   - 6.2. 2024-014191 – Froome, Andrew Bradley
   - 6.3. 2024-014913 – Prince, Zabulum Obadiah
   - 6.4. 2024-018242 – Young, Hassan Shamarl
   - 6.5. 2024-018886 – Evans, Jeffrey R.
   - 6.6. 2024-018945 – Brown, Takiria Nakoya Antionette
   - 6.7. 2024-021318 – Pistocchi Jr., Frank
   - 6.8. 2024-021334 – Pistocchi Jr., Frank

7. Discussion of final order pursuant to request from respondent
    7.1. 2024-009508 – Gueits, Edward
8. Discussion of policies and procedures
    8.1. 02.15.01 – Investigation and Prosecution
    8.2. 02.59.01 – Missing Persons
    8.3. 02.60.01 – Domestic Violence
    8.4. 03.06.01 – Dual and Secondary Employment
    8.5. 03.22.01 – Anti-Retaliation
    8.6. 03.23.01 – Violence in the Workplace
    8.7. 07.02.01 – Cybersecurity Incident Response Team
    8.8. 07.03.01 – Change Control Procedure
9. Discussion of rulemaking
10. Executive Director update
11. Public comment
12. Attorney-client session
    12.1. 2021-043425 – Jefferson County Kennel Club, Inc.

PERSONS WISHING TO ADDRESS
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NO LESS THAN 24 HOURS BEFORE THE
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1. Approval of meeting minutes

In The Matter Of:

Florida Gaming Control Commission

Public Meeting

DATE: Wednesday, April 3, 2024

TIME: 9:31 a.m. to 10:37 a.m.

LOCATION: The Joseph P. Cresse Hearing Room 148 in the Betty Easley Conference Center
4075 Esplanade Way
Tallahassee, Florida 32399

Stenographically Reported by:

JUDY LYNN MARTIN, STENOGRAPHER

Job Number: 345108

APPEARANCES:

Julie Brown, Vice Chair

Tina Repp, Commissioner

Chuck Drago, Commissioner

John D'Aquila, Commissioner

PARTICIPANTS:

Louis Trombetta, Executive Director

Ross Marshman, Deputy Exec. Director/Chief Legal Officer

Elina Valentine, General Counsel

Carl Herold, Director of Gaming Enforcement

Joseph Dillmore, Director of PMW

Joseph Klein, Senior Attorney

Lisa Mustain, Director of Administration

Elizabeth Stinson, Chief Attorney

Marc Taupier, Gaming Enforcement Legal Advisor

La'Kesha Jelks, Operations Review Specialist

OTHER PRESENT:

The Florida Channel

Various Members of the Public

Proceedings began at 9:37 a.m.

VICE CHAIR BROWN: Good morning. Today is Wednesday, April 3rd. The time is roughly 9:31. Thank you all for joining us on this very dreary day, appreciate you coming out here.

If you would like, we are going to give the Pledge of Allegiance shortly, which will be led Henry Mahler, the head of our legislative affairs, who just came off with a very successful legislative session with the passing of our agency bills.

So, Henry, if you will please join us and lead us in the Pledge of Allegiance.

(Pledge of Allegiance)

VICE CHAIR BROWN: Thank you, Henry. Thank you for all your work.

All right. This meeting is called to order. I just want to before we get into our discussion of items today, I just want to welcome back Marc Taupier. He is back with us to the Gaming Commission. He's an attorney and he is heading up law enforcement as their attorney in-house there, so very excited to have you. And also welcome Special Agent Mark Perez (ph) here too as well.

With that we are going to move to discussion

of rulemaking for Greyhound rules.

Ms. Stinson.

MS. STINSON: Good morning, Commissioners. The first meeting agenda packet item which you have is dealing with the removal of references to live Greyhound racing from our rules in Chapter 75.

As you all are aware in 2018 the voters into Florida voted to remove -- or voted to make Greyhound racing in the State of Florida unconstitutional or -- unconstitutional.

And so at this point we last year asked the Commission for us to go into rule development and the -- before you are the rules that we would like to move into proposed rulemaking, remove the references to Greyhound -- to live Greyhound racing.

VICE CHAIR BROWN: Thank you, Ms. Stinson. And these are all -- this has already been noticed and all of this is a public matter and long time coming. I don't see any issues with the proposal.

Commissioners, can we -- I guess the motion would be to approve the --

MS. STINSON: What we are seeking is for the Commission to approve this language and vote to move in -- vote for us to make a notice of proposed

rulemaking in the Florida Administrative Register.

VICE CHAIR BROWN: Mr. Trombetta.

MR. TROMBETTA: Yeah. If I can just -- I think for clarity if you can just authorize staff to do exactly what Ms. Stinson described, which is essentially file a notice of proposed rulemaking when it comes to these Greyhound rules.

VICE CHAIR BROWN: Can I get a motion to that effect?

Commissioner D'Aquila.

COMMISSIONER D'AQUILA: Yes. I will make a motion to file as Ms. Stinson has stated, make the rulemaking changes -- enable staff to make -- get to work on those changes.

VICE CHAIR BROWN: Thank you. Can I get a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Any discussion? All those in favor say aye.

(Aye responses)

VICE CHAIR BROWN: Thank you.

We are going to move into amended application for pari-mutuel operating license, Item 2.1.

Good morning.

COMMISSIONER D'AQUILA: Good morning.

MS. JELKS: Good morning. Gulfstream Park Racing Association has applied to amend their operating license to cancel one performance for a total of 161 performances. It's our recommendation is that the Commission approve this request.

VICE CHAIR BROWN: Thank you.

Commissioners, any questions on this item?

I have a question of our staff on it. I know our agency bill had some modifications that will affect I guess amending applications moving forward.

Can you provide -- or, Mr. Dillmore, can you provide some updates for the public? Although I know it's not signed into law yet.

MR. DILLMORE: Yes, Commissioner, thank you.

So the current process is a little bit -- it was in statute, little antiquated. It was back in the time when racetracks were extremely competitive.

So in the agency bill we kind of -- it's a little language makes that process easier for a permit holder to amend dates. They don't require the notification from other permit holders and allows them more flexibility to amend more dates in their application process.

VICE CHAIR BROWN: Thank you. And obviously the permit holders are aware of the process here of filing amended applications so -- and they know -- now that we are commissioned, it's a little more notice time. So when we get these applications, we don't want them after the fact.

MR. DILLMORE: Yes. We have been working with the industry about the timing of those -- those requests. This -- this new process when it goes into effect, it goes into effect July 1st, will actually make that process a little better, because they won't have as many things to gather in a file. So it's probably efficiency on both our parts, both the industry and the staff.

VICE CHAIR BROWN: Thank you so much.

Commissioners, do y'all have any questions on this? Can we get a motion to approve the item?

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor say aye.

(Aye responses)

VICE CHAIR BROWN: Thank you.

All right. We are moving on to a discussion of license denials, Item 3.1.

Mr. Klein.

MR. KLEIN: Yes, thank you. This is Joseph Klein for the record.

Item 3.1 is Osmel Nunez, Case Number 2023-071556. The Division received an application from Mr. Nunez on December 13, 2023, seeking a pari-mutuel professional individual occupational license.

After review of the application, it was discovered that in 2013 the applicant was convicted of two felony offenses in the State of Florida, armed cannabis trafficking between 250 and 2,000 pounds and controlled substance possession of place for purpose of trafficking.

The applicant failed to disclose the status of his arrest and case dispositions at the time of application. He applied for a waiver, which was declined by the executive director.

As such the Division's recommendation is that the Commission authorize the issuance of a notice to deny.

VICE CHAIR BROWN: Thank you, Mr. Klein.

Commissioners, any questions on this item? Commissioner Drago.

COMMISSIONER DRAGO: No.

VICE CHAIR BROWN: Commissioner Repp.

COMMISSIONER REPP: Is there still an outstanding fine on this or --

MR. KLEIN: Do you mean the -- with regards to the insurance?

COMMISSIONER REPP: I thought that he had an outstanding (technical interference).

VICE CHAIR BROWN: Citizens Property Insurance.

COMMISSIONER REPP: Yeah. Yeah.

MR. KLEIN: Property Insurance. A restitution?

COMMISSIONER REPP: Yeah. The outstanding I believe 45,000, that's been figured out what that is or whether it's due?

MR. KLEIN: I'm not sure that that can be ascertained by -- by what's available on the -- on the record, because the -- the property owner says that she had a $45,000 insurance settlement, but on the -- the court documents show it was 5,000 -- a $5,000 repayment and something like $82 a week or a month I think.

The insurance company doesn't know. They can't find the records or don't have access to -- to what was actually owed in the first place, so I

don't know that we can determine what the status of that was, but his -- his probation was terminated and it was a term of it.

COMMISSIONER REPP: Thank you.

VICE CHAIR BROWN: Commissioners, can we get a motion to approve the license denial -- notice of intent to deny?

COMMISSIONER D'AQUILA: I will make a motion to approve staff's recommendation for license denial.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER DRAGO: Second.

VICE CHAIR BROWN: Any discussion? All those in favor say aye.

(Aye responses)

VICE CHAIR BROWN: Thank you.

Thank you, Mr. Klein.

We're moving into discussion of recommended orders, 4.1 and 4.2.

MR. KLEIN: Thank you.

Item 4.1 is Florida Gaming Control Commission versus Anthony Cespedes, 2022-010240. The case comes before you following a recommended order issued by the hearing officer. An informal hearing was conducted on January 4th, 2024, regarding an

administrative complaint seeking to exclude the respondent from all pari-mutuel and slot machine facilities in the state.

Pursuant to Sections 550.0215(6) and 551.112 of the Florida statutes, the hearing officer issued a recommended order on April 1st, 2024, recommending that the respondent be permanently excluded from all pari-mutuel and slot machine facilities in the State of Florida.

Therefore the Division would ask that the Commission adopt the hearing officer's recommended order and add respondent to the permanent exclusion list.

VICE CHAIR BROWN: Commissioners, I don't see any objection to the staff's recommendation. If you all are inclined, can you go ahead and please make a motion to approve the recommended order.

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor say aye.

(Aye responses)

VICE CHAIR BROWN: Thank you, Mr. Klein.

MR. KLEIN: Thank you.

Item 4.2, Florida Gaming Control Commission

versus Marquitta Jones, Case Number 2023-003527.

The case comes before the Commission following a recommended order issued by the hearing officer. An informal hearing was conducted on January 4, 2024, regarding an administrative complaint seeking to exclude the respondent from all pari-mutuel and slot machine facilities in the state.

Pursuant to Sections 550.0251(6) and 551.112 of the Florida Statutes, the hearing officer issued a recommended order on March 29, 2024, recommending that the respondent be permanently excluded from all Florida licensed pari-mutuel and slot machine facilities.

As such the Division would ask that the Commission adopt the hearing officer's recommended order and add respondent to the permanent exclusion list.

VICE CHAIR BROWN: Thank you.

Commissioners, if there are no objections, can we get a motion to approve the recommended order.

COMMISSIONER REPP: Motion to approve the recommended order.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor say aye.

(Aye responses)

VICE CHAIR BROWN: Thank you.

Moving on to the discussion of final orders pursuant to request from respondents, 5.1 and 5.2.

MR. KLEIN: Thank you.

Item 5.1 is Florida Gaming Control Commission versus Arsenio Cue, Case Number 2023-040862. The respondent was served with an administrative complaint seeking his exclusion from all pari-mutuel and slot machine facilities in the State of Florida.

Respondent turned in an election of rights indicating that he does not dispute the allegations set forth in the complaint, waiving his right to a hearing in the matter and requesting that a final order be entered in this case. Pardon me.

The Division would therefore ask that the Commission enter a final order permanently excluding respondent from all pari-mutuel and slot machine facilities.

VICE CHAIR BROWN: Thank you, Mr. Klein.

Commissioners, are there any questions on this item? All right. Can we get a motion to approve the final order?

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor say aye.

(Aye responses)

VICE CHAIR BROWN: Thank you.

5.2, please.

MR. KLEIN: 5.2 is Florida Gaming Control Commission versus Brianna Lubin, 2023-039433.

The respondent was served with an administrative complaint seeking her exclusion from all pari-mutuel and slot machine facilities in the State of Florida.

Respondent returned an election of rights form that requested an informal hearing. During that hearing respondent stated that she did not oppose being excluded from all licensed pari-mutuel wagering and slot machine facilities in the State of Florida.

As a result, a motion to relinquish jurisdiction was made by counsel and granted by the hearing officer so that a final order excluding respondent from all pari-mutuel wagering and slot machine facilities could be issued by the Commission.

Therefore the Division would ask that the

Commission enter a final order adding respondent to the permanent exclusion list.

VICE CHAIR BROWN: Thank you, Mr. Klein.

Commissioners, are there any questions on --

COMMISSIONER DRAGO: I just have one question. I think we talked about this before. When we have a situation like this where the person is not just committing a regulation violation, it's committing a crime, are -- are we referring this to law enforcement or -- or -- our law enforcement people investigating this as a criminal act or do we just handle it in terms of the civil regulation and don't follow up with criminal investigation or referral to a law enforcement agency?

MR. TROMBETTA: Thank you, Commissioner Drago.

We take them kind of on a case-by-case basis, but we are finding ways to incorporate our -- our law enforcement division into the regulatory work in that -- exactly how you're describing it.

So I don't believe we did it with this specific case, but I know that our Division of Law Enforcement is working with our regulatory team on other matters.

COMMISSIONER DRAGO: I'd like to hear more about that at -- at some point when -- after we get

it all worked out, because I think it's -- important part of this is not only excluding them from a facility but also making it clear that they're going to be investigated criminally and possibly have criminal charges against them for these types of obvious criminal offenses. Thank you.

VICE CHAIR BROWN: Thank you, Commissioner Drago. Is that also a motion to approve the final order?

COMMISSIONER DRAGO: It is.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor say aye.

(Aye responses)

VICE CHAIR BROWN: Thank you. The motion passes.

I appreciate those comments, Commissioner Drago, and agree with you.

We are going to move on to the discussions of policies and procedures. So let me -- a few things are adjusted. I'm just going to read them. I know our agenda has items -- different items, but the ones that we are taking up today are 6.1, 6.3, 6.5, 6.6, 6.7, and 6.8.

Is that correct, Mr. Trombetta?

MR. TROMBETTA: Yes, ma'am.

VICE CHAIR BROWN: So with that there's some law enforcement policies here and there's also some admin policies here, so we're going to start I guess with 6.1 of law enforcement.

Chief Herold.

MR. HEROLD: Good morning. We are bringing forward two policies this morning, 02.11.01 for search and seizure and warrants and 02.37.01 for field training. The other two we're going to ask to hold those back and bring those forward at a different time.

The first one is policy on search, seizure, and warrants and the purpose of the policy is to, you know, provide guidance for our law enforcement officers on the federal and state constitutional rights of everybody and ensure that we don't participate in any unreasonable or illegal searches or seizures as an agency and as a law enforcement unit.

And then the other one is our field training policy and it simply sets and places minimum standards for field training as we bring new individuals on and checks and balances to evaluate

their performances they continue through the field training process.

VICE CHAIR BROWN: Thank you, Commissioner Herold.

Let's go to the first one, the search and seizure and warrants. Commissioners, do you have any questions on the proposal? It's like reading a law -- in law school all over again. It's very straightforward. Any questions?

COMMISSIONER DRAGO: I just have one question, if I could. Yeah, I -- I agree that the legal part of it was outstanding and very in depth and it would leave no doubt in anybody's mind what -- what they're allowed to do by law and what they can't do, so that -- that was great.

My -- my thing is I hope we will follow up with a little bit more in terms of procedures for the actual execution of the search warrant and we -- we can do that in procedural process as opposed to just putting it all in the policy.

But other than that I think it's -- I think it's great. I think it's -- it's very comprehensive and I just think we need to formalize the procedures a little better in terms of that, but otherwise it looks good.

MR. HEROLD: And if I may, to your point, Commissioner, yes, we will address those things in a forthcoming policy that we have and then also we appreciate the comments about the quality of the -- the policy itself and I want to make sure that Marc Taupier gets the credit for that. That was none of me, so...

COMMISSIONER DRAGO: Thank you. That was very good work.

VICE CHAIR BROWN: Very good work.

Commissioners, can we get a motion to approve I guess the -- the correct policy number is 02.11.01?

COMMISSIONER D'AQUILA: I'll make a motion to approve the policy as presented.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Any discussions? Seeing none, all those in favor say aye.

(Aye responses)

VICE CHAIR BROWN: Thank you. Then moving on to the field training one.

Are there specific questions or -- of the Commissioners on field training?

Would you like to elaborate any more on that,

Mr. Herold?

MR. HEROLD: You know, I think I covered it, it's --

VICE CHAIR BROWN: It's pretty straightforward.

MR. HEROLD: Yeah, pretty straightforward.

VICE CHAIR BROWN: Thank you.

Commissioners, any questions? Seeing none, can I get a motion to approve the item?

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor say aye.

(Aye responses)

VICE CHAIR BROWN: Thank you and thank you for your work.

We are moving on to HR policies. The first one is 6.5, which is career service grievance process.

MR. TROMBETTA: Lisa Mustain is here to help.

And, Lisa, if you're prepared, I'd ask you to kind of go through this.

MS. MUSTAIN: Thank you. Yes, good morning.

VICE CHAIR BROWN: Good morning.

COMMISSIONER D'AQUILA: Good morning.

MS. MUSTAIN: I want to make sure that I have the right one. So we're -- I think we're on 6.6, career service grievance process.

VICE CHAIR BROWN: Right. It kind of got renumbered with the new --

MS. MUSTAIN: This policy provides career service employees with uniform process for filing internal grievances and supervisors with guidelines of processing employee grievances with them at the Florida Gaming Control Commission the (inadible) -- the tenets of Section 16.712 the Florida Statutes and the applicable rules.

VICE CHAIR BROWN: It seems very again standard HR management.

Commissioners, if there are no questions, can we get a motion to approve the item?

COMMISSIONER REPP: Motion to approve.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor say aye.

(Aye responses)

VICE CHAIR BROWN: Thank you.

The next one I think it just says for clarity of drug-free work policy -- drug-free workplace policy, which would be 03.14.01.

MS. MUSTAIN: Drug-free work policy, this policy is from the Florida Gaming Control Commission to provide a drug-free workplace for all of our employees.

The policy has been adopted in accordance with Section 12.0455 (sic) of the Florida Statutes. It's also known as the Drug-Free Workplace Act. It's pretty self-explanatory. You have any questions?

VICE CHAIR BROWN: Very thorough. Very thorough.

Commissioners, any questions?

COMMISSIONER D'AQUILA: No.

VICE CHAIR BROWN: Can we get a motion to approve the drug-free workplace policy?

COMMISSIONER D'AQUILA: Motion to approve the drug-free workplace policy as written.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor say aye.

(Aye responses)

MS. MUSTAIN: And I believe that's all that we put forward today.

VICE CHAIR BROWN: I thought for -- Mr. Trombetta, I thought we had 6.7 and 6.8 -- or

recruitment selection and --

MR. TROMBETTA: Yes. Yes, ma'am. On my notes I have recruitment and selection and 3. -- or it would be --

VICE CHAIR BROWN: Lactation.

MR. TROMBETTA: -- our FGCC Policy 03.19.01 lactation breaks.

MS. MUSTAIN: I found it.

VICE CHAIR BROWN: Okay.

MS. MUSTAIN: I apologize, Commissioners. I thought this one had been pulled.

In accordance with the applicable federal, state, and local law, the Florida Gaming Commission provides equal opportunity to applicants and employees regardless of actual or perceived race, ethnicity, national origin, religion, sex, sexual orientation, gender identity, or expression age disability pregnancy, genetic information, veteran status, marital status, and other clarifications or status protected by law.

The Commission does not show partiality and grant -- or grant special status to any applicant employee or group of employees unless otherwise required by law.

This policy establishes our uniform

recruitment and selection process in accordance with those requirements.

VICE CHAIR BROWN: Thank you. I do have a question just on the selection on page 5, G1. Again it's on the recruitment and selection policy, and it says: Or posted requisitions, applications, or resumes received after the deadline shall not be considered.

Sometimes we do get applications after. I think changing the word shall to may would just lend -- lend some discretion.

MS. MUSTAIN: Done.

VICE CHAIR BROWN: Thank you.

Commissioners, any other questions or comments?

COMMISSIONER DRAGO: I guess just in terms of law enforcement. Does law enforcement have their own selection retention -- or selection hiring policy or would we go under the same agency-wide policy for selection and hiring, recruitment?

MS. MUSTAIN: Law enforcement does have more substantial requirements. They follow this policy, but they have additional requirements and background checks that they implement before they give the PARS to us to hire the applicant.

COMMISSIONER DRAGO: And have we -- have we finalized that policy yet, Mr. Herold, for law enforcement?

MR. HEROLD: I have to check.

COMMISSIONER DRAGO: I don't remember us doing it, that's why I'm asking. I just want to make sure that we are -- we are -- we either do have one or we're going to have one as it pertains specifically to law enforcement as well.

MR. HEROLD: Well, we just go by the criminal standards requirements. I mean, we can incorporate that into a policy.

COMMISSIONER DRAGO: There's no specific differences between this and how we might handle sworn officers hiring, recruitment, and selection?

MR. HEROLD: Are you asking me? I'm sorry.

COMMISSIONER DRAGO: I'm just saying -- I'm just asking because as we said, there's a lot of differences with law enforcement, sworn personnel, and what we do and so forth, and I know this is an umbrella that will certainly affect them as well, but do we have a separate policy that outlines how we will address hiring --

MR. HEROLD: We do not.

COMMISSIONER DRAGO: -- sworn law enforcement

officers.

MR. HEROLD: We do not at this time.

COMMISSIONER DRAGO: Do we plan on doing that, has that -- has that been considered or you don't think we need one? I guess is my --

MR. HEROLD: No. I mean, we will consider it. I'll get back with you.

MS. MUSTAIN: If I may, FDLE has provided us with CJIS of standards of everything that has to be in a law enforcement application, that is a checklist and it says: Currently we are going through the checklist to ensure that we have all the documents for review by FDLE if they should come over and audit us, but we can certainly make it into a policy.

COMMISSIONER DRAGO: I guess that's my question is whether I'm going to have that information and the checklist, et cetera, in the policy so that we know what we're -- we have to follow. Because it is such specific criteria when hiring law enforcement people, that we've got that documented somewhere, somehow.

MR. HEROLD: It is in the works.

VICE CHAIR BROWN: Mr. Trombetta, did you have something to add?

MR. TROMBETTA: No. That's okay.

VICE CHAIR BROWN: Commissioner Drago, I think that's a very fair point to make. I appreciate you bringing that up.

COMMISSIONER DRAGO: Thank you.

VICE CHAIR BROWN: Commissioners, any questions or comments? Can we get a motion to approve the item of recruitment and selection with one modification as presented?

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor say aye.

(Aye responses)

VICE CHAIR BROWN: Motion passes.

And we have one last one under admin. It's the lactation breaks, which are self-explanatory and thorough, but if you want to just do an overview.

MS. MUSTAIN: Policy 13.19.01, this is a policy for the Florida Gaming Control Commission to provide in compliance with the Fair Labor Standards Act reasonable break time and appropriate facilities to accommodate any employee desiring to express breast milk for her infant nursing child or

up until one year after the child's birth. It provides for this ability to give nursing mothers reasonable accommodations.

VICE CHAIR BROWN: Thank you. Excellent.

Commissioners, can we get a motion to approve the item as presented -- policy as presented?

COMMISSIONER REPP: Motion to approve the policy.

VICE CHAIR BROWN: Is think a second?

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor say aye.

(Aye responses)

VICE CHAIR BROWN: Thank you. Thank you all, and we will have more policies to come. I know we had to pull a few items today, but we will have more at our next meeting. So that concludes our policies and procedures today.

We are moving into the executive director updates --

MR. TROMBETTA: Thank you, Commissioner Brown.

I have two updates. The first one is something you mentioned at the start of the meeting, an additional update on our legislative session.

Both of our trust fund bills have now been

signed off by Governor DeSantis, so two more successes from -- from the session are now kind of officially in the books. Our law enforcement team now has both federal and state trust fund that they'll be able to utilize.

Second deals with the meeting in May. As we had discussed the prior meeting, we are organizing a May meeting in South Florida. We still are finalizing the location, the specific location for the meeting, but plans are -- are moving forward to move this party down there.

The meeting's set for Thursday, May 2nd. I believe that we are going to try to kind of have two -- two meetings, I think one meeting with a break. We'll have our normal Commission business meeting, which will then be followed by another meeting just on cashless wagering.

At this point the staff here has had two workshops and has received feedback from the industry on two separate times. We have a good kind of idea of some decisions that we need your feedback on essentially before we go down the task of drafting language.

So the point of that second part of the meeting, we're not sure exactly how we're going to

do it, but the point is there's going to be a specific time to discuss cashless wagering in South Florida in addition to our regular meeting.

And I'm also able to answer any questions about that or anything else you have, but those are my two updates.

VICE CHAIR BROWN: Thank you, Director Trombetta.

Commissioners, any questions for Mr. Trombetta?

VICE CHAIR BROWN: Thank you for the update. We are moving into public comments. I have no speaker cards today, but if there's anybody in the public that would like to address us, please feel free to come up to the podium at this time. Seeing none.

Commissioners, any other matters to address before we get to the last item? All right. We will now discuss Agenda Item 9.

General Counsel Elina Valentino -- Valentine.

MS. VALENTINE: I desire the Commission's advice concerning litigation in connection with Case Number 2023-000965, Florida Gaming Control Commission versus Washington County Kennel Club.

However, before we begin the session, I would

like to suggest perhaps a break, maybe 10 or 15 minutes.

VICE CHAIR BROWN: Before we adjourn? Before we get into the script here?

MR. MARSHMAN: Once we begin the attorney/client session, we're going to have to close the meeting, meaning everyone who's here that may want to speak with staff or the Commissioners will be asked to leave.

VICE CHAIR BROWN: Right.

MR. MARSHMAN: So if you would like an opportunity for those conversations to happen before the attorney/client session, we would encourage the Commission to consider a break. If not, staff is ready to move into the attorney/client session. However, we will have to ask everyone in the audience that we have not previously noticed as being part of the meeting to leave.

VICE CHAIR BROWN: I don't think we need to take a 15-minute break for that.

Commissioners, are you prepared to move into the attorney/client meeting?

COMMISSIONER DRAGO: Yes.

VICE CHAIR BROWN: I'm going to go ahead and

read the script and then just to put everybody on notice, at the conclusion of the script, I'm going to ask The Florida Channel and all to adjourn.

So with that I will begin. Is that okay, Ms. Valentine?

Okay. In connection with the performance of our duty to regulate the operation of cardrooms pursuant to Section 849.086(4) Florida Statutes, it's necessary that the Florida Gaming Control Commission close this meeting to discuss with our counsel information that is confidential and exempt.

Specifically we'll be discussing strategy relating to pending litigation related to Case Number 2023-000965, FGCC versus Washington County Kennel Club, Inc. The closed meeting will be approximately 30 minutes.

And for the record those that will be in attendance are Vice Chair Julie Brown, Commissioner Charles -- Chuck Drago, Commissioner John D'Aquila, and Commissioner Tina Repp, Executive Director Louis Trombetta, Deputy Executive Director and Chief Legal Officer Ross Marshman, General Counsel Elina Valentine, Chief Attorney Elizabeth Stinson, Attorneys

Pursuant to Sections 16.716 and 286.011(8), Florida Statutes.
This portion of the transcript is confidential and exempt.

Darrell Garvey and Joseph Klein, Division Director Joe Dillmore, Revenue Program Administrator Tracy Swain, and Commission staff Dixie Parker, Brandy Humphries, and Sherine Anbardan and court reporter, of course, Judy Martin.

To comply with Section 16.716 and 286.011(8)(c) Florida Statutes, there will be a written record of this separation of necessity of closure that will be filed with the official record custodian of the Commission.

And now I will ask those in the audience as well as the Florida Channel to discontinue the live feed for the next 30 minutes, because this will be a closed meeting and not open to the public.

So we're just going to pause for a second to make sure that you adjourn and thank you all for being here today and leaving.

ATTORNEY/CLIENT CLOSED SESSION

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PUBLIC MEETING RESUMED

VICE CHAIR BROWN: We are reopening the meeting at 10:34 on the closed meeting session in the Case 2023-000965.

MS. STINSON: Thank you.

VICE CHAIR BROWN: Now we may adjourn.

(The proceedings concluded at 10:37 a.m.)

CERTIFICATE OF REPORTER

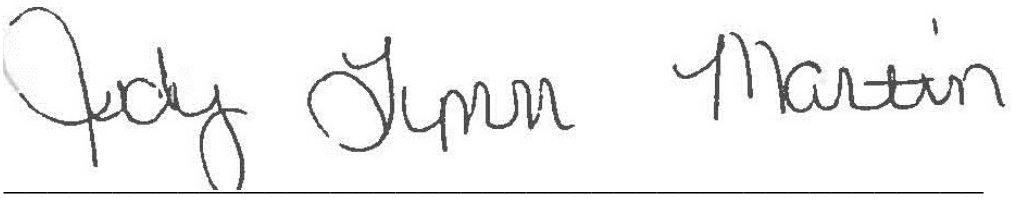
STATE OF FLORIDA

COUNTY OF WAKULLA

I, JUDY LYNN MARTIN, do hereby certify that I was authorized to and did stenographically report the foregoing proceedings and that the transcript, pages 1 through 52, is a true record of my stenographic notes.

I FURTHER CERTIFY that I am not a relative, employee, attorney, or counsel of any of the parties, nor am I a relative or employee of any of the parties' attorney or counsel connected with the action, nor am I financially interested in the action.

Dated this 13th day of April, 2024.



JUDY LYNN MARTIN

FLORIDA GAMING CONTROL COMMISSION

Meeting Agenda

Thursday, May 2, 2024

9:30 AM

Marriott Fort Lauderdale Airport

166 North Compass Way

Dania Beach, Florida 33004

PAGES 1 - 119

Stenographically Reported By:

STELLA KIM

Job No. 357258

APPEARANCES:

Julie I. Brown, Vice Chair

Tina Repp, Commissioner

Chuck Drago, Commissioner

John D'Aquila, Commissioner

PARTICIPANTS:

Louis Trombetta, Executive Director

Ross Marshman, Deputy Exec. Director/Chief Legal Officer

Joseph Dillmore, Director of PMW

Elina Valentine, General Counsel

Elizabeth Stinson, Chief Attorney

Joseph Klein, Senior Attorney

Lisa Mustain, Director of Adminstrative

PUBLIC SPEAKERS:

Patrick Bland

Steve Calabro

FLORIDA GAMING CONTROL COMMISSION

Meeting Agenda

Thursday, May 2, 2024

*****

VICE CHAIR BROWN: Good morning, everyone, and welcome to the Florida Gaming Control Commission's May 2nd meeting. Today is May 2nd and the time is 9:30. There's a lot of you here in the audience from our staff that I want to welcome you all for -- and thank you all for coming out here, as well as a variety of stakeholders here as well that made the trip.

So thank you. In the spirit of our nation and our nationalism, even though we don't have an American flag, can you please stand for the Pledge of Allegiance which will be given by our Chief of Slots, Mr. Bill Crafts.

(Thereupon, Pledge of Allegiance recited)

VICE CHAIR BROWN: Thank you, Mr. Crafts.

All right. So the agenda, I just want to kind of give you guys an overview today. We have an attorney-client session after we get into public comment, which will be closed to the public. It

will be approximately 30 minutes. Ms. Parker will let you all know once that attorney-client meeting has been concluded. So we will recess after public comment.

We are also going to take public comment on cashless wagering after the attorney-client meeting. So if you'd like to speak at that, you can hold off until that period. But if you'd like to speak about any other item as it pertains to the Commission, you can speak in the general public comment.

So with that, Commissioners, we're going to go right into the meeting. And with the approval of the meeting minutes for December 6th and March 6th, can I get a motion to approve those items?

COMMISSIONER REPP: Motion to approve both items.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER D'AQUILA: A second.

VICE CHAIR BROWN: All those in favor say aye?

(Chorus of ayes)

VICE CHAIR BROWN: Thank you. The motion passes.

I do also want to note for the record that while we do not have the Florida channel here, this meeting is being transcribed by our reporter right here. So just please speak very clearly so she can hear everything and state your name as well.

All right. We are moving onto Item 2, which is the discussion of Amended Application For Card Room West Volusia Racing.

Staff, good morning.

MR. DILLMORE: Good morning, Commissioner. Thank you. I'll be handling Item 2.1, Case No. 2024-017556, West Volusia Racing doing business as Orange City Racing and Card Club. They have an application to amend their '24-'25 cardroom license. They also have a pari-mutuel operator license for that year as well.

They have currently 40 tables for that year, and they have submitted application to add 18 more tables. Staff has reviewed the application, and they picked their dollar per-table fee. And the staff recommendation is for the Commission to approve the amended 2024 to '25 cardroom meeting lottery license.

VICE CHAIR BROWN: Thank you.

Commissioners, do you have any questions on

the side of this -- it complies with all the statutory requirements. If not, can we get a motion to approve the amended application for cardroom?

COMMISSIONER D'AQUILA: I will make a motion to approve the amended application for cardroom.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER DRAGO: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: Thank you. Thank you, Mr. Dillmore.

We're going into discussion of default final orders, Items 3.1 through 3.7. Mr. Klein, good morning.

MR. KLEIN: Good morning. Thank you. For the record, this is Joseph Klein.

Item 3.1 is FGCC versus Iver Daniel, Gutierrez Guzman. Case No. 2023-025665. The Division filed an administrative complaint alleging that Respondent was ejected and permanently excluded from Magic City Casino on April 23rd, 2023.

The Division attempted service by certified mail as well as hand delivery which were both unsuccessful. The Division then had a notice of the administrative complaint published in the Sun Sentinel for four consecutive weeks. Respondent failed to respond to the notice in a timely manner, which would have been no later than March 20th, 2024. He's therefore subject to permanent exclusion from all pari-mutuel and slot machine facilities in the state pursuant to Sections 550.251 subsection (6) and 551.112, respectively.

The Division would ask that the Commission find that the Respondent was properly served; that he failed to timely respond; that the facts in the administrative complaint are accepted as the facts in this case; and include that Respondent be excluded from all pari-mutuel and slot machine facilities in the state of Florida.

VICE CHAIR BROWN: Thank you, Mr. Klein.

Commissioners, can we get a motion to approve the final order permanently excluding the Respondent?

COMMISSIONER REPP: I'll make a motion to permanently exclude the Respondent.

VICE CHAIR BROWN: Thank you. Is there a second?

COMMISSIONER DRAGO: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: 3.1 passes. 3.2 please.

MR. KLEIN: 3.2 is that FGCC versus Ledier A. Vega. Case No. 2023-028678. In this case, the Division filed an administrative complaint alleging that on or about April 28th, 2023, the Respondent was ejected and permanently excluded from Magic City Casino.

The Division attempted service by certified mail on several occasions and hand service which were unsuccessful. It then requested that the notice be placed in the Sun Sentinel, which was published on four consecutive weeks. Respondent did not respond to the notice. He's therefore subject to permanent exclusion from all pari-mutuel and slot machine facilities in the state of Florida pursuant to Sections 550.0251 subsection (6) and 551.112 of the Florida Statues.

The Division therefore asks that the

Commission find that the Respondent was properly served; that he failed to timely respond; that the facts in the administrative complaint are accepted as the facts in this case; and conclude that Respondent be excluded from all pari-mutuel and slot machine facilities in the state of Florida.

VICE CHAIR BROWN: Thank you, Mr. Klein. And I just want to point out that Investigator Darryl Straub really submitted a very, very thorough investigative report also in the next item, too, William Smith. So great group work there.

Commissioners, if there are no questions, can we get a motion?

COMMISSIONER DRAGO: Can you just -- just for the sake of reminder tell us what the reason for the original exclusion was declined, Mr. Klein?

VICE CHAIR BROWN: It's Commissioner Drago.

MR. KLEIN: Yes, yes. It was that he was observed by the compliance officers at Magic City Casino for capping his bets during a live poker game.

COMMISSIONER DRAGO: Thank you.

VICE CHAIR BROWN: Thank you. With that, can we get a motion to approve the staff recommendation?

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN N: Motion passes unanimously. 3.3, please.

MR. KLEIN.: Yes. 3.3 is FGCC versus Zachary Lee Curgil. Case No. 2023-028906. The Division 5 filed an administrative complaint alleging that on or about May 3rd, 2023, the Respondent was ejected and permanently excluded from the Big Easy Casino.

The Division attempted service by certified mail as well as hand delivery which were both unsuccessful. And the Division then had notice of the administrative complaint published in the Sun Sentinel for at least four consecutive weeks, requiring a response no later than March 21st, 2024.

Respondent failed to respond to the notice in a timely manner. He's subject to permanent exclusion from all pari-mutuel and slot machine facilities in the state pursuant to 550.0251 subsection (6) and 551.112 of the Florida statues.

The Division would therefore ask that Commission find the Respondent was properly served; that he failed to respond in a timely manner; that the allegations of facts set forth in the administrative complaint are accepted as the facts in this case; and conclude that Respondent be excluded from all pari-mutuel and slot machine facilities in the state of Florida.

VICE CHAIR BROWN: Thank you, Mr. Klein.

Commissioners, any questions?

COMMISSIONER DRAGO: Just the same one again, if I could? Could you just remind us what the original offense was that caused the exclusion?

MR. KLEIN: Yes. It was that they had -- that the -- pardon me. The Big Easy surveillances staff had seen him switching cards during a game of Omaha 5 which he then had admitted to the poker dealer.

COMMISSIONER DRAGO: Okay. If you could

provide that when we do these, I would appreciate it. And that way, we can move along quicker.

MR. KLEIN: Absolutely.

COMMISSIONER DRAGO: Thank you.

MR. KLEIN: Absolutely.

VICE CHAIR BROWN: Thank you, Commissioner Drago.

With that, can we get a motion from you to approve this staff recommendation?

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Any discussion? Seeing none. All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: Item 3.3 passes, and we are moving onto 3.4.

MR. KLEIN: 3.4 is FGCC versus Alex -- I'm sorry -- versus Alexis Navarro. Case Number 2023-032587. The Division filed an administrative complaint seeking to suspend the pari-mutuel wagering, professional, individual, occupational license held by Mr. Navarro due to an outstanding debt related to horse racing in the

state of Florida.

Service of the complaint was confirmed by the Respondent who nonetheless did not return the enclosed Election of Rights form, or otherwise request any sort of hearing on this matter. Therefore, the Division asks that the Commission find that the Respondent was served with the administrative complaint; that he did not respond in a timely matter; that the facts in the administrative complaint are accepted as the facts in this case; and conclude that Respondent's pari-mutuel wagering professional, individual, occupational license is suspended until such time as the Commission receives confirmation that his financial obligation has been satisfied.

VICE CHAIR BROWN: Thank you, Mr. Klein. And with this, if we go ahead and approve the staff recommendation to suspend this licensee, will we be notifying HISA of the suspension even though it is a regulatory matter not in the purview of HISA's safety and medications?

MR. KLEIN: Are you saying will we be notified of or will be?

VICE CHAIR BROWN: Will we notify

HISA of our suspension of his licensee?

MR. KLEIN: Yes, I believe so.

VICE CHAIR BROWN: Is that correct, Mr. Dillmore?

MR. DILLMORE: Sorry. Yeah, we can notify HISA of that, and they can do with it what they will, even though it may not be in their purview or the scope of their regulations.

VICE CHAIR BROWN: Thank you, Mr. Dillmore.

Commissioners, any questions? Can I get a motion to approve the staff recommendation?

COMMISSIONER D'AQUILA: I'll make the motion to approve the staff recommendation.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER DRAGO: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: Thank you.

I just want to confirm, you can hear us clearly? Thank you. Item 3.5.

MR. KLEIN: 3.5 is FGCC versus Arabel Herrera Quesada. Case Number 2023-037871.

The Division filed an administrative complaint alleging that on or about July 5th, 2023, the Respondent was ejected and permanently excluded from Casino Miami.

He had first, according to Casino Miami staff, he had first been excluded from this facility in August of 2014 for assaulting a patron and had then been arrested and or removed from the facility on numerous occasions for trespassing.

During the July 20th visit, he had claimed and collected two of three slot jackpots which had been won by another patron at Casino Miami, who was also an individual previously excluded from this facility. Staff indicated that after it was determined that he did -- he was not the true winner of the jackpot, he was then ejected. And the surveillance report refers to this Respondent as a, quote, "permanent exclusion return patron".

The Division attempted service by certified mail as well as hand delivery which were both unsuccessful, and the Division then had notice of the administrative complaint published in the Sun Sentinel for four consecutive weeks requiring a response no later than March 21st, 2024.

Respondent failed to respond to the notice in

a timely manner, is subject to permanent exclusion from all pari-mutuel and slot machine facilities in the state pursuant to Sections 550.0251 subsection (6) and 551.112 Florida statutes.

The Division would therefore ask that the Commission find that the Respondent was properly served; that he failed to respond in a timely manner; that the allegations, facts set forth in the administrative complaint are accepted as the facts in this case; and conclude that Respondent be excluded from all pari-mutuel and slot machine facilities in the state of Florida.

VICE CHAIR BROWN: Thank you. Commissioners, are there any questions on this item?

COMMISSIONER DRAGO: No.

COMMISSIONER REPP: No.

VICE CHAIR BROWN: Can we please get a motion to approve the permanent -- the final order permanently excluding Mr. Herrera Quesada?

COMMISSIONER REPP: I'll make a motion to enter a final order permanently excluding the Respondent.

VICE CHAIR BROWN: Thank you. Is there a second?

COMMISSIONER D'AQUILA: I'll make a second.

VICE CHAIR BROWN: All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: Motion passes on 3.5. 3.6, please.

MR. KLEIN: 3.6 is FGCC versus Raymond Thomas Hughes, Jr. Case No. 2023-043622. The Division filed an administrative complaint alleging that on or about July 29th, 2023, Respondent was ejected from Calder Casino and that he was permanently excluded from that facility on or about August 1st, 2023.

The Division attempted to serve Respondent with the complaint by certified mail on at least three occasions, but was unsuccessful. The Division had a notice of the administrative complaint published in the Sun Sentinel for four consecutive weeks.

Respondent failed to respond to the notice. He's subject to permanent exclusion from all pari-mutuel and slot machine facilities in the state pursuant to Sections 550.0251 subsection (6) and 551.112 of the Florida statutes.

This was due to the staff -- to the Calder Casino staff's report that during a live poker game, the Respondent was observed cheating by adding an additional chip to the table after looking at his cards so as to obtain a larger play out on the winning -- or a larger gap liner payout, pardon me, on the winning hand capping this bet.

The Division would therefore ask that the Commission find that Respondent was properly served; that he failed to respond in a timely manner; that the allegations set forth in the administrative complaint are accepted as the facts in this case; and include that Respondent be excluded from all pari-mutuel and slot machine facilities in the state of Florida.

VICE CHAIR BROWN: Thank you. If there are no questions, can we get a motion to approve the staff recommendation?

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER D'AQUILA: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: Thank you. The motion passes. We're now onto the final item under 3.7.

MR. KLEIN: Thank you. 3.7 is FGCC versus Christian Santiago Reyes. Case Number 2023-048247. The Division filed a two count administrative complaint alleging that the Respondent was convicted of a felony offense and failed to notify the Commission of his conviction within 48 hours. The Division attempted to serve the Respondent with the complaint by certified mail on two occasions, and two attempts at hand delivery were also unsuccessful.

The Division had a notice of the administrative complaint published in the Business Observer for four executive weeks. Respondent failed to respond on or before April 5th, 2024, as provided in the notice. He is subject to suspension or revocation of his occupational license pursuant to Sections 550.105 sub (5)(b) and 550.105 sub (10)(d) of the Florida statutes.

The Division requests that the Commission find that the Respondent was properly served; that he failed to respond in a timely manner; that the allegations of facts set forth in the

administrative complaint are accepted as the facts of this case; and conclude that Respondent's pari-mutuel professional, individual, occupational license will be revoked.

VICE CHAIR BROWN: Thank you. Again, this is similar to the further question with -- regarding his -- this is a jockey. The licensee is a jockey. Are we going to let HISA know that this licensee has been -- their license has been revoked?

MR. DILLMORE: Yes.

VICE CHAIR BROWN: Thank you. Commissioners, any questions?

COMMISSIONER REPP: No.

VICE CHAIR BROWN: Can we get a motion to approve the staff recommendation?

COMMISSIONER REPP: Motion to approve staff recommendation.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER D'AQUILA: I'll make a second.

VICE CHAIR BROWN: All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: Motion passes. Thank you, Mr. Klein.

We are onto discussion of license denials, 4.1 through 4.4.

MR. KLEIN: Thank you. 4.1 is Michael J. Newnum. Case Number 2024-009404. The Division received an application from Mr. Newnum on November 17th, 2023, seeking a cardroom employee occupational license. After review of the application, it was discovered that on October 12th, 2018, this applicant was convicted of receiving stolen property, a misdemeanor offense in the state of Missouri, who failed to disclose this conviction on his initial application.

The applicant applied for a waiver, which was declined by the executive director. As such, the Division's recommendation is that the Commission authorize the issuance of a Notice of Intent to Deny.

VICE CHAIR BROWN: Okay. Thank you. Commissioners, are there any questions on this item? I am sorry, I'm going in and out.

I will just note that his probation was terminated early. These facts are unusual, although I understand why our executive director

declined to issue the license after the review.

Can we get a motion to approve the staff recommendation?

COMMISSIONER DRAGO: I'll make that motion.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Any discussion? All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: Item 4.2, Mr. Klein.

MR. KLEIN: Thank you. 4.2 is Yosandris Roque De Escobar. Case Number 2024-010428. The Division received an application from Mr. Escobar on February 15th, 2024, seeking a slot machine cardroom pari-mutuel individual combination occupational license.

After review of the application, it was discovered that on or about November 29th, 2016, the applicant was convicted of possession with intent to distribute and distribution of marijuana, a federal felony offense. The applicant did not apply for a waiver from the statutory restrictions

excluding applicants with disqualifying offenses from slot machine cardroom and pari-mutuel licensure as this is not contemplated under Chapter 551.

As such, the Division would ask that the Commission issue a Notice of Intent to Deny in this case.

VICE CHAIR BROWN: Commissioner, I are there questions on this item? This stems from a 2015 -- I believe 2015?

COMMISSIONER D'AQUILA: '15.

VICE CHAIR BROWN: There's not a great amount of detail in here other than the criminal complaint.

MR. KLEIN: Yes, it was. And it was a -- it was a federal conviction in Colorado.

VICE CHAIR BROWN: But he did not serve any time?

MR. KLEIN: No, no. After he -- no, it looks like he was -- that he was released on supervision.

VICE CHAIR BROWN: Okay. Yes. Commissioner D'Aquila?

COMMISSIONER D'AQUILA: I've got a question. What is the significance of the federal

conviction, or is there any?

MR. KLEIN: I'm not under the impression that there is. It's a -- it is still a disqualifying -- just still a disqualifying offense, and it would prevent him under the statute from getting any -- obtaining a license. But I'm not sure that the fact that it was a federal case makes any difference at all. There may be other -- I mean, there may be others as far as he's concerned, as far as this individual is concerned. But as far as the license is concerned, I don't see out there any.

VICE CHAIR BROWN: And if we issue an intent -- a Notice of Intent to Deny, can you just remind us what the recourse would be for the applicant?

MR. KLEIN: Sure. He would have an opportunity to contest that and to request a hearing on it.

VICE CHAIR BROWN: An informal hearing?

MR. KLEIN: Yeah. I believe it's -- I want to say 20 days after the letter goes out.

VICE CHAIR BROWN: Okay. 21 days.

MR. KLEIN: 21. Yeah, that's right.

VICE CHAIR BROWN: Okay. All right. Commissioners, any questions? If not, can we get a motion to approve the Notice of Intent to Deny?

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER D'AQUILA: I'll make a second.

VICE CHAIR BROWN: All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: 4.2 passes. Onto 4.3.

MR. KLEIN: 4.3 --

VICE CHAIR BROWN: Which is pretty clearcut.

MR. KLEIN: Is Michael May. Case No. 2024-012011. The Division received an application for Mr. May on February 23rd, 2023, for slot machine and cardroom, pari-mutuel combination occupational license.

After review of the application, it was discovered that on or about December 7th, 1999, the

applicant was convicted in Broward County of aggravated battery on a pregnant woman, his second degree felony offense in the state of Florida.

The applicant didn't apply for a waiver as that's not authorized under Chapter 551. The Division would therefore ask the Commission to issue a Notice of Intent to Deny in this case.

VICE CHAIR BROWN: Thank you. Commissioners, can we get a motion for issuing a Notice of Intent to Deny on this application?

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Thank you. Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Any discussion? All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: The item passes. And the last one is 4.4.

MR. KLEIN. ESQ.: Thank you. 4.4 is Trista Hockman. Case No. 2024 -- pardon me -- 2024-012247. The Division received an application from Ms. Hockman on April 16th, 2024, for a slot machine, cardroom pari-mutuel combination occupational license.

After review of the application, it was discovered that on or about March 9th, 2011, the applicant was convicted of possession of a controlled substance, a felony offense, in the state of Indiana. She did not disclose this conviction on her initial application.

The applicant did not apply for a waiver from the statutory restrictions excluding applicants with disqualifying offenses from slot machine, cardroom, and pari-mutuel licensure as that is not authorized under Chapter 551 in the Florida statutes.

The Division would therefore ask the Commission to issue a Notice of Intent to Deny in this case.

VICE CHAIR BROWN: Thank you. And, Mr. Klein, she's applying for food service. She's a bartender, correct?

MR. KLEIN: She was applying for -- I know that it was a combination.

VICE CHAIR BROWN: The occupational license, but the actual occupation would be in food service.

MR. JOSEPH KLEIN: Yes, at Harrah's.

VICE CHAIR BROWN: Harrah's.

MR. KLEIN: Harrah's. I always call it Harrah's. Harrah's, yes.

VICE CHAIR BROWN: Commissioner D'Aquila?

COMMISSIONER D'AQUILA: So again, if once this is issued, she will have an opportunity to reapply or to contest?

MR. KLEIN: She will have an opportunity to request a hearing.

COMMISSIONER D'AQUILA: To request a hearing for such. Okay. And this was the sole offense that was discovered?

MR. KLEIN: It was the reason for a recommendation to deny.

VICE CHAIR BROWN: Yes. So, Commissioners, this is a 2010 case out of Indiana, actually. And I really don't see the harm of the crime. She has no other offenses. She could not apply for a waiver because of the statutory limitation on the slot license.

I don't see the harm in the industry of approving this licensee at this point and delaying from her moving forward. I think her -- she had been on probation, she had probation only, and her time is served. And I think that we have to

balance the opportunity to work in the state along with our statutory requirements. So that's where I'm at on this one.

Commissioners, anyone?

COMMISSIONER DRAGO: Can I?

VICE CHAIR BROWN: Yes.

COMMISSIONER DRAGO: Does she have a temporary license now? She does, right? Yeah. Do we know when she was issued that?

MR. KLEIN: I don't have it right here as to when she was issued --

COMMISSIONER DRAGO: Which typically -- what -- is there a duration on that temporary license? I mean, issued for a period of time or --

VICE CHAIR BROWN: Yes.

Mr. Marshman?

MR. MARSHMAN: Thank you. Good morning.

VICE CHAIR BROWN: Good morning.

MR. MARSHMAN: A temporary occupational license is issued if there are no apparent deficiencies on the face of the application. I believe there was one issued in this case. By statute, a temporary occupational license lasts for 90 days.

COMMISSIONER DRAGO: I see.

VICE CHAIR BROWN: Okay.

Commissioner Repp?

COMMISSIONER REPP: With this particular license, is she -- although she's applying for a bartender, if she was to take another position somewhere else in the casino, that same license apply for any job? Or would she have to get another license to be a dealer or another license to take another position?

MR. KLEIN: I apologize, I'm not sure.

VICE CHAIR BROWN: Mr. Trombetta or Dillmore?

MR. DILLMORE: I'm sorry. It's a combination license, so she would be eligible for basically anywhere in the facility once that license is issued.

COMMISSIONER REPP: Thank you. While I don't disagree, and I do agree with, you know, allowing her to have the license, I still have to -- I'd like to make a note that I just take issue with her failure to disclose it on her application.

As I'd said before, I see that just kind of as

a lack of candor. But I also see that she -- I don't see her as a threat with her past disclosure ultimately and then what she was charged with with receiving this license.

VICE CHAIR BROWN: Commissioner Drago?

COMMISSIONER DRAGO: Okay. I'm sorry. And the time, I think, needs to be taken into consideration as well. It was in 2010 that this occurred and apparently has not had any other trouble since that point.

And again, as Vice Chair Brown said, we have a balance here in trying to protect the public, but also help people -- or not stand in the way of people that want to make a living. So I think this is one of those cases where there's no indication in her history that she would be a danger to the public or to the facility. That's just how I feel.

VICE CHAIR BROWN: Commissioner D'Aquila?

COMMISSIONER D'AQUILA: In our investigation or gathering of information, is there any way to discern how long she has been in the state of Florida to follow up to Commissioner Drago's point, that the data we retrieve in our

searches in Florida is probably superior than what we're able to obtain in other states?

MR. KLEIN: How long she has resided --

COMMISSIONER D'AQUILA: How long has she been in the state of Florida?

MR. KLEIN: I did not -- I don't know if it's possible to discern. I did not see it in this -- in this report.

VICE CHAIR BROWN: I did not either.

COMMISSIONER D'AQUILA: I didn't see it.

VICE CHAIR BROWN: Mr. Marshman?

MR. MARSHMAN: I'd just like to note that she does have a state address in Plantation, Florida, so she does have a current residence in the state. But beyond that, I don't see a driver's license or anything. I just see a passport that we copied in part of the materials, but I don't think we have any other information about how long she's been residing in the state other than those two sources of information.

COMMISSIONER D'AQUILA: Yeah. I posed the question to further support observation of Commissioner Drago and as far as our state only, you know, and so forth. But I understand. Thank

you.

VICE CHAIR BROWN: And in our research, we do a thorough database, right? It's not just with -- obviously, we discovered this in Indiana. So we look at the state of Florida as well as all other states, correct?

MR. KLEIN: Correct.

VICE CHAIR BROWN: Commissioners, if the time is right and we're done with discussion on this item, can we get a motion to approve this licensee?

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Any further discussion? All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: She is granted a license. Thank you.

We are going to move into discussion of rulemaking. Good morning, gentlemen.

MR. TROMBETTA: Good morning, Commissioners. I'm actually going to turn this portion over to Ross, and I will be back for the

executive director update.

VICE CHAIR BROWN: Great.

Mr. Marshman.

MR. MARSHMAN: Good morning, again. Commission staff is seeking the Commission authorization to initiate rulemaking in connection to Rule 75-5.003 titled Exemptions From Fingerprinting.

Long story short, this rule has not kept up to changes in the laws. Specifically subparagraph 1 still is written as if the Commission issues a yearly license, annual occupational license that lasts for one year. However, statutes have changed or licenses being issued now typically are three years for an occupational license.

So with your authorization, staff would again, rulemaking process, to update this rule and make it congruent with existing statutes as they're currently written.

VICE CHAIR BROWN: Certainly. Commissioners, makes sense?

COMMISSIONER D'AQUILA: Makes sense.

COMMISSIONER DRAGO: Makes sense.

VICE CHAIR BROWN: If there's no discussion, can we get a motion to authorize that

to go ahead and conduct rulemaking and proposed rule to development? Great.

MR. MARSHMAN: At this stage, it would be notice of development and then we'll try to move as quickly as we can to proposed rule. This is not --

VICE CHAIR BROWN: Okay.

COMMISSIONER D'AQUILA: I'd like to make a motion to move forward with the notice of development.

VICE CHAIR BROWN: Thank you. Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: All those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: Thank you. Thank you for bringing this to our attention.

All right. Mr. Trombetta.

MR. TROMBETTA: Thank you. I have several updates. And I would just like to begin kind of by just recognizing that this is our second meeting here in South Florida. We had one about a year ago. And I'm just looking at the tablecloth, something silly like that, but it -- we are growing

and evolving, so it's nice to see.

I'd also like to take a minute just to recognize, yesterday we met with staff in our office here in South Florida. It's always nice to kind of get faces and see, you know, some of the staff that don't get to attend these meetings.

A lot of them are in the audience. So really I just want to thank all the work you guys have been doing. I know the Commissioner is talking about it. I don't want to speak for you but, you know, everybody's very happy with the team we have and everything that's been going on. So it's nice to see you and thank you for hosting us.

COMMISSIONER DRAGO: Can I just make a request? I don't mean to interrupt you.

VICE CHAIR BROWN: Commissioner Drago.

COMMISSIONER DRAGO: Could we have the employees that are in the office down here stand up so we could --

VICE CHAIR BROWN: That's a great idea. Thank you. Thank you. Thank you all for everything that you're doing for us and for the community and the stakeholders.

(Applause)

VICE CHAIR BROWN: Thank you.

COMMISSIONER DRAGO: Thank you.

VICE CHAIR BROWN: Oh, yes. Commissioner D'Aquila, sorry.

COMMISSIONER D'AQUILA: I'm just going to comment, as we near our two-year anniversary as a commission, for those of you that are new to the meeting or to the public, the speed with which we're able to make decisions on these cases is noticeably increased as a result of the hard work of the people that just stood up and also for our -- equivalent in the Tallahassee office.

As a person who reads the -- every page of these every month, just the sheer quality and completeness is noticeable and greatly appreciated. And I think the citizens of the state of Florida feel the same way. Thank you.

VICE CHAIR BROWN: Agreed.

COMMISSIONER D'AQUILA: For all you do.

VICE CHAIR BROWN: Thank you.

All right. Mr. Trombetta, thank you for that.

MR. TROMBETTA: Sure. Moving on. So on Friday, the Governor signed what was Senate Bill 804 involving gaming licenses and permits. This was the Commission's regulatory bill. So this

was a -- the bill here, the intent was to try to make some changes that impact, you know, the -- both the industry and staff. They're all focused on the regulatory side.

Just kind of highlights. So our bill provides authority for the Commission to deny licenses in which the applicants have falsely sworn on the application. As our prior entity and DBPR, there was a statute that specifically gave the then division of pari-mutuel wagering the ability to do that. When we moved out of DBPR, we lost that authority, but now we have it back which is a good thing.

We've been authorized to serve administrative complaints through e-mail. So this -- the origin here is that it's helpful for -- as you just heard, a lot of the cases we are still publicly noticing them in newspapers, having specific authority to serve.

It's particularly -- this is going to be useful in the horse racing industry where people move around a lot, which is hard to get service on many of those people because they don't -- we don't really have the addresses that they list all the time. Having e-mails can help there.

We've adjusted the licensing window. So this is the operating license application window, which always falls around the holidays. So this one is one that we thought it will benefit kind of everybody involved so that the people submitting the operating license aren't crunched for Christmas, New Year's. And from the receiving side, our team, the same thing, has a little bit more time to deal with that.

We have kind of addressed some of the issues in amending performances. That came up in some of our cases that we've already handled. So particularly when it comes to Jai Alai. Jai Alai permit holders are now able to amend the license -- their licenses in the same way horse racing permit holders are.

We added reciprocity with HISA essentially. So when HISA and HIWU was created, the reciprocity section in Florida law that allows the Commission to take action against people's licenses if they've, you know, been suspended in other states was specific to other state race and jurisdiction, I believe is the language.

We've added language that addresses essentially HISA. So if somebody that's a covered

person has been registered with HISA and has the type of action taken against them by HISA or HIWU, we can then take action against the license here in Florida.

Established consistent time periods for annual financial reports. So this, too, is that there's, depending on the permit holder, there's a number of financial reports that are submitted to us with different dates based on the fiscal year. We've tried to make that consistent. And that's going to help them, hopefully, and help us.

And then finally, we've addressed the waiver issue. That also came up in a case today, in that applicants for pari-mutuel wagering licenses were able to take advantage of a waiver provision so that it could waive -- it could seek the waiver from the Commission to waive disqualifying offenses.

We've added similar language now to slot applicants, so that people that may have old criminal history that the Commission feels compelled to waive that disqualifying offense, we can now take advantage of that to Commissioner Drago, to your point, to maybe help -- help people get back to work that qualify.

The -- Joe's team is working on a document that we intend to distribute to the license industry kind of highlighting what I just discussed and just kind of focusing on anything. Also if there's anything new that has to done on their side, we just want to make sure that everybody is on the same page, and they're aware that this bill has been signed. Again, it happened Friday, so it's still very, very fresh.

VICE CHAIR BROWN: This is great news. And I'm thinking for the summary, too, I mean, just a huge -- coming from DBPR, to get all of these great changes for the industry, it would have been a major haul to be a part of the Department of Business and Professional Regulation having our own entity, and getting this through is just going to be tremendous.

And I'm looking forward to seeing what we do next session too to modernize our statutes. So thank you. Thank you, Mr. Mahler, too for everything that you've done.

MR. TROMBETTA: Moving on. Additionally, we have formally put out a request for proposals as it relates to the problem gambling contract. So under statute, the Commission now has

to have a contract with a vendor to provide problem gambling services as it provided in that section.

We have a current vendor and we're just -- we are soliciting new proposals. That's out now. We hope to get everything done before the start of the next fiscal year but, you know, it's a formal procurement, so early on, but it is now noticed at this point.

VICE CHAIR BROWN: How long does the procurement period last? And is it a national procurement? What we do in our QRP, is it posted nationally for folks?

MR. TROMBETTA: Director of Admin, Lisa Mustain, was very nice and sent me a good text about 10 minutes ago with a timeline.

Lisa, do you mind jumping in? Great.

VICE CHAIR BROWN: Thank you.

MS. MUSTAIN: Good morning.

VICE CHAIR BROWN: Good morning.

MS. MUSTAIN: Is this on? Good morning. Yes. It goes to the vendor bid system with Department of Management Services and is posted throughout. But we plan to also post it in perhaps some newspapers at least throughout Florida to get some more interest on it.

They bid up -- the bid response day is May 28th, so they have to have a reply to us by May 28th.

VICE CHAIR BROWN: Okay. And if we don't get any responses or do we have leeway? I know Mr. Trombetta said by July 1st of the fiscal year. Do we have leeway to repost?

MS. MUSTAIN: Repost?

VICE CHAIR BROWN: We just --

MR. TROMBETTA: We do. We can rebid it. We also have a current contract with them, but yes. And if that comes, we will have a whole bunch of decisions for you all, too.

VICE CHAIR BROWN: Commissioner D'Aquila?

COMMISSIONER D'AQUILA: Considering probably the relatively small number of organizations that provide these services, is it commonplace to go outbound and reach them directly with an encouragement to apply or is that not acceptable? A general question.

VICE CHAIR BROWN: Lisa?

MR. TROMBETTA: Yeah, I am going to turn it back to Lisa on just general do's and don'ts. Yeah, that's a good way to say it.

MS. MUSTAIN: Yes. Procedure is though that we solicit the information so that they can receive the application so that everybody gets the same information, the same solicitation so they -- everybody's on the same sheet of music in order to respond to our request.

So if you -- if people are interested in it, they contact our office, and we provide them the link that's in My Florida Marketplace in the vendor bid system so that they can peruse it and, hopefully, respond to it.

VICE CHAIR BROWN: Is it something that we can post on our website -- on the homepage of the website? Kind of a --

MS. MUSTAIN: Yes, we can.

VICE CHAIR BROWN: Commissioners, any questions, comments?

All right. Back to you.

MR. TROMBETTA: All right. And then I have essentially two more things on my list. The first one is just dates for the, you know, as usual, for the next few meetings for -- in my notes, I have June 6th as what we are aiming for. That's, I think, in Tallahassee. July 11th. It's in -- August 8th are the dates that I have on my

notes, just to confirm, and that's if we wanted to go into September.

VICE CHAIR BROWN: Do we want to go into September? Commissioners?

COMMISSIONER D'AQUILA: It's not a bad idea.

VICE CHAIR BROWN: All right. What would you propose, September 12th? Or are there other deadlines?

COMMISSIONER REPP: The first Thursday or the second Thursday is fine with me.

VICE CHAIR BROWN: Same. September 5th sound good? Okay. I think we are good.

MR. TROMBETTA: Okay. So it's September 5th?

VICE CHAIR BROWN: September 5th.

MR. TROMBETTA: Again, we can change it but this helps us at least get there.

And then my last update, both -- well, it's not really an update, but just with the agenda. We had -- we weren't sure how much -- how long this meeting was going to take. I think we sort of have a better idea. So we've listed a lunch break after Item 9.

We do plan, you know, to have a closed

attorney-client session. Just thinking now, you know, maybe if you want to have cashless -- I'm just looking at who's in the audience. I think most of the audience is interested in what we're going to talk about for cashless. If you want to move that ahead of the attorney-client session.

VICE CHAIR BROWN: That sounds great.

MR. TROMBETTA: It might --

COMMISSIONER D'AQUILA: I think that's a good idea.

VICE CHAIR BROWN: Yeah. Great suggestion. So what we're going to do is we're going to take up public comment first as it does not relate to cashless.

So I only have one speaker card on just general public comment, and it is Mr. Patrick Bland, but you're not limited to just that. And if you want to come up, Mr. Bland, to the podium, that would be great if you want to. And welcome.

MR. PATRICK BLAND: Hello.

VICE CHAIR BROWN: Hello.

MR. PATRICK BLAND: Patrick Bland, Chief Technology Officer at Acres. Long-time listener, first-time caller.

So I just wanted to say thank you to everybody at the Florida Gaming Commission, especially Bill and Dennis' team. So we are bringing -- well, first of all, we're a new vendor to Florida, and we just brought our new slot system to the industry at Magic City Casino. So just wanted to say thank you to everybody that's helped us. You guys have been amazing, and we look forward to more innovation with you guys.

VICE CHAIR BROWN: That's wonderful. Thank you.

MR. PATRICK BLAND: That's it. Thank you.

VICE CHAIR BROWN: Appreciate you coming up.

If there's anyone else in the audience that would like to come up as it does not relate to cashless wagering, now is the time.

Okay. Thank you. We are now moving into our cashless wagering discussion. Mr. Trombetta, do you want to just open -- kind of give an overview of where we are postured at before we open up to public comment?

MR. TROMBETTA: Absolutely. Thank you.

Well, I think first just procedurally, so this

is an item on the Commission agenda. It's not a workshop or hearing as defined in 120. We have put this on the agenda because, essentially, we are at the point -- I'll give a little bit of background, but staff is seeking essentially authorization and a little bit of direction from the Commission so that we can begin drafting a rule.

We are in a position -- again, this is probably the most complicated rules that we've done now as a commission. We want to make sure that staff is growing the same direction as the Commission on some of the big league drivers.

So the -- let me kind of now go back to the background. So on September 6th, 2023, the Agency issued a notice of rural development. About three weeks later on September 21st, we held our first workshop.

The workshop was down here in South Florida. We invited industry members to come in and provide initial feedback about cashless. At that point in the workshop, we just said, hey, we're going to go down this road. We're interested in hearing what you guys think and what you're seeking in your desire to have cashless wagering.

We took all that feedback, the record closed,

and we reviewed everything. And internally, we -- you know, we met and talked and said, okay, let's have another workshop. Now we can solicit specific questions to the industry and try to get some feedback.

So we did that. That workshop happened January 25th of this year. The Agency put together questions ahead of time. You know, what type of cashless wagering product is allowed? How do we deal with the geolocation issues? How do we deal with these specific sections in the statute? Got feedback. That record has now closed. And this is all happening during the rule development stage of rulemaking.

We are trying to move forward to the next stage, which is decision -- proposal rulemaking. To do that, we need to start putting pen to paper, essentially. So we, at this point, have a decent idea of what the industry is seeking. We have had several individual one-on-one meetings with commissioners just about giving updates and things like that.

What we're seeking today, as I mentioned, is essentially the authorization to continue to move forward and to start drafting rule. What I'm going

to encourage staff to do after today is, essentially, to take your feedback and the questions I'm about to ask you, and then start putting rule together.

Big picture-wise, we -- this is the start, right? We plan -- once we have some type of draft together, we will likely provide it to you, provide it to the industry. We will continue to, you know, do everything we're required to do under 120. We'll probably have a hearing, a formal hearing where we can get feedback. But at this point, we just need to get started with language.

So at this point, what I'm going to ask is essentially big picture items. I prefer that we don't get into specifics about technical requirements. I think that's all going to come. But right now, really to get started, we need to know just essentially -- so staff is prepared.

I think the recommendation of staff is that Florida law contemplates some type of cashless wagering. And, you know, in 521.121 sub (6), it says that slot machines shall accept electronic maintenance systems. There's other references for electronic payment systems in the statute. So I just want, essentially, do you agree with that

staff recommendation that passes wagering is contemplated by statute?

VICE CHAIR BROWN: Okay. Would you like us to answer that? Or would you like --

MR. TROMBETTA: Yes. And staff is available to answer any questions you may have. How's that? But yes, if you give me -- if you give me a no on this, everybody has room to lean, but we may end up in a different spot after that.

So, you know, if you give me a yes, we can then -- you know, it's the first question we need.

VICE CHAIR BROWN: Firstly, yes. I mean, I completely agree with staff and see if -- clearly, I think it's very clear to me and the staff and the statute that it is contemplated as technology. But, Commissioners, thoughts? Any?

COMMISSIONER DRAGO: I mean, yeah, I agree. After discussions with our attorneys and so forth and reading it and thinking it over myself, of course, I certainly do agree with their recommendation and their advice on this, that it is, in fact, legal to go ahead and look into this. Yes.

VICE CHAIR BROWN: Commissioner Repp?

COMMISSIONER REPP: I will also agree that it's both contemplated and allowed under statute.

VICE CHAIR BROWN: D'Aquila, Commissioner?

COMMISSIONER D'AQUILA: I agree. Contemplated and allowed.

VICE CHAIR BROWN: Unanimous.

MR. TROMBETTA: Excellent. Okay.

Next question is a little bit more complicated and may take a little more time. So the question comes out to funding. And this is one that we -- you know, we specifically asked the industry: How do you take into account these references and statute about limitations on funding?

Right now, the way 521 works is there are several limitations on funding sources and funding methods for slot machine gaming. Some of them are specific so they -- they're mostly contained in 551.121 and 551.102, but just, you know, for example, 551.102 says a slot machine can be funded with an electronic payment system but not a credit or debit card.

My understanding and my -- what we've heard from other people, too, is we're trying to grapple

with what that means, essentially. So first kind of sub question here is: Can funding be done through a credit card or debit card if it isn't directly with the slot machine?

So in other words, think of a gas pump. You walk up to a gas pump, you can insert your credit card. I think there's an interpretation that that's kind of what that's going at. Or can you, you know, download the Shell app and, you know, fund your account with your credit card and then pay for your gas?

Because that's essentially the model that a lot of facilities are using with cashless. It's one of the models that I think is sought here by the industry, would be one using some type of app or some type of player card that can be funded with some type of source, and that's what we're trying to figure out.

If you want rules -- and then kind of big picture, too -- limit, specifically limit or specifically allow the types of funding sources, it would be another thing that would be helpful. So in other words, when drafting rule, we have to figure out if we want to specify -- you know, think of it like the following sources are allowable

funding methods: A, B, C, D, E, F, G. But then we have to list all of them. Or we can do it in inverse where we kind of say, the following funding sources are prohibited, and be silent on what is allowed, which is kind of just a -- you know, that's really going to be the helpful part for us as we go into these rules.

And I'm just -- sorry, for the record, I don't -- you don't have to specify every single type of -- I'm not asking you to give me your list of sourcing methods right now that are allowed and that are not allowed. I think the second part about how you would like the rule drafted, if you want us to dig into these specific methods are or these specific methods are not, I think would probably be more helpful at this point.

VICE CHAIR BROWN: Commissioner D'Aquila?

COMMISSIONER D'AQUILA: My recommendation would be to approach it from all methods that -- looking at the industry, both domestically and internationally, looking at the growing increase of users, clients, customers that are going, playing our games; looking at e-commerce in general, how it's evolved, I think your approach should

contemplate the utilization of both credit cards, debit cards, payment product, you know, whether it be PayPals, the Venmos.

The one caution I would put out there is the anonymity issues with regard to cryptocurrencies as that develops might present some complexity. But I think at this stage, you have to be realistic with what the player and what other states and other countries are doing.

So I don't -- I read that statute and I think there was -- I think it was written quite some time ago, and it was -- they give -- my interpretation of it, and it's just a layman's interpretation, is simply that it's, at least this -- you know, can I hand my credit card to the first method at the table or whatever? But I would say go -- think of -- at this stage, picking go for all.

VICE CHAIR BROWN: And Commissioner D'Aquila, I agree. I think cashless is the future with every industry, and gaming has been slow to adapt. At least the regulated marketplace has been slow. Regulators have traditionally been slower than commercial operators, tribal facilities. But we definitely don't want to get crossed with what the statute contemplates directly.

So of course, you know, I know location is going to be an issue. ATM machines have to be off the floor. You know, if somebody's going to train -- and that's to protect the integrity but the patron, too. We need patron -- customer protections is critical as we mesh the -- embrace and implement the technology.

Commissioners, I don't know if we want to take a pause real quick briefly because these are the more critical issues that may be helpful to hear from the folks in the audience if they wanted to come up, just to pause on Mr. Trombetta's opening unless you have any comments before we --

COMMISSIONER DRAGO: I just have one question.

VICE CHAIR BROWN: Commissioner Drago?

COMMISSIONER DRAGO: Is that all you have to say, or are you -- or do you want us to -- are we looking at other things that you need answers to before people come up and give their opinions on it? I think I'd like to hear that first.

VICE CHAIR BROWN: Yeah.

MR. TROMBETTA: Yeah.

Essentially, as Commissioner Brown just hinted, the next question really is the location problem, is that we have a section in the statute that, as you referred to, ATM. So the problem is that it says ATMS or other similar devices that can, I think, dispense credit or cash or that's been designed to dispense credit or cash.

Trying to figure out, you know, if you have an app, and you can load the app with a credit card, is that one of these other devices that's contemplated by that statute that you have to be -- that the licensee will be prohibited from allowing to have it on the slot gaming floor?

So the location is really the third part of this, is the legal funding sources and how you'd like the rule to address the methods of funding, and then also the geolocation issue.

VICE CHAIR BROWN: Um-hmm.

MR. TROMBETTA: And so the reference to -- sorry, just -- 551.121 sub 2, 3 and 4 all provide limitations on funding sources, essentially, whether it's a check or loans. And it -- you know, generally it says that they can't be done on the slot gaming floor.

Specifically, subsection 3 says an ATM or

similar device designed to dispense cash or credit cannot be located on that slot gaming floor, essentially. So yeah, that would be the last one, Commissioner Drago, that we would be kind of -- we're trying to figure out exactly how to do that.

I think staff's recommendation is that we do address it by saying that if you have a cashless wagering system, you need to ensure that -- you can kind of take language directly from the statute -- that it cannot dispense cash or credit on the gaming floor.

VICE CHAIR BROWN: Commissioner D'Aquila and then Commissioner Repp?

COMMISSIONER REPP: No, I was just going to agree that -- get into the location there. I think when I'm seeing from the statute is just the inability to sit at a slot machine and swipe your credit card. I think once we take these things off the floor, we can open up the payment to whatever these companies are willing to take and monitor under the laws put forth and the requirements that they have federally to monitor that money in the gaming and the funding sources that originate off the gaming floor.

VICE CHAIR BROWN: Commissioner

D'Aquila?

COMMISSIONER D'AQUILA: I just want to add to my earlier comment that I think everybody that is working on our staff, especially the legal team, if they don't already have a thorough knowledge of working on these rules, the Federal Bank Secrecy Act, the Auto [sic] Money Laundering Standards Act as well as the understanding with regard to upcoming mobile wallets, the money transmitter license rules as stipulated by the FDIC. I think having a thorough understanding of those as you work on this should be kept in mind because all those issues are going to come to floor as we get further down the road.

VICE CHAIR BROWN: Mr. Marshman?

MR. MARSHMAN.: Just as follow-up to Commissioner D'Aquila's point just there. Your point is well met. We have been reviewing those sources. I think from staff's perspective, though, we're not keen on rewriting all of those, and incorporating them into Florida rule. I don't think that's necessary.

The operators will be subject to them whether it's in our Florida rules or not. So as long as the Commission is okay with that general concept,

we are going to be aware of those. The industry itself has identified the -- those same acts. They've identified more. They've identified standards. We're not going to be reproducing those.

COMMISSIONER D'AQUILA: Right.

MR. MARSHMAN: Okay. Just making sure that was what the Commission was anticipating when they see draft language in the near future.

COMMISSIONER DRAGO: I agree completely. And very good point, Mr. Marshman.

VICE CHAIR BROWN: And I just wanted to -- there's two points that we -- that I personally care about and that's having -- making sure that these cashless system operators, these third-party vendors, facility-based monitoring system, bank, whatever, will be licensed as well by the Gaming Commission, so that we have integrity. We are accurately making sure annually that the company or operations are legitimate and pass our measures. That's the first one.

MR. TROMBETTA: Sure. We can make sure that happens.

VICE CHAIR BROWN: The second one is that we must have player-led controls to enable,

like, good self-management. I know Pennsylvania looked at that, implemented that players -- just so that players have their own spending limits and withdrawal limits that they're able to have that, and I think that we have to put that in rule as well as we contemplate this technology.

MR. TROMBETTA: Thank you.

VICE CHAIR BROWN: Commissioner D'Aquila?

COMMISSIONER D'AQUILA: This is early, but I think on our own staffing for you, Executive Director Trombetta, in the future are -- there will be an increasing demand for those with analytics, computer security skills both on our side, and of course, already on the side of our stakeholders. So I think it's never too early to start thinking about that budgeting because the compliance that will be necessary in looking at these electronic transactions, whether it be unusual activity sources, et cetera, this will be an increasing an area of focus. So tell your children to get computer science degrees. Okay.

VICE CHAIR BROWN: Commissioner Repp?

COMMISSIONER REPP: Yeah. I just -- and

related to that, I just -- my thought on this is that the Commission is not going to take a whole lot of responsibility for overseeing it.

As I said, I believe there's enough federal rules, regulations and requirements in place that the companies themselves are going to be responsible for making sure that their systems are secure and meet those requirements as opposed to taking any additional rules on that are -- I think are unnecessary by the Commission.

VICE CHAIR BROWN: I agree. Absolutely.

Commissioners, any further comments before we open it up to the public? All right. We don't have any speaker cards on this.

Mr. Trombetta, would you like to --

MR. TROMBETTA: No, no.

VICE CHAIR BROWN: We'll come back, we'll come back.

MR. TROMBETTA.: Yeah, we can come back to that.

VICE CHAIR BROWN: We'll come back.

Okay. If there's anybody from the public that would like to address us on this, please feel free to come up. You have three minutes each.

All right. So that concludes our public comment portion. So we're back here.

MR. TROMBETTA, ESQ.: So, I guess, can I just circle back to the -- my question about how you would like the rule drafted on this methods of funding? Do you want us to try to focus on like an allowable list?

Or it sounded like Commissioner D'Aquila, just to circle back kind of on your comment was, it almost -- you know, to take in -- take account for everything, sort of what you said, I think -- and I'm not trying to restate to you. You know, correct it afterwards. But, you know, again, we have to pick a direction on how we draft this thing. Do we list everything that is allowed? Or do we kind of go with it the other way and say these things are not allowed?

VICE CHAIR BROWN: Commissioner Repp has a question and then Commissioner Drago.

COMMISSIONER REPP: And that, I'm wondering, can we just do it from the angle where the vendor whose providing the service, whatever methods they can handle, control, regulate and meet under federal law, they can use. If they're not capable of handling crypto, if they can't meet the

requirements, then that -- then they just don't take it.

But if they're -- if they believe they're going to meet the requirements, and if they can handle this -- the particular payment methods, I don't see why we should be barring a payment, especially since things will be changing. Things especially in -- and various payment methods are changing all the time and just so quickly that I don't want to keep us from accepting a payment that's out there, especially since it's not our job as the Gaming Control Commission to regulate those particular federal rules and have the fundings handled.

VICE CHAIR BROWN: Commissioner Drago and then Commissioner D'Aquila.

COMMISSIONER DRAGO: Yeah. I think -- I think maybe I'm taking a little bit different road on that. I believe that as we structure this, we need to be careful what direction we're going in and what we're approving in terms of this rule and in terms of the sources.

My concern is there are so many sources and there are some many different ways that they can go, that they can keep changing and they can keep

introducing new things that may be difficult for us to monitor for whatever reason, whatever problem we may have with it and then have no control over what kind of a source they want.

And that -- my personal interpretation of the statute with this is the legislature is attempting to be somewhat careful about funding. The restrictions that they placed from the very beginning in terms of ATMs and credit cards and so forth that I tend to agree with the fact that some of these things have to be closely monitored in the beginning. And then if it turns out that new funding sources become available, we can easily add those as we are doing -- as we do with other things in terms of the rule.

So my first feelings about it is to err on the side of more of restriction with some of these in terms of the funding sources than not. And I'm not trying to get in the way of business. I'm not trying to slow business down. But also, to continue to attempt to do what we are here for, and that is to protect the public and to protect the operators the best we possibly can as they -- as we get out of their way for them to do business.

So I'm leaning more towards the preference of

listing or labeling or identifying select sources to start the process.

VICE CHAIR BROWN: And Commissioner Drago, I said the same thing to staff as well. So again, the same concerns, again, as we embrace new technology. That was my thought to be more specific.

Obviously, balancing the facilities' needs to have some flexibility, we also have to, you know, slowly see and have -- as we gather the data and implement this, I'm worried if -- that we will go against the intent of this -- of the legislature, of the statute if we're overly broad. There's a reason why cash is accepted at slot machines so that, you know, that patrons can leave the floor, go to the ATM instead of just automatically using any type of funds.

So I just -- I thought what we had talked about was different sources, like an app, a players card, a kiosk, ACH, those type of things. You're also talking about credit cards as well?

MR. TROMBETTA: Yes. Yes.

VICE CHAIR BROWN: Like so you are talking about credit cards?

MR. TROMBETTA: Sure.

MR. MARSHMAN: Just to add some more context to this. I think you can conceive of the system as the system itself and then its various components. Funding is going to be a part of the system. We're not really talking about what form the system you would like to take yet.

There's going to be the same type of back and forth I'd expect on that in the future with, do you want to specify specifically these are the exact forms that you would like? Or would you like to say these are the forms you cannot have and leave it to the industry to create their own solutions?

So if you would like to discuss the form in which cashless will take, we're prepared to do that as well. We just have not been as expressly speaking with you all about that yet, but we're happy to talk about that.

But to Director Trombetta's point today, we're more concerned or we were concerned at the beginning about the specific sources of funding and what format funding would take; credit card, debit card, ACH, E-check, crypto, everything else. But we're prepared to talk about whatever you'd like. I just think that we have to have clarity of staff on sources of funding, form of a cashless wagering

system. However you'd like to give us direction, we're happy to work on.

VICE CHAIR BROWN: The credit card is problematic to me. But Commissioner D'Aquila?

COMMISSIONER D'AQUILA: I don't know if the Commission is prepared to make a judgment on whether it be Venmo, PayPal, Visa, et cetera. I think the -- that's the responsibility of the operators to comply with all -- what we're concerned about is that they are complying with all of the federal and other laws and our statutes, correct?

And secondly, you know, if they're not, then we're concerned. I find it awkward that we would have to choose, at this point in time, with the information that we have available, which one of these methods. Are we at a point where we can be exclusionary at this point? But we do not allow -- what I suggest is we not allow any method that is not meeting all of the criteria at the stakeholder level.

And I do add a provision in there because the same thing with regard to the tax laws at the moment, there is an uneasiness and there are laws that are still developing with regard to

cryptocurrency. So if there is one carveout I might -- I'd have to put an asterisk next to would be the funding would be in cryptocurrency at this point.

And we're not alone in that. The gaming industry is not alone in that. We have the same -- that is still evolving even with regard to taxation.

VICE CHAIR BROWN: Thank you. I think, though, it is clear that having an app or a player account or card, loyalty card tied to a credit card at a slot machine is not really contemplated by the statute. It would go counter versus an ACH or a bank account directly tied to that.

MR. TROMBETTA: I don't know if I would characterize it as clear. I think that's part of the issue. The section that I read to start, I think that's the issue is going to be -- so it's 521.102, and I'm going to just pull it up here for you.

So the definition of a slot machine in 521.102 specifically says that the slot machine can include the use of an electronic payment system except a credit card or debit card.

VICE CHAIR BROWN: Right.

MR. TROMBETTA: So I think what we're trying to figure out without going into potential legal arguments is is the electronic payment system something different than a credit card? What does a credit card mean? As I described, first, I've always read this statute as like a gas pump. That you can't go walk up to a slot machine, insert your credit card and pay directly.

I don't -- you know, I don't know how people would argue -- you know, I can think about it, but the idea that an app or a player card that gets loaded, I don't know if that's the same thing as a credit card. And if I have the legal kind of -- yeah.

VICE CHAIR BROWN: Ms. Valentine?

MS. VALENTINE: Yeah, just to provide some clarity. And again, going back to Mr. Marshman's point is, if you think of it as a system, a cashless system and it has components. So one of the components is a player account, which is the account that's created for each feature.

And the two questions that are before you is Number 1: What sources can fund that player

account? That player account, we're now talking about the device in the facility that processes and moves the money from the player account to a slot machine. Just talking about the player account itself in a vacuum right now.

So the first question is: What sources of funding, what financial institution can a patron use to put money into that player account?

And then the second question is: Where can the patron be located when they are doing that transaction?

And so those two questions are related but they're different. And so in statute, in 551.21, the prohibition is on where a patron could be located when they are accessing money. Similarly, you know, they can't access their bank account in an ATM unless they're off the slot machine gaming floor. They can't use -- they can't access their credit card or their debit card account at the slot machine.

And so that's why those two questions are related but different. And it doesn't go towards the form of the cashless wagering to be the cashless device. And the slot machine would -- it's just the beginning, which is the player

account.

VICE CHAIR BROWN: There's someone from the public that wants to come on up. If you could come on up to the microphone --

MR. CALABRO: Sure.

VICE CHAIR BROWN: -- and state your name?

MR. CALABRO: My name is Steve Calabro from Hialeah Park Casino. I want to talk about the where. Certainly, there's two big issues that -- and you articulated that very well. There's the source and the where.

As a casino operator, I just wanted to share with the Commission what I thought I heard -- and I may not have heard everything because some of the -- it was a little difficult to hear some of the wording. But there was conversation as to the where not being allowed on the casino floor. That would be awkward. That would be as odd as you could possibly pass.

Basically, customers would be going out to the parking lot and loading in their car and coming back in. So I just want to talk about the where. The where should in -- as an operator, it should be with the other -- there's about nine -- I think

eight or nine states that have cashless right now in casinos.

And the where can occur pretty much wherever the customer wants it to occur. It can occur in their living room when they're loading their money into their wallet, their cashless wallet. That's one concept of how to do cashless. Or it could be -- they could be at the bar in the middle of the casino loading their money in.

So I just wanted to talk about the where because if there's a restriction and we need -- we, as operators need to know where the load is, that's going to be cumbersome. We're going to have to geofence the property. It's going to be kind of an odd situation.

And then how do you really regulate that? You tell somebody, you know, are you loading in your phone? And maybe the guy is walking -- guy or girl is walking in the casino, and he's doing it. So it's a little bit awkward when what I thought I heard, not being allowed to load your cashless wallet if you're in the casino.

VICE CHAIR BROWN: No. I think I'd like the staff to comment because they were really kind of mirroring the ATM requirement.

Mr. Trombetta?

MR. TROMBETTA: Sure. So there already are restrictions on where some of these transactions can happen in statutes. So I understand exactly what Mr. Calabro was saying, that it would be awkward. We've also received feedback from some of the people that provide the service during the meetings that it is possible.

Now, I get from an operations standpoint, I don't know what the cost is, and there are obviously questions. But, I mean, I think Mr. Calabro would agree that if somebody wants a cash advance, you can't do it on the casino floor. If they want to cash a check, you can't do it on a slot gaming floor, right?

Now, there's still solutions -- the operations, you guys have found solutions. Well, you got to go off the floor. Well, we're trying to make sure and has been, you know, just come up here, is that if we incorporate a funding method, we want to make sure it doesn't contradict what's already in 551. That's the balance that we're trying to make.

MR. STEVE CALABRO: I understand. The only thing I'm saying, I'm talking about reality. I

understand the law there, and the interpretation can be taken a couple different ways. I understand that the technology, both Everi and Acres, they all -- they can do either. They can do either ways.

But if you just think about it from a logical standpoint, currently, there's seven or -- eight or nine states that have cashless. And, currently, our competitor, right here in South Florida, has cashless. And so what we, as pari-mutuel casinos, would be asking, and the Commission and staff would be saying is, listen, any time you're in those other states where -- or if you're at the Hard Rock, you just kind of do -- you're loading on your cashless, and you do your cashless from your device like everybody does. We all carry it around now. You know, we all have Venmo, Cashmo, the rest of the things. And you -- you know, you self-police it through your phone.

But what I heard was no, no, no, you're going to have to go out in the parking lot and do that if you're at a pari-mutuel casino. I just think that's odd.

VICE CHAIR BROWN: Thank you.

MR. CALABRO: I think it's really odd.

VICE CHAIR BROWN: The way you

describe it does sound odd, going in the parking lot, but I don't think that is the way that staff is contemplating it.

MR. CALABRO: Well, no. If you're mirroring the ATMs, you're going to walk off the casino floor and you're going to be like --

VICE CHAIR BROWN: Yes.

MR. CALABRO: -- I guess, at valet, you know, you've got the entrance, and then you're going to do it, and then you're going to walk back in.

VICE CHAIR BROWN: You certainly can load it in your home and you can, from what I understand, what -- not as you described.

Mr. Trombetta?

MR. TROMBETTA: Well, again, we're just going based on what's in the statute.

VICE CHAIR BROWN: Right.

MR. TROMBETTA: I think we've worked with the operators that are here about the ATM issue. And we didn't say parking lot, Steve. It is a slot gaming floor issue. It's just the language in the statute that we're looking at.

MR. CALABRO: Okay. I just want to share with -- you know, the differences.

VICE CHAIR BROWN: No. It's good to hear your feedback. Thank you.

MR. CALABRO: Okay. Thanks a lot.

VICE CHAIR BROWN: Thank you.

MR. CALABRO: All right. Thank you.

VICE CHAIR BROWN: Commissioner Drago?

COMMISSIONER DRAGO: Yeah. I think I'm just getting myself confused here as to what we're really trying to achieve today. So as I understood it, we're just looking at some basic responses to these. We're not looking to determine what sources there will be, where they -- where the -- where they can activate them, where they can load their -- the device. We are just trying to decide, I thought, do we want to regulate the sources or not, and do we want to regulate geographically where they can access it or not.

But we're not -- we don't -- you're not looking for us to, and unless everybody wants to, but I just, in the interest of time, are we actually going to look -- are we here today to look at the types of sources, the type of where the -- where they can load their device and so forth? Or as I understood it, just give you some guidance as

to what direction we're interested in in going in those, whether we want to restrict it or not restrict it, et cetera.

MR. TROMBETTA: So and I think that's a great question. Thank you. The way you describe it is what we're looking for, is that if -- I don't think right now it's appropriate to go to discuss or have a back and forth about the merits of one type of funding source versus another.

What would be more helpful is if you could give us direction, give staff direction on if you'd like us to set up the rule in a way that essentially limits or elapsed, in other words, to -- you know, I think that would be helpful. Then big picture, we will go back. We will review. We will put language together, and then there will be formal opportunities for you all to give us feedback, direct kind of language, for the industry to give feedback on the language and then we can kind of go from there.

VICE CHAIR BROWN: That makes sense.

Commissioner D'Aquila?

COMMISSIONER D'AQUILA: To Mr. Calabro's point, it seems as though the statute, that there

is the possibility, if legal counsel agrees, that the statute may require some updating in light of what the marketplace is. But so in the direction that you're looking for from us, is it just simply possible to write it under the current statute that exists, but simultaneously anticipate where the industry is going and the reality of the situation.

And we all know how much the iPhone or other phones -- I don't mean to brand -- have changed our lives or reality, you know, the marketplace, especially in light of other states that are -- and other competitor in the state albeit of a different jurisdiction.

But can you do both, you know, anticipating that there might be a rule change with regard to the location.

VICE CHAIR BROWN: Mr. Marshman?

MR. MARSHMAN: Staff is always going to give you language that we think is narrowly tailored to what the law is at the time we're writing the language. It's fair to say that these statues have not kept pace with larger trends in the gaming industry. That's apparent. But in the meantime, we have law of the land spelled out to us in the statue.

For historical context, there used to be a statute prohibiting ATMs in a casino altogether, and that was not the division of pari-mutuel wagering changing its mind. That was the Florida legislature changing the law. So if through this process we find hard-and-fast restrictions in the statute, we cannot ignore them.

And to your point, Commissioner D'Aquila, we will try to be as forward-thinking as we can future proofing these rules. We don't want to do this twice.

COMMISSIONER D'AQUILA: Right.

MR. MARSHMAN: But there are always going to be hard-and-fast restrictions. We've already talked about them. Director Trombetta has already talked about them. Ms. Valentine's already talked about them. We can't ignore those.

If I may, just in terms of what the staff's plan was on like next steps, like mechanics of this, this would be useful, I think, for the industry to know as well. The plan was to have a formal workshop in a few months and have the language at that workshop. They will be able to provide all of their comments and feedback. We will then compile all of that feedback and present

it to you, and give the industry another chance to address their concerns directly with you.

So just to allay anyone's concerns in the room, this is the last chance they're ever going to have to talk about this, it's far from it. This isn't even a workshop. But the next step is going to be a workshop. There will be language. We will expect reams of comments and feedback from every angle on this. We look forward to it, and we equally look forward to presenting all of that feedback with our modified rules to do all that sometime in the fall and keep moving the ball forward.

VICE CHAIR BROWN: Thank you for reiterating what Mr. Trombetta said at the onset, but just to allay everyone's concerns here today.

Commissioners, any other questions? Okay. So I think we're -- you are looking for guidance right now on the funding source. We've already discussed the location and the legality then; is that correct?

MR. TROMBETTA: Yeah. Again, I think it would be most helpful, it sounds -- again, to summarize sort of what I'm already getting, it sounds like Commissioner Repp, Commissioner

D'Aquila are more open to kind of a, let's say, being a little bit more broad in how we word the rule. Then I think Commissioner Brown and Commissioner Drago is that sort of when it comes to methods of funding, essentially. Is that fair?

VICE CHAIR BROWN: Commissioner Drago?

COMMISSIONER DRAGO: Yeah. I think -- and I'm not speaking for Vice Chair.

VICE CHAIR BROWN: He's not.

COMMISSIONER DRAGO: For me, yeah. I think that, as I said before, I think we need to be somewhat restrictive on the funding sources, at least careful about how we do and not just open it up to everything.

In terms of the location, I feel the same way. I think we need to scrutinize it closely, make sure that we are following the statutes as they are now and the intent of the legislature to somewhat monitor those as Mr. Marshman mentioned before.

You know, originally they were -- ATMs were out across the street from the casinos and so forth, and they cracked back a little bit. But that's, of course as, you said, the legislature deciding that. So we certainly don't want to step

out of our bounds, but I do think that we should have -- so I'm leaning towards, yeah, being restrictive in both those things.

And of course, after we get feedback from the industry and everybody involved and our folks and staff and, you know, you can narrow that down to be so that it's workable and not an issue for them, that it can be done, but not just opening the door up without some close scrutiny in that regard, so.

VICE CHAIR BROWN: Mr. Trombetta?

MR. TROMBETTA: Yeah. I think just to -- again, just in the interest of time and moving forward, the feedback is very good and has been very helpful. Just, again, we have these individual meetings, but it's important for you all too to have this conversation together. I think it's good for us to get it as well.

I think we can for the -- for these two items, I think we can potentially call it two options, I think. One that kind of leans more this direction. One that goes more this direction, and we can kind of go from there.

If, again, if the commission's okay with that, it will be helpful because then we can have these -- we can hit the ground running.

VICE CHAIR BROWN: Absolutely. And I -- you know, there is a reason why the regulated industry has been slower to adapt to the tribal and the commercial operators because they want to be cautious. We all want to be cautious. It'd be great to see the best practices of those states that have regulated and kind of compare and look at where their statutes are, what is authorized. That would be very helpful to see.

And there's a place in our own statutes because we want to make sure we're doing the right thing along with the best practices.

Commissioner D'Aquila?

COMMISSIONER D'AQUILA: You raised -- answered my point.

VICE CHAIR BROWN: Okay. Are we good to -- are we good?

MR. TROMBETTA: Yes. Thank you.

VICE CHAIR BROWN: Okay. That concludes -- is there anybody -- actually, before I conclude the discussion, does anybody else want to address us on the cashless wager before we move into our attorney-client portion?

Seeing none, we have a script we have to read for this portion on the attorney. We're going to

have a closed meeting shortly. We're going to recess this portion, and I have to read the script. So pardon me.

We're going to be discussing Agenda Item 8. General Counsel Valentine, please proceed.

MS. VALENTINE: As desired of the Commission's advice concerning litigation in --

VICE CHAIR BROWN: Could you speak up, please, and be slow for the stenographer, court reporter?

MS. VALENTINE: Sorry. My apology. I, as desired of the Commission's advice, concerning litigation in connection with FGCC Case Numbers 2022-017792 and FGCC Case No. 2022-029982.

VICE CHAIR BROWN: Thank you. I'm going to have to read this. In connection with the performance of our duty to regulate the operation of pari-mutuels pursuant to Chapter 550 Florida Statutes, it is necessary that the FGCC close this meeting to discuss with our counsel information that is confidential and exempt.

Specifically, we will be discussing strategy related to pending litigation related to Case Nos. 2022-017792 and 2022-029982, FGCC versus Donald L. Brown and FGCC versus Marcus Jon Vitali. The

closed meeting will be approximately 30 minutes, however, it could be less.

For the record, those who are in attendance will be Vice Chair Julie Brown; Commissioner Chuck Drago; Commissioner John D'Aquila; Commissioner Tina Repp; Executive Director Louis Trombetta; Deputy Executive Director and Chief Legal Officer Ross Marshman; General Counsel Elina Valentine; Chief Attorney Elizabeth Stinson; Attorneys Joseph Klein and Shireen Anbardan; Commission Staff Dixie Parker and our Court Reporter, Michelle Payne [sic].

To comply with Section 16.716 and 286.011(8)(c) Florida Statues, there will be a written record of this declaration of necessity of closure that will be filed with the official records custodian of our commission.

For the record, the time is now 10:59. And if you could please -- oh, sure. Mr. Trombetta?

MR. TROMBETTA: I didn't hear Joe, director of PMW. Joe Dillmore's name mentioned. I just wanted to --

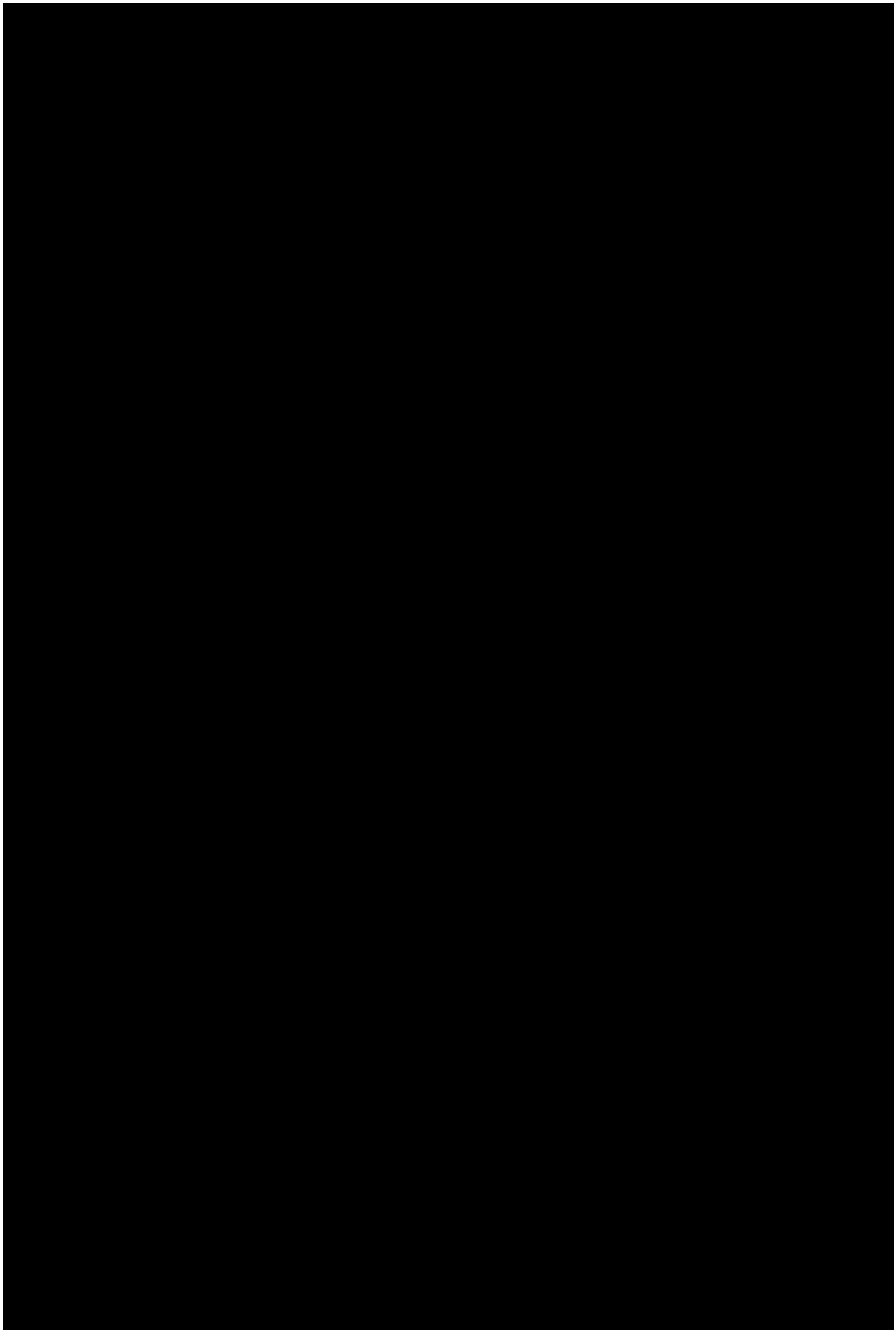
VICE CHAIR BROWN: I did not mean to leave you out. You're not in the script. Mr. Joe Dillmore will also be in attendance.

**Pursuant to Sections 16.716 and 286.011(8), Florida Statues.
This portion of the transcript is confidential and exempt.**

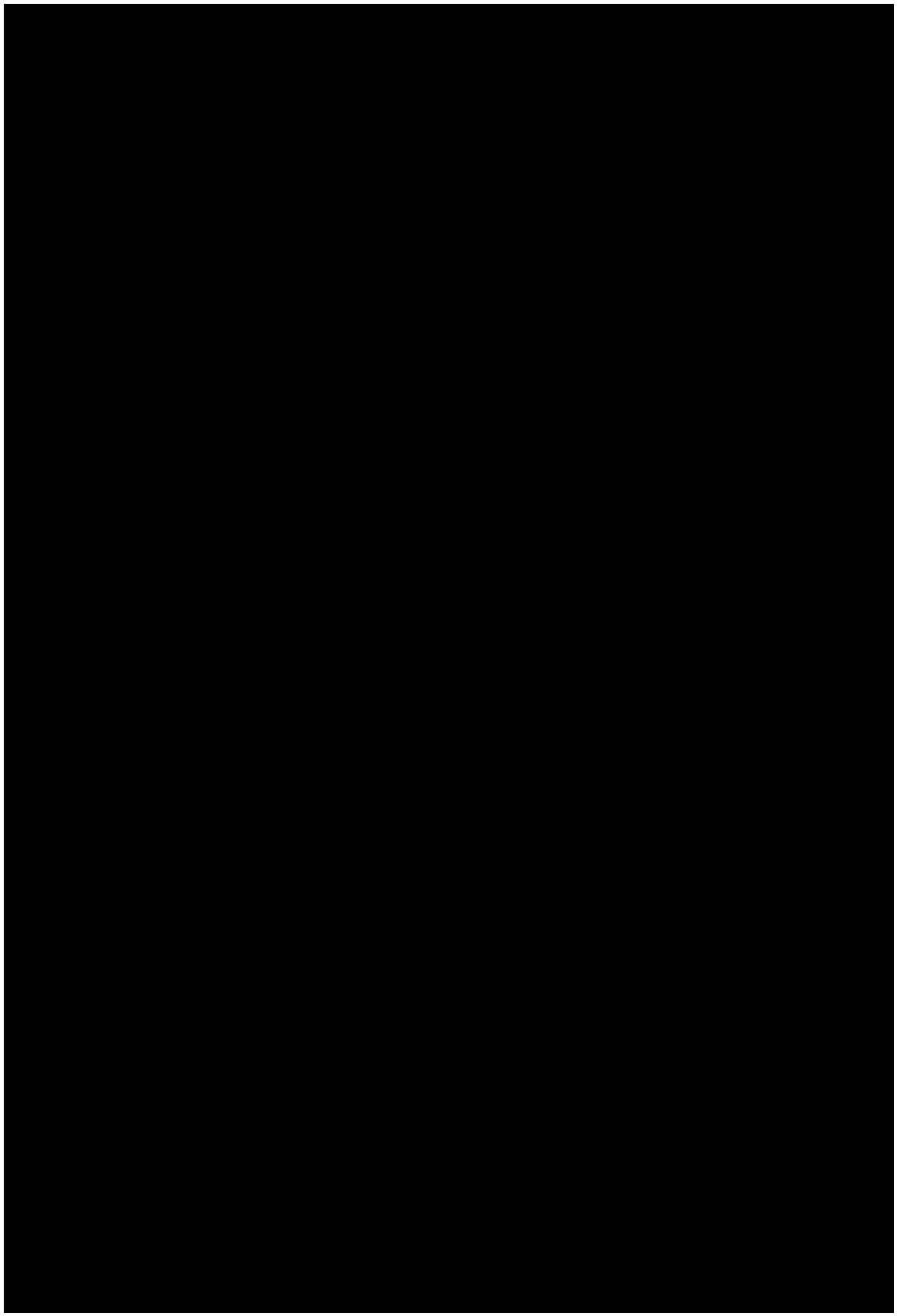
So at this, we're going to recess briefly, public. All of those that were not listed to be in attendance in this closed session, Ms. Parker will come get you out in the hall once we are done with the attorney-client portion.

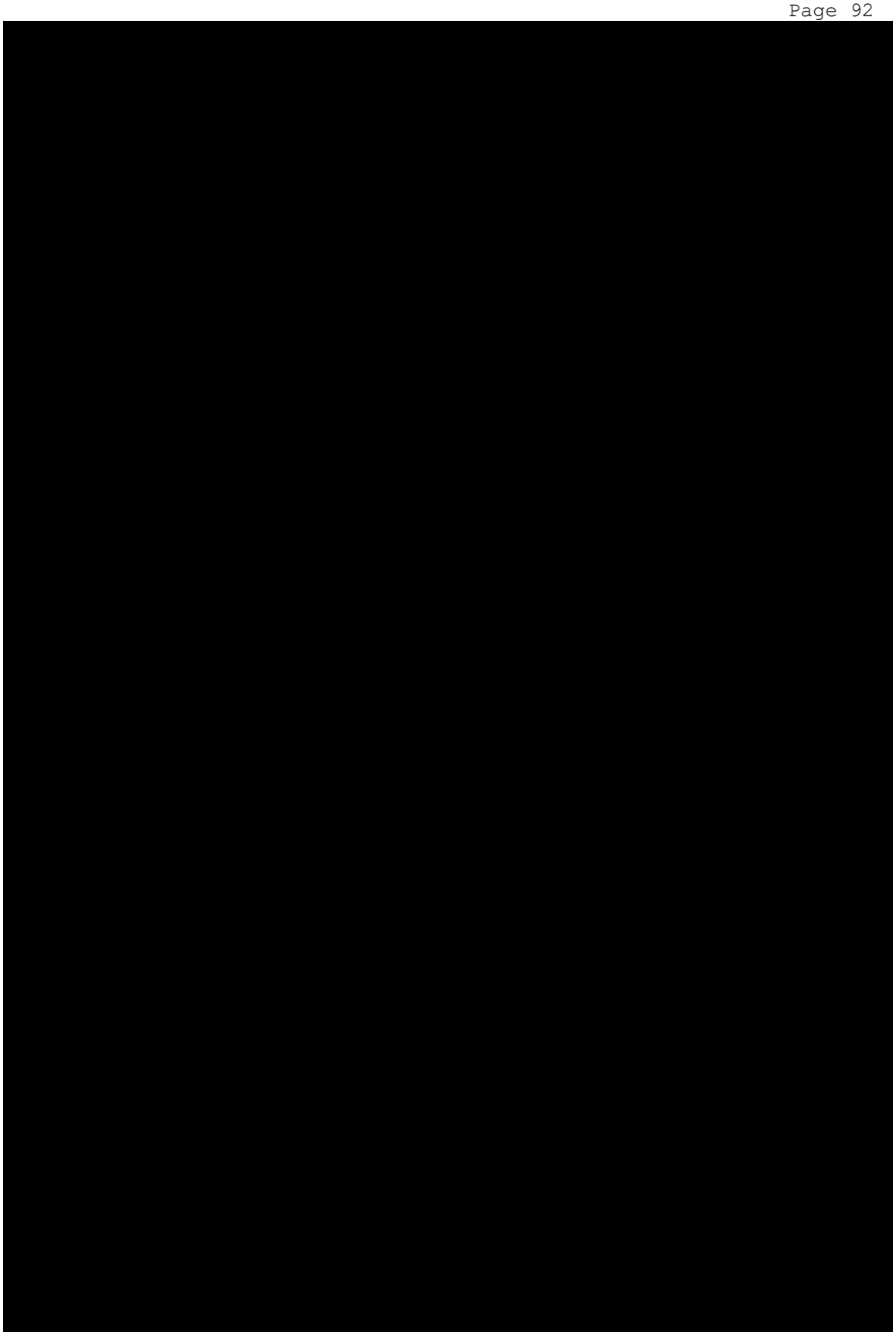
(Thereupon, a brief break was taken; after which the following proceedings resumed.)

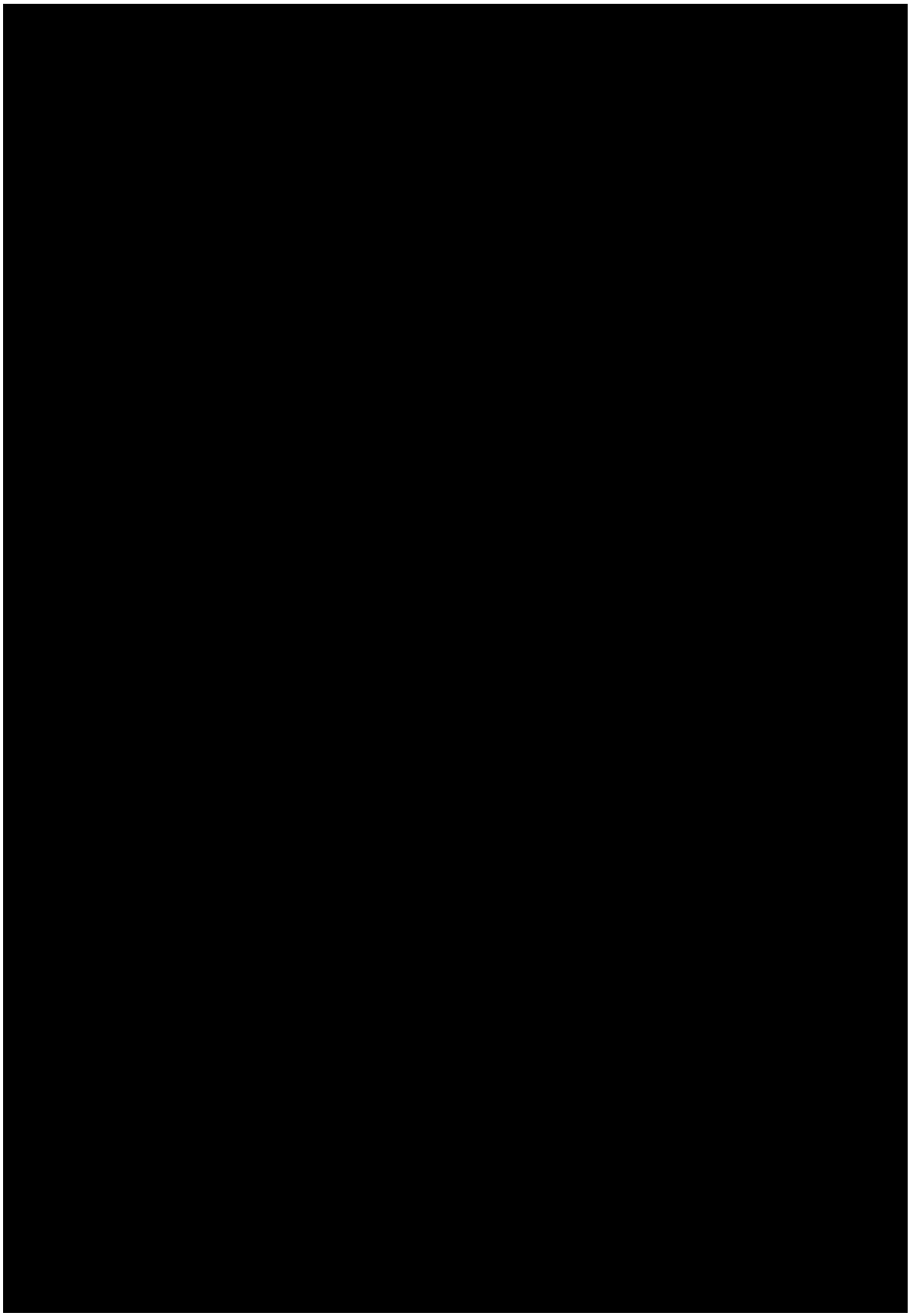
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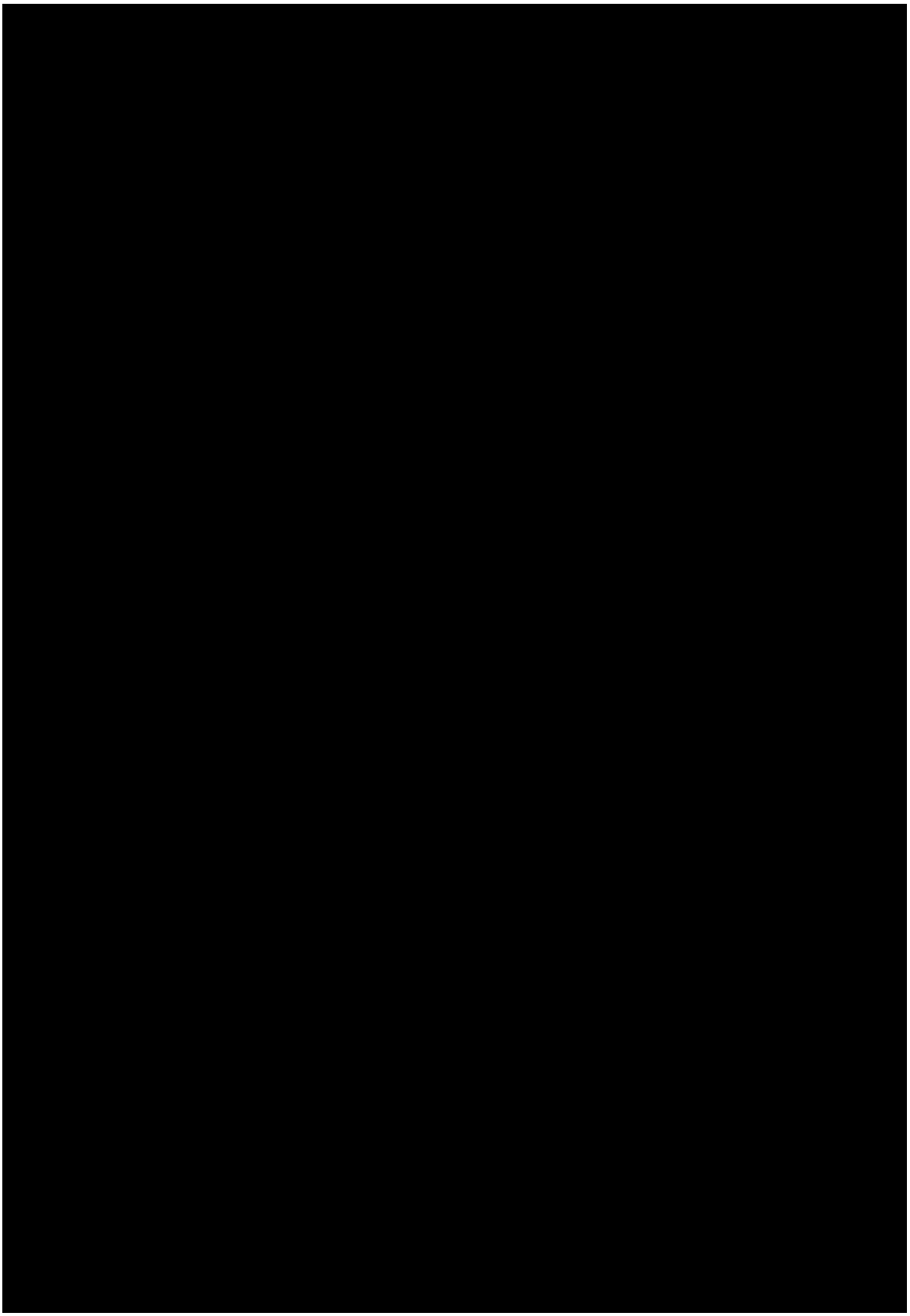


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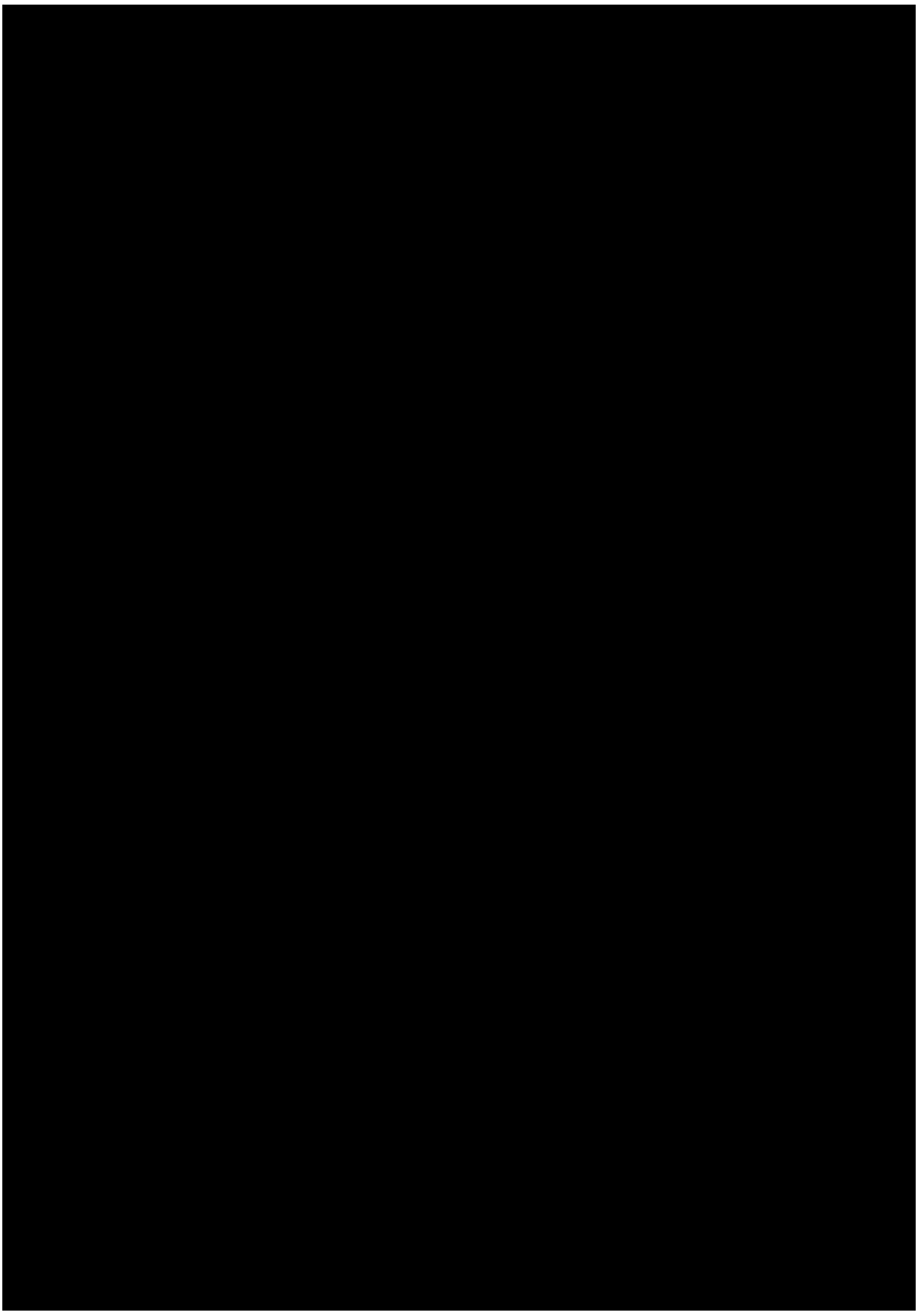
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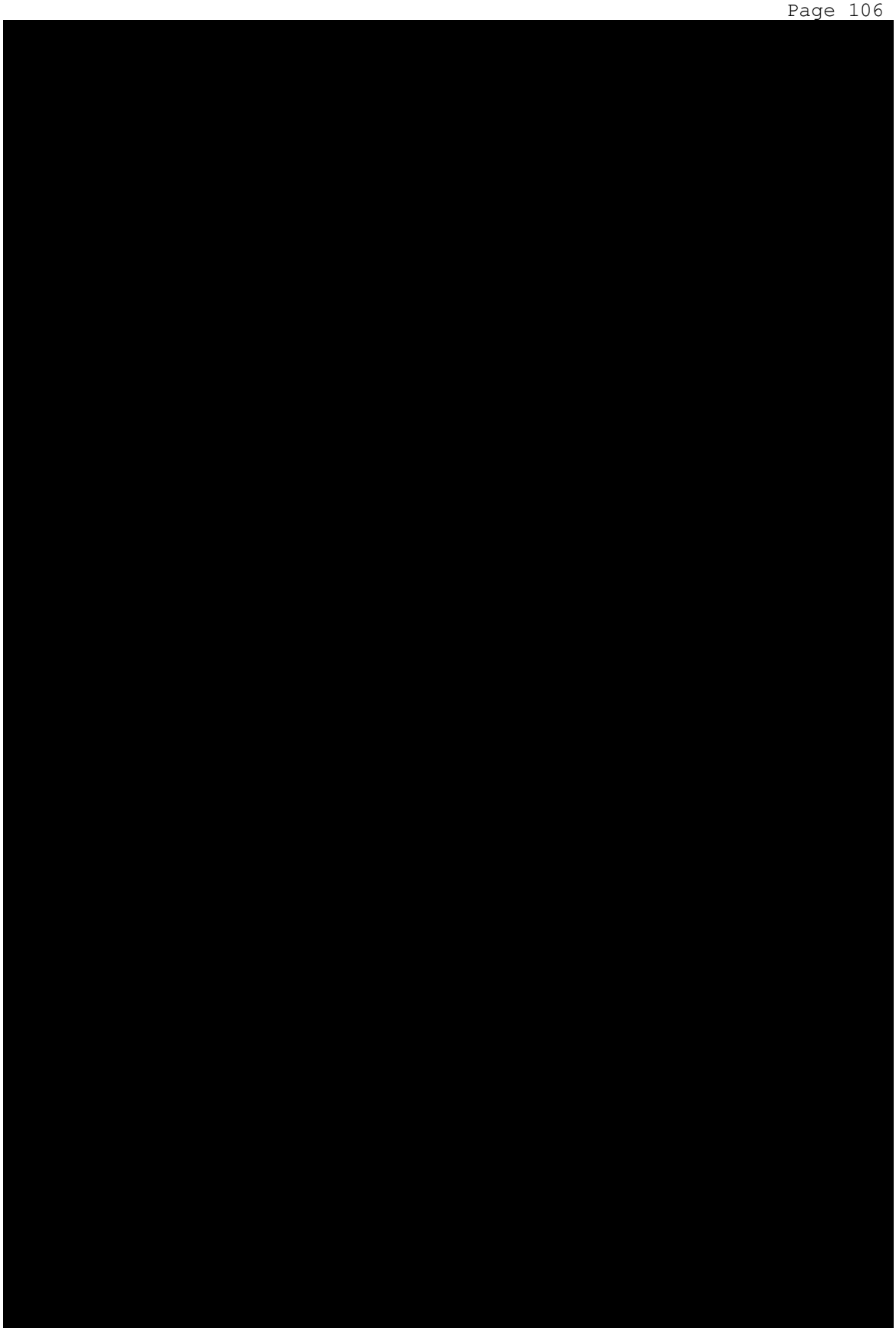
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[redacted]

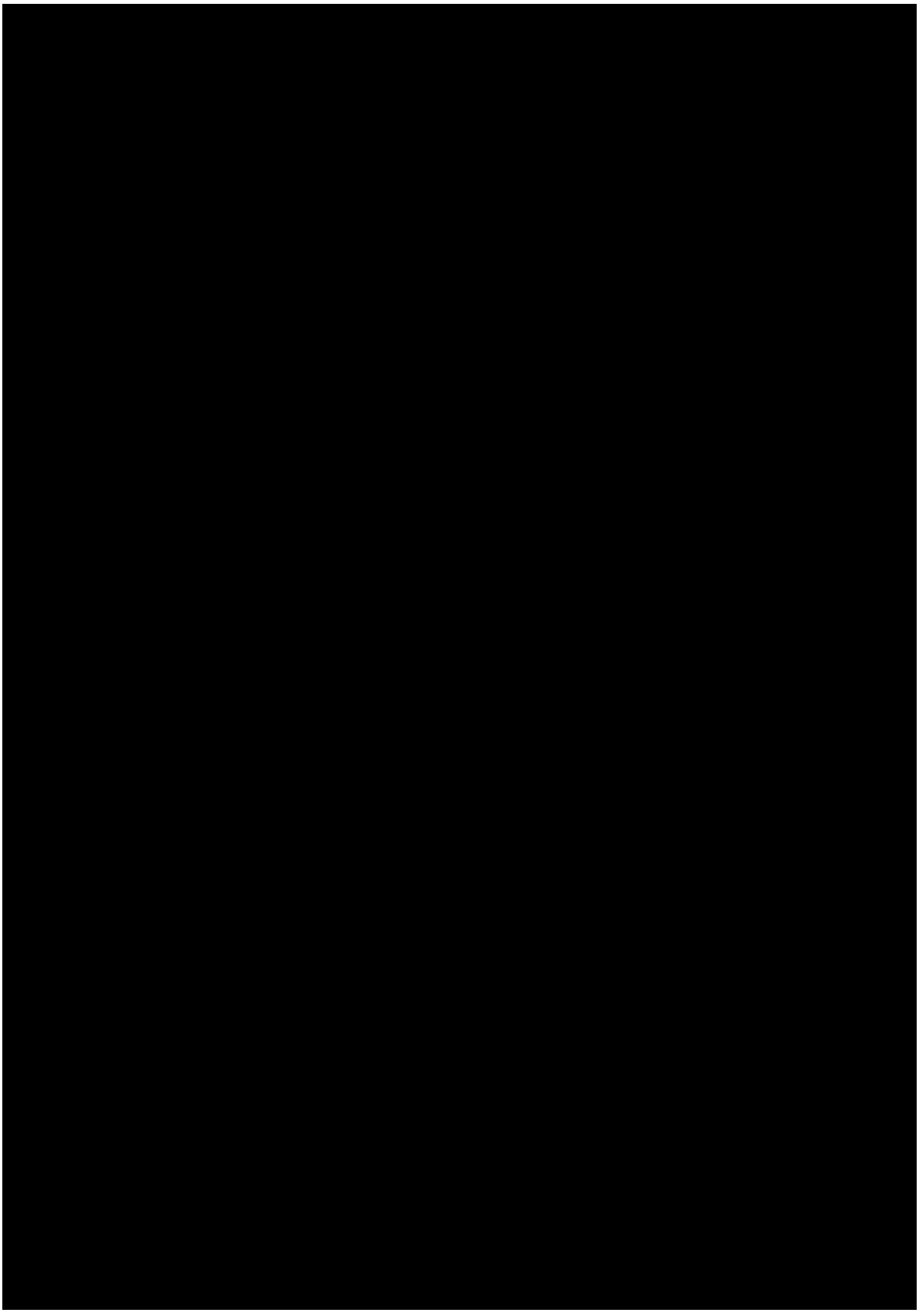


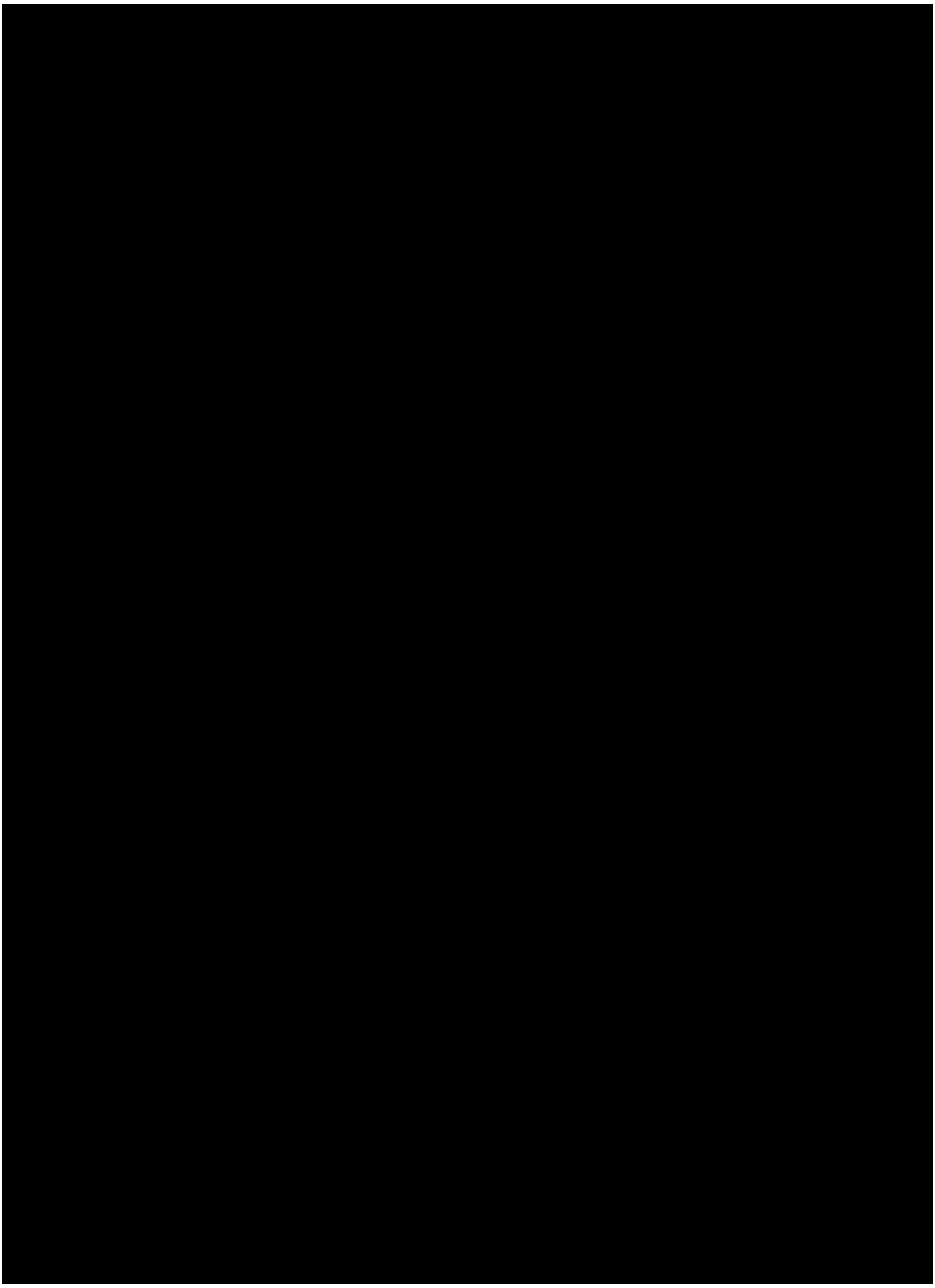
[redacted]

[redacted]

[redacted]

[redacted]





(Thereupon, a brief discussion was had; after

which the following proceedings resumed.)

VICE CHAIR BROWN: Okay. Thank you. We are back on the record as well, and we have the public in attendance now. And we are taking up Agenda Item 9 with discussion of consent orders. There are two items.

9.1, Ms. Valentine.

MS. VALENTINE: As a preliminary matter, I just want to state for the record that the Commissioners are ready to take a vote on items that were discussed at the closed attorney-client session.

The first one is Case No. 2022-029882, FGCC versus Marcus Jon Vitali.

VICE CHAIR BROWN: Thank you. So we are on that consent order right now. Do we need a brief summary of that, staff?

MS. VALENTINE: Yes.

VICE CHAIR BROWN: Okay.

MS. VALENTINE: Marcus Jon Vitali, the Respondent, seeks to resolve Case No. 2022-029982 via settlement in lieu of further litigation. Pursuant to the terms of the proposed settlement, the Commission would agree to withdraw the administrative complaint issued against

Respondent and refrain from using the fact of the administrative complaint in future licensure actions.

Respondent would agree to refrain from visiting or gaining access to the backside of Gulfstream Park Racing Association, d/b/a Gulfstream Park Racing and Casino, unless permitted by the facility to do so.

VICE CHAIR BROWN: Thank you, Ms. Valentine.

Commissioners, any questions in support of the stipulation and consent order? And if you all are, can we please get a motion to that effect?

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Any discussions? Seeing none, all those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN: Passes unanimously.

Onto 9.2.

MS. VALENTINE: Actually, I apologize. Before Item 9.2, there is a -- the case

that was discussed during the attorney-client session, which is a proposed settlement offer from Mr. Donald L. Brown in Case No. 2022-017792, FGCC versus Donald Brown.

Respondent, Mr. Brown, seeks to resolve the case via settlement and lieu of further litigation. Pursuant to the terms of the proposed settlement, Respondent permanently relinquishes Florida Pari-Mutuel Wagering Professional Occupational license and waive any rights to contest or appeal the Commission's future denial in the application for licensure that Respondent submits to the Commission.

The Commission would agree to not impose a suspension of 380 days, and would agree to not seek the imposition of a penalty in the amount of $10,500, and then return of the purse in the amount of $11,320.

VICE CHAIR BROWN: Are you asking us to -- since this is not a drafted consent order, are you -- what are you asking us then to approve?

MS. VALENTINE: Yes, thank you for clarifying. The direction from the Commission is whether to pursue continued settlement of this matter based on the terms presented in front of

you, and to draft the stipulation and consent order for your review and approval at the next Commission meeting.

VICE CHAIR BROWN: Thank you.

Commissioners, is there a motion to do what Ms. Valentine just said?

COMMISSIONER REPP: I'll make a motion.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER D'AQUILA: I will second the motion.

VICE CHAIR BROWN: Any discussion? Seeing none, all those in favor, say aye.

(Chorus of ayes)

VICE CHAIR BROWN It passes unanimously.

Now onto 9.2.

MR. KLEIN: Yes, 9.2.

VICE CHAIR BROWN: Oh, hi.

MR. KLEIN: Hello. I'm trying to get this to not do that.

VICE CHAIR BROWN: Oh, let me turn that off.

MR. KLEIN.: All right. 9.2 is Kirk M. Ziadie versus Florida Gaming Control

Commission. Case No. 2023-057292. Petitioner Ziadie seeks to resolve the case via settlement in lieu of further litigation of the Commission's intent to deny his application for licensure based on prior violations of Chapter 550 Florida statutes.

Pursuant to the terms of the proposed settlement, Petitioner would withdraw his application for a Pari-Mutuel Wagering Professional Individual Occupational license without prejudice, and the Commission would agree to withdraw its letter of license denied.

VICE CHAIR BROWN: Thank you. Commissioners, any questions on this item? Assuming that the Applicant can come back and apply with the Commission at a later time?

MR. KLEIN. ESQ.: He can, yes. And if there are any questions on the history of this, I can answer them. I just didn't want to --

VICE CHAIR BROWN: Thank you.

MR. KLEIN: -- forego that.

VICE CHAIR BROWN: Appreciate that. Any questions? If not, can we get a motion to approve the proposed consent order and settlement?

COMMISSIONER DRAGO: So moved.

VICE CHAIR BROWN: Is there a second?

COMMISSIONER REPP: Second.

VICE CHAIR BROWN: Any discussion? Would all those in favor, say aye?

(Chorus of ayes)

VICE CHAIR BROWN: Thank you. 9.2 passes.

Are there any other items that we need to take up, other matters that Commissioners have, or that staff has?

Seeing none, this meeting is adjourned. Thank you.

(Meeting concluded at 11:45 a.m.)

CERTIFICATE OF REPORTER

STATE OF FLORIDA

COUNTY OF BROWARD

I, STELLA KIM, Court Reporter and Notary Public for the State of Florida, do hereby certify that I was authorized to, and did stenographically report and transcribe the foregoing proceedings, and that the transcript is a true and complete record of my stenographic notes.

I further certify that I am not a relative, employee, attorney, or counsel of any of the parties, nor am I a relative or employee of any of the parties' attorney or counsel connected with the action, nor am I financially interested in the action.

Dated this 14th day of May, 2024.

Stella Kim

STELLA KIM, COURT REPORTER
NOTARY PUBLIC, STATE OF FLORIDA
Commission No: FF 018987
Commission Exp: 05/19/2025

2. Discussion of renewal applications for slot machines licenses

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Re:	831 Federal Highway Acquisition, LLC d/b/a The Big Easy Casino "Big Easy" (SM144) Case# 2024-012885
Date:	March 4, 2024

Executive Summary

A slot machine licensee has submitted an application to renew its annual slot machine license. The Commission should approve this request.

Background

Slot machine gaming is authorized in Miami-Dade and Broward Counties at certain pari-mutuel facilities. [1] One such facility is 831 Federal Highway Acquisition, LLC d/b/a The Big Easy Casino ("Big Easy"). Big Easy is located in Broward County and possesses a valid greyhound permit. Big Easy was issued a pari-mutuel operating license for the 2024-2025 fiscal year.

Commission staff received Big Easy's application to renew its slot machine license on November 21, 2023. The application will be deemed complete upon receipt of payment.

Analysis

In addition to submitting a complete application, a slot machine licensee must satisfy numerous requirements to be entitled to a renewed slot machine license. [2] Big Easy has satisfied all of these requirements.

Recommendation: The Florida Gaming Control Commission should approve 831 Federal Highway Acquisition, LLC d/b/a The Big Easy Casino's application for renewal of its slot machine license effective June 11, 2024 through June 10, 2025.

---

[1] *See generally* Art. X, § 23, Fla. Const.; § 551.101, Fla. Stat.

[2] *See, e.g.*, §§ 551.103(1)(f) (mandating $2,000.000.00 surety bond for slot machine licensees); 551.106(1)(a) (requiring payment of annual nonrefundable $2,000,000.00 license fee); 551.118 (requiring payment of annual nonrefundable regulatory fee of $250,000.00 to fund compulsive or addictive gambling prevention program); *see also* Fla. Admin. Code R. 75-14 ("Pari-Mutuel Wagering Facility Slot Machine Operations").

FLORIDA LICENSE
FOR
SLOT MACHINE OPERATIONS

WHEREAS 831 Federal Highway Acquisition, LLC d/b/a The Big Easy Casino is the holder of a valid active greyhound pari-mutuel permit at its facility located in Broward County, granted pursuant to Chapter 550, Florida Statutes.

WHEREAS 831 Federal Highway Acquisition, LLC is authorized to conduct Pari-Mutuel Wagering activities at its facility pursuant to the Laws of Florida, on approved dates.

WHEREAS the majority of voters of Broward County, Florida, authorized slot machines at pari-mutuel facilities within Broward County in a county-wide referendum conducted March 8, 2005.

WHEREAS 831 Federal Highway Acquisition, LLC has made application for licensure to conduct Slot Machine Gaming from June 11, 2024, through June 10, 2025, pursuant to Florida Statutes and Administrative Rules adopted pursuant thereto. It has been found that 831 Federal Highway Acquisition, LLC has fulfilled all the statutorily required conditions for licensure and is, therefore, qualified to hold a license to conduct Slot Machine Gaming as authorized under the Laws of Florida.

WHEREAS 831 Federal Highway Acquisition, LLC has furnished and shall maintain in effect during the term of this license, the appropriate bond as required under Chapter 551, Florida Statutes.

NOW THEREFORE BE IT KNOWN that 831 Federal Highway Acquisition, LLC is granted a non-transferable license, Number **SM144**, under which 831 Federal Highway Acquisition, LLC may, exclusively at the slot machine facility located at 831 Federal Highway Acquisition, LLC, at 831 North Federal Highway, Hallandale Beach, Florida 33009, receive, possess, operate and ship approved slot machine equipment, and conduct Slot Machine Gaming.

BY RECEIVING THIS LICENSE 831 Federal Highway Acquisition, LLC agrees to, at all times during the term of this license, conduct its Slot Machine Gaming operation and maintain its Slot Machine Gaming facility in accordance with applicable Florida Statutes and Administrative Rules adopted pursuant thereto.

LICENSURE GRANTED this _____ of June 2024, in Tallahassee, Florida.

FOR THE STATE OF FLORIDA

_____

Louis Trombetta, Executive Director
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

APPLICATION INFORMATION				
Permitholder Name:	**831 Federal Highway Acq. – 144 – 1003**		**File 2**	
Date Received: November 21, 2023			**Case# 2024-012885**	
Reviewer's Name: La'Kesha Jelks				
SLOT MACHINE LICENSE REQUIREMENTS				
	Requirement Description	**Requirement Met? Y/N**	**Date Deficiency Letter Sent**	**Date Deficiency Resolved**
1	Original application form FGCC PMW 3405. *address	Y		
2	Original Authorization for Release of Information form FGCC PMW 3460.	Y		
3	List of all ownership interests of five percent or greater as described in Rule 75-14.002(b)(c)(d) and (e), FAC,	Y	3/4/2024	3/4/2024
4	A copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes (thoroughbred only).	N/A		
5	Evidence of a current surety bond in the amount of $2,000,000, payable to the Governor of the State of Florida, as specified in s. 551.103(1)(f), FS.	Y		
6	Payment of the non-refundable $2,000,000 application fee for a Slot Machine License as required by Section 551.106(1), FS submitted via EFT. Payment of the non-refundable $250,000 regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS, made payable to the Division, submitted via EFT.	Y	3/4/2024	5/2/2024
7	A list of slot machine business occupational licensees (i.e. vendors, manufacturers, distributors, service companies, etc.) with whom the permitholder intends to do business.	Y	3/4/2024	3/4/2024
8	Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code.	Y	3/4/2024	4/16/2024

Application approved by:

James Pancey — 4/29/24
Permitholder Administrator — **Date**

Tracy Swann - see change to memo ✓ — 4-25-2024
Second Review — **Date**

4-26-24
Second Review — **Date**

La'Kesha Jelks

From: Karen Stelter
Sent: Thursday, May 2, 2024 7:14 AM
To: La'Kesha Jelks
Cc: Jamie Pouncey; Tracy Swain
Subject: #144 Big Easy Slot Payment
Attachments: TAXPAYMENTB-20240501.log

Good morning,

Per the attached it appears that #144 Big Easy has paid their Slot license and CAGP fee for the 24-25 Fiscal Year. Please advise if any additional information is required to process this payment.

Florida Gaming Control Commission
flgaming.gov

Best Regards,

Karen L Stelter, Professional Accountant Specialist, FCCM
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering, Office of Revenue and Financ Analysis
4070 Esplanade Way, Tallahassee, FL 32399
850-794-8129 Office ~ 850-539-8715 FAX

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state officials regarding state business are public records, available to the public and media upon request. Therefore your e-mail communications
may be subject to public disclosure. LARGER VIEW

State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 79 - Department of Business & Professional Regulation
Origin: EFT
Fiscal Year: 2023
Batch #: 23021959
Total $ Entered: $ 2,250,000.00
Deposit #: AD0209
Deposit Date: 2024-05-02
 # Receipt: 1
Receipts Entered: 1
Total: $ 2,250,000.00
Status: Deposited

Receipt #	DLN	Received	Disp	Pmt	Note	Unassigned	Prof	Remitted By / Beneficiary	File #	License #	Assigned
230146178		$ 2,250,000.00	DEP	EFT		$ 2,250,000.00					
							1003	831 FEDERAL HIGHWAY ACQUISITION, LLC	2	144	$ 0.00
Total:			$ 2,250,000.00			$ 2,250,000.00					$ 0.00

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Application Home | Change Application

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **tjelks**

VR Home > Application Search > Transaction Check List > **Transaction Header**

Fed Tax # [redacted]
File # **2**
License # **144**
Entity # **144**

Name **831 FEDERAL HIGHWAY ACQUISITION, LLC**
Rank **Permit holder Appl Annual Slot Mach Lic**
Lic Status **Current**
Expires On **06/10/2024**

Lic Type **1003 - Permitholder App for Annual Slot Licens**
App # **138**
Status **Open**
Trans Code **1020 - Slot Machine License**

Trans Class **I - Initial**
Sec Class **S - Standard**

App Date 03/04/2024 mm/dd/yyyy
Normal Expiration Date **03/05/2025**
Override Expiration Date mm/dd/yyyy
Application Disposition
1st Reminder
2nd Reminder

Total Fee $	2,250,000.00
Paid $	2,250,000.00
Released $	2,250,000.00
Due $	0.00

Notes
Set License Expiry

Application For

Rank **Permit holder Appl Annual Slot Mach Lic**
Lic Status **Current**

Dpsit History
History
Deficiencies
Regenerate
Notes
Notes History
Cancel
OK

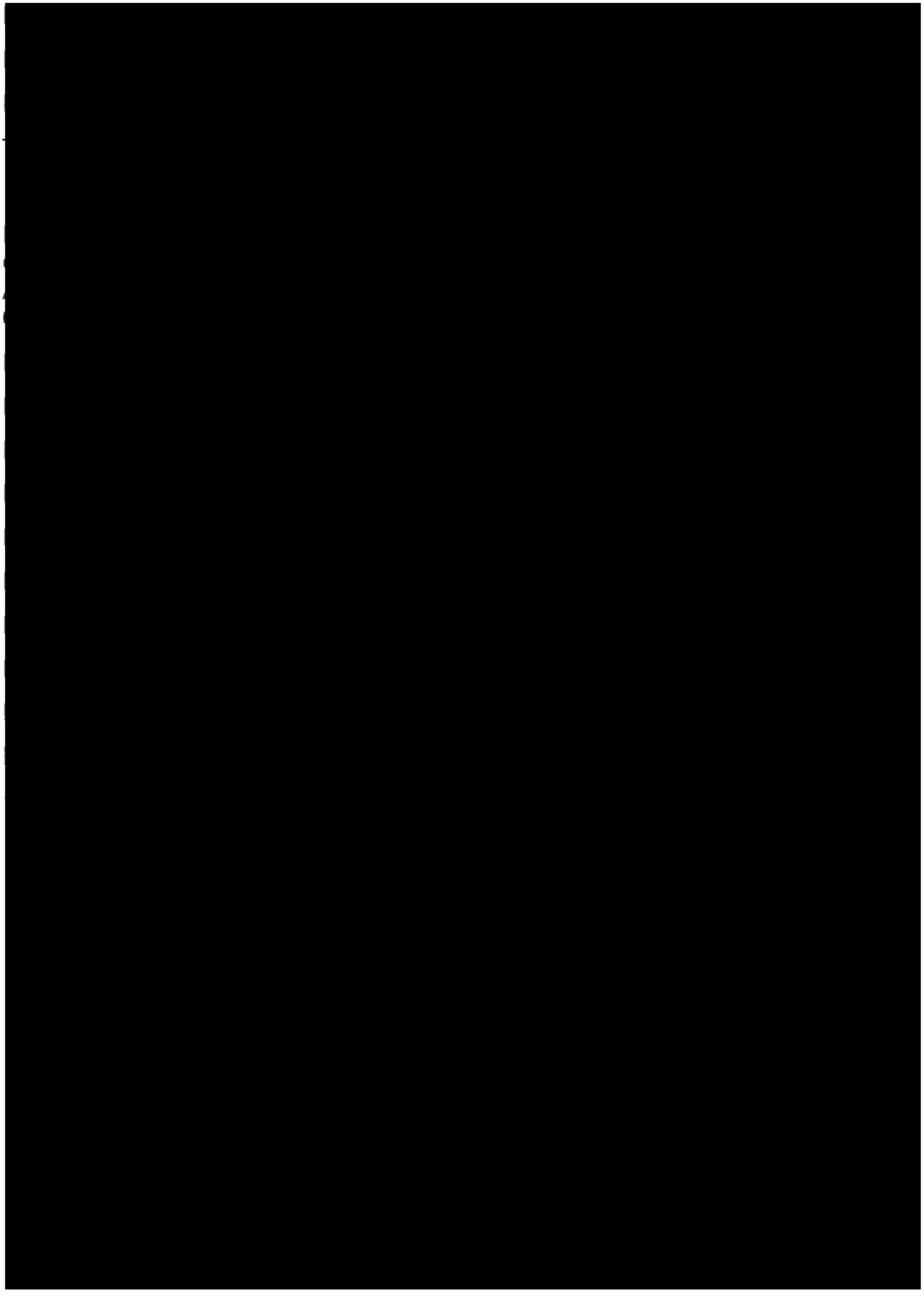
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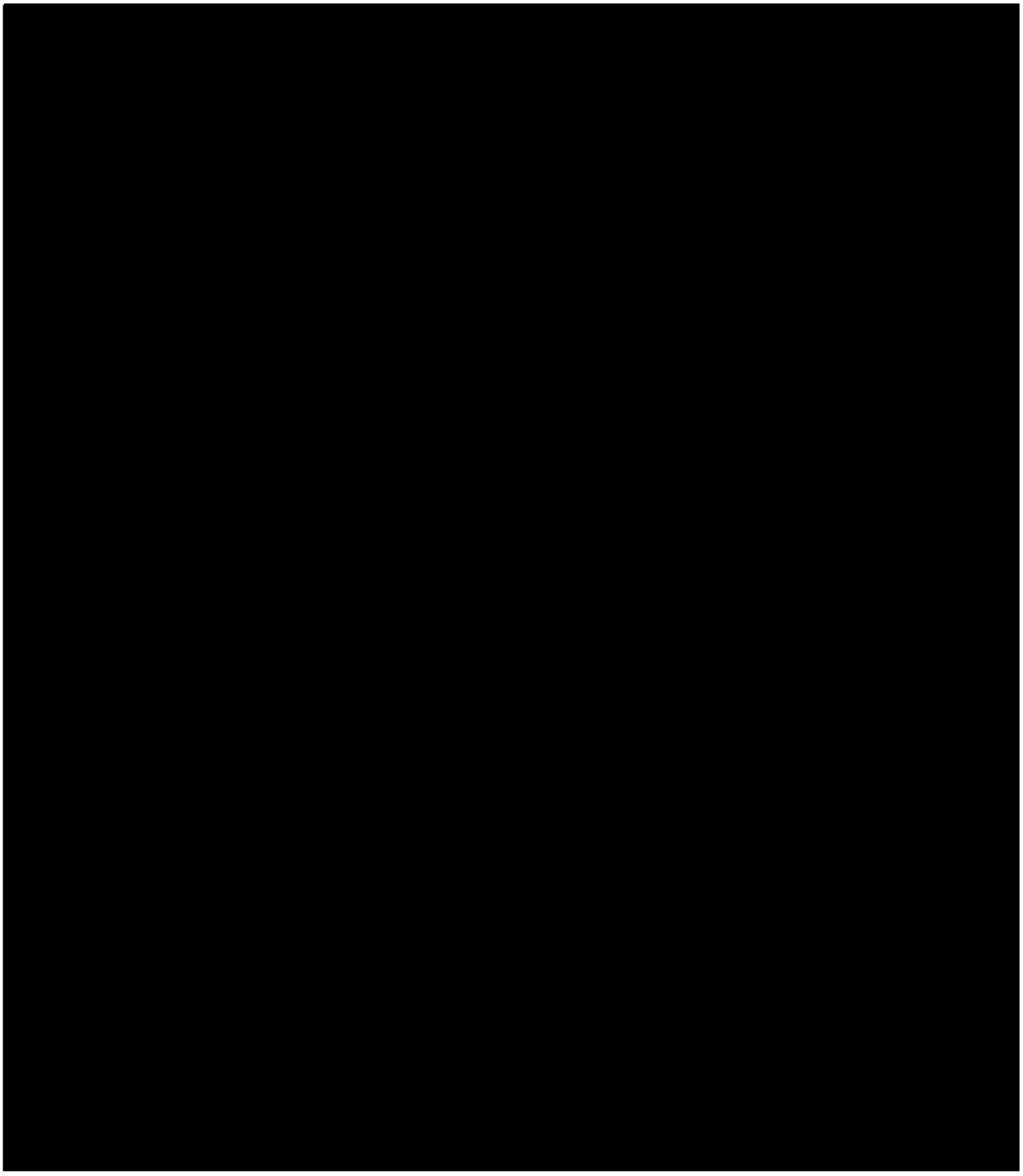
Type Code Additional Info Add

Type	Modifier	Additional Info	Actions
No items found.			

Checklist | Release | Transfer | Approve | Exit

Get Adobe Reader.





La'Kesha Jelks

From: Jamie Pouncey
Sent: Tuesday, April 16, 2024 8:15 AM
To: La'Kesha Jelks
Subject: FW: Big Easy IC Renewal Memo
Attachments: Big Easy-License Renewal Memo-2024-2025.pdf

Thank you,

Florida Gaming Control Commission
flgaming.gov

Jamie Pouncey
Program Administrator
850.794.8116 Office 850.964.1705 Cell

From: Bill Crafts <Bill.Crafts@flgaming.gov>
Sent: Tuesday, April 16, 2024 7:51 AM
To: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Cc: Joe Dillmore <Joe.Dillmore@flgaming.gov>
Subject: Big Easy IC Renewal Memo

Jamie, attached is the IC Renewal Memo for Big Easy Casino License.
Let me know if you need anything else.
Take care.

Florida Gaming Control Commission
flgaming.gov

Bill Crafts
Chief of Slot Operations
Division of Pari-Mutuel Wagering
Office of Slot Operations
754.288.1019 Office
954.658.3156 Cell

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Florida Gaming Control Commission

JULIE I BROWN, VICE
CHUCK DRAGO, COMMISSIONER
TINA REPP, COMMISSIONER

MEMORANDUM

TO: Jamie Pouncey, Program Administrator

FROM: Bill Crafts, Chief of Slot Operations

SUBJECT: 831 Federal Highway Acquisition, LLC d/b/a Big Easy Casino Annual Internal Controls Review

DATE: April 16, 2024

The office of Slot Operations has completed our annual review of the Internal Controls of 831 Federal Highway Acquisition, LLC d/b/a Big Easy Casino

At this time, our assessment has shown full compliance with 551, Fla. Stat. (2005) and Fla. Admin. Code R 75-14. We strive to continuously review all our licensed facilities systems of control and work closely with the property's management and compliance officers to ensure rigorous adherence to Florida Statute and Administrative Code. Our annual review involves a more comprehensive and robust examination of all Internal Controls as adopted by the facility.

This review was conducted by the Tax & Audit Group of the Office of Slot Operations, with their report SOIC-2024-2025-144 being issued on April 16, 2024.

As always, should you have any questions or concerns pertaining to this matter, please do not hesitate to contact me.

Bill Crafts
Chief of Slot Operations

La'Kesha Jelks

From: Jane Cassidy <jcassidy@thebigeasycasino.com>
Sent: Monday, March 4, 2024 2:57 PM
To: La'Kesha Jelks
Cc: Dan Adkins; Tana
Subject: Slots License Renewal 2024-2025

RE: Slots License Renewal (GHND144) SM 144 for 2024-2025

La'Kesha,

In reference to your request to revise Form 3190 to list/provide all officers, directors, and/or managers that handle
the day-to-day business operations: in answer to your request, please see below:

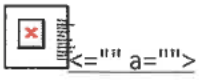
In reference to Permit (GHND 144) SM144 for 831 Federal Highway Acquisition LLC D/B/A The Big Easy Casino, form 3190 lists all ownership accurately.
Mr. Daniel Adkins is responsible for the day-to day operations under this Permit (GHND 144) # SM 144.
License # 2129553

Please advise if the above information is sufficient to resolve this issue and the license for permit (GHND 144) SM 144 may continue
to be processed.

THE BIG EASY CASINO

Jane Cassidy - Chief Admin. Officer
831 North Federal Highway, Hallandale Beach, FL 33009
jcassidy@thebigeasycasino.com | 954-924-3123
TheBigEasyCasino.com

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La'Kesha Jelks

From: Michael Francisco <mfrancisco@thebigeasycasino.com>
Sent: Monday, March 4, 2024 12:40 PM
To: La'Kesha Jelks
Cc: Jane Cassidy; Tatiana Santos; Bill Crafts
Subject: FW: Licensing renewal - Updated Vendor list please.
Attachments: Vendor List 2024.xlsx

THE BIG EASY CASINO

Michael Francisco - Compliance and Slot Floor Director
831 North Federal Highway, Hallandale Beach, FL 33009
mfrancisco@thebigeasycasino.com | 954-924-3154
TheBigEasyCasino.com

From: Michael Deluca Jr. <mdelucajr@thebigeasycasino.com>
Sent: Monday, March 4, 2024 12:38 PM
To: Michael Francisco <mfrancisco@thebigeasycasino.com>
Subject: RE: Licensing renewal - Updated Vendor list please.

Here you go.

Mike DeLuca JR
(954) 562-9153

Michael Deluca Jr. - Slots Director
831 North Federal Highway, Hallandale Beach, FL 33009
mdelucajr@thebigeasycasino.com | 954-924-3215
TheBigEasyCasino.com

From: Michael Francisco <mfrancisco@thebigeasycasino.com>
Sent: Monday, March 04, 2024 11:49 AM
To: Michael Deluca Jr. <mdelucajr@thebigeasycasino.com>
Subject: Licensing renewal - Updated Vendor list please.
Importance: High

Slot Vendor List

Vendor		Address	License #	license Link
AGS	Slot Machines	5475 South Decatur BLVD.Suite 100 Las Vegas Nevada 89118	8281949	AGS
Ainsworth	Slot Machines	5800 Rafael Rivera Way Las Vegas Nevada 89118	8069830	Ainsworth
Aristocrat	Slot Machines, System	10220 Aristocrat Way Las Vegas Nevada 89135	7830421	Aristocrat
Aruze	Slot Machines	955 Grier Drive Suite A Las Vegas Nevada 89119	8587586	Aruze
Scientific Games (LNW)	Slot Machines	6601 South Bermuda Road Las Vegas Nevada 89119	7805891	Scientic Games
GLI		600 Airport Road Lakewood New Jersey 08701	8237912	GLI
Graphic Controls	Paper	400 Exchange street Buffalo New York 14204	7793413	Graphic
Happ Control	Parts	1385 Pama Lame unit 100 Las Vegas Nevada 89119	8032829	Happ
IGT	Slot Machines	6355 S. Buffalo Drive Las Vegas Nevada 89113	7800936	IGT
Konami	Slot Machines	585 Konami Circle Las Vegas Nevada 89119	7883140	Konami
Lightning Slot Machines	Slot Machines	23 Creek Circle, Suite 400 Boothwyn Pennsylvania 19061	9823078	Lightning
Everi Games	Slot Machines/ATM's	7250 S Tenaya Way, Suite 100 Las Vegas Nevada 89113	9943621	Everi Games
Reel Games	Slot Machines	1501 NE 13th Avenue Fort Lauderdale Florida 33304	7830710	Reel
VSR	Locks, Bases, Carts	6190 Mt. Vista Street Denderson Nevada 89014	1499535	VSR
Patriot Gaming	Parts	217 N. Lindberg Griffith Indiana 46319	8605145	Patriot
InterBlock	Slot Machines	1106 Palms Airport Drive Las Vegas Nevada 89119	8759471	InterBlock
Bluberi	Slot Machines	7370 Dean Martin Drive Suite 407 Las Vegas Nevada 89139	11105033	Bluberi
JVL	Slot Machines	1395 Morning Side Ave Scarborough Ontario M1B 3J1	12379217	JVL
Empire	Slot Machines	7175 W Post Road Las Vegas Nevada 89113	11725077	Empire

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2026**
Name **AGS, LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	06/19/2023	
C	GVDR - Gaming Vendor	07/01/2011	
C	SMAN - Manufacturer	06/19/2023	
I	TBRD - Thoroughbred	06/23/2008	
L	910 - TLH Licensing	06/23/2008	
Y	3YR - 3 Year License	06/23/2008	

DBA Name

DBA **AMERICAN GAMING SYSTEMS**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **AINSWORTH GAME TECHNOLOGY INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/25/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	06/24/2009	
I	TBRD - Thoroughbred	06/15/2015	
L	910 - TLH Licensing	07/19/2007	
Y	3YR - 3 Year License	06/15/2015	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **ARISTOCRAT TECHNOLOGIES INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **05/07/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	05/07/2021	
C	SMAN - Manufacturer	05/07/2021	
C	SRVC - Service Company	12/01/2006	
I	MULT - Multiple Industries	05/07/2021	
I	TBRD - Thoroughbred	12/01/2006	
L	910 - TLH Licensing	08/29/2006	
Y	3YR - 3 Year License	06/11/2012	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **ARUZE GAMING AMERICA INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/15/2022**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	09/22/2023	See Correspondence 22/25
C	SMAN - Manufacturer	07/26/2012	
I	QHRS - Quarter-Horse	07/09/2018	
L	910 - TLH Licensing	12/29/2009	
Y	3YR - 3 Year License	07/17/2015	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **LNW GAMING INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/22/2012**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	06/28/2021	
C	GVDR - Gaming Vendor	09/18/2009	
C	SMAN - Manufacturer	06/28/2021	
I	TBRD - Thoroughbred	09/18/2009	
L	910 - TLH Licensing	07/14/2006	
Y	3YR - 3 Year License	06/28/2021	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2026**
Name **GAMING LABORATORIES INTERNATIONAL LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **05/01/2023**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	02/20/2017	TESTING LAB
C	LAB - Testing Lab	05/01/2023	
C	SRVC - Service Company	06/25/2014	
I	MULT - Multiple Industries	05/01/2023	
I	QHRS - Quarter-Horse	05/17/2017	
L	910 - TLH Licensing	03/19/2008	
Y	3YR - 3 Year License	05/15/2008	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **GRAPHIC CONTROLS ACQUISITION CORP** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/22/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	GVDR - Gaming Vendor	10/18/2006	
C	SMAN - Manufacturer	06/22/2021	
C	VEND - Vendor	06/22/2021	
I	QHRS - Quarter-Horse	09/11/2018	
L	910 - TLH Licensing	10/18/2006	
Y	3YR - 3 Year License	05/27/2015	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **SUZOHAPP GAMING SOLUTIONS, LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	07/06/2022	
C	GVDR - Gaming Vendor	07/06/2022	
C	SRVC - Service Company	07/08/2010	
I	TBRD - Thoroughbred	08/13/2007	
L	910 - TLH Licensing	08/13/2007	
Y	3YR - 3 Year License	10/18/2007	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **IGT INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/02/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	06/02/2021	
C	GVDR - Gaming Vendor	06/02/2021	
C	SMAN - Manufacturer	08/24/2006	
I	QHRS - Quarter-Horse	08/01/2018	
L	910 - TLH Licensing	07/14/2006	
Y	3YR - 3 Year License	08/24/2006	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **KONAMI GAMING INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **05/30/2018**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	06/30/2021	
C	SMAN - Manufacturer	10/30/2006	
I	QHRS - Quarter-Horse	06/05/2015	
L	910 - TLH Licensing	10/30/2006	
Y	3YR - 3 Year License	06/05/2015	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **LIGHTNING SLOT MACHINES LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/24/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	SMAN - Manufacturer	01/11/2012	
L	910 - TLH Licensing	01/11/2012	
Y	3YR - 3 Year License	01/11/2012	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **EVERI GAMES, INC.** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/25/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	GVDR - Gaming Vendor	07/17/2018	
L	910 - TLH Licensing	07/02/2012	
Y	3YR - 3 Year License	07/02/2012	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **REEL GAMES INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/15/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	SRVC - Service Company	09/26/2006	
I	TBRD - Thoroughbred	09/26/2006	
L	910 - TLH Licensing	09/26/2006	
Y	3YR - 3 Year License	05/26/2015	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **VSR INDUSTRIES INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/15/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	NGVR - Non-Gaming Vendor	08/18/2009	
I	TBRD - Thoroughbred	12/21/2006	
L	910 - TLH Licensing	12/21/2006	
Y	3YR - 3 Year License	07/07/2015	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **PATRIOT GAMING & ELECTRONICS, INC.** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/18/2022**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	12/29/2009	
I	HRNS - Harness	12/29/2009	
L	910 - TLH Licensing	12/29/2009	
Y	3YR - 3 Year License	06/25/2010	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **INTERBLOCK USA L. C.** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/29/2022**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	GVDR - Gaming Vendor	06/29/2022	
C	SMAN - Manufacturer	09/22/2015	
I	QHRS - Quarter-Horse	07/21/2016	
L	910 - TLH Licensing	08/06/2010	
Y	3YR - 3 Year License	08/06/2010	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **BLUBERI GAMING USA INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/30/2022**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	06/30/2022	
C	GVDR - Gaming Vendor	06/30/2022	
C	SMAN - Manufacturer	07/29/2016	
I	TBRD - Thoroughbred	07/29/2016	
L	910 - TLH Licensing	07/29/2016	
Y	3YR - 3 Year License	07/29/2016	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **JVL SYSTEMS INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **12/14/2022**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	12/14/2022	
C	GVDR - Gaming Vendor	12/14/2022	
C	SMAN - Manufacturer	04/02/2020	
I	MULT - Multiple Industries	04/02/2020	
L	910 - TLH Licensing	04/02/2020	
Y	3YR - 3 Year License	04/02/2020	

DBA Name

DBA **JVL**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**

Name **EMPIRE TECHNOLOGICAL GROUP LTD** Extended To

Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/30/2021**

Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	06/30/2021	
C	GVDR - Gaming Vendor	06/30/2021	
C	MAIN - Maintenance	06/30/2021	
C	SMAN - Manufacturer	05/22/2018	
C	SRVC - Service Company	06/30/2021	
I	TBRD - Thoroughbred	07/05/2018	
L	910 - TLH Licensing	05/22/2018	
Y	3YR - 3 Year License	05/22/2018	

La'Kesha Jelks

From: Michael Francisco <mfrancisco@thebigeasycasino.com>
Sent: Monday, March 4, 2024 11:53 AM
To: La'Kesha Jelks
Cc: Jane Cassidy
Subject: RE: 831 Federal Highway Acquisition, LLC (GHND144) Slots License Renewal 2024-2025
Attachments: Vendor List 2022.xlsx

Here is the copy of the vendor list I have on file. I have requested an updated list for current vendors that I will send you as soon as I receive it.

THE BIG EASY CASINO

Michael Francisco - Compliance and Slot Floor Director
831 North Federal Highway, Hallandale Beach, FL 33009
mfrancisco@thebigeasycasino.com | 954-924-3154
TheBigEasyCasino.com

From: Jane Cassidy <jcassidy@thebigeasycasino.com>
Sent: Monday, March 4, 2024 11:41 AM
To: Michael Francisco <mfrancisco@thebigeasycasino.com>
Subject: FW: 831 Federal Highway Acquisition, LLC (GHND144) Slots License Renewal 2024-2025
Importance: Low

Mike, did you supply the last two items?

THE BIG EASY CASINO

Jane Cassidy - Chief Admin. Officer
831 North Federal Highway, Hallandale Beach, FL 33009
jcassidy@thebigeasycasino.com | 954-924-3123
TheBigEasyCasino.com

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Monday, March 4, 2024 11:00 AM
To: Jane Cassidy <jcassidy@thebigeasycasino.com>
Subject: [BULK] 831 Federal Highway Acquisition, LLC (GHND144) Slots License Renewal 2024-2025
Importance: Low

Greetings,

Slot Vendor List

Vendor		Address	License #	license Link
AGS	Slot Machines	5475 South Decatur BLVD.Suite 100 Las Vegas Nevada 89118	8281949	AGS
Ainsworth	Slot Machines	5800 Rafael Rivera Way Las Vegas Nevada 89118	8069830	Ainsworth
Aristocrat	Slot Machines, System	10220 Aristocrat Way Las Vegas Nevada 89135	7830421	Aristocrat
Aruze	Slot Machines	955 Grier Drive Suite A Las Vegas Nevada 89119	8587586	Aruze
Scientific Games	Slot Machines	6601 South Bermuda Road Las Vegas Nevada 89119	7805891	Scientic Games
GLI		600 Airport Road Lakewood New Jersey 08701	8237912	GLI
Graphic Controls	Paper	400 Exchange street Buffalo New York 14204	7793413	Graphic
Happ Control	Parts	1385 Pama Lame unit 100 Las Vegas Nevada 89119	8032829	Happ
IGT	Slot Machines	6355 S. Buffalo Drive Las Vegas Nevada 89113	7800936	IGT
Konami	Slot Machines	585 Konami Circle Las Vegas Nevada 89119	7883140	Konami
Lightning Slot Machines	Slot Machines	23 Creek Circle, Suite 400 Boothwyn Pennsylvania 19061	9823078	Lightning
Everi Games	Slot Machines/ATM's	7250 S Tenaya Way, Suite 100 Las Vegas Nevada 89113	9943621	Everi Games
Reel Games	Slot Machines	1501 NE 13th Avenue Fort Lauderdale Florida 33304	7830710	Reel
VSR	Locks, Bases, Carts	6190 Mt. Vista Street Denderson Nevada 89014	1499535	VSR
Patriot Gaming	Parts	217 N. Lindberg Griffith Indiana 46319	8605145	Patriot

La'Kesha Jelks

From: La'Kesha Jelks
Sent: Monday, March 4, 2024 11:00 AM
To: Jane Cassidy
Subject: 831 Federal Highway Acquisition, LLC (GHND144) Slots License Renewal 2024-2025

Greetings,

The Slots Renewal Application submitted for 831 Federal Highway Acquisition, LLC (GHND144) was received, however, the application remains incomplete for:

- Please list/provide all officers, directors, and managers that handle the day-to-day business operations 3/4
- Payment of the non-refundable $2 million application fee and payment of the non-refundable $250k regulatory fee for the Compulsive or Addictive Gambling P.revention Program as required by Section 551.118, FS.
- Provide a list of all the Slot Machine vendors (include the name and occupational number) 3/4
- Disclosure of the applicant's Internal Control procedures (send to Bill Crafts)

The items listed requires submission and/or correction to complete the renewal application. If you have any questions regarding the deficiencies, please contact me at your earliest convenience.

Best,

La'Kesha Jelks

Florida Gaming Control Commission
flgaming.gov

La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

Detail by FEI/EIN Number

Foreign Limited Liability Company
831 FEDERAL HIGHWAY ACQUISITION LLC

Filing Information

Document Number	M17000010762
FEI/EIN Number	[redacted]
Date Filed	12/19/2017
State	DE
Status	ACTIVE
Last Event	LC AMENDMENT
Event Date Filed	07/07/2020
Event Effective Date	NONE

Principal Address

831 N FEDERAL HWY
HALLANDALE BEACH, FL 33009

Changed: 07/07/2020

Mailing Address

831 N FEDERAL HWY
HALLANDALE BEACH, FL 33009

Changed: 07/07/2020

Registered Agent Name & Address

NRAI SERVICES, INC.
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324

Authorized Person(s) Detail

Name & Address

Title MGR

JRSK Manager LLC
19950 W. COUNTRY CLUB DRIVE
AVENTURA, FL 33180

Annual Reports

Report Year	**Filed Date**



Previous on List Next on List Return to List

Filing History

Fictitious Name Detail

Fictitious Name

THE BIG EASY CASINO

Filing Information

Registration Number	G18000055437
Status	ACTIVE
Filed Date	05/04/2018
Expiration Date	12/31/2028
Current Owners	2
County	BROWARD
Total Pages	3
Events Filed	2
FEI/EIN Number	[redacted]

Mailing Address

831 N FEDERAL HWY .
HALLANDALE BEACH, FL 33009

Owner Information

831 FEDERAL HIGHWAY ACQUISITION LLC
19950 WEST COUNTRY CLUB DRIVE, 10TH FL
AVENTURA, FL 33180
FEI/EIN Number: [redacted]
Document Number: M17000010762

831 FEDERAL HIGHWAY ACQUISITION HOLDING LLC
19950 WEST COUNTRY CLUB DR., 10TH FLOOR
AVENTURA, FL 33180
FEI/EIN Number: [redacted]
Document Number: L17000226654

Document Images

05/04/2018 -- REGISTRATION	View image in PDF format
08/29/2023 -- Fictitious Name Renewal Filing	View image in PDF format
08/24/2018 -- CHANGE NAME/ADDRESS	View image in PDF format

Previous on List Next on List Return to List

Filing History

La'Kesha Jelks

From: Michael Francisco <mfrancisco@thebigeasycasino.com>
Sent: Wednesday, November 29, 2023 3:12 PM
To: Tatiana Santos
Cc: La'Kesha Jelks; Jamie Pouncey; Donald England; Dennis Chen; Jose Cuevas; Jane Cassidy
Subject: Update to Slot Internal Controls signature page 11.29.2023
Attachments: Slot Internal Control Statement_Signature Page_11.29.2023.pdf

Please see the attached Slot Internal Controls Signature page that was updated today.

THE BIG EASY CASINO

Michael Francisco - Compliance and Slot Floor Director
831 North Federal Highway, Hallandale Beach, FL 33009
mfrancisco@thebigeasycasino.com | 954-924-3154
TheBigEasyCasino.com

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INTERNAL CONTROLS STATEMENT

The internal controls contained herein conform to the requirements of Chapter 551, Florida Statutes, and Rule 75-14, F.A.C.

Daniel K. Adkins, Managing Director

Gavin Williams, Director of Surveillance

Brad Dunlap, Director of Security

Michael DeLuca Jr, Slot Director

RECEIVED
2023 NOV 21 PM 8:10
FLORIDA GAMING CONTROL COMMISSION

DBPR PMW-3405 – Permitholder Renewal Application for Annual Slot Machine License

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

INSTRUCTIONS		
This form is to be submitted in conjunction with Form DBPR PMW-3460, Authorization for Release of Information, and Form DBPR PMW-3190, Officers and Directors. All three forms must be submitted to the division one month prior to the expiration of the current slot machine license.		
ORGANIZATION INFORMATION		
Federal Employer ID Number: [redacted]		
Permitholder's Legal Name: 831 Federal Highway Acquisition LLC		
Doing Business As (D/B/A) Name: Big Easy Casino		
MAILING ADDRESS		
Street Address or P.O. Box: 831 North Federal Highway		
City: Hallandale Beach	State: FL	Zip Code: 33009
County: Broward	Country: USA	
CONTACT INFORMATION		
Contact Name: Jeffrey Soffer	Title: Sole Member/Manager	
Primary Phone Number: 305-682-4102	Primary E-Mail Address: jsoffer@fbdev.com	
PHYSICAL ADDRESS		
Street Address: 831 North Federal Highway		
City: Hallandale Beach	State: FL	Zip Code (+4 optional) 33009
County: Broward		
ADDITIONAL CONTACT INFORMATION		
Alternate Phone Number 305-682-4256	Fax Number 305-932-6535	
Alternate E-Mail Address skass@fbdev.com		
SLOT MACHINE AREA INFORMATION		
Short Description of Physical Location of Slot Machine Area(s):		
On first floor adjacent to the poker floor on North side of bldg, extending to the South end of the bldg.		
What is the number of slot machines you intend to operate during the license period? Max of 1500		
Name and license number of Slot Machine Operations Manager or Slot Machine Management Company: Michael DeLuca Jr. # 2005508		
Name and address of the Custodian of Records in Florida: Sheryl Kass 19950 West Country Club Drive. 10th Floor Aventura, Fl. 33180 phone 305-682-4256		

Lic Type	**1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Expires On	**06/30/2024**
Name	**DE LUCA, MICHAEL II**	Extended To	
Rank	**SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Renewed On	**04/22/2021**
Lic Status	**Current**		

List the dates and hours of slot machine operations: Open 365 days a year. Monday through Thursday 9 am - 4 am, (24 Hours on weekend) Open Friday at 9 am - Close Monday at 4 am		
Does the applicant have any ownership interest in another Florida permit? If yes, disclose the name of the permit: ~~Pari-mutuel wagering permit #141 & #144~~	Yes ■	No ❑
Does the applicant have any ownership interest in a slot machine testing laboratory company? If yes, disclose the name of the testing laboratory:	Yes ❑	No ■
Does the applicant have any ownership interest in a slot machine manufacturer and/or distributor? If yes, disclose the name of the slot machine manufacturer/distributor:	Yes ❑	No ■
Has any other jurisdiction taken action regarding the applicant's gaming license? If yes, provide the name of the jurisdiction, the reason for the action, and the action taken:	Yes ❑	No ■

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Operations, 1940 North Monroe Street, Tallahassee, Florida 32399-1037:

1) Submission of a list of all ownership interests of five percent or greater. If a corporation, the name of the state in which incorporated and the names and addresses of the officers, directors, and shareholders holding five percent or more equity or, if a business entity other than a corporation, the names and addresses of the principals, partners, shareholders or any other person holding five percent or more equity as described in Rule 61D-14.002(1)(d), (e) and (f), Florida Administrative Code (F.A.C.), on Form DBPR PMW-3190.
2) Submission of a copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes.
3) Evidence of a current surety bond in the amount of $2,000,000, payable to the Governor of the State of Florida, as specified in Section 551.103(1)(f), Florida Statutes.
4) Submission of a list of slot machine business occupational licensees (i.e., vendors, manufacturers, distributors, service companies, etc.) with whom you intend to do business.
5) Disclosure of any administrative, civil or criminal proceedings, and/or any judgments initiated by any governmental agency or any other state or federal agency that would affect the license status of the applicant or any affiliate of the applicant pursuant to Sections 550.054 and 550.1815, Florida Statutes.

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Slot Operations, North Broward Regional Service Center, 1400 West Commercial Blvd., Suite 165, Ft. Lauderdale, Florida 33309:

1) Submission of the slot machine applicant's employee training plan for the Compulsive or Addictive Gambling Prevention Program.
2) A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida, creating employment opportunities for minority residents in Florida, opportunities for construction services provided by minority contractors, and ensuring opportunities for employment are offered on an equal, nondiscriminatory basis.
3) A copy of the licensee's current internal controls.
4) A copy of the licensee's current Surveillance Plan and Security Plan.
5) A current version of the licensee's Floor Plan as described in Rule 61D-14.050, F.A.C.

ATTEST STATEMENT

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 551.107, Florida Statutes.

Signature of Applicant or Applicant's Representative

10-17-23
Date

Lic Type	**1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Expires On	**06/30/2024**
Name	**DE LUCA, MICHAEL II**	Extended To	
Rank	**SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo**	Renewed On	**04/22/2021**
Lic Status	**Current**		

Modifiers

Type	Modifier	Effective Date	Additional Info
C	ODS - Officer/Directo	06/04/2009	
I	GHND - Greyhound	06/04/2009	
L	144 - Federal HWY Acquisition	06/04/2009	
Y	3YR - 3 Year License	06/04/2009	

RECEIVED
2023 NOV 21 PM 8:10
FLORIDA GAMING CONTROL COMMISSION

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

PERSONAL INFORMATION

Social Security Number/Federal Employer ID Number: [redacted]

IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Last Name: First: Middle: Title: Suffix:

Birth Date: (MM/DD/YYYY)

Name of Employer:

IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION

Representative's Name: Last: First: Middle: Title: Suffix:
Soffer Jeffrey Miller

Business Entity Name:
831 Federal Highway Acquisition LLC

Official Capacity:
Sole Member/Manager

ALL APPLICANTS PLEASE READ AND SIGN BELOW

I, Jeffrey Miller Soffer, do hereby instruct all law enforcement or criminal justice agencies to
(name of applicant/representative)

release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I, Jeffrey Miller Soffer, do hereby instruct all law enforcement, gaming commissions, tribal
(name of applicant/representative)

gaming regulatory agencies and/or commissions, state agencies and/or commissions responsible for gaming regulation or criminal justice agencies to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any financial or public institution to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

(if individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: _____ Date: 10/20/23

RECEIVED
2023 NOV 21 PM 8:10
FLORIDA GAMING
CONTROL COMMISSION

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization 831 FEDERAL HIGHWAY ACQUISITION LLC	Permit # SM144
D/B/A or Trade Name THE BIG EASY CASINO	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed ■ Manager Managed ❑

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name SOFFER	First JEFFREY	Middle MILLER	Title MANAGER	Suffix
Office Held MANAGING MEMBER	License # 11604121	Percentage of Ownership 79.2%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 19950 W. COUNTRY CLUB DRIVE				
City AVENTURA	State FL	Zip Code (+4 optional) 33180		
County (if Florida address) MIAMI-DADE	Country USA			

MANAGEMENT INFORMATION				
Last Name Kaiser	First Robert	Middle Steven	Title Member / Manager	Suffix
Office Held Member / Manager	License # 12133121	Percentage of Ownership 10%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 777 Chicago Road Suite 1				
City Troy	State Michigan	Zip Code (+4 optional) 48083		
County (if Florida address)	Country USA			

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2026**
Name **SOFFER, JEFFREY MILLER** Extended To
Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **05/23/2023**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	ODS - Officer/Directo	01/11/2018	
I	GHND - Greyhound	09/11/2020	
I	MULT - Multiple Industries	05/23/2023	
I	TBRD - Thoroughbred	02/01/2018	
L	910 - TLH Licensing	01/11/2018	
Y	3YR - 3 Year License	01/11/2018	

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2025**
Name **Kaiser, Robert Steven** Extended To
Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **08/29/2022**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	ODS - Officer/Directo	08/05/2019	
I	GHND - Greyhound	08/29/2022	
I	TBRD - Thoroughbred	08/05/2019	
L	910 - TLH Licensing	08/05/2019	
Y	3YR - 3 Year License	08/05/2019	

MANAGEMENT INFORMATION				
Last Name: Mufson	First: Brett	Middle	Title	Suffix
Office Held	License #: 12644245	Percentage of Ownership: 8.8%		
RESIDENCE ADDRESS				
Street Address or P.O. Box: 1431 W. 22nd Street				
City: Miami Beach	State: FL	Zip Code (+4 optional): 33140		
County (if Florida address): Miami-Dade	Country: USA			

MANAGEMENT INFORMATION				
Last Name: Adkins	First: Daniel	Middle: Kevin	Title	Suffix
Office Held: Member	License #: 269553	Percentage of Ownership: 2%		
RESIDENCE ADDRESS				
Street Address or P.O. Box: 5480 SW 60th Ave				
City: Davie	State: FL	Zip Code (+4 optional): 33314		
County (if Florida address): Broward	Country: USA			

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City	State	Zip Code (+4 optional)		
County (if Florida address)	Country			

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

DANIEL K. ADKINS — Name (Please Print)
PRES OF GAMING — Title (Please Print)
[signature] — Signature
10-17-23 — Date

State of Florida,
County of Broward
Sworn to (or affirmed) and subscribed before me this 17TH day of October, 2023
Daniel K. Adkins, who is personally known to me or produced the following as identification: _____.

[signature]
Notary Public
My Commission Expires: Jan. 10, 2024

Notary Public State of Florida
Jane E Cassidy
My Commission GG 945724
Expires 01/10/2024

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2026**
Name **MUFSON, BRETT LAURENCE** Extended To
Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	ODS - Officer/Directo	12/30/2020	
F	LIVE - Live Scan Fee Exemption	12/30/2020	
I	GHND - Greyhound	12/30/2020	
I	TBRD - Thoroughbred	06/14/2023	
J	RETL - License Returned	07/10/2023	VERIFY/UPDATEADDRESS
L	910 - TLH Licensing	06/14/2023	
Y	3YR - 3 Year License	12/30/2020	

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2024**
Name **ADKINS, DANIEL KEVIN** Extended To
Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **06/09/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	ODS - Officer/Directo	04/14/2008	
I	GHND - Greyhound	04/14/2008	
L	144 - Federal HWY Acquisition	04/14/2008	
Y	3YR - 3 Year License	04/14/2008	

CONTINUATION CERTIFICATE

To be attached to and form a part of Bond No. [redacted] in the amount of $2,000,000.00 issued by Atlantic Specialty Insurance Company as Surety, on behalf 831 Federal Highway Acquisition, LLC as Principal, and Governor of the State of Florida as Obligee

BOND IS HEREBY RENEWED FOR THE POLICY TERM

FEBRUARY 12, 2024 – FEBRAURY 12, 2025
(ANNUALLY RENEWABLE)

All other terms and conditions remain the same.

Signed and sealed this 19th day of January, 2024

Atlantic Specialty Insurance Company

By: _____
Joseph A Clarken, III / Attorney-in-Fact

RECEIVED
2024 JAN 19 PM 4:20
FLORIDA GAMING
CONTROL COMMISSION

intact INSURANCE

Power of Attorney

KNOW ALL MEN BY THESE PRESENTS, that ATLANTIC SPECIALTY INSURANCE COMPANY, a New York corporation with its principal office in Plymouth, Minnesota, does hereby constitute and appoint: **David J. McKee, Jennifer Castillo, Melanie Ankeney, Joseph A. Clarken III, Patrick R. Hedges, Chrystal Hedges,** each individually if there be more than one named, its true and lawful Attorney-in-Fact, to make, execute, seal and deliver, for and on its behalf as surety, any and all bonds, recognizances, contracts of indemnity, and all other writings obligatory in the nature thereof; provided that no bond or undertaking executed under this authority shall exceed in amount the sum of: **unlimited** and the execution of such bonds, recognizances, contracts of indemnity, and all other writings obligatory in the nature thereof in pursuance of these presents, shall be as binding upon said Company as if they had been fully signed by an authorized officer of the Company and sealed with the Company seal. This Power of Attorney is made and executed by authority of the following resolutions adopted by the Board of Directors of ATLANTIC SPECIALTY INSURANCE COMPANY on the twenty-fifth day of September, 2012:

Resolved: That the President, any Senior Vice President or Vice-President (each an "Authorized Officer") may execute for and in behalf of the Company any and all bonds, recognizances, contracts of indemnity, and all other writings obligatory in the nature thereof, and affix the seal of the Company thereto; and that the Authorized Officer may appoint and authorize an Attorney-in-Fact to execute on behalf of the Company any and all such instruments and to affix the Company seal thereto; and that the Authorized Officer may at any time remove any such Attorney-in-Fact and revoke all power and authority given to any such Attorney-in-Fact.

Resolved: That the Attorney-in-Fact may be given full power and authority to execute for and in the name and on behalf of the Company any and all bonds, recognizances, contracts of indemnity, and all other writings obligatory in the nature thereof, and any such instrument executed by any such Attorney-in-Fact shall be as binding upon the Company as if signed and sealed by an Authorized Officer and, further, the Attorney-in-Fact is hereby authorized to verify any affidavit required to be attached to bonds, recognizances, contracts of indemnity, and all other writings obligatory in the nature thereof.

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IN WITNESS WHEREOF, ATLANTIC SPECIALTY INSURANCE COMPANY has caused these presents to be signed by an Authorized Officer and the seal of the Company to be affixed this twenty-seventh day of April, 2020.

ATLANTIC SPECIALTY INSURANCE COMPANY CORPORATE SEAL 1986 NEW YORK

By _____
Paul J. Brehm, Senior Vice President

STATE OF MINNESOTA
HENNEPIN COUNTY

On this twenty-seventh day of April, 2020, before me personally came Paul J. Brehm, Senior Vice President of ATLANTIC SPECIALTY INSURANCE COMPANY, to me personally known to be the individual and officer described in and who executed the preceding instrument, and he acknowledged the execution of the same, and being by me duly sworn, that he is the said officer of the Company aforesaid, and that the seal affixed to the preceding instrument is the seal of said Company and that the said seal and the signature as such officer was duly affixed and subscribed to the said instrument by the authority and at the direction of the Company.

ALISON DWAN NASH-TROUT
NOTARY PUBLIC - MINNESOTA
My Commission Expires
January 31, 2025

_____
Notary Public

I, the undersigned, Secretary of ATLANTIC SPECIALTY INSURANCE COMPANY, a New York Corporation, do hereby certify that the foregoing power of attorney is in full force and has not been revoked, and the resolutions set forth above are now in force.

Signed and sealed. Dated 19th day of January 2024

ATLANTIC SPECIALTY INSURANCE COMPANY CORPORATE SEAL 1986 NEW YORK

This Power of Attorney expires
January 31, 2025

_____
Kara Barrow, Secretary

Please direct bond verifications to [illegible]

CONTINUATION CERTIFICATE

OneBeacon SURETY GROUP

In consideration of the renewal premium charged, the term of Bond Number [redacted] in the sum of Two Million and 00/100 ($2,000,000.00), issued on behalf of 831 Federal Highway Acquisition, LLC, as Principal, in favor of Governor of the State of Florida, as Obligee, is hereby continued in full force by **Atlantic Specialty Insurance Company**, as Surety, for the period beginning February 12, 2023 and ending February 12, 2024. This certificate is subject to the same terms and conditions as set forth in the aforementioned bond.

This continuation certificate is executed upon the express condition that the surety's liability under said bond, and any and all continuation certificates, shall not be cumulative and shall in no event exceed the amount of said bond, as herein set forth, regardless of the number of periods the bond is extended. The referenced bond shall be in full force and effect and subject to all its agreements, limitations and conditions except as herein expressly modified.

SIGNED AND SEALED this 6th day of February, 20 23

Atlantic Specialty Insurance Company

BY: _____

Joseph A. Clarken III, Attorney-In-Fact

OneBeacon Surety Group
One State Street Plaza, 31st Floor,
New York, NY 10004
onebeacon.com

intact INSURANCE

Power of Attorney

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ATLANTIC SPECIALTY INSURANCE COMPANY CORPORATE SEAL 1986 NEW YORK

By _____
Paul J. Brehm, Senior Vice President

STATE OF MINNESOTA
HENNEPIN COUNTY

On this twenty-seventh day of April, 2020, before me personally came Paul J. Brehm, Senior Vice President of ATLANTIC SPECIALTY INSURANCE COMPANY, to me personally known to be the individual and officer described in and who executed the preceding instrument, and he acknowledged the execution of the same, and being by me duly sworn, that he is the said officer of the Company aforesaid, and that the seal affixed to the preceding instrument is the seal of said Company and that the said seal and the signature as such officer was duly affixed and subscribed to the said instrument by the authority and at the direction of the Company.

ALISON DWAN NASH-TROUT
NOTARY PUBLIC - MINNESOTA
My Commission Expires
January 31, 2025

_____
Notary Public

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Signed and sealed. Dated 6th day of Febraury 2023

ATLANTIC SPECIALTY INSURANCE COMPANY CORPORATE SEAL 1986 NEW YORK

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January 31, 2025

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Kara Barrow, Secretary

Please direct bond verifications to surety@intactinsurance.com

CONTINUATION CERTIFICATE

OneBeacon SURETY GROUP

In consideration of the renewal premium charged, the term of Bond Number [redacted] in the sum of Fifty Thousand & 00/100 ($50,000), issued on behalf of 831 Federal Highway Acquisition, LLC, as Principal, in favor of Governor of the State of Florida, as Obligee, is hereby continued in full force by **Atlantic Specialty Insurance Company**, as Surety, for the period beginning February 12, 2023 and ending February 12, 2024. This certificate is subject to the same terms and conditions as set forth in the aforementioned bond.

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Atlantic Specialty Insurance Company

BY: _____

Joseph A. Clarken III, Attorney-In-Fact

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New York, NY 10004
onebeacon.com

intact INSURANCE

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By _____
Paul J. Brehm, Senior Vice President

STATE OF MINNESOTA
HENNEPIN COUNTY

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ALISON DWAN NASH-TROUT
NOTARY PUBLIC - MINNESOTA
My Commission Expires
January 31, 2025

_____
Notary Public

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ATLANTIC SPECIALTY INSURANCE COMPANY CORPORATE SEAL 1986 NEW YORK

This Power of Attorney expires
January 31, 2025

_____
Kara Barrow, Secretary

Please direct bond verifications to surety@intactinsurance.com

State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2024012885 **Incident date:** 11/21/2023 **Status:** 10 - Initial Review

Lic Type: 1003 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: 831 FEDERAL HIGHWAY ACQUISITION, LLC

831 NORTH FEDERAL HIGHWAY, HALLANDALE BEACH, FL 33009

Respondent: FGCC, PARI-MUTUEL WAGERING

4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE
Permitholder Name: 831 Federal Highway Acq. – 144 – 1003 File 2
Date Received: November 21, 2023
Reviewer's Name: La'Kesha Jelks

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
03/04/2024	R		ljelks	JELKS, LA'KESHA	ljelks	
03/04/2024	S	1003	10	Initial Review	ljelks	

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Re:	Calder Race Course, Inc. d/b/a Calder Casino (SM285) 2024-024534
Date:	May 15, 2024

Executive Summary

A slot machine licensee has submitted an application to renew its annual slot machine license. The Commission should approve this request.

Background

Slot machine gaming is authorized in Miami-Dade and Broward Counties at certain pari-mutuel facilities. [1] One such facility is Calder Race Course, Inc. d/b/a Calder Casino ("Calder"). Calder is located in Miami-Dade County and possesses a valid jai alai permit. Calder was issued a pari-mutuel operating license for the 2023-2024 and 2024-2025 fiscal years.

Commission staff received Calder's application to renew its slot machine license on April 18, 2024. The application was deemed complete on May 14, 2024.

Analysis

In addition to submitting a complete application, a slot machine licensee must satisfy numerous requirements to be entitled to a renewed slot machine license. [2] Calder has satisfied all of these requirements.

Recommendation: The Florida Gaming Control Commission should approve Calder Race Course, Inc. d/b/a Calder Casino's application for renewal of its slot machine license effective July 10, 2024 through July 9, 2025.

---

[1] *See generally* Art. X, § 23, Fla. Const.; § 551.101, Fla. Stat.

[2] *See, e.g.,* §§ 551.103(1)(f) (mandating $2,000.000.00 surety bond for slot machine licensees); 551.106(1)(a) (requiring payment of annual nonrefundable $2,000,000.00 license fee); 551.118 (requiring payment of annual nonrefundable regulatory fee of $250,000.00 to fund compulsive or addictive gambling prevention program); *see also* Fla. Admin. Code R. 75-14 ("Pari-Mutuel Wagering Facility Slot Machine Operations").

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

APPLICATION INFORMATION				
Permitholder Name: Calder Race Course – 285 – 1003		**File 6**		
Date Received: April 18, 2024		**Case#: 2024-024534**		
Reviewer's Name: La'Kesha Jelks				
SLOT MACHINE LICENSE REQUIREMENTS				
	Requirement Description	**Requirement Met? Y/N**	**Date Deficiency Letter Sent**	**Date Deficiency Resolved**
1	Original application form DBPR PMW 3405.	Y		
2	Original Authorization for Release of Information form DBPR PMW 3195.	Y		
3	List of all ownership interests of five percent or greater as described in Rule 75-14.002(b)(c)(d) and (e), FAC, Form DBPR 0040.	Y		
4	A copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes (thoroughbred only).	N/A		
5	Evidence of a current surety bond in the amount of $2,000,000, payable to the Governor of the State of Florida, as specified in s. 551.103(1)(f), FS.	Y		
6	Payment of the non-refundable $2,000,000 application fee for a Slot Machine License as required by Section 551.106(1), FS submitted via EFT. Payment of the non-refundable $250,000 regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS, made payable to the Division, submitted via EFT.	N Y		5/14/24
7	A list of slot machine business occupational licensees (i.e. vendors, manufacturers, distributors, service companies, etc.) with whom the permitholder intends to do business.	Y		
8	Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code.	N Y		5/7/24

Application approved by:

Jamie Powers — 5/17/24

Permitholder Administrator — **Date**

Indy R. Swaden — 5/8/2024 — Just added Public Traded documents

Second Review — **Date**

5/17/24

Second Review — **Date**

FLORIDA LICENSE
FOR
SLOT MACHINE OPERATIONS

WHEREAS Calder Race Course, Inc. d/b/a Calder Casino & Race Course and/or Calder Casino is the holder of a valid, active, jai alai pari-mutuel permit at its facility located in Miami-Dade County, granted pursuant to Chapter 550, Florida Statutes.

WHEREAS Calder Race Course, Inc. is authorized to conduct Pari-Mutuel Wagering activities at its facility pursuant to the Laws of Florida, on approved dates.

WHEREAS the majority of voters of Miami-Dade County, Florida, authorized slot machines at pari-mutuel facilities within Miami-Dade County in a county-wide referendum conducted January 28, 2008.

WHEREAS Calder Race Course, Inc. has made application for licensure to conduct Slot Machine Gaming from July 10, 2024, through July 9, 2025, pursuant to Florida Statutes and Administrative Rules adopted pursuant thereto. It has been found that Calder Race Course, Inc. has fulfilled all the statutorily required conditions for licensure and is, therefore, qualified to hold a license to conduct Slot Machine Gaming as authorized under the Laws of Florida.

WHEREAS Calder Race Course, Inc. has furnished and shall maintain in effect during the term of this license, the appropriate bond as required under Chapter 551, Florida Statutes.

NOW THEREFORE BE IT KNOWN that Calder Race Course, Inc. is granted a non-transferable license, Number **SM285**, under which Calder Race Course, Inc. may, exclusively at the slot machine facility located at Calder Race Course, Inc., 21001 Northwest 27th Avenue, Miami Gardens, Florida, 33056, receive, possess, operate and ship approved slot machine equipment, and conduct Slot Machine Gaming.

BY RECEIVING THIS LICENSE Calder Race Course, Inc. agrees to, at all times during the term of this license, conduct its Slot Machine Gaming operation and maintain its Slot Machine Gaming facility in accordance with applicable Florida Statutes and Administrative Rules adopted pursuant thereto.

LICENSURE GRANTED this _____ of June 2024, in Tallahassee, Florida.

FOR THE STATE OF FLORIDA

_____

Louis Trombetta, Executive Director
Florida Gaming Control Commission

FLORIDA LICENSE
FOR
SLOT MACHINE OPERATIONS

WHEREAS Calder Race Course, Inc. d/b/a Calder Casino & Race Course and/or Calder Casino is the holder of a valid, active, jai alai pari-mutuel permit at its facility located in Miami-Dade County, granted pursuant to Chapter 550, Florida Statutes.

WHEREAS Calder Race Course, Inc. is authorized to conduct Pari-Mutuel Wagering activities at its facility pursuant to the Laws of Florida, on approved dates.

WHEREAS the majority of voters of Miami-Dade County, Florida, authorized slot machines at pari-mutuel facilities within Miami-Dade County in a county-wide referendum conducted January 28, 2008.

WHEREAS Calder Race Course, Inc. has made application for licensure to conduct Slot Machine Gaming from July 10, 2024, through July 9, 2025, pursuant to Florida Statutes and Administrative Rules adopted pursuant thereto. It has been found that Calder Race Course, Inc. has fulfilled all the statutorily required conditions for licensure and is, therefore, qualified to hold a license to conduct Slot Machine Gaming as authorized under the Laws of Florida.

WHEREAS Calder Race Course, Inc. has furnished and shall maintain in effect during the term of this license, the appropriate bond as required under Chapter 551, Florida Statutes.

NOW THEREFORE BE IT KNOWN that Calder Race Course, Inc. is granted a non-transferable license, Number **SM285**, under which Calder Race Course, Inc. may, exclusively at the slot machine facility located at Calder Race Course, Inc., 21001 Northwest 27th Avenue, Miami Gardens, Florida, 33056, receive, possess, operate and ship approved slot machine equipment, and conduct Slot Machine Gaming.

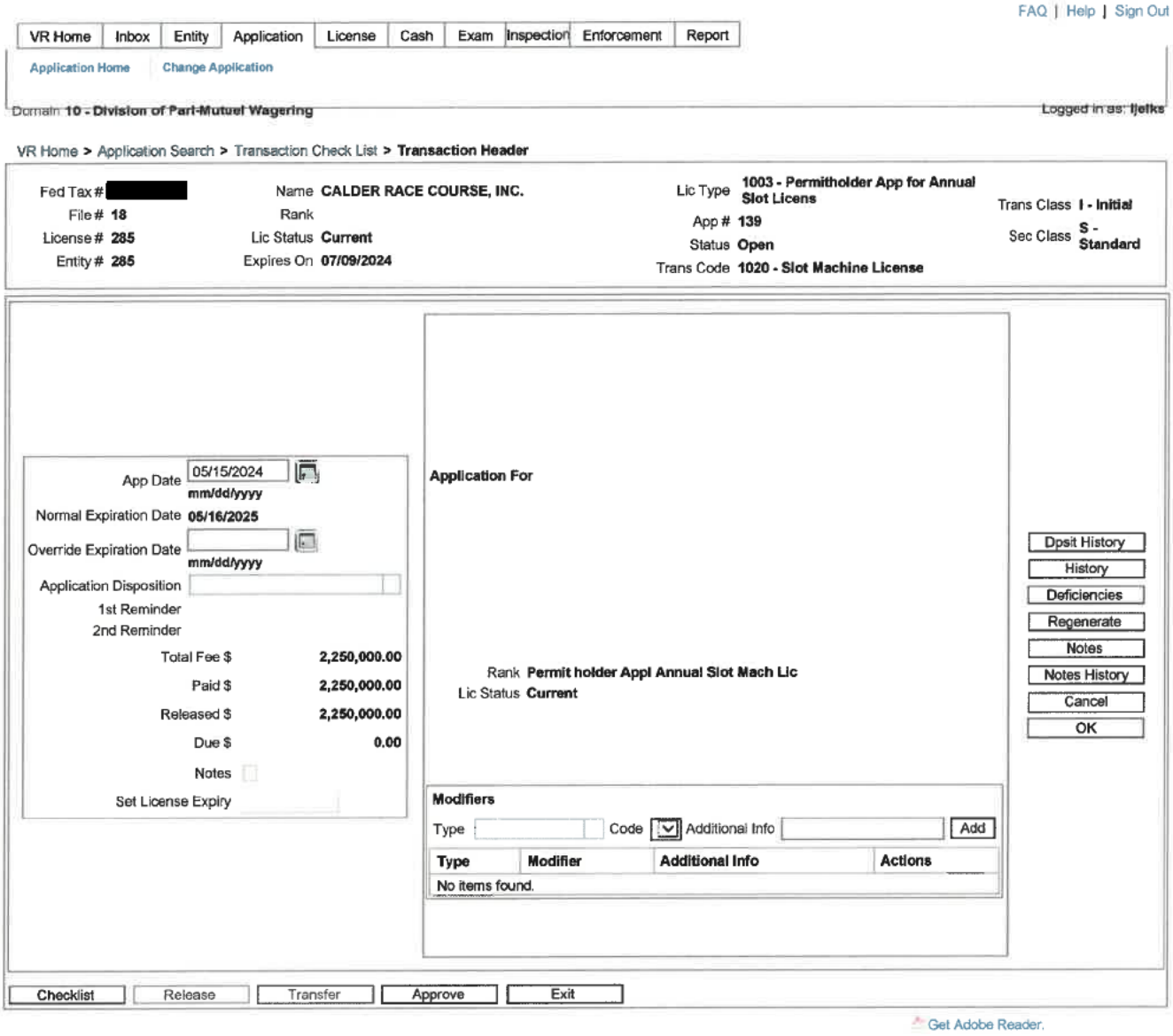
BY RECEIVING THIS LICENSE Calder Race Course, Inc. agrees to, at all times during the term of this license, conduct its Slot Machine Gaming operation and maintain its Slot Machine Gaming facility in accordance with applicable Florida Statutes and Administrative Rules adopted pursuant thereto.

LICENSURE GRANTED this _____ of July 2024, in Tallahassee, Florida.

FOR THE STATE OF FLORIDA

_____

Louis Trombetta, Executive Director
Florida Gaming Control Commission


FAQ | Help | Sign Out
VR Home
Inbox
Entity
Application
License
Cash
Exam
Inspection
Enforcement
Report
Application Home
Change Application
Domain 10 - Division of Pari-Mutuel Wagering
Logged in as: tjetks
VR Home > Application Search > Transaction Check List > Transaction Header
Fed Tax # [redacted]
File # 18
License # 285
Entity # 285
Name CALDER RACE COURSE, INC.
Rank
Lic Status Current
Expires On 07/09/2024
Lic Type 1003 - Permitholder App for Annual Slot Licens
App # 139
Status Open
Trans Code 1020 - Slot Machine License
Trans Class I - Initial
Sec Class S - Standard
App Date 05/15/2024
mm/dd/yyyy
Normal Expiration Date 05/16/2025
Override Expiration Date
mm/dd/yyyy
Application Disposition
1st Reminder
2nd Reminder
Total Fee $ 2,250,000.00
Paid $ 2,250,000.00
Released $ 2,250,000.00
Due $ 0.00
Notes
Set License Expiry
Application For
Rank Permit holder Appl Annual Slot Mach Lic
Lic Status Current
Dpsit History
History
Deficiencies
Regenerate
Notes
Notes History
Cancel
OK
Modifiers
Type
Code
Additional Info
Add
Type
Modifier
Additional Info
Actions
No items found.
Checklist
Release
Transfer
Approve
Exit
Get Adobe Reader.

State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 79 - Department of Business & Professional Regulation
Batch #: 23022667
 # Receipt: 2
Total $ Entered: $ 2,250,000.00
Receipts Entered: 2
Origin: EFT
Deposit #: AD0216
Total: $ 2,250,000.00
Fiscal Year: 2023
Deposit Date: 2024-05-15
Status: Deposited

Receipt #	DLN	Received	Disp	Pmt	Note	Unassigned	Prof	Remitted By / Beneficiary	File #	License #	Assigned
230151288		$ 250,000.00	DEP	EFT		$ 250,000.00					
							1003	CALDER RACE COURSE, INC.	18	285	$ 0.00
230151289		$ 2,000,000.00	DEP	EFT		$ 2,000,000.00					
							1003	CALDER RACE COURSE, INC.	18	285	$ 0.00
Total:			$ 2,250,000.00			$ 2,250,000.00					$ 0.00

La'Kesha Jelks

From: Karen Stelter
Sent: Tuesday, May 14, 2024 8:07 AM
To: La'Kesha Jelks
Cc: Jamie Pouncey; Tracy Swain
Subject: 285 Annual Slot Payment
Attachments: TAXPAYMENTB-20240510.log

Per the attached #285 Calder Race Course, Inc (Calder Casino) has paid their slot and CAGP fees. Please let me know if you need any additional information to process this payment.

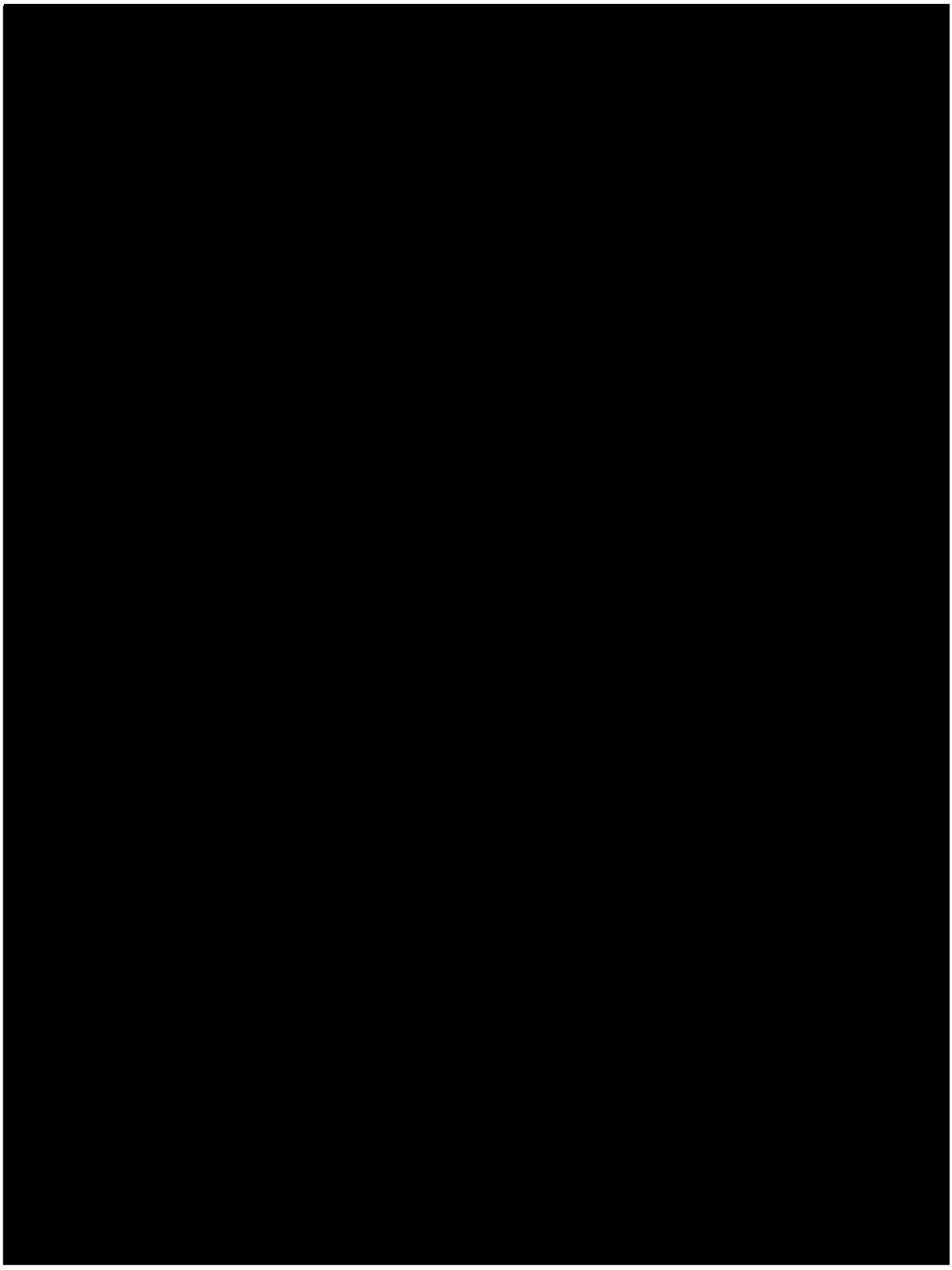
Florida Gaming Control Commission
flgaming.gov

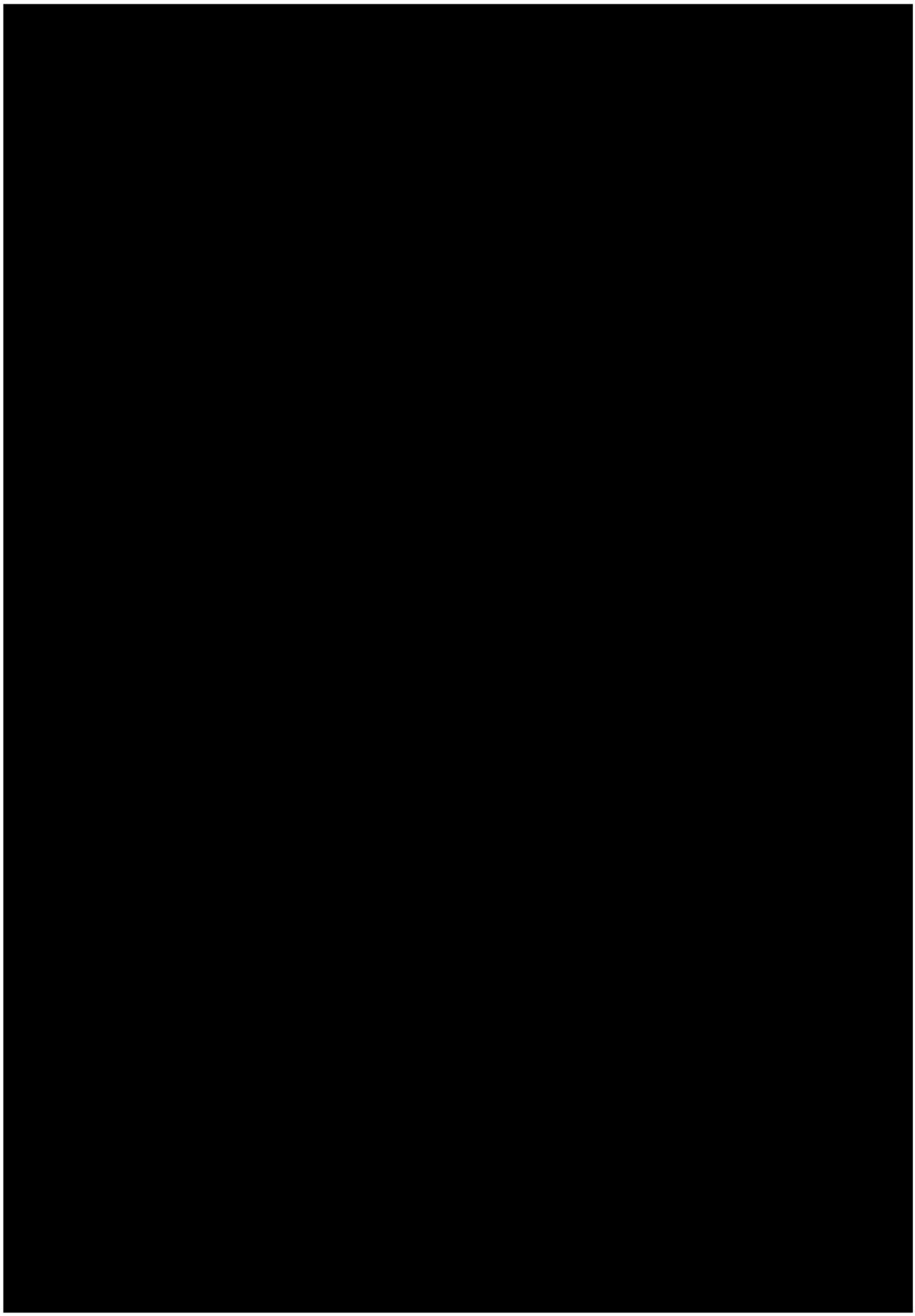
Best Regards,

Karen L Stelter, Professional Accountant Specialist, FCCM
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering, Office of Revenue and Financ Analysis
4070 Esplanade Way, Tallahassee, FL 32399
850-794-8129 Office ~ 850-539-8715 FAX

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state officials regarding state business are public records, available to the public and media upon request. Therefore your e-mail communications
may be subject to public disclosure. LARGER VIEW





[05/10/2024 12:00:32] From: call.center@dbpr.state.fl.us
[05/10/2024 12:00:32] To: chris.presley@dbpr.state.fl.us
[05/10/2024 12:00:32] To: Eric.Thiele@dbpr.state.fl.us
[05/10/2024 12:00:32] To: Karen.Stelter@flgaming.gov
[05/10/2024 12:00:32] To: Kelley.VanValkenburgh@myfloridalicense.com
[05/10/2024 12:00:32] To: Kira.Sadler@myfloridalicense.com
[05/10/2024 12:00:32] To: Latheria.Gibbs@myfloridalicense.com
[05/10/2024 12:00:32] To: Maria.Nickels@myfloridalicense.com
[05/10/2024 12:00:32] To: Mike.Coleman@myfloridalicense.com
[05/10/2024 12:00:32] To: Neysa.Brogdon@dbpr.state.fl.us
[05/10/2024 12:00:32] To: Sally.Huggins@myfloridalicense.com
[05/10/2024 12:00:32] To: Stephanie.Gurley@myfloridalicense.com
[05/10/2024 12:00:32] To: TecOperations@dbpr.state.fl.us
[05/10/2024 12:00:32] To: Tracy.Swain@flgaming.gov
[05/10/2024 12:00:32] To: wesley.dupont@dbpr.state.fl.us
[05/10/2024 12:00:32] Subject: Seebeyond Interface TAXPAYMENTB was partially successful!
[05/10/2024 12:00:32] Content: Seebeyond Interface TAXPAYMENTB was partially successful. Errors occurred during processing. Log file is attached.
[05/10/2024 12:00:32] Attachment: /usr/local/application/job/SingleLicense/accenture/fldbpr/batchInterface/exclude/log/TAXPAYMENTB-20240510.log

La'Kesha Jelks

From: Iliana Velazquez <Iliana.Velazquez@caldercasino.com>
Sent: Wednesday, May 8, 2024 10:26 AM
To: Jamie Pouncey; La'Kesha Jelks
Cc: Richard Sukhu; Iliana Velazquez
Subject: RE: Calder Casino Application for Slot License Machine Renewal- 2024-2025
Attachments: Payment Confirmation 2 Mil.pdf; Payment Confirmation 250k.pdf

Categories: Slots

Good Morning La'Kesha & Jaime,

Please note we've received supporting documentation for both wire payments that were submitted through the online portal with a settlement date of 5/10/24.

Please see attached.

Thank you,

ILIANA VELAZQUEZ
Director of Compliance
21001 NW 27th Avenue I Miami Gardens, FL 33056
Office: (305) 625-1311 ext: 5690
Iliana.Velazquez@CalderCasino.com

From: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Sent: Wednesday, May 1, 2024 12:12 PM
To: Iliana Velazquez <Iliana.Velazquez@caldercasino.com>; La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Cc: Richard Sukhu <Richard.Sukhu@caldercasino.com>
Subject: RE: Calder Casino Application for Slot License Machine Renewal- 2024-2025

Thank you for the update,

Thank you,

Subject: Calder Casino Application for Slot License Machine Renewal- 2024-2025
Importance: High

Good Afternoon Ms. Jelks & Ms. Pouncey,

Attached you'll find Calder's slot machine renewal application for 2023-2024.

The additional documentation (Internal Controls, Security/Surveillance Plan and additional polices) will be submitted to the Ft. Lauderdale Divisional FGCC team by the end of next week.

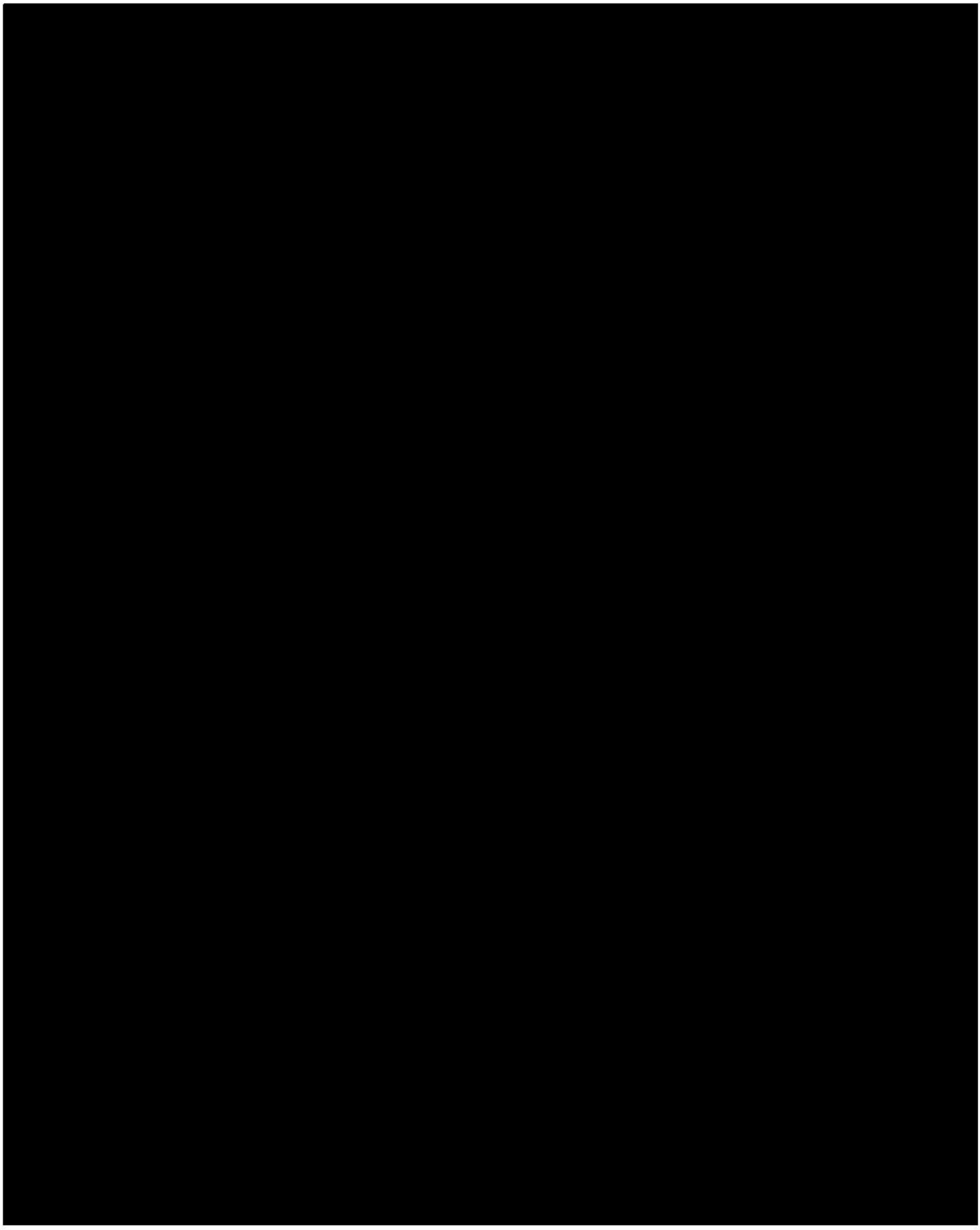
Kindly requesting confirmation that you are in receipt of this application when time allows.

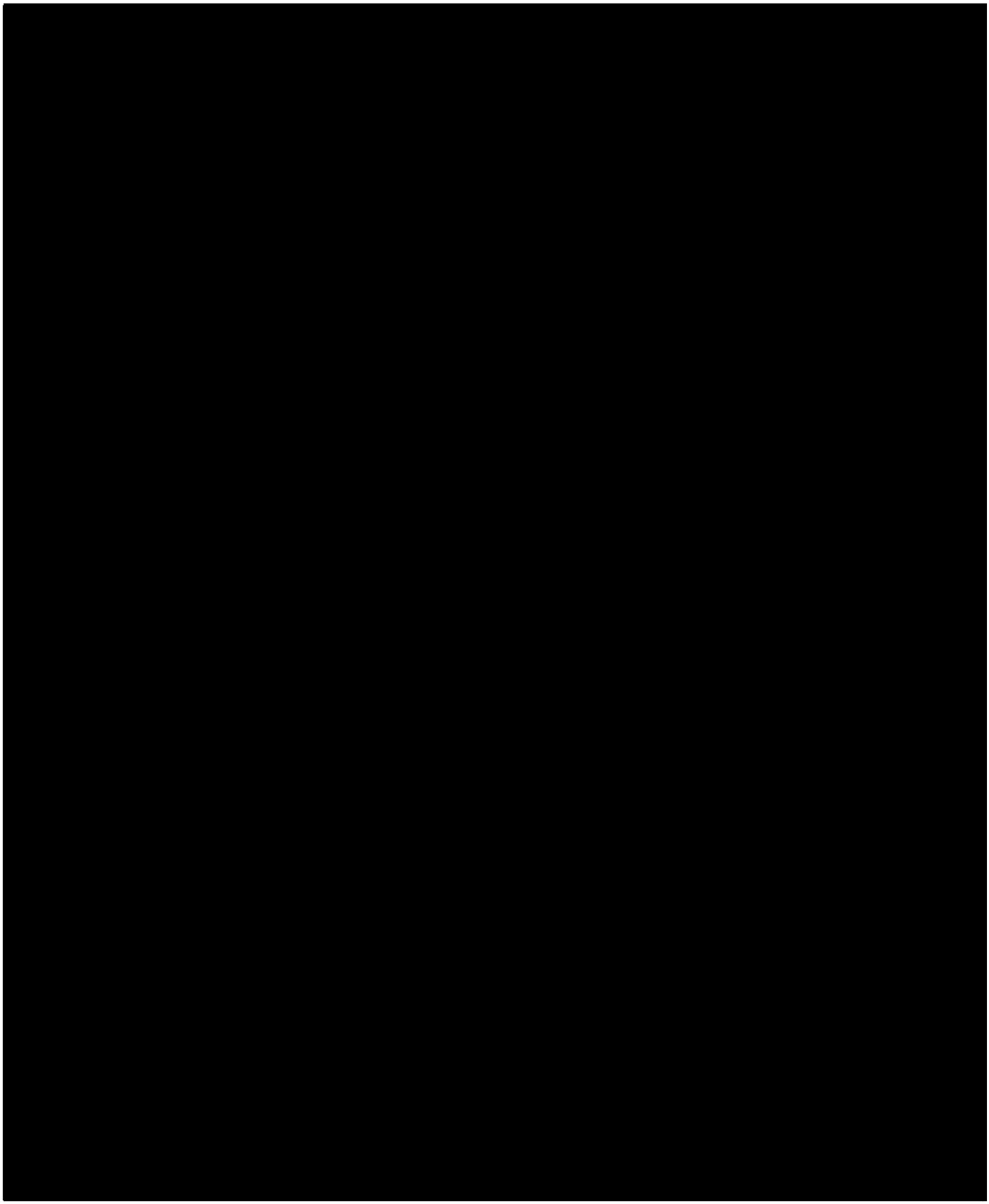
Thank you!

Iliana

ILIANA VELAZQUEZ
Director of Compliance
21001 NW 27th Avenue I Miami Gardens, FL 33056
Office: (305) 625-1311 ext: 5690
Iliana.Velazquez@CalderCasino.com

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La'Kesha Jelks

From: Jamie Pouncey
Sent: Tuesday, May 7, 2024 9:40 AM
To: La'Kesha Jelks
Subject: FW: Calder IC Review Memo
Attachments: Calder License Renewal Memo-2024-25.pdf

Thank you,

Florida Gaming Control Commission
flgaming.gov

Jamie Pouncey
Program Administrator
850.794.8116 Office 850.964.1705 Cell

From: Bill Crafts <Bill.Crafts@flgaming.gov>
Sent: Tuesday, May 7, 2024 9:07 AM
To: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Cc: Joe Dillmore <Joe.Dillmore@flgaming.gov>; Dennis Chen <Dennis.Chen@flgaming.gov>; Jose Cuevas <Jose.Cuevas@flgaming.gov>
Subject: Calder IC Review Memo

Jamie, see the attached memo for the Calder IC Review.
Their license is due July 9th.
Let me know if you have any questions.
Have a great day.

Florida Gaming Control Commission
flgaming.gov

Bill Crafts
Chief of Slot Operations
Division of Pari-Mutuel Wagering
Office of Slot Operations
754.288.1019 Office
954.658.3156 Cell

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MEMORANDUM

TO: Jamie Pouncey, Program Administrator

FROM: Bill Crafts, Chief of Slot Operations

SUBJECT: Calder Race Course, Inc., d/b/a Calder Casino – Annual Internal Controls Review

DATE: May 7, 2024

The office of Slot Operations has completed our annual review of the Internal Controls of Calder Race Course, Inc., d/b/a Calder Casino. At this time, our assessment has shown full compliance with 551, Fla. Stat. (2005) and Fla. Admin. Code R. 75-14. We strive to continuously review all our licensed facilities systems of control and work closely with the property's management and compliance officers to ensure rigorous adherence to Florida Statute and Administrative Code. Our annual review involves a more comprehensive and robust examination of all Internal Controls as adopted by the facility.

This review was conducted by the Tax & Audit Group of the Office of Slot Operations, with their report SOIC-2024-2025-285 being issued on May 7, 2024.

As always, should you have any questions or concerns pertaining to this matter, please do not hesitate to Contact me.

Bill Crafts
Chief of Slot Operations

La'Kesha Jelks

From: Iliana Velazquez <Iliana.Velazquez@caldercasino.com>
Sent: Thursday, April 18, 2024 1:27 PM
To: La'Kesha Jelks; Jamie Pouncey
Cc: Richard Sukhu; Iliana Velazquez
Subject: Calder Casino Application for Slot License Machine Renewal- 2024-2025
Attachments: Calder Casino Slot License Renewal 2024-2025.pdf

Importance: High

Categories: Slots

Good Afternoon Ms. Jelks & Ms. Pouncey,

Attached you'll find Calder's slot machine renewal application for 2023-2024.

The additional documentation (Internal Controls, Security/Surveillance Plan and additional polices) will be submitted to the Ft. Lauderdale Divisional FGCC team by the end of next week.

Kindly requesting confirmation that you are in receipt of this application when time allows.

Thank you!

Iliana

ILIANA VELAZQUEZ
Director of Compliance
21001 NW 27th Avenue I Miami Gardens, FL 33056
Office: (305) 625-1311 ext: 5690
Iliana.Velazquez@CalderCasino.com

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CALDER CASINO

BIGGER. BETTER. CALDER.

April 17th, 2024

Jamie Pouncey & La'Kesha Jelks

FGCC- Florida Gaming Control Commission

2601 Blair Stone Road

Tallahassee, FL, 32399

Dear Ms. Pouncey & Ms. Jelks,

On Behalf of Calder Casino, please find the attached application for our slot machine license renewal pursuant to section 551.104, Florida Statutes.

The payment for the non-refundable license fee in the amount of $2,000,000 and the non-refundable regulatory fee in the amount of $250,000 will be transmitted electronically to the Florida Department of Revenue prior to the current slot machine license expiration.

Iliana Velazquez

Iliana Velazquez Director of Compliance

CC: Richard Sukhu, President & GM

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

INSTRUCTIONS		
This form is to be submitted in conjunction with Form DBPR PMW-3460, Authorization for Release of Information, and Form DBPR PMW-3190, Officers and Directors. All three forms must be submitted to the division one month prior to the expiration of the current slot machine license.		
ORGANIZATION INFORMATION		
Federal Employer ID Number: [redacted]		
Permitholder's Legal Name: CALDER RACE COURSE, INC.		
Doing Business As (D/B/A) Name: CALDER CASINO		
MAILING ADDRESS		
Street Address or P.O. Box: 21001 N.W. 27TH AVENUE		
City: MIAMI GARDENS	State: FL	Zip Code: 33056
County: MIAMI-DADE	Country: USA	
CONTACT INFORMATION		
Contact Name: RICHARD K. SUKHU	Title: PRESIDENT & GM	
Primary Phone Number: 305-474-5684	Primary E-Mail Address: RICHARD.SUKHU@CALDERCASINO.COM	
PHYSICAL ADDRESS		
Street Address: 21001 N.W. 27TH AVENUE		
City: MIAMI GARDENS	State: FL	Zip Code (+4 optional) 33056
County:		
ADDITIONAL CONTACT INFORMATION		
Alternate Phone Number 305-474-5690	Fax Number 305-625-2505	
Alternate E-Mail Address ILIANA.VELAZQUEZ@CALDERCASINO.COM		
SLOT MACHINE AREA INFORMATION		
Short Description of Physical Location of Slot Machine Area(s): FIRST FLOOR- CASINO BUILDING		
What is the number of slot machines you intend to operate during the license period? 1180		
Name and license number of Slot Machine Operations Manager or Slot Machine Management Company: RACHEL STELLATO, SR. DIRECTOR OF OPERATIONS #10263486		
Name and address of the Custodian of Records in Florida: CALDER CASINO- 21001 N.W. 27TH AVE., MIAMI GARDENS, FL 33056		

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2025**

Name **STELLATO, RACHEL ANN** Extended To

Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **05/12/2022**

Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	ODS - Officer/Directo	05/10/2016	
F	LIVE - Live Scan Fee Exemption	10/01/2013	
I	JLAI - Jai-Alai	05/11/2022	
L	325 - Calder Race Crs	10/01/2013	
Y	3YR - 3 Year License	10/01/2013	

List the dates and hours of slot machine operations: MONDAY-THURSDAY 9AM-3AM & FRIDAY 9AM-SUNDAY 3AM		
Does the applicant have any ownership interest in another Florida permit? If yes, disclose the name of the permit: ~~PMW & CARDROOM LICENSE #285~~	Yes ■	No ☐
Does the applicant have any ownership interest in a slot machine testing laboratory company? If yes, disclose the name of the testing laboratory:	Yes ☐	No ■
Does the applicant have any ownership interest in a slot machine manufacturer and/or distributor? If yes, disclose the name of the slot machine manufacturer/distributor:	Yes ☐	No ■
Has any other jurisdiction taken action regarding the applicant's gaming license? If yes, provide the name of the jurisdiction, the reason for the action, and the action taken:	Yes ☐	No ■

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Operations, 1940 North Monroe Street, Tallahassee, Florida 32399-1037:

1) Submission of a list of all ownership interests of five percent or greater. If a corporation, the name of the state in which incorporated and the names and addresses of the officers, directors, and shareholders holding five percent or more equity or, if a business entity other than a corporation, the names and addresses of the principals, partners, shareholders or any other person holding five percent or more equity as described in Rule 61D-14.002(1)(d), (e) and (f), Florida Administrative Code (F.A.C.), on Form DBPR PMW-3190.
2) Submission of a copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes.
3) Evidence of a current surety bond in the amount of $2,000,000, payable to the Governor of the State of Florida, as specified in Section 551.103(1)(f), Florida Statutes.
4) Submission of a list of slot machine business occupational licensees (i.e., vendors, manufacturers, distributors, service companies, etc.) with whom you intend to do business.
5) Disclosure of any administrative, civil or criminal proceedings, and/or any judgments initiated by any governmental agency or any other state or federal agency that would affect the license status of the applicant or any affiliate of the applicant pursuant to Sections 550.054 and 550.1815, Florida Statutes.

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Slot Operations, North Broward Regional Service Center, 1400 West Commercial Blvd., Suite 165, Ft. Lauderdale, Florida 33309:

1) Submission of the slot machine applicant's employee training plan for the Compulsive or Addictive Gambling Prevention Program.
2) A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida, creating employment opportunities for minority residents in Florida, opportunities for construction services provided by minority contractors, and ensuring opportunities for employment are offered on an equal, nondiscriminatory basis.
3) A copy of the licensee's current internal controls.
4) A copy of the licensee's current Surveillance Plan and Security Plan.
5) A current version of the licensee's Floor Plan as described in Rule 61D-14.050, F.A.C.

ATTEST STATEMENT

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 551.107, Florida Statutes.

Signature of Applicant or Applicant's Representative

04-16-24
Date

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

PERSONAL INFORMATION				
Social Security Number/Federal Employer ID Number [redacted]				
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION				
Last Name	First	Middle	Title	Suffix
Birth Date (MM/DD/YYYY)				
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION				
Representative's Name Last: SUKHU	First: RICHARD	Middle: K.	Title	Suffix
Permitholder Name: CALDER CASINO				
Official Capacity: PRESIDENT & GM				

ATTEST STATEMENT

I, RICHARD K. SUKHU, do hereby instruct all law enforcement
(name of applicant/representative)
or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.

RICHARD K. SUKHU
(if individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: _____ Date: 04-16-24

NOTARIZATION

The foregoing application was sworn to and subscribed before me this 16 Day of April, 20 24,

by RICHARD K SUKHU, _____
Type or print name of applicant — Signature of applicant

who is personally known to me or who has produced the following as identification.

_____
Type of identification

IVANA C. VELAZQUEZ
MY COMMISSION EXPIRES 03-01-2027
NOTARY PUBLIC
STATE OF FLORIDA

_____
Signature of person taking acknowledgement
Notary Seal # HH [illegible]822
(Rubber Stamp and Expiration)

03-01-2027

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization CALDER RACE COURSE, INC.	Permit # 285
D/B/A or Trade Name CALDER CASINO	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed ☐ Manager Managed ☐

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name MUDD	First WILLIAM	Middle E.	Title	Suffix
Office Held CHAIRMAN	License # 8321827	Percentage of Ownership ----------		
RESIDENCE ADDRESS				
Street Address or P.O. Box 4217 ASHLEYWOOD COURT				
City LOUISVILLLE	State KENTUCKY	Zip Code (+4 optional) 40241		
County (if Florida address) ----------	Country USA			

MANAGEMENT INFORMATION				
Last Name SUKHU	First RICHARD	Middle K	Title	Suffix
Office Held PRESIDENT & GM	License # 7862729	Percentage of Ownership ----------		
RESIDENCE ADDRESS				
Street Address or P.O. Box 7720 DILIDO BLVD				
City MIRAMAR	State FL	Zip Code (+4 optional) 33023		
County (if Florida address) BROWARD	Country USA			

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2025**
Name **MUDD, WILLIAM EUGENE** Extended To
Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **06/01/2022**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	ODS - Officer/Directo	07/28/2010	
I	TBRD - Thoroughbred	07/28/2010	
L	910 - TLH Licensing	07/28/2010	
Y	3YR - 3 Year License	07/28/2010	

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2026**
Name **SUKHU, RICHARD K** Extended To
Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **04/18/2023**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	ODS - Officer/Directo	05/10/2011	
I	JLAI - Jai-Alai	04/11/2023	
L	285 - Calder	04/11/2023	
Y	3YR - 3 Year License	05/28/2008	

MANAGEMENT INFORMATION

Last Name	First	Middle	Title	Suffix
DALL	MARCIA	A		

Office Held	License #	Percentage of Ownership
TREASURER	10959678	----------

RESIDENCE ADDRESS

Street Address or P.O. Box 7912 FARM SPRING DRIVE

City	State	Zip Code (+4 optional)
PROSPECT	KY	40245

County (if Florida address)	Country
----------	USA

MANAGEMENT INFORMATION

Last Name	First	Middle	Title	Suffix
ADAMS	MAUREEN			

Office Held	License #	Percentage of Ownership
SECRETARY	10067933	----------

RESIDENCE ADDRESS

Street Address or P.O. Box 15228 CHAMPION LAKES PLACE

City	State	Zip Code (+4 optional)
LOUISVILLE	KY	40245

County (if Florida address)	Country
----------	USA

MANAGEMENT INFORMATION

Last Name	First	Middle	Title	Suffix
CHURCHILL DOWNS, INCORPORATED				

Office Held	License #	Percentage of Ownership
----------	----------	100%

RESIDENCE ADDRESS

Street Address or P.O. Box 600 NORTH HURSTBOURNE PARKWAY

City	State	Zip Code (+4 optional)
LOUISVILLE	KY	40222

County (if Florida address)	Country
----------	USA

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

RICHARD K. SUKHU	PRESIDENT & GM		04-16-24
Name (Please Print)	Title (Please Print)	Signature	Date

State of Florida,
County of MIAMI-DADE
Sworn to (or affirmed) and subscribed before me this 16th day of April, 2024,
_____, who is personally known to me or produced the following as identification:
_____.

Notary Public
My Commission Expires: 03/01/2027

ILIANA C. VELAZQUEZ
MY COMMISSION EXPIRES
03-01-2027
NOTARY PUBLIC
COMM. # HH 367827
STATE OF FLORIDA

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2024**
Name **Dall, Marcia Ann** Extended To
Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **04/23/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	ODS - Officer/Directo	05/15/2018	
I	TBRD - Thoroughbred	05/15/2018	
L	325 - Calder Race Crs	05/15/2018	
Y	3YR - 3 Year License	05/15/2018	

Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2024**
Name **ADAMS, MAUREEN** Extended To
Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **04/21/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	AGNT - Authorized Agen	04/21/2021	
C	ODS - Officer/Directo	01/14/2013	
F	LIVE - Live Scan Fee Exemption	01/14/2013	
I	TBRD - Thoroughbred	01/14/2013	
L	325 - Calder Race Crs	01/14/2013	
Y	3YR - 3 Year License	01/14/2013	

CHURCHILL DOWNS
INCORPORATED

OFFICERS AND DIRECTORS OF CHURCHILL DOWNS INCORPORATED AND SUBSIDIARIES

Officers:

Chief Executive Officer	William C. Carstanjen*
President and Chief Operating Officer	William E. Mudd*
Executive Vice President and Chief Financial Officer	Marcia A. Dall*#
Executive Vice President, Gaming Operations	Maureen Adams*
Executive Vice President, General Counsel and Secretary	Bradley K. Blackwell*
Senior Vice President, Human Resources	M. Katherine Armstrong*
President, Churchill Downs Technology Initiatives Company & Online Gaming	Benjamin C. Murr*
President, Churchill Downs Racetrack, LLC	Michael W. Anderson*
President, United Tote Company	Andrew M. Archibald
Senior Vice President, Chief Technology Officer	Nathaniel J. Simon*
Senior Vice President, General Manager Churchill Downs Racetrack	Michael R. Ziegler
Senior Vice President of Corporate Development	Jason T. Sauer*
Senior Vice President, Real Estate Development	Ryan J. Jordan*
President, Fair Grounds Racecourse & Slots	P. Douglas Shipley
President, Arlington Park Racecourse, LLC	Tony R. Petrillo
Vice President, Corporate Tax**	Erik M. Furlan**
Senior Vice President, Operations	Justin J. Paul*
Vice President, Chief Accounting Officer	Jon E. Rauch
Vice President, Business Intelligence	Thomas E. Jenkins
Vice President and President Velocity	Patrick A. Troutman*
Vice President, Engineering	Matthew G. Fontaine
Vice President, Internal Audit	Christopher R. Elmore
Vice President, Communications	Tonya R. Abeln
Vice President, Senior Counsel	Melissa M. Whitehead
Vice President, Gaming Operations	Jack B. Sours
Vice President, Gaming Operations	Michael P. Meagher
Vice President, Gaming Operations	Timothy W. Bryant
Vice President, Marketing & Analytics	John R. Howard
Vice President, Casino Technology	Russell R. Stokes
Senior Vice President, Government Affairs	Betsy B. Janes
Vice President, Gaming Finance	Rajesh D. Patel
Vice President, Design and Construction	Kenneth J. Hoffman
Vice President, Controller	Chris S. Hickerson
Vice President, Risk Management	David E. Orberson
Vice President, IT Operations	David S. Kurtz, Jr.
Vice President, Human Resources	Catharine B. Tomsky
Vice President, Financial Planning & Analysis	Philip L. Forbis
Vice President, Executive Administration	Kimberly S. Tobin
Vice President, Legal	C. Chad Riney
Vice President, Corporate Compliance and Senior Counsel	Louis P. Frascogna
Vice President, Human Resources for Gaming Operations	Kim C. Dickey
Vice President, Sourcing	Robert F. Weaver
Vice President, Legal	Joseph P. Quinn
Vice President of Racing	Gary P. Palmisano, Jr.
Vice President of Project Management (IT)	Heather L. Jacobson

#Authorized Banking Signatories
***Authorized Contract Signatories**
****Authorized signatory solely for tax matters at the direct and indirect wholly owned subsidiaries of Churchill Downs Incorporated**

Directors	Directors Emeriti
R. Alex Rankin, Chairman	Charles W. Bidwill, Jr.
William C. Carstanjen	Catesby W. Clay
Ulysses Lee "Junior" Bridgeman	Craig J. Duchossois
Robert L. Fealy	J. David Grissom
Douglas C. Grissom	G. Watts Humphrey, Jr.
Daniel P. Harrington	James F. McDonald
Karole F. Lloyd	Thomas H. Meeker
Paul C. Varga	Carl F. Pollard
Andréa M. Carter	Darrell R. Wells

Calder Race Course, Inc. d/b/a Calder Casino

(A Florida corporation, wholly-owned by Churchill Downs Management Company, LLC)

Officers		Directors
Chairman	William E. Mudd	William E. Mudd
President & GM	Richard K. Sukhu	Marcia A. Dall
Treasurer	Marcia A. Dall	Maureen Adams
Secretary	Maureen Adams	

Churchill Downs Management Company, LLC

(A Kentucky limited liability company, wholly-owned by Churchill Downs Incorporated)

Officers		Management Committee
President	William C. Carstanjen	William C. Carstanjen
Treasurer	Marcia A. Dall	William E. Mudd
Secretary	Maureen Adams	Marcia A. Dall

CHURCHILL DOWNS INCORPORATED, parent company of CALDER RACE COURSE, INC., d/b/a CALDER CASINO OWNERSHIP INTEREST as of March 31, 2024	
Name: Address: Ownership Interest:	**Capital Research Global Investors** 333 South Hope Street, Los Angeles, CA 90071 9.25%
Name: Address: Ownership Interest:	**The Vanguard Group, Inc.** 100 Vanguard Blvd., Malvern PA 19355 9.11%
Name: Address: Ownership Interest:	**BlackRock Institutional Trust Company, N.A.** 53 East 52nd Street, New York, NY 10055 7.71%
Name: Address: Ownership Interest:	**Fidelity Management & Research Company LLC** 155 Seaport Blvd., Boston, MA 02210 6.62%
Name: Address: Ownership Interest:	**CDI Holdings L.L.C.** 845 Larch Avenue, Elmhurst, IL 60126 4.07%

Nasdaq

CHDN has a High Technical Rating by Nasdaq Dorsey Wright. Discover why technical analysis matters

$139.86 ▲ +0.76 +0.55%

May 9, 2024 8:22 AM ET

$139.10 ▲ +1.02 +0.74%

Closed at May 8, 2024 4:00 PM ET

Nasdaq Listed

Market: Pre-Market

Churchill Downs, Incorporated Common Stock (CHDN)

Bid: $113.40 X 100 Ask: $139.98 X 60 Volume: 1

52 Week Range: 106.45 - 147.30

My Quotes: CHDN CZR

Edit my quotes

Churchill Downs, Incorporated Common Stock (CHDN)

1D 5D 1M 6M YTD 1Y 5Y MAX

May 9, 2024 8:22 AM ET

Key Data

Exchange	NASDAQ-GS	**52 Week High/Low**	$147.3/$106.45	**Current Yield**	0.27%
Sector	Consumer Discretionary	**Market Cap**	10,280,400,629		
Industry	Services-Misc. Amusement & Recreation	**P/E Ratio**	30.98		
1 Year Target	$145.00	**Forward P/E 1 Yr.**	24.32		
Today's High/Low	N/A	**Earnings Per Share(EPS)**	$4.49		
Share Volume	1	**Annualized Dividend**	$0.382		
Average Volume	494,155	**Ex Dividend Date**	Nov 30, 2023		
Previous Close	$139.10	**Dividend Pay Date**	Jan 5, 2024		

Bid Price and Ask Price

The bid & ask refers to the price that an investor is willing to buy or sell a stock. The bid is the highest amount that a buyer is currently willing to pay, whereas the ask is the lowest amount that a seller is currently willing to sell. The numbers next to the bid/ask are the "size". The bid size displays the total amount of desired shares ... Read More.

Bid * Size	**$113.40 * 100**
Ask * Size	**$139.98 * 60**

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PEG ratio		
Return on Equity(ROE)		
Revenue		
Analyst Recommendation		

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Summary

SUBMIT

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April 9th, 2023

TO: Iliana Velazquez, Calder Casino

FROM: Erica Thomas, Surety Account Manager

RE: Calder Race Course Slot License Renewal

Iliana,

Enclosed is the renewal certificate for the FL DBPR as requested. The renewal certificate is ready to file with the State of Florida.

Let me know if you need anything further or have any questions.

Erica Thomas
Surety Account Manager
USI Insurance Services LLC
(309)743-3022
Erica.thomas@usi.com

TRAVELERS

Travelers Casualty and Surety Company of America
Hartford, CT 06183

CONTINUATION CERTIFICATE
FIDELITY OR SURETY BONDS/POLICIES

License No. _____

In consideration of **$16,000.00** dollars renewal premium, the term of Bond/Policy No. [redacted] in the amount of **$2,000,000.00**, issued on behalf of **CALDER RACE COURSE d/b/a - CALDER CASINO AND RACE COURSE**, whose address is **21001 N.W. 27TH AVENUE, MIAMI GARDENS, FL 33056**, in favor of Governor of the State of Florida as obligee, and his/her successors in office, whose address is **DBPR 1940 North Monroe Street, TALLAHASSEE, FL 32399**, in connection with **Miscellaneous Tax** is hereby extended to **July 04, 2025**, subject to all covenants and conditions of said bond/policy.

This certificate is designed to extend only the term of the bond/policy. It does not increase the amount which may be payable thereunder. The aggregate liability of the Company under the said bond/policy together with this certificate shall be exactly the same as, and no greater than it would have been, if the said bond/policy had originally been written to expire on the date to which it is now being extended.

Signed, sealed and dated **April 05, 2024**

Travelers Casualty and Surety Company of America

By: Erica Thomas

Attorney-in-Fact

F-59-M (8/06)

CALDER CASINO VENDORS

(AGS, LLC)	**LICENSE # 8281949 (2026)**
AINSWORTH GAME TECHNOLOGY	**LICENSE # 7929049 (2024)**
ANDREN, LLC. (Surveillance Dept.)	**LICENSE # 10426209 (2024)**
ARISTOCRAT TECHNOLOGIES	**LICENSE # 7830421 (2024)**
ARUZE GAMING AMERICA	**LICENSE # 8587586 (2025)**
SCIENTIFIC GAMES/	**LICENSE # 7805891 (2024)**
C & W FACILITY SERVICES *(GTZ)(Cleaning Services)*	**LICENSE # 8647688 (2024)**
CUMMINS-ALLISON CORP. (Cash_Opns.)	**LICENSE # 7934408 (2025)**
GIESECKE & DEVRIENT AMERICA INC. - (Cash Opns. Dept)	**LICENSE # 7945830 (2024)**
FIRST AMERICAN BANKCARD, INC.	**LICENSE # 8714420 (2024)**
IGT	**LICENSE # 7800936 (2024)**
INCREDIBLE TECHNOLOGIES	**LICENSE # 8801896 (2024)**
KGM, LLC	**LICENSE # 8915860 (2026)**
KONAMI GAMING, INC. (HMS)	**LICENSE # 7883140 (2024)**
EMPIRE TECHNOLOGICAL GROUP	**LICENSE # 11725077 (2024)**
EVERI GAMES (MULTIMEDIA GAMES)	**LICENSE # 9943621 (2024)**
ORION SECURITY SOLUTIONS, LLC	**LICENSE # 12817675 (2024)**
NRT TECHNOLOGY CORPORATION	**LICENSE # 10749126 (2024)**
SECG	**LICENSE # 11387717 (2026)**
ZITRO GAMING	**LICENSE # 10019307 (2024)**
GAMING ARTS	**LICENSE# 11905567 (2024)**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2026**
Name **AGS, LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	06/19/2023	
C	GVDR - Gaming Vendor	07/01/2011	
C	SMAN - Manufacturer	06/19/2023	
I	TBRD - Thoroughbred	06/23/2008	
L	910 - TLH Licensing	06/23/2008	
Y	3YR - 3 Year License	06/23/2008	

DBA Name

DBA **AMERICAN GAMING SYSTEMS**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **AINSWORTH GAME TECHNOLOGY LTD** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/22/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	SMAN - Manufacturer	04/16/2007	
I	TBRD - Thoroughbred	06/15/2015	
L	910 - TLH Licensing	04/16/2007	
Y	3YR - 3 Year License	06/15/2015	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **ANDREN LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/02/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	NGVR - Non-Gaming Vendor	07/02/2021	
C	SRVC - Service Company	04/02/2014	
I	MULT - Multiple Industries	07/02/2021	
I	TBRD - Thoroughbred	04/02/2014	
L	910 - TLH Licensing	04/02/2014	
Y	3YR - 3 Year License	04/02/2014	

DBA Name

DBA **ANDREN TECHNOLOGY**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **ARISTOCRAT TECHNOLOGIES INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **05/07/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	04/10/2024	New ODS
C	DIST - Distributor	05/07/2021	
C	SMAN - Manufacturer	05/07/2021	
C	SRVC - Service Company	12/01/2006	
I	MULT - Multiple Industries	05/07/2021	
I	TBRD - Thoroughbred	12/01/2006	
L	910 - TLH Licensing	08/29/2006	
Y	3YR - 3 Year License	06/11/2012	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **ARUZE GAMING AMERICA INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/15/2022**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	09/22/2023	See Correspondence 22/25
C	SMAN - Manufacturer	07/26/2012	
I	QHRS - Quarter-Horse	07/09/2018	
L	910 - TLH Licensing	12/29/2009	
Y	3YR - 3 Year License	07/17/2015	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **LNW GAMING INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/22/2012**
Lic Status **Current**

Fictitious Name FEI/EIN Number List

Fictitious Name	Address	City	State	County	Status
BALLY TECHNOLOGIES	6601 SOUTH BERMUDA ROAD	LAS VEGAS	NV	BROWARD	E
SG GAMING	6601 SOUTH BERMUDA ROAD	LAS VEGAS	NV	MULTIPLE	E
SCIENTIFIC GAMES	6601 BERMUDA ROAD	LAS VEGAS	NV	BROWARD	A
SG	6601 BERMUDA ROAD	LAS VEGAS	NV	BROWARD	A
LIGHT & WONDER	6601 BERMUDA ROAD	LAS VEGAS	NV	MULTIPLE	A
L & W	6601 BERMUDA ROAD	LAS VEGAS	NV	MULTIPLE	A
LNW	6601 BERMUDA ROAD	LAS VEGAS	NV	MULTIPLE	A

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **C & W FACILITY SERVICES INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/18/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	SRVC - Service Company	02/16/2010	
I	QHRS - Quarter-Horse	06/28/2019	
L	910 - TLH Licensing	02/16/2010	
Y	3YR - 3 Year License	06/29/2012	

DBA Name

DBA **C & W SERVICES**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
Name **CUMMINS-ALLISON CORP** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/22/2022**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	12/12/2023	New ODS
C	GVDR - Gaming Vendor	07/22/2022	
C	MANC - Management Company	08/02/2016	
I	TBRD - Thoroughbred	03/12/2007	
L	910 - TLH Licensing	03/12/2007	
Y	3YR - 3 Year License	09/05/2007	

DBA Name

DBA **Cummins Allison**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **GIESECKE+DEVRIENT CURRENCY TECHNOLOGY AMERICA INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/19/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	NGVR - Non-Gaming Vendor	06/22/2018	
I	QHRS - Quarter-Horse	06/22/2018	
L	910 - TLH Licensing	01/25/2007	
Y	3YR - 3 Year License	06/30/2015	

DBA Name

DBA **GIESECKE+DEVRIENT AMERICA INC**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **FIRST AMERICAN BANKCARD INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/23/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	SRVC - Service Company	07/22/2016	
I	MULT - Multiple Industries	06/23/2021	
I	QHRS - Quarter-Horse	07/26/2018	
L	910 - TLH Licensing	05/19/2010	
Y	3YR - 3 Year License	06/30/2018	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **IGT INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/02/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	06/02/2021	
C	GVDR - Gaming Vendor	06/02/2021	
C	SMAN - Manufacturer	08/24/2006	
I	QHRS - Quarter-Horse	08/01/2018	
L	910 - TLH Licensing	07/14/2006	
Y	3YR - 3 Year License	08/24/2006	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **INCREDIBLE TECHNOLOGIES CASINO GAMES INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/30/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	06/30/2021	
C	GVDR - Gaming Vendor	06/30/2021	
C	SMAN - Manufacturer	10/25/2010	
L	SLOT - Slot Facilities	04/05/2024	
Y	3YR - 3 Year License	10/25/2010	

DBA Name

DBA **INCREDIBLE TECHNOLOGIES INC**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2026**
Name **KGM GAMING, LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/19/2023**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	SRVC - Service Company	06/23/2016	
I	JLAI - Jai-Alai	09/11/2013	
L	910 - TLH Licensing	08/12/2011	
Y	3YR - 3 Year License	08/12/2011	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **KONAMI GAMING INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **05/30/2018**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	06/30/2021	
C	SMAN - Manufacturer	10/30/2006	
I	QHRS - Quarter-Horse	06/05/2015	
L	910 - TLH Licensing	10/30/2006	
Y	3YR - 3 Year License	06/05/2015	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **EMPIRE TECHNOLOGICAL GROUP LTD** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/30/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	06/30/2021	
C	GVDR - Gaming Vendor	06/30/2021	
C	MAIN - Maintenance	06/30/2021	
C	SMAN - Manufacturer	05/22/2018	
C	SRVC - Service Company	06/30/2021	
I	TBRD - Thoroughbred	07/05/2018	
L	910 - TLH Licensing	05/22/2018	
Y	3YR - 3 Year License	05/22/2018	

DBA Name

DBA **ARUZE GAMING GLOBAL**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2027**
Name **EVERI GAMES, INC.** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **04/19/2024**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	GVDR - Gaming Vendor	07/17/2018	
I	TBRD - Thoroughbred	04/19/2024	
L	321 - Gulfstream Park	04/05/2024	
Y	3YR - 3 Year License	07/02/2012	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **ORION SECURITY SOLUTIONS LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	NGVR - Non-Gaming Vendor	07/09/2021	
Y	3YR - 3 Year License	07/09/2021	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **NRT TECHNOLOGIES INC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/19/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	GVDR - Gaming Vendor	04/22/2015	
J	RETL - License Returned	06/29/2020	UPDATE/VERIFY ADDRESS
L	910 - TLH Licensing	04/22/2015	
Y	3YR - 3 Year License	04/22/2015	

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2026**
Name **SECG, LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	NGVR - Non-Gaming Vendor	06/14/2023	
C	SRVC - Service Company	06/14/2023	
I	MULT - Multiple Industries	06/14/2023	
L	910 - TLH Licensing	06/14/2023	

DBA Name
DBA **SECG**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **ZITRO USA INC.** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/15/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DIST - Distributor	07/08/2020	
C	GVDR - Gaming Vendor	08/08/2018	
C	SMAN - Manufacturer	07/08/2020	
I	MULT - Multiple Industries	07/15/2021	
I	TBRD - Thoroughbred	06/29/2015	
L	910 - TLH Licensing	10/22/2012	
Y	3YR - 3 Year License	10/22/2012	

DBA Name
DBA **ZITRO**

Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
Name **GAMING ARTS, LLC** Extended To
Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/30/2021**
Lic Status **Current**

Modifiers

Type	Modifier	Effective Date	Additional Info
C	SMAN - Manufacturer	11/20/2018	
I	TBRD - Thoroughbred	01/28/2019	
L	544 - Hialeah Park	01/28/2019	
Y	3YR - 3 Year License	11/20/2018	

Florida Department of Business & Professional Regulation

State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2024024534 **Incident date:** 04/18/2024 **Status:** 10 - Initial Review

Lic Type: 1003 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: CALDER RACE COURSE, INC.

21001 NORTHWEST 27TH AVENUE, MIAMI GARDENS, FL 33056

Respondent: FGCC, PARI-MUTUEL WAGERING

4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE
Calder Race Course (SM285)

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
04/26/2024	R		ljelks	JELKS, LA'KESHA	ljelks	
04/26/2024	S	1003	10	Initial Review	ljelks	

3. Discussion of amended applications for pari-mutuel operating licenses

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Re:	Tampa Bay Downs, Inc. (TBRD320) 2024-026806 Amending 23/24 Operating License Amendment B
Date:	May 8, 2024

Executive Summary

A permitholder applied to amend its operating license on May 7, 2024, by canceling one thoroughbred performance. The Commission should approve this request.

Background

Tampa Bay Downs, Inc. ("Tampa Bay Downs") possesses a valid thoroughbred permit. Tampa Bay Downs was issued a pari-mutuel operating license and cardroom license for the 2023-2024 fiscal year. Tampa Bay Downs's current operating license includes 92 performances (87 matinee and 5 charity). [1] Now, Tampa Bay Downs wants to amend its operating license by canceling one thoroughbred performance for a total of 91 performances (86 matinee and 5 charity) for its schedule.

Analysis

The Commission shall have the authority to approve minor changes in performances after a license has been issued.[2] Tampa Bay Downs has satisfied all requirements and is requesting an amendment from the current year's initial license.

Recommendation: The Florida Gaming Control Commission should approve Tampa Bay Downs, Inc.'s application to amend its 2023-2024 fiscal year operating license schedule.

[1] "Performance" means "a series of events, races, or games performed consecutively under a single admission charge." § 550.002(25), Fla. Stat.

[2] § 550.01215(3), Fla. Stat.

PERMITHOLDER LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: Tampa Bay Downs (TBRD320) **FISCAL YEAR:** 2023/2024

DATE RECEIVED: May 7, 2024 **CASE NUMBER:** 2024-026806

CHANGE REQUESTED: Cancel performance on June 30, 2024.

REVIEWER'S NAME: La'Kesha Jelks

Requirement		Met? Y/N	Deficiency Sent	Resolved
Original application form DBPR PMW 3060.		Y		
Original Calendar DBPR PMW 3080.		Y		
Pursuant to FAC 75-4.004(4) Non-objection letters from permitholders within 50 miles (if applicable)		N/a		
75D-2.027 Each performances shall consist of a minimum of 8 races.	Mat:8-12 Eve:	Y		
Matinee start time: (prior to 6pm)	12:25PM	Y		
Evening start time*: (6pm & later)	N/A	Y		
*550.375(2) A harness racing permitholder may conduct harness racing only between the hours of 7 p.m. and 2 a.m. *550.5251(1) A thoroughbred racing permitholder may not begin any race later than 7 p.m.				

CONTACT FROM OTHER FACILITIES WITHIN FIFTY (50) MILES:		OBJECTED? No	OBJECTED? Yes	RACING?

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REVENUE SECTION	**REVIEWED**	**INITIALS:** LK	**DATE:** 5/21/2024
PERMIT ADMIN	**REVIEWED**	**INITIALS:**	**DATE:** 5/21/24
OGC	**REVIEWED**	**INITIALS:**	**DATE:** 5/21/24

Notes/Comments:

Revenue Section: Okay

Permit Admin.

OGC:

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number:	320
Permit Type:	TBRD
Permit County:	Hillsborough
Amendment:	B

LICENSE TO CONDUCT PARI-MUTUEL WAGERING

For:

Tampa Bay Downs, Inc.

Licensed to Operate, At the Pari-Mutuel Facility, Located At:

11225 Race Track Road
Tampa, FL 33626
Hillsborough County

Valid From: July 1, 2023
Expires On: June 30, 2024
Permitholder does intend to accept wagers on intertrack or simulcast events.

Issued and dated, this _____ day of June, 2023.

By _____
Louis Trombetta, Executive Director
Florida Gaming Control Commission

This license and attached schedule of live performances are issued in accordance with the Florida Pari-Mutuel Wagering Act and the rules promulgated thereunder. This license shall be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

Tampa Bay Downs 2023/2024 Calendar

(Per License #320B) Page 2 of 2

JULY 2023

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1 Mat
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

1 Matinee | 0 Evening | 0 C/S Perf.

AUGUST 2023

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

0 Matinee | 0 Evening | 0 C/S Perf.

SEPTEMBER 2023

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

0 Matinee | 0 Evening | 0 C/S Perf.

OCTOBER 2023

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

0 Matinee | 0 Evening | 0 C/S Perf.

NOVEMBER 2023

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22 Mat	23	24 Mat	25 Mat
26	27	28	29 Mat	30		

4 Matinee | 0 Evening | 0 C/S Perf.

DECEMBER 2023

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1 Mat	2 Mat
3	4	5	6 Mat	7	8 Mat	9 Mat
10	11	12	13 Mat	14	15 Mat	16 Mat
17	18	19	20 Mat	21	22 Mat	23 Mat
24 Mat	25	26	27 Mat	28	29 Mat	30 Mat
31 Mat						

16 Matinee | 0 Evening | 0 C/S Perf.

JANUARY 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3 Mat	4	5 Mat	6 Mat
7 Mat	8	9	10 Mat	11	12 Mat	13 Mat
14 Mat	15	16	17 Mat	18	19 Mat	20 Mat
21 Mat	22	23	24 Mat	25	26 Mat	27 Mat
28 Mat	29	30	31 Mat			

17 Matinee | 0 Evening | 0 C/S Perf.

FEBRUARY 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
				1	2 Mat	3 Mat
4 Mat	5	6	7 Mat	8	9 Mat	10 C/Mat
11 Mat	12	13	14 Mat	15	16 Mat	17 Mat
18 Mat	19	20	21 Mat	22	23 Mat	24 Mat
25 Mat	26	27	28 Mat	29		

15 Matinee | 0 Evening | 1 C/S Perf.

MARCH 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1 Mat	2 Mat
3 Mat	4	5	6 Mat	7	8 Mat	9 C/Mat
10 Mat	11	12	13 Mat	14	15 Mat	16 Mat
17 Mat	18	19	20 Mat	21	22 Mat	23 Mat
24 Mat	25	26	27 Mat	28	29 Mat	30 Mat
31						

17 Matinee | 0 Evening | 1 C/S Perf.

APRIL 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3 Mat	4	5 Mat	6 C/Mat
7 Mat	8	9	10 Mat	11	12 Mat	13 C/Mat
14 Mat	15	16	17 Mat	18	19 Mat	20 C/Mat
21 Mat	22	23	24 Mat	25	26 Mat	27 Mat
28 Mat	29	30				

13 Matinee | 0 Evening | 3 C/S Perf.

MAY 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1 Mat	2	3 Mat	4 Mat
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

3 Matinee | 0 Evening | 0 C/S Perf.

JUNE 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

0 Matinee | 0 Evening | 0 C/S Perf.

Note: Full-card simulcast schedule subject to change, Calendar considered informational only.

Initial Date

91 Totals	86 Matinee	0 Evening	5 C/S Perf.

Tampa Bay Downs 2023/2024 Calendar

(Per License #320A) Page 2 of 2



JULY 2023						
Sun	Mon	Tues	Wed	Thurs	Fri	Sat
						1 Mat
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

1 Matinee | 0 Evening | 0 C/S Perf.



AUGUST 2023						
Sun	Mon	Tues	Wed	Thurs	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

0 Matinee | 0 Evening | 0 C/S Perf.



SEPTEMBER 2023						
Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

0 Matinee | 0 Evening | 0 C/S Perf.



OCTOBER 2023						
Sun	Mon	Tues	Wed	Thurs	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

0 Matinee | 0 Evening | 0 C/S Perf.



NOVEMBER 2023						
Sun	Mon	Tues	Wed	Thurs	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22 Mat	23	24 Mat	25 Mat
26	27	28	29 Mat	30		

4 Matinee | 0 Evening | 0 C/S Perf.



DECEMBER 2023						
Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1 Mat	2 Mat
3	4	5	6 Mat	7	8 Mat	9 Mat
10	11	12	13 Mat	14	15 Mat	16 Mat
17	18	19	20 Mat	21	22 Mat	23 Mat
24 Mat	25	26	27 Mat	28	29 Mat	30 Mat
31 Mat						

16 Matinee | 0 Evening | 0 C/S Perf.



JANUARY 2024						
Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3 Mat	4	5 Mat	6 Mat
7 Mat	8	9	10 Mat	11	12 Mat	13 Mat
14 Mat	15	16	17 Mat	18	19 Mat	20 Mat
21 Mat	22	23	24 Mat	25	26 Mat	27 Mat
28 Mat	29	30	31 Mat			

17 Matinee | 0 Evening | 0 C/S Perf.



FEBRUARY 2024						
Sun	Mon	Tues	Wed	Thurs	Fri	Sat
				1	2 Mat	3 Mat
4 Mat	5	6	7 Mat	8	9 Mat	10 Mat
11 Mat	12	13	14 Mat	15	16 Mat	17 C/Mat
18 Mat	19	20	21 Mat	22	23 Mat	24 Mat
25 Mat	26	27	28 Mat	29		

16 Matinee | 0 Evening | 1 C/S Perf.



MARCH 2024						
Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1 Mat	2 Mat
3 Mat	4	5	6 Mat	7	8 Mat	9 C/Mat
10 Mat	11	12	13 Mat	14	15 Mat	16 Mat
17 Mat	18	19	20 Mat	21	22 Mat	23 Mat
24 Mat	25	26	27 Mat	28	29 Mat	30 Mat
31						

17 Matinee | 0 Evening | 1 C/S Perf.



APRIL 2024						
Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3 Mat	4	5 Mat	6 C/Mat
7 Mat	8	9	10 Mat	11	12 Mat	13 C/Mat
14 Mat	15	16	17 Mat	18	19 Mat	20 C/Mat
21 Mat	22	23	24 Mat	25	26 Mat	27 Mat
28 Mat	29	30				

13 Matinee | 0 Evening | 3 C/S Perf.



MAY 2024						
Sun	Mon	Tues	Wed	Thurs	Fri	Sat
			1 Mat	2	3 Mat	4 Mat
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

3 Matinee | 0 Evening | 0 C/S Perf.



JUNE 2024						
Sun	Mon	Tues	Wed	Thurs	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30 Mat						

1 Matinee | 0 Evening | 0 C/S Perf.

Note: Post-card simulcast schedule subject to change. Calendar considered informational only.

LT 6/4/23
Initial Date

92 Totals	87 Matinee	0 Evening	5 C/S Perf.

TAMPA BAY DOWNS

♠ RACING ♥ POKER ♣ GOLF ♦

RECEIVED

2024 MAY -6 PM 1:39

FLORIDA GAMING CONTROL COMMISSION

April 27, 2024

Ms. Kimberly Ferree
Chief Auditing Officer
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, FL 32399

Re: Schedule Change

Dear Ms.Ferree,

For our 2023/2024 race meet at Tampa Bay Downs, Inc. we would like to amend the dates previously submitted and authorized under License #320 and #CR320.

The change is as follows: Sunday, June 30, 2024, matinee performance is being deleted.

The change results in a total of 91 performances with 86 regular and 5 charity performances. The requirement for market area Track approvals no longer apply to us with the passage of CS for SB 8-A

If you have questions or find any deficiencies, please feel free to call me at your convenience at 813/855-4401. Thank you for your assistance.

Sincerely,

Peter N. Berube
Vice President & General Manager

Enclosure: Calendar

PNB/kmg

Division of Pari-Mutuel Wagering
Permitholder Operating License Amendment Tracking
Fiscal Year 2023-2024

PERMIT NO.	LICENSE NAME	# of Initial Perf.	Amendments											Aggregate % Change YTD
			A	B	C	D	E	F	G	H	I	J	K	
JAI-ALAI PERMITS														
0000270	RB Jai ALai LLC	40												0.00%
0000273	Casino Miami, LLC	0												#DIV/0!
0000274	Dania Entertainment Center, LLC	91												0.00%
0000277	OBS Real Estate Holdings, LLC	0												#DIV/0!
0000278	Fronton Holdings, LLC	0												#DIV/0!
0000280	West Flagler Associates, Ltd.	26												0.00%
0000281	Dania Entertainment Center, LLC	0												#DIV/0!
0000283	West Flagler Associates, Ltd.	22												0.00%
0000284	Kings Court Key, LLC	0												#DIV/0!
0000285	Calder Race Course, Inc.	0												#DIV/0!
0000286	West Flagler Associates, Ltd.	40												0.00%
THOROUGHBRED RACING PERMITS														
0000320	Tampa Bay Downs, Inc.	91	1	1										2.20%
0000321	Gulfstream Park Racing Assiciation Inc.	174	5	11										9.20%
0000323	Tropical Park, LLC	0												#DIV/0!
0000325	Calder Race Course, Inc.	0												#DIV/0!
0000336	Gulfstream park Thoroughbred After Racing	40	10											25.00%
HARNESS RACING PERMITS														
0000430	PPI, Inc.	0												#DIV/0!
QUARTER HORSE RACING PERMITS														
0000537	Tampa Bay Downs, Inc.	0												#DIV/0!
0000542	Gretna Racing, LLC	0												#DIV/0!
0000544	South FLorida Racing Association, LLC	0												#DIV/0!
0000545	South Marion Real Estate Holdings, LLC	0												#DIV/0!
0000547	Hamilton Downs, Horsetrack, LLC	0												#DIV/0!
0000950	Ocala Breders' Sales Comapny, Inc.	0												#DIV/0!

RECEIVED
2024 MAY -6 PM 1:39
FLORIDA GAMING CONTROL COMMISSION

DBPR PMW-3060 – Permitholder Application for License and Operating Dates

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

INSTRUCTIONS
This form is to be submitted in conjunction with Form DBPR PMW-3080 – Permitholder Calendar and Form DBPR PMW-3190 – Officers and Directors. Check the box that designates the purpose of this form filing: ☐ Application for Annual License and Operating Dates ☑ Application for Amendment to Annual License and Operating Dates

PERMITHOLDER INFORMATION		
Permitholder Name Tampa Bay Downs, Inc.	Permit # 320	FEID# or SSN * [redacted]
Doing Business As (D/B/A)		
MAILING ADDRESS		
Street Address or P.O. Box P.O. Box 2007		
City Oldsmar	State FL	Zip Code (+4 optional) 34677
County (if Florida address) Pinellas	Country USA	
CONTACT INFORMATION		
Contact Name Peter Berube	Title VP General Manager	
Primary Phone Number 813-855-4401	Fax Number 813-374-8839	
Primary E-Mail Address pnb@tampabaydowns.com	Cell Phone Number 813-376-1712	
PHYSICAL LOCATION OF PARI-MUTUEL FACILITY		
Street Address 11225 Race Track Road		
City Tampa	State FL	Zip Code (+4 optional) 33626

If there is a lease agreement to operate live performances at another pari-mutuel facility, the applicant shall attach a copy of the lease agreement containing the following information:
(1) The name of the applicant and the lessor;
(2) The address of the applicant and the lessor;
(3) The type of permit held by both the applicant and the lessor;
(4) The exact location where the applicant is currently permitted to conduct pari-mutuel performances;
(5) The exact location where the lessor is currently permitted to conduct pari-mutuel performances; and
(6) The exact location where the applicant intends to conduct pari-mutuel performances pursuant to the lease agreement.

*Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

ADDITIONAL INFORMATION

Since the submission of your last application, has a permit recall/cancellation election been held in your county?
Yes ☐ No ■ If no, please attach a certificate from the Clerk of the Circuit Court or other authorized County Official certifying that the permit has not been recalled.

Has there been any change in ownership interest, officers, partners, or directors; or a change in ownership or location of the pari-mutuel facility? If changed, state fully. If none, state "No change." Use additional pages, if necessary.

no change

Is the applicant incorporated? Yes ■ No ☐ If yes, under the laws of which state? Florida

Please list all officers and directors of the applicant using Form DBPR PMW-3190 – Officers and Directors.

Please document persons who are the bona fide and beneficial owners of the entire stock of the applicant using Form DBPR PMW-3190 – Officers and Directors. If corporation, list name of corporation and stockholders; if partnership, list partners.

Please list the stockholders of record of the applicant using Form DBPR PMW-3190 – Officers and Directors.

Please list the stockholders of the applicant who are subject to a voting trust or have been pledged to a trustee or party other than the beneficial owner using Form DBPR PMW-3190 – Officers and Directors.

Have any persons listed on Form DBPR PMW-3190 – Officers and Directors ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges (other than minor traffic violations) in any state or county? Yes ☐ No ■
If yes, list the individual(s) name, license number and title:

OPERATING SEASON INFORMATION

The applicant desires to conduct a racing/jai alai meet for the 20 23 - 20 24 season during the following period(s). Please follow instructions on calendars attached to permit application to mark days, dates, and types of performances.

Opening Date(s):	Closing Date(s):
July 1, 2023	June 30, 2024
Number of Dark Days:	Number of Live Days: 364

Performances

Number of Evening Performances	
Number of Matinee Performances	86
Number of Charity/Scholarship Performances	5
Total Number of Performances	91

Number of races/games during evening performances:	Number of races/games during matinee performances: 8-12
Starting time:	Starting time: 12:25

For greyhound tracks only:
Do you intend to hold an additional charity day for the greyhound adoption program? Yes ☐ No ☐
If yes, please indicate the date when the "Greyhound Adopt-a-Pet Day" will be held:

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

Peter N. Berube	VP/GM	Peter N Berube	4/27/24
Name (Please Print)	Title (Please Print)	Signature	Date

State of Florida,
County of Hillsborough
Sworn to (or affirmed) and subscribed before me this 27 day of April, 2024
Peter N. Berube, who is personally known to me or produced the following as identification:

Kim M Gregoire
Notary Public
My Commission Expires: 8-25-2027

KIM M GREGOIRE
Notary Public - State of Florida
Commission # HH 391580
My Comm. Expires Aug 25, 2027
Bonded through National Notary Assn.

RECEIVED

2024 MAY -6 PM 1:39

FLORIDA GAMING
CONTROL COMMISSION

DBPR PMW-3080 – Permitholder Calendar

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

PERMITHOLDER INFORMATION	
Name Tampa Bay Downs, Inc.	320

INSTRUCTIONS

Submit this form in conjunction with the form DBPR PMW-3060 – Permitholder Application for License and Operating Dates.

Please do not overlook the cardroom section and the required application oath on page 4.

Please fill in appropriate year, and date below and on the following pages. Using the letter code below, write the type of performance in each box. Fill in the total number of performances for each month.

LETTER CODES

M = Matinee E = Evening C = Charity S = Scholarship

Example

1	2 E	3 M E	4 M	5 S M	6 C E	7 C E
8	9 M	10	11	12	13	14

July **Year: 2023**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
						1 M
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

M 1 E 0 C/S 0

Total 1

August **Year: 2023**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

M 0 E 0 C/S 0

Total 0

September Year: 2023

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

M 0 E 0 C/S 0

Total 0

October Year: 2023

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

M 0 E 0 C/S 0

Total 0

November Year: 2023

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22 M	23	24 M	25 M
26	27	28	29 M	30		

M 4 E 0 C/S 0

Total 4

December Year: 2023

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1 M	2 M
3	4	5	6 M	7	8 M	9 M
10	11	12	13 M	14	15 M	16 M
17	18	19	20 M	21	22 M	23 M
24 M	25	26	27 M	28	29 M	30 M
31 M						

M 16 E 0 C/S 0

Total 16

January Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1 M	2	3 M	4	5 M	6 M
7 M	8	9	10 M	11	12 M	13 M
14 M	15	16	17 M	18	19 M	20 M
21 M	22	23	24 M	25	26 M	27 M
28 M	29	30	31 M			

M 17 E 0 C/S 0

Total 17

February Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
				1	2 M	3 M
4 M	5	6	7 M	8	9 M	10 CM
11 M	12	13	14 M	15	16 M	17 M
18 M	19	20	21 M	22	23 M	24 M
25 M	26	27	28 M	29		

M 15 E 0 C/S 1

Total 16

March Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1 M	2 M
3 M	4	5	6 M	7	8 M	9 CM
10 M	11	12	13 M	14	15 M	16 M
17 M	18	19	20 M	21	22 M	23 M
24 M	25	26	27 M	28	29 M	30 M
31						

M 17 E 0 C/S 1

Total 18

April Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3 M	4	5 M	6 CM
7 M	8	9	10 M	11	12 M	13 CM
14 M	15	16	17 M	18	19 M	20 CM
21 M	22	23	24 M	25	26 M	27 M
28 M	29	30				

M 13 E 0 C/S 3

Total 16

May **Year: 2024**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
			1 M	2	3 M	4 M
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

M 3 E 0 C/S 0

Total 3

June **Year: 2024**

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

M 0 E 0 C/S 0

Total 0

CARDROOM OPERATORS ONLY

Hours of Cardroom Operations

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
10 a.m.	10 a.m.	10 a.m.	10 a.m.	10 a.m.	10 a.m.	10 a.m.
2 a.m.	2 a.m.	2 a.m.	2 a.m.	2 a.m.	3 a.m.	3 a.m.

Year Round? ☑ Yes ☐ No If No, Dates: _____

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

Peter N. Berube	VP/GM	Peter N. Berube	4/27/24
Name (Please Print)	Title (Please Print)	Signature	Date

State of Florida
County of Hillsborough
Sworn to (or affirmed) and subscribed before me this 27 day of April, 2024.
Peter N Berube, who is personally known to me or produces the following as identification:

Kim M. Gregoire
Notary Public
My Commission Expires: 8-25-2027

KIM M GREGOIRE
Notary Public - State of Florida
Commission # HH 391590
My Comm. Expires Aug 25, 2027
Bonded through National Notary Assn.

State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2024026806 **Incident date:** 05/08/2024 **Status:** 10 - Initial Review

Lic Type: 1001 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: TAMPA BAY DOWNS

11225 RACETRACK ROAD, TAMPA,, FL 33626

Respondent: FGCC, PARI-MUTUEL WAGERING

4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER LICENSE CHANGE REQUEST
Tampa Bay Downs (TBRD320)
FISCAL YEAR: 2023/2024
DATE RECEIVED: May 7, 2024
CHANGE REQUESTED: Cancel performance on June 30, 2024.

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
05/08/2024	R		ljelks	JELKS, LA'KESHA	ljelks	
05/08/2024	S	1001	10	Initial Review	ljelks	

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Re:	Tampa Bay Downs, Inc. (TBRD320) 2024/2025 Operating License Amendment A
Case:	2024-027042
Date:	May 8, 2024

Executive Summary

A permitholder applied to amend its operating license on May 8, 2024, by canceling two thoroughbred performances. The Commission should approve this request.

Background

Tampa Bay Downs, Inc. ("Tampa Bay Downs") possesses a valid thoroughbred permit. Tampa Bay Downs was issued a pari-mutuel operating license and cardroom license for the 2024-2025 fiscal year. Tampa Bay Downs' 2024-2025 operating license includes 91 performances (86 matinee and 5 charity). [1] Now, Tampa Bay Downs wants to amend its operating license by canceling two thoroughbred performances for a total of 89 performances (84 matinee and 5 charity) for its schedule.

Analysis

The Commission shall have the authority to approve minor changes in performances after a license has been issued.[2] Tampa Bay Downs has satisfied all requirements and is requesting an amendment from the current year's initial license.

Recommendation: The Florida Gaming Control Commission should approve Tampa Bay Downs, Inc.'s application to amend its 2024-2025 fiscal year operating license schedule.

[1] "Performance" means "a series of events, races, or games performed consecutively under a single admission charge." § 550.002(25), Fla. Stat.

[2] § 550.01215(3), Fla. Stat.

PERMITHOLDER LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: Tampa Bay Downs (TBRD320) **FISCAL YEAR:** 2024/2025

DATE RECEIVED: May 8, 2024 **CASE NUMBER:** 2024- 027042

CHANGE REQUESTED: Canceling two performances on July 1, 2024, and June 30, 2025.

REVIEWER'S NAME: La'Kesha Jelks

Requirement		Met? Y/N	Deficiency Sent	Resolved
Original application form DBPR PMW 3060.		Y		
Original Calendar DBPR PMW 3080.		Y		
Pursuant to FAC 75-4.004(4) Non-objection letters from permitholders within 50 miles (if applicable)		N/A		
75D-2.027 Each performances shall consist of a minimum of 8 races.	Mat:8-12 Eve:	Y		
Matinee start time: (prior to 6pm)	12:25PM	Y		
Evening start time*: (6pm & later)	N/A	Y		
*550.375(2) A harness racing permitholder may conduct harness racing only between the hours of 7 p.m. and 2 a.m. *550.5251(1) A thoroughbred racing permitholder may not begin any race later than 7 p.m.				

CONTACT FROM OTHER FACILITIES WITHIN FIFTY (50) MILES:		OBJECTED? No	Yes	RACING?

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REVENUE SECTION	**REVIEWED**	**INITIALS:**	**DATE:** 5/15/2024
PERMIT ADMIN	**REVIEWED**	**INITIALS:**	**DATE:** 5/20/24
OGC	**REVIEWED**	**INITIALS:**	**DATE:** 5/20/24

Notes/Comments:

Revenue Section: See tabs. ✓

Permit Admin.

OGC:

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number:	320
Permit Type:	TBRD
Permit County:	Hillsborough
Amendment:	A

LICENSE TO CONDUCT PARI-MUTUEL WAGERING

For:

Tampa Bay Downs, Inc.

Licensed to Operate, At the Pari-Mutuel Facility, Located At:

11225 Race Track Road
Tampa, FL 33626
Hillsborough County

Valid From: July 1, 2024
Expires On: June 30, 2025
Permitholder does intend to accept wagers on intertrack or simulcast events.

Issued and dated, this _____ day of June, 2024.

By _____
Louis Trombetta, Executive Director
Florida Gaming Control Commission

This license and attached schedule of live performances are issued in accordance with the Florida Pari-Mutuel Wagering Act and the rules promulgated thereunder. This license shall be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

Tampa Bay Downs 2024/2025 Calendar

(Per License #320A) Page 2 of 2

JULY 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

0 Matinee | 0 Evening | 0 C/S Perf.

AUGUST 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

0 Matinee | 0 Evening | 0 C/S Perf.

SEPTEMBER 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

0 Matinee | 0 Evening | 0 C/S Perf.

OCTOBER 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

0 Matinee | 0 Evening | 0 C/S Perf.

NOVEMBER 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20 Mat	21	22	23 Mat
24	25	26	27 Mat	28	29 Mat	30 Mat

5 Matinee | 0 Evening | 0 C/S Perf.

DECEMBER 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1	2	3	4 Mat	5	6 Mat	7 Mat
8	9	10	11 Mat	12	13 Mat	14 Mat
15	16	17	18 Mat	19	20 Mat	21 Mat
22 Mat	23	24 Mat	25	26	27 Mat	28 Mat
29 Mat	30	31				

14 Matinee | 0 Evening | 0 C/S Perf.

JANUARY 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1 Mat	2	3 Mat	4 Mat
5 Mat	6	7	8 Mat	9	10 Mat	11 Mat
12 Mat	13	14	15 Mat	16	17 Mat	18 Mat
19 Mat	20	21	22 Mat	23	24 Mat	25 Mat
26 Mat	27	28	29 Mat	30	31 Mat	

18 Matinee | 0 Evening | 0 C/S Perf.

FEBRUARY 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1 Mat
2 Mat	3	4	5 Mat	6	7 Mat	8 C/M
9 Mat	10	11	12 Mat	13	14 Mat	15 Mat
16 Mat	17	18	19 Mat	20	21 Mat	22 Mat
23 Mat	24	25	26 Mat	27	28 Mat	

15 Matinee | 0 Evening | 1 C/S Perf.

MARCH 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1 Mat
2 Mat	3	4	5 Mat	6	7 Mat	8 C/M
9 Mat	10	11	12 Mat	13	14 Mat	15 Mat
16 Mat	17	18	19 Mat	20	21 Mat	22 Mat
23 Mat	24	25	26 Mat	27	28 Mat	29 Mat
30 Mat	31					

17 Matinee | 0 Evening | 1 C/S Perf.

APRIL 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
		1	2 Mat	3	4 Mat	5 C/M
6 Mat	7	8	9 Mat	10	11 Mat	12 C/M
13 Mat	14	15	16 Mat	17	18 Mat	19 C/M
20	21	22	23 Mat	24	25 Mat	26 Mat
27 Mat	28	29	30 Mat			

13 Matinee | 0 Evening | 3 C/S Perf.

MAY 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
				1	2 Mat	3 Mat
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

2 Matinee | 0 Evening | 0 C/S Perf.

JUNE 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

0 Matinee | 0 Evening | 0 C/S Perf.

Note: Full-card simulcast schedule subject to change,
Calendar considered informational only.

Initial Date

89 Totals	84 Matinee	0 Evening	5 C/S Perf.

Tampa Bay Downs 2024/2025 Calendar

(Per License #320) Page 2 of 2

JULY 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1 Mat	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

1 Matinee | 0 Evening | 0 C/S Perf.

AUGUST 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

0 Matinee | 0 Evening | 0 C/S Perf.

SEPTEMBER 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

0 Matinee | 0 Evening | 0 C/S Perf.

OCTOBER 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

0 Matinee | 0 Evening | 0 C/S Perf.

NOVEMBER 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20 Mat	21	22	23 Mat
24	25	26	27 Mat	28	29 Mat	30 Mat

5 Matinee | 0 Evening | 0 C/S Perf.

DECEMBER 2024

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1	2	3	4 Mat	5	6 Mat	7 Mat
8	9	10	11 Mat	12	13 Mat	14 Mat
15	16	17	18 Mat	19	20 Mat	21 Mat
22 Mat	23	24 Mat	25	26	27 Mat	28 Mat
29 Mat	30	31				

14 Matinee | 0 Evening | 0 C/S Perf.

JANUARY 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1 Mat	2	3 Mat	4 Mat
5 Mat	6	7	8 Mat	9	10 Mat	11 Mat
12 Mat	13	14	15 Mat	16	17 Mat	18 Mat
19 Mat	20	21	22 Mat	23	24 Mat	25 Mat
26 Mat	27	28	29 Mat	30	31 Mat	

18 Matinee | 0 Evening | 0 C/S Perf.

FEBRUARY 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1 Mat
2 Mat	3	4	5 Mat	6	7 Mat	8 C/M
9 Mat	10	11	12 Mat	13	14 Mat	15 Mat
16 Mat	17	18	19 Mat	20	21 Mat	22 Mat
23 Mat	24	25	26 Mat	27	28 Mat	

15 Matinee | 0 Evening | 1 C/S Perf.

MARCH 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1 Mat
2 Mat	3	4	5 Mat	6	7 Mat	8 C/M
9 Mat	10	11	12 Mat	13	14 Mat	15 Mat
16 Mat	17	18	19 Mat	20	21 Mat	22 Mat
23 Mat	24	25	26 Mat	27	28 Mat	29 Mat
30 Mat	31					

17 Matinee | 0 Evening | 1 C/S Perf.

APRIL 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
		1	2 Mat	3	4 Mat	5 C/M
6 Mat	7	8	9 Mat	10	11 Mat	12 C/M
13 Mat	14	15	16 Mat	17	18 Mat	19 C/M
20	21	22	23 Mat	24	25 Mat	26 Mat
27 Mat	28	29	30 Mat			

13 Matinee | 0 Evening | 3 C/S Perf.

MAY 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
				1	2 Mat	3 Mat
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

2 Matinee | 0 Evening | 0 C/S Perf.

JUNE 2025

Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30 Mat					

1 Matinee | 0 Evening | 0 C/S Perf.

Amendment (1)

Note: Full-card simulcast schedule subject to change, Calendar considered informational only.

LT 3/14/24
Initial Date

91 Totals	86 Matinee	0 Evening	5 C/S Perf.

TAMPA BAY DOWNS

♠ RACING ♥ POKER ♣ GOLF ♦

RECEIVED

2024 MAY -8 PM 1:31

FLORIDA GAMING
CONTROL COMMISSION

April 27, 2024

Ms. Kimberly Ferree
Chief Auditing Officer
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, FL 32399

Re: Schedule Change

Dear Ms. Ferree,

For our 2024/2025 race meet at Tampa Bay Downs, Inc. we would like to amend the dates previously submitted and authorized under License #320 and #CR320.

The change is as follows: Monday, July 01, 2024, and Monday June 30, 2025, matinee performances are being deleted.

The change results in a total of 89 performances with 84 regular and 5 charity performances. The requirement for market area Track approvals no longer apply to us with the passage of CS for SB 8-A

If you have questions or find any deficiencies, please feel free to call me at your convenience at 813/855-4401. Thank you for your assistance.

Sincerely,

Peter N. Berube
Vice President & General Manager

Enclosure: Calendar

PNB/kmg

RECEIVED
2024 MAY -8 PM 1:32
FLORIDA GAMING CONTROL COMMISSION

DBPR PMW-3060 – Permitholder Application for License and Operating Dates

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

INSTRUCTIONS
This form is to be submitted in conjunction with Form DBPR PMW-3080 – Permitholder Calendar and Form DBPR PMW-3190 – Officers and Directors. Check the box that designates the purpose of this form filing: ☐ Application for Annual License and Operating Dates ☑ Application for Amendment to Annual License and Operating Dates

PERMITHOLDER INFORMATION		
Permitholder Name Tampa Bay Downs, Inc.	Permit # 320	FEID# or SSN * [redacted]
Doing Business As (D/B/A) n/a		
MAILING ADDRESS		
Street Address or P.O. Box P.O. Box 2007		
City Oldsmar	State Florida	Zip Code (+4 optional) 34677
County (if Florida address) Pinellas	Country USA	
CONTACT INFORMATION		
Contact Name Peter Berube	Title VP and General Manager	
Primary Phone Number 813-855-4401	Fax Number 813-374-8839	
Primary E-Mail Address pnb@tampabaydowns.com	Cell Phone Number 813-376-1712	
PHYSICAL LOCATION OF PARI-MUTUEL FACILITY		
Street Address 11225 Race Track Road		
City Tampa	State FL	Zip Code (+4 optional) 33626

If there is a lease agreement to operate live performances at another pari-mutuel facility, the applicant shall attach a copy of the lease agreement containing the following information:
(1) The name of the applicant and the lessor;
(2) The address of the applicant and the lessor;
(3) The type of permit held by both the applicant and the lessor;
(4) The exact location where the applicant is currently permitted to conduct pari-mutuel performances;
(5) The exact location where the lessor is currently permitted to conduct pari-mutuel performances; and
(6) The exact location where the applicant intends to conduct pari-mutuel performances pursuant to the lease agreement.

*Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

ADDITIONAL INFORMATION

Since the submission of your last application, has a permit recall/cancellation election been held in your county?
Yes ☐ No ■ If no, please attach a certificate from the Clerk of the Circuit Court or other authorized County Official certifying that the permit has not been recalled.

Has there been any change in ownership interest, officers, partners, or directors; or a change in ownership or location of the pari-mutuel facility? If changed, state fully. If none, state "No change." Use additional pages, if necessary.

no change

Is the applicant incorporated? Yes ■ No ☐ If yes, under the laws of which state? Florida

Please list all officers and directors of the applicant using Form DBPR PMW-3190 – Officers and Directors.

Please document persons who are the bona fide and beneficial owners of the entire stock of the applicant using Form DBPR PMW-3190 – Officers and Directors. If corporation, list name of corporation and stockholders; if partnership, list partners.

Please list the stockholders of record of the applicant using Form DBPR PMW-3190 – Officers and Directors.

Please list the stockholders of the applicant who are subject to a voting trust or have been pledged to a trustee or party other than the beneficial owner using Form DBPR PMW-3190 – Officers and Directors.

Have any persons listed on Form DBPR PMW-3190 – Officers and Directors ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges (other than minor traffic violations) in any state or county? Yes ☐ No ■
If yes, list the individual(s) name, license number and title:

OPERATING SEASON INFORMATION

The applicant desires to conduct a racing/jai alai meet for the 20 24 - 20 25 season during the following period(s). Please follow instructions on calendars attached to permit application to mark days, dates, and types of performances.

Opening Date(s):	Closing Date(s):
July 1,2024	June 30, 2025
Number of Dark Days:	Number of Live Days: 364

Performances

Number of Evening Performances	
Number of Matinee Performances	84
Number of Charity/Scholarship Performances	5
Total Number of Performances	89

Number of races/games during evening performances:	Number of races/games during matinee performances:
Starting time:	Starting time: 12:00-12:35 up to 13 races

For greyhound tracks only:
Do you intend to hold an additional charity day for the greyhound adoption program? Yes ☐ No ☐
If yes, please indicate the date when the "Greyhound Adopt-a-Pet Day" will be held:

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

Peter N. Berube	VP/GM	Peter N Berube	4/27/24
Name (Please Print)	Title (Please Print)	Signature	Date

State of Florida,
County of Hillsborough
Sworn to (or affirmed) and subscribed before me this 27 day of April, 2024,
Peter N Berube, who is personally known to me or produced the following as identification:

Kim M Gregoire
Notary Public
My Commission Expires: 8-25-2027

KIM M GREGOIRE
Notary Public - State of Florida
Commission # HH 391590
My Comm. Expires Aug 25, 2027
Bonded through National Notary Assn.

RECEIVED
2024 MAY -8 PM 1:31
FLORIDA GAMING CONTROL COMMISSION

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

PERMITHOLDER INFORMATION	
Name Tampa Bay Downs, Inc	320

INSTRUCTIONS

Submit this form in conjunction with the form DBPR PMW-3060 – Permitholder Application for License and Operating Dates.

Please do not overlook the cardroom section and the required application oath on page 4.

Please fill in appropriate year, and date below and on the following pages. Using the letter code below, write the type of performance in each box. Fill in the total number of performances for each month.

LETTER CODES

M = Matinee E = Evening C = Charity S = Scholarship

Example

1	2 E	3 M E	4 M	5 S M	6 C E	7 C E
8	9 M	10	11	12	13	14

July Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

M 0 E 0 C/S 0

Total 0

August Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

M 0 E 0 C/S 0

Total 0

September Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

M 0 E 0 C/S 0

Total 0

October Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

M 0 E 0 C/S 0

Total 0

November Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20 M	21	22	23 M
24	25	26	27 M	28	29 M	30 M

M 5 E 0 C/S 0

Total 5

December Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
1	2	3	4 M	5	6 M	7 M
8	9	10	11 M	12	13 M	14 M
15	16	17	18 M	19	20 M	21 M
22 M	23	24 M	25	26	27 M	28 M
29 M	30	31				

M 14 E 0 C/S 0

Total 14

January Year: 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
			1 M	2	3 M	4 M
5 M	6	7	8 M	9	10 M	11 M
12 M	13	14	15 M	16	17 M	18 M
19 M	20	21	22 M	23	24 M	25 M
26 M	27	28	29 M	30	31 M	

M 18 E 0 C/S 0

Total 18

February Year: 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
						1 M
2 M	3	4	5 M	6	7 M	8 CM
9 M	10	11	12 M	13	14 M	15 M
16 M	17	18	19 M	20	21 M	22 M
23 M	24	25	26 M	27	28 M	

M 15 E 0 C/S 1

Total 16

March Year: 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
						1 M
2 M	3	4	5 M	6	7 M	8 CM
9 M	10	11	12 M	13	14 M	15 M
16 M	17	18	19 M	20	21 M	22 M
23 M	24	25	26 M	27	28 M	29 M
30 M	31					

M 17 E 0 C/S 1

Total 18

April Year: 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
		1	2 M	3	4 M	5 CM
6 M	7	8	9 M	10	11 M	12 CM
13 M	14	15	16 M	17	18 M	19 CM
20	21	22	23 M	24	25 M	26 M
27 M	28	29	30 M			

M 13 E 0 C/S 3

Total 16

May **Year:** 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
				1	2 M	3 M
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

M 2 E 0 C/S 0

Total 2

June **Year:** 2025

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

M 0 E 0 C/S 0

Total 0

CARDROOM OPERATORS ONLY

Hours of Cardroom Operations

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
—	—	—	—	—	—	—
—	—	—	—	—	—	—

Year Round? ☐ Yes ☐ No If No, Dates: _____

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

Peter N. Berube	VP/GM	Peter N Berube	4/27/24
Name (Please Print)	Title (Please Print)	Signature	Date

State of Florida
County of Hillsborough
Sworn to (or affirmed) and subscribed before me this 27 day of April, 2024.
Peter N. Berube, who is personally known to me or produces the following as identification: _____

Kim M Gregoire
Notary Public
My Commission Expires: 8-25-2027

KIM M GREGOIRE
Notary Public - State of Florida
Commission # HH 391590
My Comm. Expires Aug 25, 2027
Bonded through National Notary Assn.

State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2024027042 **Incident date:** 05/08/2024 **Status:** 10 - Initial Review

Lic Type: 1001 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: TAMPA BAY DOWNS

11225 RACETRACK ROAD, TAMPA,, FL 33626

Respondent: FGCC, PARI-MUTUEL WAGERING

4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER LICENSE CHANGE REQUEST

PERMITHOLDER: Tampa Bay Downs (TBRD320)
FISCAL YEAR: 2024/2025
DATE RECEIVED: May 8, 2024
CHANGE REQUESTED: Cancel two performances on July 1, 2024, and June 30, 2025.

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
05/09/2024	R		ljelks	JELKS, LA'KESHA	ljelks	
05/09/2024	S	1001	10	Initial Review	ljelks	

4. Discussion of default final orders

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Shakara Jackson
Case Number 2024-000442; Default Final Order
Date: May 23, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") served the Respondent, Shakara Jackson ("Respondent"), with an administrative complaint seeking to exclude her from all pari-mutuel and slot facilities in the state of Florida. Respondent failed to timely respond to the administrative complaint and thereby waived her right to request a hearing contesting this matter. The Division requests that the Florida Gaming Control Commission enter a final order permanently excluding Respondent from all pari-mutuel and slot facilities in the state of Florida.

Background

On December 22, 2023, Respondent visited PPI, Inc. d/b/a Harrah's Pompano Park[1] as a patron. Subsequently, Respondent was excluded from the facility.[2] The reason for the exclusion is included as Exhibit 1 of the Administrative Complaint.

Based on Respondent's exclusion from Harrah's Pompano Park, the Division filed an administrative complaint on April 10, 2024, seeking her exclusion from all pari-mutuel and slot machine facilities in the state of Florida. The election of rights accompanying the administrative complaint notified Respondent that she had 21 days[3] to file a written response in order to preserve her right to request a hearing on the matter. The Respondent was served on April 15, 2024, which means the Respondent had until May 6, 2024, to respond. She has never responded.

---

[1] Harrah's Pompano Park is operated by a permitholder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the state of Florida.

[2] Pari-mutuel permitholders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.

[3] *See* Fla. Admin. Code R. 28-106.111(4) ("Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.")

Analysis

Florida law allows for the exclusion of Respondent from all pari-mutuel and slot machine facilities in the state.

Section 550.0251(6), Florida Statutes, provides that "[t]he Commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state." Further, Section 551.112 provides that "[t]he Commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state."

Therefore, Respondent's ejection from Harrah's Pompano Park – which is both a pari-mutuel facility and slot machine licensee in this state – subjects her to exclusion from all pari-mutuel and slot machine facilities in this state.

Moreover, Respondent failed to file a timely response to Division's administrative complaint and has thus waived his right to request a hearing on the matter.

Staff Recommendation: The Division recommends that the Florida Gaming Control Commission enter a final order permanently excluding the Respondent, Shakara Jackson from all pari-mutuel and slot machine facilities in the state of Florida.

U.S. Postal Service

Certified Mail Receipt

OUTBOUND TRACKING NUMBER
9414 7118 9956 4838 5623 74

RETURN RECEIPT TRACKING NUMBER
9490 9118 9956 4838 5620 19

FEES

Postage per piece	$1.870
Certified Fee	$4.400
Return Receipt Fee	$3.650
Total Postage & Fees:	**$9.920**

ARTICLE ADDRESS TO:

AC – 2024000442 – PMW
Shakara Jackson
799 N Powerline Rd
Pompano Beach FL 33069-2311

Postmark Here

4/10/2024

USPS Tracking®

FAQs >

Remove ×

Tracking Number:

9414711899564838562374

Copy Add to Informed Delivery (https://informeddelivery.usps.com/)

Latest Update

Your item was delivered to an individual at the address at 5:33 pm on April 15, 2024 in POMPANO BEACH, FL 33069.

Get More Out of USPS Tracking:

USPS Tracking Plus®

Delivered

Delivered, Left with Individual

POMPANO BEACH, FL 33069
April 15, 2024, 5:33 pm

See All Tracking History

What Do USPS Tracking Statuses Mean? (https://faq.usps.com/s/article/Where-is-my-package)

Text & Email Updates ⌄

USPS Tracking Plus® ⌄

Product Information ⌄

See Less ^

Track Another Package

Enter tracking or barcode numbers

Feedback

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **4/10/2024**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2024-000442

SHAKARA JACKSON,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Shakara Jackson ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel, slot machine, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.

2. At all times material hereto, Respondent's address was reported as 799 North Powerline Road Pompano, Florida 33069.

3. At all times material hereto, PPI, Inc. d/b/a Harrah's Pompano Beach was a facility operated by a permitholder authorized by the Commission to conduct pari-mutuel wagering, cardroom operations, and slot operations in the state of Florida.

4. On or about December 22, 2023, Respondent was a patron of Harrah's Pompano Beach.

5. On or about January 4, 2024, Respondent was permanently excluded from Harrah's Pompano Beach.

6. Respondent was permanently excluded for the reasons alleged in Exhibit 1.

7. Section 550.0251(6), Florida Statutes, provides in relevant part:

> In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis added).

8. Section 551.112, Florida Statutes, provides:

> In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis added).

9. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida under sections 550.0251(6) and 551.112, Florida Statutes, based on her ejection from Harrah's Pompano Beach on or about January 4, 2024.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida, in accordance with sections 550.0251(6) and 551.112, along with any other remedy provided by chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2024-000442 is signed this 9th day of April 2024.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
FBN: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: +1 (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

EXHIBIT 1

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1098 - Unlicensed Complaints**		Status	**90 Closed**	Status Date **01/17/2024**
Complaint #	**2024000442**	Case Type	**CMP - Complaint**	Disposition	Disposition Date
Docket#		Respondent	**JACKSON, SHAKARA**	Responsible	**bjones - JONES, BRADFORD** **Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	**1**
Class'n	**V-C - Cardroom Violations**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**12/22/2023**
Region	**SR - Southern Region**	Received	**01/03/2024**
Reference	**75-11.005 ; 550.0251**		
Entered	**01/03/2024**	Entered By	**cstubbs1**
Summary	**430 - HARRAHS POMPANO PARK: Rules & Powers and duties of the Florida Gaming Control Commission ; On December 22, 2023 SHAKARA JACKSON in collusion with another Player JAMES BARR engaged in swapping cards while playing ULTIMATE TEXAS HOLD'EM. Their cheating moves were executed multiple times, observer and captured and validated on video in violation of Rule 75-11.005. As a result JACKSON and BARR were lifetime excluded from HARRAHS and should be considered for statewide exclusion**		
Updated	**01/17/2024 15:16:10**	By	**cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	January 4, 2024	**2024 00 0442**

Respondent:	Complainant:
JACKSON, SHAKARA **799 NORTH POWERLINE ROAD** **POMPANO BEACH, FLORIDA 33069**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309** **TEL. - 954-202-3900**

License # and Type:	Profession:	Report Date:
1098 / Patron	N/A	January 4, 2024

Period of Investigation:	Type of Report:
December 28, 2023 to January 4, 2024	**Final**

Alleged Violation: VIOLATION(S) / TITLE(S): Rule 75-11.005 (4) No person shall, either directly or indirectly:
(a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.
(b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.
(c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.
(F.S.S. 550.0251 (6) Powers and duties of the Florida Gaming Control Commission –
(6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state. The commission may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry; however, this subsection shall not be construed to abrogate the common-law right of a pari-mutuel permitholder to exclude absolutely a patron in this state.

Synopsis: On December 22, 2023, Shakara **JACKSON** in collusion with James Barr engaged in swapping cards while playing Ultimate Texas Hold'em. Their cheating moves were executed multiple times, and were observed and captured on video surveillance, which clearly shows that they are in violation of Rule 75-11.005. As a result, **JACKSON** and Barr were lifetime excluded from Harrah's and should be considered for statewide exclusion.

Related Case(s): 2024 00 0489

Investigator / Date January 4, 2024	Investigator Supervisor / Date:
William Smith /	Tyrell D. Smith / January 12, 2024

Chief of Investigations / Date

Bradford D. Jones / January 17, 2024

CONTINUATION

On December 28, 2023 this Investigator was alerted by Harrah's management regarding a possible card switching incident that occurred on December 22, 2023, at approximately 5:17 AM. Card switching in this case involved two players – James Barr and Shakara **JACKSON**. They were playing Ultimate Texas Hold'em (UTH) at table #106, with Barr seated in seat #6 and **JACKSON** seated in seat #7.

After reviewing the surveillance video coverage of Barr & **JACKSON'S** actions, this Investigator noted multiple instances of swapping hole cards[1] between the two players with the intent of creating more favorable winning hands and achieving bonus payouts. Barr & **JACKSON** were identified by their Player's Club Cards **(EXHIBITS #1 & #2**). Barr & **JACKSON** clearly engaged in cheating, harming the Designated Player and were permanently excluded from Harrah's Pompano Park and all Ceasars properties **(EXHIBIT #3).**

Conclusion: Barr & **JACKSON** have violated Rule 75.11005 (4) and because of their actions, both should be considered for Statewide Exclusion in accordance with Florida State Statute 550.0251(6).

Status: Closed by Investigations and forwarded to legal for review.

[1] ***In Ultimate Texas Hold'em (UTH) games players receive two personal (hole) cards and there are five (5) community cards. The player forms their best five card hand from the seven (7) cards in play. There are various bonus payouts in UTH among them a hole card bonus payout using the two personal cards. There are also bonus payouts for three (3) of a kind or better (trips).***

CONTINUATION

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III. EXHIBITS

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State of Florida
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Investigations

2024000489

PROPERTY RECEIPT

Complaint # 20240004422 Date 1/4/24 Lab # _____

Item No.	Quantity	Description
1	1	DVD – Card Swapper
		James Barr 2024000489
		Shackara Jackson 2024000442
		Two Player Colluding Switching
		Cards in Ultimate Holdem

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

Signature – Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature Date

Received by: _____ Date: _____
Received by: _____ Date: _____
Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

Form FGCC 11-75 (Revised 07/22)

EXHIBIT # 2
PAGE # 1

Harrah's Pompano Beach Casino

Video Release and Chain of Custody

Coverage Date: 12.22.23 **Surveillance Video Released:** IN-120525 1 DVD

Coverage Description: Two Players swapping cards.

Authorized By: Miguel Gonzalez

All video listed on this Release and Chain of Custody Receipt, is proprietary to Harrah's Pompano Beach Casino. The video presented is in original and unedited format and may not be duplicated in any manner. The date and time stamp on the video is an accurate representation of when the events took place. All video must be returned to Harrah's Pompano Beach Casino Surveillance Department upon completion of legal proceedings.

Chain of Custody

Released By: _____ **Date:** 1/5/24
Miguel Gonzalez

Released To: _____ **Date:** 1/3/24
William Smith

Re-Released By: _____ **Date:** _____

Re-Released To: _____ **Date:** _____

Returned By: _____ **Date:** _____

Returned To: _____ **Date:** _____

Re-Returned By: _____ **Date:** _____

Re-Returned To: _____ **Date:** _____

EXHIBIT # 2
PAGE # 1

Harrah's
POMPANO BEACH

NOTICE OF EXCLUSION

To: James Barr Date of Birth: [redacted]

SSN: _____ DL#: [redacted] DL State: FL DL expire: 09/23/2028

Height: _____ Weight: _____ Race: _____

Hair Color: _____ Eye Color: _____ Gender: _____

You have been excluded for a specific period of time per the Management of Harrah's Pompano Beach.

On this 4th day of January, 2024, you are hereby ordered to leave the property of Harrah's Pompano Beach. You are further advised that you are not to enter or remain at any time on property. Prior written consent must be obtained from the General Manager in order to be allowed back onto the property.

Your removal and denial of access complies with state applicable laws and is not founded on race, creed, color or national origin.

You are further advised that if in the future you knowingly enter or retain in or upon the grounds or facilities of Harrah's Pompano Beach, you will be considered a trespasser and may be subject to arrest.

Reason for Exclusion: Switching Cards

Address: 5731 SW 21st ST779 N Powerline RD
West Park FL, 33023

Incident Report Number: _____

Caesars Rewards #: 17505745616

Length of Exclusion:

30 Days 90 Days 1 Year 3 Years (Permanent)

Other: _____

Acknowledged by: _____
(Customer Signature)

Issued by: _____ 8a748492

Witness: _____ 00753524

EXHIBIT # 3
PAGE # 1

Harrah's
POMPANO BEACH

NOTICE OF EXCLUSION

To: Shackara M. Jackson Date of Birth: [redacted]

SSN: _____ DL#: [redacted] DL State: FL DL expire: 02/01/2027

Height: _____ Weight: _____ Race: _____

Hair Color: _____ Eye Color: _____ Gender: _____

You have been excluded for a specific period of time per the Management of Harrah's Pompano Beach.

On this 4th day of January, 2024, you are hereby ordered to leave the property of Harrah's Pompano Beach. You are further advised that you are not to enter or remain at any time on property. Prior written consent must be obtained from the General Manager in order to be allowed back onto the property.

Your removal and denial of access complies with state applicable laws and is not founded on race, creed, color or national origin.

You are further advised that if in the future you knowingly enter or retain in or upon the grounds or facilities of Harrah's Pompano Beach, you will be considered a trespasser and may be subject to arrest.

Reason for Exclusion: Switching Cards

Address: 779 N Powerline RD Incident Report Number: _____

Powerline RD 33069 Caesars Rewards #: 17301087770

Length of Exclusion:

30 Days 90 Days 1 Year 3 Years Permanent

Other: _____

Acknowledged by: _____
(Customer Signature)

Issued by: _____ 80074892

Witness: _____ 80075354

EXHIBIT # 3
PAGE # 1

Incident File Full Report

Incident File #IN20230145719

		Record Creation Details	
Date/Time Occurred:	12/22/2023 5:27:38 AM	**Department:**	Surveillance
Day of Week Occurred:	Friday	**Owner:**	800760900
Date/Time Created:	12/22/2023 6:32:42 AM	**Operator ID:**	800760900
Date/Time Closed:		**Operator Name:**	
Closed By:		**Personnel ID:**	
		Card Number:	
		Job Position	
		Secondary Operator:	

Location of Incident:

Property:	Harrah's Pompano Beach
Location:	Pit 2
Sublocation:	

Details of Incident:

Daily Log #:	IN20230145719
Incident Type:	~Criminal Activity
Specific:	Cheating
Category:	Other
Incident Status:	Open
Synopsis:	Guest swapped cards at table 106
Checklist:	

Narrative:

Created On	Created By	Modified On	Modified By
12/22/2023 6:32:45 AI	800760900	12/22/2023 6:49:42 AI	800760900

For the purpose of this report, all times are approximate.

At 0527 hours, on Friday December 22nd 2023, this department was contacted by Poker Supervisor Eric Ortiz requesting a review on Table 106 to see if patrons on seat 6 and 7, swapping cards.

Erick advised that this would have occurred around 0519 hours.

A review as conducted and the following occurred.
At 0517, An unknown black male dressed in black in seat 6 swaps cards with an unknown black female in a grey hoodie.
At 0526 hours, the male departs the table and cashes out the main cage.
At 0527 hours, the female departs the table and cashes out the main cage.
At 0529 hours, male then exits out the east entrance.
At 0530 hours, the female then exits out the east entrance.
End of review.

EXHIBIT # 1
PAGE # 1

Security was informed and Security Shift manager Roxanne Riley responded, but the

Reporting Party:

Supervisor:

guest already departed by time she arrived.
Riley advised that the guest did not play with cards while at the table.

Coverage saved as Criminal IN145719

To be noted a further review is pending,

Created On	Created By	Modified On	Modified By
12/28/2023 12:31:40 P	800748510	12/28/2023 12:31:40 P	800748510

The following Suspects where identified as James Barr & Shackara Jackson.

Executive Brief:

EXHIBIT # 1
PAGE # 2

Reporting Party:	Supervisor:

Tier	Rewards Number	Guest Name	Host (if available)	Dom. Prop.	Tier Score	Credit Status
GOLD	17505745616	JAMES BARR	NONE	IPB	0	1

Guest account has an "8" Local Status

GOLD
JAMES BARR

REWARDS #
DOB
EMAIL
OCCUPATION
DOM PROPERTY
MAIL FLAG
CMS #
LOCAL STATUS
CASINO HOST

[redacted]

REWARD DETAILS

Reward Credits	6,069
Local Free Play	$0.00
Current Tier Score	0
Previous Tier Score	2,888
ADW (6mo/12mo)	47 / 47

LOCAL RESTRICTIONS

No matching local restrictions

CONTACT INFORMATION

Location	Address
Home	[redacted]

GUEST COMMENTS

MERGED ACCOUNTS

PRIORITY MESSAGES

LINKED ACCOUNTS

EXHIBIT # 1
PAGE # 3

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PLATINUM ■
SHACKARA M JACKSON

REWARDS #	17301087770
DOB	[redacted]
EMAIL	phdjackson@yahoo.com
OCCUPATION	POKER PLAYER
DOM PROPERTY	IPB
MAIL FLAG	100
CMS #	IPB 1087770
LOCAL STATUS	Active (A4)
CASINO HOST	NONE

REWARD DETAILS

Reward Credits	2,401
Local Free Play	$0.00
Current Tier Score	0
Previous Tier Score	6,795
ADW (6mo/12mo)	40 / 39

ACTION ITEMS

No App downloaded

Eligible for Visa

LOCAL RESTRICTIONS

No matching local restrictions

CONTACT INFORMATION

Location	Address
Home	779 N POWERLINE RD POMPANO BEACH, FL 33069-2311 USA Phone: (786) 657-9757

GUEST COMMENTS

MERGED ACCOUNTS

PRIORITY MESSAGES

LINKED ACCOUNTS

EXHIBIT # 1
PAGE # 4

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FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1098 - Unlicensed Complaints**			Status	**90 Closed**	Status Date	**01/17/2024**
Complaint #	**2024000442**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**JACKSON, SHAKARA**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	**1**
Class'n	**V-C - Cardroom Violations**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**12/22/2023**
Region	**SR - Southern Region**	Received	**01/03/2024**
Reference	**75-11.005 ; 550.0251**		
Entered	**01/03/2024**	Entered By	**cstubbs1**
Summary	**430 - HARRAHS POMPANO PARK: Rules & Powers and duties of the Florida Gaming Control Commission ; On December 22, 2023 SHAKARA JACKSON in collusion with another Player JAMES BARR engaged in swapping cards while playing ULTIMATE TEXAS HOLD'EM. Their cheating moves were executed multiple times, observer and captured and validated on video in violation of Rule 75-11.005. As a result JACKSON and BARR were lifetime excluded from HARRAHS and should be considered for statewide exclusion**		
Updated	**01/17/2024 15:16:10**	By	**cstubbs1**

☐ Parties ☑ Activities

☑ Allegations ☐ Discipline

☐ Violations ☐ Compliance

☐ Related ☑ Disposition

☐ Inspection

☐ Costs

☐ Time Tracking Auto Assign

☐ Attachments History

☐ Work Notes Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	January 4, 2024	**2024 00 0442**

Respondent:	Complainant:
JACKSON, SHAKARA **799 NORTH POWERLINE ROAD** **POMPANO BEACH, FLORIDA 33069**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309** **TEL. - 954-202-3900**

License # and Type:	Profession:	Report Date:
1098 / Patron	N/A	January 4, 2024

Period of Investigation:	Type of Report:
December 28, 2023 to January 4, 2024	**Final**

Alleged Violation: VIOLATION(S) / TITLE(S): Rule 75-11.005 (4) No person shall, either directly or indirectly:
(a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.
(b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.
(c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.
(F.S.S. 550.0251 (6) Powers and duties of the Florida Gaming Control Commission –
(6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state. The commission may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry; however, this subsection shall not be construed to abrogate the common-law right of a pari-mutuel permitholder to exclude absolutely a patron in this state.

Synopsis: On December 22, 2023, Shakara **JACKSON** in collusion with James Barr engaged in swapping cards while playing Ultimate Texas Hold'em. Their cheating moves were executed multiple times, and were observed and captured on video surveillance, which clearly shows that they are in violation of Rule 75-11.005. As a result, **JACKSON** and Barr were lifetime excluded from Harrah's and should be considered for statewide exclusion.

Related Case(s): 2024 00 0489

Investigator / Date January 4, 2024	Investigator Supervisor / Date:
William Smith /	Tyrell D. Smith / January 12, 2024

Chief of Investigations / Date

Bradford D. Jones / January 17, 2024

CONTINUATION

On December 28, 2023 this Investigator was alerted by Harrah's management regarding a possible card switching incident that occurred on December 22, 2023, at approximately 5:17 AM. Card switching in this case involved two players – James Barr and Shakara **JACKSON**. They were playing Ultimate Texas Hold'em (UTH) at table #106, with Barr seated in seat #6 and **JACKSON** seated in seat #7.

After reviewing the surveillance video coverage of Barr & **JACKSON'S** actions, this Investigator noted multiple instances of swapping hole cards[1] between the two players with the intent of creating more favorable winning hands and achieving bonus payouts. Barr & **JACKSON** were identified by their Player's Club Cards **(EXHIBITS #1 & #2**). Barr & **JACKSON** clearly engaged in cheating, harming the Designated Player and were permanently excluded from Harrah's Pompano Park and all Ceasars properties **(EXHIBIT #3).**

Conclusion: Barr & **JACKSON** have violated Rule 75.11005 (4) and because of their actions, both should be considered for Statewide Exclusion in accordance with Florida State Statute 550.0251(6).

Status: Closed by Investigations and forwarded to legal for review.

[1] ***In Ultimate Texas Hold'em (UTH) games players receive two personal (hole) cards and there are five (5) community cards. The player forms their best five card hand from the seven (7) cards in play. There are various bonus payouts in UTH among them a hole card bonus payout using the two personal cards. There are also bonus payouts for three (3) of a kind or better (trips).***

CONTINUATION

TABLE OF CONTENTS

I. INVESTIGATIVE REPORT COVERSHEET …….. 1

II. INVESTIGATIVE REPORT …….. 2-2

III. EXHIBITS

1. Surveillance Report……1-4
2. DVD……...1-2
3. Lifetime Exclusions…… 1-2

State of Florida
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Investigations

2024000489

PROPERTY RECEIPT

Complaint # 20240004422 Date 1/4/24 Lab # _____

Item No.	Quantity	Description
1	1	DVD - Card Swapper
		James Barr 2024000489
		Shackara Jackson 2024000442
		Two Player Colluding Switching
		Cards in Ultimate Holdem

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

_____
Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.

_____
Signature – Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

_____ _____
Signature Date

Received by: _____ Date: _____
Received by: _____ Date: _____
Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

Form FGCC 11-75 (Revised 07/22)

EXHIBIT # 2
PAGE # 1

Harrah's Pompano Beach Casino

Video Release and Chain of Custody

Coverage Date: 12.22.23 **Surveillance Video Released:** IN-120525 1 DVD

Coverage Description: Two Players swapping cards.

Authorized By: Miguel Gonzalez

All video listed on this Release and Chain of Custody Receipt, is proprietary to Harrah's Pompano Beach Casino. The video presented is in original and unedited format and may not be duplicated in any manner. The date and time stamp on the video is an accurate representation of when the events took place. All video must be returned to Harrah's Pompano Beach Casino Surveillance Department upon completion of legal proceedings.

Chain of Custody

Released By: _____ **Date:** 1/5/24
Miguel Gonzalez

Released To: _____ **Date:** 1/12/24
William Smith

Re-Released By: _____ **Date:** _____

Re-Released To: _____ **Date:** _____

Returned By: _____ **Date:** _____

Returned To: _____ **Date:** _____

Re-Returned By: _____ **Date:** _____

Re-Returned To: _____ **Date:** _____

EXHIBIT # 2
PAGE # 1

Harrah's
POMPANO BEACH

NOTICE OF EXCLUSION

To: James Barr Date of Birth: [redacted]

SSN: _____ DL#: [redacted] DL State: FL DL expire: 09/23/2028

Height: _____ Weight: _____ Race: _____

Hair Color: _____ Eye Color: _____ Gender: _____

You have been excluded for a specific period of time per the Management of Harrah's Pompano Beach.

On this 4th day of January, 2024, you are hereby ordered to leave the property of Harrah's Pompano Beach. You are further advised that you are not to enter or remain at any time on property. Prior written consent must be obtained from the General Manager in order to be allowed back onto the property.

Your removal and denial of access complies with state applicable laws and is not founded on race, creed, color or national origin.

You are further advised that if in the future you knowingly enter or retain in or upon the grounds or facilities of Harrah's Pompano Beach, you will be considered a trespasser and may be subject to arrest.

Reason for Exclusion: Switching Cards

Address: 5731 SW 21st ST779 N Powerline RD
West Park FL, 33023

Incident Report Number: _____

Caesars Rewards #: 17505745616

Length of Exclusion:

30 Days 90 Days 1 Year 3 Years (Permanent)

Other: _____

Acknowledged by: _____
(Customer Signature)

Issued by: 8a748492

Witness: 8075524

EXHIBIT # 3
PAGE # 1

Harrah's
POMPANO BEACH

NOTICE OF EXCLUSION

To: Shackara M. Jackson Date of Birth: [redacted]

SSN: _____ DL#: [redacted] DL State: FL DL expire: 02/01/2027

Height: _____ Weight: _____ Race: _____

Hair Color: _____ Eye Color: _____ Gender: _____

You have been excluded for a specific period of time per the Management of Harrah's Pompano Beach.

On this 4th day of January, 2024, you are hereby ordered to leave the property of Harrah's Pompano Beach. You are further advised that you are not to enter or remain at any time on property. Prior written consent must be obtained from the General Manager in order to be allowed back onto the property.

Your removal and denial of access complies with state applicable laws and is not founded on race, creed, color or national origin.

You are further advised that if in the future you knowingly enter or retain in or upon the grounds or facilities of Harrah's Pompano Beach, you will be considered a trespasser and may be subject to arrest.

Reason for Exclusion: Switching Cards

Address: 779 N Powerline RD
Powerline RD 33069

Incident Report Number: _____

Caesars Rewards #: 17301087770

Length of Exclusion:

30 Days 90 Days 1 Year 3 Years (Permanent)

Other: _____

Acknowledged by: _____
(Customer Signature)

Issued by: 80074892

Witness: 80075354

EXHIBIT # 3
PAGE # 1

Incident File Full Report

Incident File #IN20230145719

		Record Creation Details	
Date/Time Occurred:	12/22/2023 5:27:38 AM	**Department:**	Surveillance
Day of Week Occurred:	Friday	**Owner:**	800760900
Date/Time Created:	12/22/2023 6:32:42 AM	**Operator ID:**	800760900
Date/Time Closed:		**Operator Name:**	
Closed By:		**Personnel ID:**	
		Card Number:	
		Job Position	
		Secondary Operator:	

Location of Incident:

Property:	Harrah's Pompano Beach
Location:	Pit 2
Sublocation:	

Details of Incident:

Daily Log #:	IN20230145719
Incident Type:	~Criminal Activity
Specific:	Cheating
Category:	Other
Incident Status:	Open
Synopsis:	Guest swapped cards at table 106
Checklist:	

Narrative:

Created On	Created By	Modified On	Modified By
12/22/2023 6:32:45 AI	800760900	12/22/2023 6:49:42 AI	800760900

For the purpose of this report, all times are approximate.

At 0527 hours, on Friday December 22nd 2023, this department was contacted by Poker Supervisor Eric Ortiz requesting a review on Table 106 to see if patrons on seat 6 and 7, swapping cards.

Erick advised that this would have occurred around 0519 hours.

A review as conducted and the following occurred.
At 0517, An unknown black male dressed in black in seat 6 swaps cards with an unknown black female in a grey hoodie.
At 0526 hours, the male departs the table and cashes out the main cage.
At 0527 hours, the female departs the table and cashes out the main cage.
At 0529 hours, male then exits out the east entrance.
At 0530 hours, the female then exits out the east entrance.
End of review.

EXHIBIT # 1
PAGE # 1

Security was informed and Security Shift manager Roxanne Riley responded, but the

Reporting Party:

Supervisor:

guest already departed by time she arrived.
Riley advised that the guest did not play with cards while at the table.

Coverage saved as Criminal IN145719

To be noted a further review is pending,

Created On	Created By	Modified On	Modified By
12/28/2023 12:31:40 P	800748510	12/28/2023 12:31:40 P	800748510

The following Suspects where identified as James Barr & Shackara Jackson.

Executive Brief:

EXHIBIT # 1
PAGE # 2

Reporting Party:	Supervisor:

Tier	Rewards Number	Guest Name	Host (if available)	Dom. Prop.	Tier Score	Credit Status
GOLD	17505745616	JAMES BARR	NONE	IPB	0	

Guest account has an "I8" Local Status

GOLD
JAMES BARR

REWARDS #
DOB
EMAIL
OCCUPATION
DOM PROPERTY
MAIL FLAG
CMS #
LOCAL STATUS
CASINO HOST

[redacted]

REWARD DETAILS

Reward Credits	6,069
Local Free Play	$0.00
Current Tier Score	0
Previous Tier Score	2,888
ADW (6mo/12mo)	47 / 47

LOCAL RESTRICTIONS

No matching local restrictions

CONTACT INFORMATION

Location	Address
Home	[redacted]

GUEST COMMENTS

MERGED ACCOUNTS

PRIORITY MESSAGES

LINKED ACCOUNTS

EXHIBIT # 1
PAGE # 3

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PLATINUM
SHACKARA M JACKSON

REWARDS #	17301087770
DOB	[redacted]
EMAIL	phdjackson@yahoo.com
OCCUPATION	POKER PLAYER
DOM PROPERTY	IPB
MAIL FLAG	100
CMS #	IPB 1087770
LOCAL STATUS	Active (A4)
CASINO HOST	NONE

REWARD DETAILS

Reward Credits	2,401
Local Free Play	$0.00
Current Tier Score	0
Previous Tier Score	6,795
ADW (6mo/12mo)	40 / 39

ACTION ITEMS

No App downloaded

Eligible for Visa

LOCAL RESTRICTIONS

No matching local restrictions

CONTACT INFORMATION

Location	Address
Home	779 N POWERLINE RD POMPANO BEACH, FL 33069-2311 USA Phone: (786) 657-9757

GUEST COMMENTS

MERGED ACCOUNTS

PRIORITY MESSAGES

LINKED ACCOUNTS

EXHIBIT # 1
PAGE # 4

This is a LEAP Team managed application © 2024 Caesars Entertainment

MEMORANDUM

To:	The Florida Gaming Control Commission
From:	Division of Pari-Mutuel Wagering
Through:	Emily Alvarado, Deputy Chief Attorney
Re:	FGCC v. Jennifer A. Hayford Quinones Case No. 2024-003189; Default Final Order
Date:	May 17, 2024

Executive Summary

The Division of Pari-Mutuel Wagering ("Division") seeks to suspend the Pari-Mutuel Wagering Professional Individual Occupational License held by the respondent, Jennifer A. Hayford Quinones ("Respondent"). Respondent failed to timely respond to the Division's administrative complaint, thereby waiving her right to request a hearing contesting the matter.

The Division recommends that the Florida Gaming Control Commission enter a final order suspending Respondent's Pari-Mutuel Wagering Professional Individual Occupational License.

Background

On September 7, 2023, a default final judgment of $6,152.06 for unpaid financial obligations was entered against Respondent in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida in case number 2023-SC-005606. Respondent's unpaid financial obligations are related to racing conducted at a pari-mutuel facility in the state of Florida – specifically, the veterinary services provided to Respondent at that facility.

Consequently, on April 10, 2024, the Division filed an administrative complaint seeking the suspension of Respondent's Pari-Mutuel Wagering Professional Individual Occupational License.

Respondent was served with the administrative complaint on April 15, 2024. The election of rights accompanying the administrative complaint notified Respondent that she had 21 days[1] to file a written response in order to preserve her right to request a hearing on the matter. Respondent failed to respond in a timely manner, which would have been no later than May 6, 2024.

[1] *See* Fla. Admin. Code R. 28-106.111(4) ("Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.")

Analysis
Section 550.105(7), Florida Statutes, provides that:

> [t]he Commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

Respondent defaulted on a financial obligation directly relating to the sport of racing being conducted at a pari-mutuel facility within this state. Her license is therefore subject to suspension or revocation.

Moreover, Respondent failed to file a timely response to Division's administrative complaint and has thus waived his right to request a hearing on the matter.

Staff Recommendation: The Division recommends that the Florida Gaming Control Commission enter a final order suspending the Pari-Mutuel Wagering Professional Individual Occupational License held by the Respondent, Jennifer A. Hayford Quinones, until such time as the Commission receives confirmation that payment has been satisfied.

U.S. Postal Service
Certified Mail Receipt

OUTBOUND TRACKING NUMBER
9414 7118 9956 4838 5282 88

RETURN RECEIPT TRACKING NUMBER
9490 9118 9956 4838 5282 37

FEES

Postage per piece	$1.630
Certified Fee	$4.400
Return Receipt Fee	$3.650
Total Postage & Fees:	**$9.680**

ARTICLE ADDRESS TO:

AC – 2024003189 - PMW
Jennifer A Hayford-Quinones
8898 Lyons Rd
Boynton Beach FL 33472-4306

Postmark
Here

4/10/2024

USPS Tracking®

FAQs >

Remove ×

Tracking Number:

9414711899564838528288

Copy Add to Informed Delivery (https://informeddelivery.usps.com/)

Latest Update

Your item was delivered to an individual at the address at 1:43 pm on April 15, 2024 in BOYNTON BEACH, FL 33472.

Get More Out of USPS Tracking:

USPS Tracking Plus®

Delivered

Delivered, Left with Individual

BOYNTON BEACH, FL 33472
April 15, 2024, 1:43 pm

See All Tracking History

What Do USPS Tracking Statuses Mean? **(https://faq.usps.com/s/article/Where-is-my-package)**

Text & Email Updates ⌄

USPS Tracking Plus® ⌄

Product Information ⌄

See Less ^

Feedback

Track Another Package

Enter tracking or barcode numbers

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2024-003189

JENNIFER A. HAYFORD-QUINONES,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Jennifer A. Hayford-Quinones ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to chapter 550, Florida Statutes.

2. At all times material hereto, Respondent held a Pari-Mutuel Professional Individual Occupational License, 980255-1021 issued pursuant to section 550.105(2)(a), Florida Statutes.

3. On or about September 7, 2023, a default judgment of $6152.06 was entered against Respondent for unpaid financial obligations regarding veterinary services in the County Court of the Fifteenth Judicial Circuit in and for Palm Beach County, Florida, in case number 2023-SC-005606.

4. These unpaid financial obligations relate to racing conducted at a pari-mutuel facility in the state of Florida.

5. Pursuant to section 550.105(7), Florida Statutes:

> The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

6. Based on the foregoing, Respondent violated section 550.105(7), Florida Statutes, by accumulating unpaid obligations or defaults in obligations that directly related to racing conducted at a pari-mutuel facility in the state of Florida.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties the Commission is authorized to impose pursuant to chapter 550.105(7), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2024-003189 is signed this 9th day of April, 2024.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1021 - Pari-Mutuel Wagering Individual Occupational**			Status	**90 Closed**	Status Date	**01/19/2024**
Complaint #	**2024003189**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**HAYFORD-QUINONES, JENNIFER A**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**CNSM - Consumer**	Security Level	**1**
Form	**PCOM - PMW Complaint**	Priority	**1**
Class'n	**IV-A - Financial Responsibility**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**09/07/2023**
Region	**SR - Southern Region**	Received	**01/17/2024**
Reference	**550.105**		
Entered	**01/18/2024**	Entered By	**cstubbs1**

Summary: **326 - Palm Meadows Training Center : Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines - On January 17, 2024, a copy of a Default Final Judgment, Case #2023-SC-005606 was received generated via the Florida Gaming Control Commission's Complaint website and forwarded to Chief of Investigations Bradford D. Jones. This complaint was submitted by Attorney Lisa M Boswell. Per said Default Final Judgment filed on September 7, 2023, in the County Court in and for Palm Beach County, Florida, in Division RA, Yarbrough & Associates, DVM, P.A., shall recover from Jennifer Quinones aka Jenniffr A. Hayford-Quinones, the sum of $5,538.51, plus court costs of $613.55 for a total judgment of $6,152.06 which shall accrue at the rate of 7.69% per annum and thereafter at the rate as adjusted each quarter as provided by Florida Statute.**

Updated **01/22/2024 14:26:59** By **cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

Change | Save | OK | Cancel | Back

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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**January 17, 2024**	**2024 00 3189**

Respondent:	Complainant:
HAYFORD-QUINONES, JENNIFER A. **8898 LYONS ROAD** **BOYNTON BEACH, FLORIDA 33472** **(352) 207-5787** JHayford.jQ1@gmail.com **AKA QUINONES, JENNIFER**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309** **TEL. - 954-202-3900**

License # and Type:	Profession:	Report Date:
980255 - 1021	**Individual Animal Owner**	**January 19, 2024**

Period of Investigation:	Type of Report:
January 17, 2024, through January 19, 2024	**Final**

Alleged Violation: F.S.S. 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. –
(7) "The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state."

Synopsis: On January 17, 2024, a copy of a Default Final Judgment against Jennifer A. **HAYFORD-QUINONES** aka Jennifer Quinones, in favor of Yarbrough & Associates DVM, P.A., Case No. 2023-SC-005606, Division RA, in the County Court in and for Palm Beach County, Florida, ordered on September 07, 2023, was generated via the Florida Gaming Control Commission's complaint website and forwarded to Chief of Investigations, Bradford D. Jones.

Per said judgment, Yarbrough & Associates DVM, P.A., shall recover from **HAYFORD-QUINONES aka QUNONIES**, the principle sum of $5,538.51, plus court costs of $613.55 for a total judgment of $6,152.06 which shall bear interest at the rate of 7.69% per year **(EXHIBIT #1).**

Related Case(s):

Investigator / Date	Investigator Supervisor / Date
	Julio Minaya / January 19, 2024

Chief of Investigations / Date

Bradford D. Jones / January 19, 2024

CONTINUATION

A check of VERSA records reflect that Jennifer A. **HAYFORD**-**QUINONES** aka Jennifer Quinones, obtained a 3-year Florida PMW Occupational License as an Individual Animal Owner on October 7, 2021. This license expires on June 30, 2024. Versa also disclosed an active Enforcement Alert placed on her license on July 20, 2021, for an outstanding financial obligation. A copy of her licensing information is attached as **EXHIBIT #2**.

In the complaint from Attorney Lisa M. Boswell dated January 17, 2024, she states that the original amount of $5,538.51, is for veterinary services provided by her client at a Florida Pari-Mutuel facility where **HAYFORD-QUINONES** aka Quinones, stables her horses. Boswell also provided copies of the Original Complaint and invoices related to his case. These documents are attached as **EXHIBITS #1 & 3.**

On January 19, 2024, an Enforcement Alert was placed on Jennifer A. **HAYFORD**-**QUINONES** aka Jennifer Quinones, license record in VERSA to reflect this unsatisfied Financial Obligation. A copy of the License Action Request Form and VERSA alert is attached as **EXHIBIT #4**.

Conclusion: HAYFORD-QUINONES aka Quinones, is in violation of F.S.S. 550.105(7) for failing to satisfy the Final Judgment.

Status: Case is closed by Investigations and forwarded to Legal for review.

CONTINUATION

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3. Original Court Complaint & Invoices…….. 1-13
4. License Enforcement Alert…….. 1-2

Julio Minaya

From: Bradford Jones
Sent: Wednesday, January 17, 2024 2:41 PM
To: Julio Minaya
Subject: FINANCIAL RESPONSIBILITY MATTER
Attachments: 3488 Quinones Judgment.pdf; Report; 3488.pdf; 3488 audio.mp3

Follow Up Flag: Follow up
Flag Status: Flagged

Julio,

Please note the attached. This is a financial responsibility case, its just coming from a different attorney.

Florida Gaming Control Commission
flgaming.gov

Bradford D. Jones
Chief of Investigations
Division of Pari-Mutuel Wagering
Office of Investigations
754 288 1006 Office 954 658 3696 Cell

[illegible]

EXHIBIT #/
PAGE #/

File a Report

Row 3471

Reference # (auto generated)	Reference03488
Do you wish to file a report anonymously?	No
Name of person making report	Lisa M. Boswell, Esq.
Email Address	lisa@floridaequinelaw.com
Telephone number	+1 (561) 227-1529
Person or Facility the report is against	Jennifer A. Quinones a/k/a Jennifer A. Hayford-Quinones
Address if known	8898 Lyons Road, Boynton Beach, FL 33472
County if known	Palm Beach
Report is against a	Individual
Business Type	Other
Please explain the issue you are wishing addressed	My client, Yarbrough & Associates, DVM, PA, obtained a judgment against Ms. Quinones, license PIN980255, for her failure to pay for veterinary services rendered on her race horses. My client obtained a judgment against Ms. Quinones on Sept. 7, 2023 in the amount of

EXHIBIT # 1
PAGE # 2

	$6,152.06 plus interest at 7.69% per annum. Ms. Quinones has failed and refused to pay any amount of the judgment. Ms. Quinones is currently racing horses at Gulfstream Park, most recently on Jan. 14, 2024.
Date Issue Occured	09/07/23
Category of Contact	Report
Categorization	Licensed Pari-Mutuel
Assigned To (Email address)	Bradford Jones
Status	Assigned
Secondary Assignee	
Actions Taken	Report received and forwarded to be investigated further. Emailed response and imported as an attachment
Staff Comments	
Date Closed	
Latest Comment	
Date Created	01/17/24 11:30 AM
Additional information	
State (Auto Populate)	Florida
Number of Days	

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PAGE # 3

Average Calculation

EXHIBIT # 1
PAGE # 4

IN THE COUNTY COURT IN AND FOR PALM BEACH COUNTY, FLORIDA

YARBROUGH & ASSOCIATES, DVM, P.A.,
Plaintiff,

v.

Case No.: 2023-SC-005606

JENNIFER QUINONES,
Defendant.
_____/

Division: RA

DEFAULT FINAL JUDGMENT

THIS CAUSE came before the Court on *Plaintiff's Motion for Default Final Judgment* filed on September 5, 2023.

The Court, having reviewed Plaintiff's Motion, the docket in this case, the Affidavit of Service filed on August 14, 2023 showing the Defendant, JENNIFER QUINONES was served on August 3, 2023 and that an Order of Default against the Defendant was rendered on August 17, 2023 on being otherwise fully advised in the premises, it is hereby

ORDERED AND ADJUDGED that Plaintiff's *Motion for Default Final Judgment* is GRANTED. Plaintiff, YARBROUGH & ASSOCIATES, DVM, P.A., whose address is P.O. Box 1429, Loxahatchee, Florida 33470, shall have judgment against Defendant, JENNIFER QUINONES, whose address is 4456 N.W. 45th Avenue, Ocala, Florida 34482, for damages in the principal sum of $5,538.51 plus court costs of $613.55 for a total judgment of **$6,152.06** for which sum let execution issue forthwith. Post judgment interest shall accrue at the rate of 7.69 % per annum and thereafter at the rate as adjusted each quarter as provided for by Florida Statute. It is further

ORDERED AND ADJUDGED that the Defendant JENNIFER QUINONES, shall complete under oath Florida Small Claims Rules Form 7.343 (Fact Information Sheet for

EXHIBIT # 1
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Individuals), including all required attachments, and serve it on the Plaintiff's attorney within 45 days from the date of this Default Final Judgment, unless the Default Final Judgment is satisfied or post-judgment discovery is stayed. Jurisdiction of this case is retained to enter further orders that are proper to compel the Defendant to complete Form 7.343 including all required attachments, and serve it on the Plaintiff's attorney.

DONE AND ORDERED in Chambers in Palm Beach County, Florida on September __7__, 2023.

502023SC005606XXXXWB 09/07/2023
Sara Alijewicz County Judge

502023SC005606XXXXWB 09/07/2023
Sara Alijewicz
County Judge

THE HONORABLE SARA ALIJEWICZ
COUNTY COURT JUDGE

Copies furnished to:

Lisa M. Boswell, Esq. at lisa@floridaequinelaw.com
Attorney for Plaintiff

Jennifer Quinones
4456 N.W. 45th Avenue
Ocala, FL 34482

EXHIBIT # 1
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EXHIBIT # 1
PAGE # 7

Julio Minaya

From: Contact Us
Sent: Wednesday, January 17, 2024 11:55 AM
To: lisa@floridaequinelaw.com
Subject: Report

Hello,

We have received your report pertaining to the judgement against Jennifer A Hayford-Quinones and it has been forwarded to the appropriate party. If you have any additional questions, please feel free to contact our office via email or phone.

Sincerely,

Florida Gaming Control Commission
flgaming.gov

Cyntoria Harris,
Government Operations Consultant I
Office of the Executive Director
(850) 794-8123 Office

EXHIBIT # 1
PAGE # 8

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jminaya**

VR Home > License Search > **Display Alerts**

Fed Tax # [redacted]		Lic Type	**1021 - PMW Professional Individual Occupational**	Expires On	**06/30/2024**
File #	**13706**	Name	**HAYFORD-QUINONES, JENNIFER A**	Extended To	
License #	**980255**	Rank	**PIND - Professional Individual Occupational**	Renewed On	
Entity #	**980255**	Lic Status	**Current**		

Description	Effective	Notes
Enforcement Alert	07/20/2021	☑

OK

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EXHIBIT # 2
PAGE # 1

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain: 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > **License Home**

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2024**

File # **13706** Name **HAYFORD-QUINONES, JENNIFER A** Extended To

License # **980255** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **980255** Lic Status **Current**

Address

Street # **8898** Street **LYONS ROAD**

Line 2

Line 3

City **BOYNTON BEACH** State **FL** Zip **33472**

Routing

Other

1st License Date **10/07/2021** Rank Date **10/07/2021** Certificate #

Method **I-S-1024** Status Date **10/07/2021** Certificate Date **09/26/2001**

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	07/20/2021	Restriction, Ref: 2021-033092
C	IOWN - Individual Animal Owner	07/04/2021	
C	TRNR - Trainer		
I	TBRD - Thoroughbred		
L	321 - Gulfstream Park	07/07/2018	
Y	3YR - 3 Year License	06/11/2004	

EXHIBIT # 2
PAGE # 2

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home > **Basic Entity Data**

Basic Entity Data | List of Addresses | List of Names

Lic Type **1021 - PMW Professional Individual Occupational** Entity # **980255**

Fed Tax # [redacted] Fed Tax Type **SSN #** Name **HAYFORD-QUINONES, JENNIFER A**

Last Name **HAYFORD-QUINONES** First **JENNIFER** Middle **A**

Title Suffix Qualifier

Gender **F** Race **2 - White**

Driver License # Birth Date [redacted]

Mailing Address ☑ Private Address ☐ Preferred Name ☑

Street # **8898** Street **LYONS ROAD**

Line 2

Line 3

City **BOYNTON BEACH** County **Palm Beach**

Zip **33472** State **Florida** Country **United States**

Routing

Phone # **352-207-5787** Ext E-Mail **JHayford.jQ1@gmail.com**

Insp Region Receive Email ☐

Updated **10/21/2021 10:58:48** By **dmatthews**

☑ Notes | Notes History | Fix | Change | Save | OK | Cancel | Back

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EXHIBIT # 2
PAGE # 3

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jminaya**

VR Home > License Search > License Home > View License Event Log > **License Modifier History**

Fed Tax #	[redacted]	Lic Type	**1021 - PMW Professional Individual Occupational**	Expires On	**06/30/2024**
File #	**13706**	Name	**HAYFORD-QUINONES, JENNIFER A**	Extended To	
License #	**980255**	Rank	**PIND - Professional Individual Occupational**	Renewed On	
Entity #	**980255**	Lic Status	**Current**		

App #			
Trans Class		Sec Class	
Trans Code			

Action	**Change**
Modifier Type	**A**
Modifier Code	**ENFO - Enforcement Alert**
Effective from	**07/20/2021**
Expires On	
Notes	**4/4/2022 - VERIFY THAT PAYMENT PLAN IMPOSED IN FINAL ORDER IS CURRENT BEFORE RENEWING LICENSE - Ref # 2021-033092 - Final Order Filed: Proof of Payment or Attempt to make payment of $150/month to Gulfstream Horsemen's Purchasing Assoc, Inc., must be submitted to the Division by the 15th of each month. First proof is due by 5/15/22. Failure to provide proof as stated above shall result in license suspension until unpaid financial obligation is satisfied. DO NOT LICENSE! Unsatisfied Final Judgment pending against Jennifer A. HAYFORD-QUINONES, in favor of Gulfstream Horsemen's Purchasing Association Inc., Case # COCE-21013181, Division 56, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of $7,155.60 plus 4.25% interest per year. (jminaya - 7/20/21)**

Updated By	**Sep 18, 2023, 4:29:53 PM**	By	**dfleming**

< >

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EXHIBIT #2
PAGE # 4

Filing # 170705797 E-Filed 04/11/2023 08:00:18 AM

IN THE COUNTY COURT IN AND FOR PALM BEACH COUNTY, FLORIDA

YARBROUGH & ASSOCIATES, DVM, P.A.,
Plaintiff,

v.

JENNIFER QUINONES,
Defendant.
_____/

Case No.:

Division:

COMPLAINT

Plaintiff, YARBROUGH & ASSOCIATES, DVM, P.A., a Florida corporation, ("Plaintiff") by and through its undersigned counsel, sues Defendant, JENNIFER QUINONES, and states:

Parties, Jurisdiction, and Venue

1. This is an action for damages not exceeding $8,000.00 exclusive of interest and costs.
2. Plaintiff is a Florida corporation formerly known as YARBROUGH & RABENSTEIN, DVM, P.A. and now known as YARBROUGH & ASSOCIATES, DVM, P.A. ("Plaintiff"), organized and existing under the laws of the state of Florida with its principal place of business in Palm Beach County, Florida.
3. JENNIFER QUINONES (hereafter "Defendant") is a *sui juris* individual who, on information and belief, resides in Pasco County, Florida and does business in Palm Beach County, Florida.
4. Venue is appropriate in Palm Beach County because, at all times material, Defendant was doing business in Palm Beach County, Plaintiff rendered services for Defendant in Palm Beach County, and payment was due and owing in Palm Beach County, Florida.

Background

5. Plaintiff is a veterinary practice providing veterinary care exclusively to horses.

EXHIBIT #3
PAGE #1

6. At Defendant's request, Plaintiff rendered veterinary services as detailed on the statement of account attached hereto as **Exhibit A.**
7. Plaintiff incurred costs in connection with providing said veterinary services, which were not rendered gratuitously.
8. Plaintiff provided Defendant with statements of account in person and email monthly.
9. Defendant has failed and refused to pay the amount owed to Plaintiff, despite Plaintiff's numerous requests for payment.
10. Defendant owes Plaintiff $5,538.51.
11. Plaintiff has been damaged as a result of Defendant's failure and refusal to pay the balance due.
12. All conditions precedent to the filing of this action have been performed, waived, or excused.

COUNT I – BREACH OF ORAL CONTRACT

13. Plaintiff re-asserts and incorporates by reference ¶¶ 1- 12 above as if fully stated herein.
14. Plaintiff and Defendant entered into an oral contract whereby Defendant requested that Plaintiff render veterinary services upon Defendant's horses.
15. Plaintiff provided the requested veterinary services for Defendant's horses.
16. Defendant promised to pay Plaintiff for the veterinary services rendered.
17. Despite Plaintiff fulfilling its obligations to Defendant, Defendant has breached the contract by failing and refusing to pay Plaintiff for the veterinary services rendered.
18. As a direct and proximate result of Defendant's breach of contract, Plaintiff has been damaged.

EXHIBIT #3
PAGE # 2

WHEREFORE, Plaintiff YARBROUGH & ASSOCIATES, DVM, P.A. respectfully requests this Honorable Court to enter judgment in its favor for damages in the amount of $5,538.51 against Defendant JENNIFER QUINONES plus pre-and post-judgment interest, all costs of this action and such other and further relief this Court deems just and proper.

COUNT II – ACCOUNT STATED

19. Plaintiff realleges and incorporates by reference ¶¶ 1- 12 above as if fully set forth herein.
20. Before the institution of this action, Plaintiff and Defendant had business transactions between them and in or about December 2021 they agreed to the resulting balance.
21. Plaintiff rendered statements of said business transactions to Defendant, numerous times.
22. Defendant did not object to the charges on the statements.
23. Defendant owes Plaintiff the sum of $5,538.51.

WHEREFORE, Plaintiff YARBROUGH & ASSOCIATES, DVM, P.A. respectfully requests this Honorable Court to enter judgment in its favor for damages in the amount of $5,538.51 against Defendant JENNIFER QUINONES plus pre-and post-judgment interest, all costs of this action and such other and further relief this Court deems just and proper.

By: /s/ *Lisa M. Boswell*
Fla. Bar No.: 0105067
Attorney for Plaintiff

Lisa M. Boswell, P.A.
12161 Ken Adams Way
Suite 110-D1
Wellington, Florida 33414
Telephone: (561) 227-1529
Email:Lisa@FloridaEquineLaw.com

EXHIBIT #3
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EXHIBIT "A"

EXHIBIT #3
PAGE #4

STATEMENT

YARBROUGH & ASSOCIATES, DVM PA
Drs. Yarbrough & Allyn
P.O. Box 1429
Loxahatchee, FL 33470-1429

ACCOUNT #	DATE
4038	1/24/2023

TOTAL AMOUNT DUE
$5,538.51

JENNIFER QUINONES
5740 RIDDLE ROAD
HOLIDAY, FL 34690

Trainer: JENNIFER QUINONES

PLEASE DETACH AND RETURN WITH YOUR PAYMENT

PATIENT	DATE	DESCRIPTION	QTY	CHARGES	PAYMENTS	DR#	BALANCE
					Previous Balance:		**$1,607.70**
	6/25/2020	*Discounts; February*			-$416.00		
		Discounts; March			-$262.00		
		Discounts; April			-$150.00		
		Discounts; May			-$212.00		
		Discounts; June			-$84.00		
	7/16/2020	*Check Payment #[redacted] Thank you!*			-$500.00		
	8/18/2020	*Check Payment #[redacted] Thank you!*			-$500.00		
	9/7/2020	*Check Payment #[redacted] Thank you!*			-$300.00		
BEAUTIFUL DIVA		**75.00%**					
	2/25/2020	LIPOTROPH INJ.		$15.00		2	
		MISOPROSTOL SOLUTION - 120ML		$75.00		2	
	3/2/2020	250gm CARAFATE SUSP.		$60.00		40	
	3/5/2020	EXAM		$0.00		40	
		FULVACIN PASTE		$33.75		40	
		TOLTRAZURIL PASTE		$75.00		40	
		EPM SUSPENSION (DAILY)		$90.00		40	
	3/24/2020	STABLE SUPPLIES: March: Acepromazine Suspension, Butecort Sweat		$15.19		9	
	3/25/2020	EPM SUSPENSION (DAILY)		$90.00		40	
	3/27/2020	250gm CARAFATE SUSP.		$60.00		40	
	3/28/2020	EXAM		$0.00		40	
		SERUM AMYLOID A BLOOD TEST		$33.75		40	
		RE-EXAM		$0.00		40	
		3 x GENTAMICIN INJ.		$30.00		40	
		BANAMINE INJ.		$22.50		40	
	3/29/2020	EXAM (RESPIRATORY)		$0.00		40	
		3 x GENTAMICIN INJ.		$30.00		40	
		BANAMINE INJ.		$22.50		40	
	3/30/2020	3 x GENTAMICIN INJ.		$30.00		40	
	3/31/2020	3 x GENTAMICIN INJ.		$30.00		2	
	4/21/2020	EPM SUSPENSION (DAILY)		$90.00		40	
	5/1/2020	250gm CARAFATE SUSP.		$60.00		40	
	5/21/2020						

EXHIBIT #3
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STATEMENT-continued

PATIENT	DATE	DESCRIPTION	QTY	CHARGES	PAYMENTS	DR#	BALANCE
		POST WORK LARYNGOSCOPIC EXAM		$45.00		40	
		EQUISUL SDT SUSPENSION 280ML		$51.00		40	
	5/27/2020	COMPLETE BLOOD COUNT		$30.00		40	
	6/17/2020	COMPLETE BLOOD COUNT		$30.00		40	
	6/24/2020	STABLE SUPPLIES; June: Acepromazine Suspension + Carafate		$34.29		9	
	7/11/2020	DORMOSEDAN INJ. (PRE-DENTAL)		$30.00		40	
	7/24/2020	STABLE SUPPLIES; July: Carafate Suspension + Butecort Sweat		$16.25		9	
	9/1/2020	KETOFEN INJ.		$17.50		40	
	9/2/2020	PHENYLBUTAZONE INJ.		$7.50		40	
		N-BUTYL INJ.; P.M.		$12.50		40	
	9/19/2020	CACO COPPER, LIVER, IRON & VITS. INJ.		$12.50		2	
	9/21/2020	CACO COPPER, LIVER, IRON & VITS. INJ.		$12.50		2	
	9/24/2020	STABLE SUPPLIES; September: Acepromazine Suspension, Butecort Sweat, Phenylbutazone Powder		$26.24		9	
		Total for BEAUTIFUL DIVA: $1,187.97					
CHIEF WHITE SOX		**50.00%**					
	3/24/2020	STABLE SUPPLIES; March: Acepromazine Suspension, Butecort Sweat		$10.12		9	
	3/27/2020	250gm CARAFATE SUSP.		$40.00		40	
	4/3/2020	PENTOSAN INJ.		$37.50		40	
		CACO COPPER, LIVER, IRON & VITS. INJ.		$12.50		40	
	4/4/2020	PHENYLBUTAZONE INJ.		$7.50		40	
		THEELIN INJ.		$17.50		40	
	4/24/2020	POLYGLYCAN INJ.		$42.50		40	
		500cc I. V. FLUIDS + VITAMINS		$17.50		40	
	4/25/2020	PHENYLBUTAZONE INJ.		$7.50		40	
		THEELIN INJ.		$17.50		40	
		MAGNESIUM PASTE		$11.25		40	
	5/1/2020	250gm CARAFATE SUSP.		$40.00		40	
	5/6/2020	POLYGLYCAN INJ.		$42.50		40	
		500cc I. V. FLUIDS + VITAMINS		$17.50		40	
	5/7/2020	PHENYLBUTAZONE INJ.		$7.50		40	
		THEELIN INJ.		$17.50		40	
	5/23/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		ROMPUN, ACE PROMAZINE INJ.		$15.00		40	
		HYVISC LEFT FRONT FETLOCK; + VETALOG & AMIKACIN		$82.50		40	
	5/27/2020	CACO COPPER, LIVER, IRON & VITS. INJ.		$12.50		40	
		POLYGLYCAN INJ.		$42.50		40	
	5/28/2020	PHENYLBUTAZONE INJ.		$7.50		40	
		THEELIN INJ.		$17.50		40	
	6/24/2020	STABLE SUPPLIES; June: Acepromazine Suspension + Carafate		$22.86		9	

EXHIBIT #3
PAGE # 6

STATEMENT-continued

PATIENT	DATE	DESCRIPTION	QTY	CHARGES	PAYMENTS	DR#	BALANCE
	6/25/2020	POLYGLYCAN INJ.		$42.50		40	
		CACO COPPER, LIVER, IRON & VITS. INJ.		$12.50		40	
	6/26/2020	PHENYLBUTAZONE INJ.		$7.50		40	
		THEELIN INJ.		$17.50		40	
	7/23/2020	CACO COPPER, LIVER, IRON & VITS. INJ.		$12.50		40	
		POLYGLYCAN INJ.		$42.50		40	
	7/24/2020	STABLE SUPPLIES; July: Carafate Suspension + Butecort Sweat		$10.83		9	
		COGGINS TEST; (ELISA)		$40.00		40	
		PHENYLBUTAZONE INJ. (p.m.)		$7.50		40	
		THEELIN INJ.		$17.50		40	
	8/6/2020	PENTOSAN INJ.		$37.50		40	
		ANIMALINTEX		$7.50		40	
	8/7/2020	PHENYLBUTAZONE INJ.		$7.50		40	
	8/10/2020	EXAM (MUSCULO-SKELETAL)		$0.00		2	
	8/28/2020	PENTOSAN INJ.		$37.50		40	
	8/29/2020	PHENYLBUTAZONE INJ.		$7.50		40	
	8/31/2020	1 BANAMINE PASTE		$17.50		2	
	9/24/2020	STABLE SUPPLIES; September: Acepromazine Suspension, Butecort Sweat, Phenylbutazone Powder	0.50	$13.12		9	
		Total for CHIEF WHITE SOX: $885.68					
CITY ROC		**50.00%**					
	3/1/2020	1 qt. PANACUR SUSP. (GEN)	0.33	$20.00		40	
	3/2/2020	250gm CARAFATE SUSP.		$40.00		40	
	3/16/2020	ECP INJ. (2 x DOSE)		$20.00		40	
		COGGINS TEST; including scan for microchip		$30.00		40	
	3/23/2020	ECP INJ. (2 x DOSE)		$20.00		40	
	3/24/2020	STABLE SUPPLIES; March: Acepromazine Suspension, Butecort Sweat		$10.12		9	
	3/27/2020	250gm CARAFATE SUSP.		$40.00		40	
	3/30/2020	ECP INJ. (2 x DOSE)		$20.00		40	
		Total for CITY ROC: $200.12					
LEDECKY							
	6/12/2020	DEPO-PROVERA INJ.		$20.00		40	
		ECP INJ. (2 x DOSE)		$20.00		40	
	6/19/2020	ECP INJ. (2 x DOSE)		$20.00		40	
	6/24/2020	STABLE SUPPLIES; June: Acepromazine Suspension + Carafate		$22.86		9	
	6/26/2020	ECP INJ. (2 x DOSE)		$20.00		40	
	6/27/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		ROMPUN, ACE PROMAZINE INJ.		$15.00		40	
		HYVISC RIGHT FRONT FETLOCK; + VETALOG & AMIKACIN		$82.50		40	
	6/30/2020	LARYNGOSCOPIC EXAM		$30.00		40	
	7/2/2020	CACO COPPER, LIVER, IRON & VITS. INJ.		$12.50		40	

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STATEMENT-continued

PATIENT	DATE	DESCRIPTION	QTY	CHARGES	PAYMENTS	DR#	BALANCE
		PARVOLEX INJ.		$10.00		40	
	7/3/2020	PHENYLBUTAZONE INJ.		$7.50		40	
		THEELIN INJ.		$17.50		40	
	7/23/2020	CACO COPPER, LIVER, IRON & VITS. INJ.		$12.50		40	
		POLYGLYCAN INJ.		$42.50		40	
	7/24/2020	STABLE SUPPLIES; July: Carafate Suspension + Butecort Sweat		$10.83		9	
		PHENYLBUTAZONE INJ.		$7.50		40	
		THEELIN INJ.		$17.50		40	
		Total for LEDECKY: $368.69					
MS. OUTRAJES		**50.00%**					
	3/2/2020	250gm CARAFATE SUSP.		$40.00		40	
		ECP INJ. (2 x DOSE)		$20.00		40	
	3/9/2020	ECP INJ. (2 x DOSE)		$20.00		40	
	3/16/2020	ECP INJ. (2 x DOSE)		$20.00		40	
	3/17/2020	EXAM (MUSCULO-SKELETAL)		$0.00		2	
		BANAMINE INJ.		$15.00		2	
		ACEPROMAZINE INJ.		$10.00		2	
	3/20/2020	EXAM		$0.00		2	
		BANAMINE INJ.		$15.00		2	
		ACEPROMAZINE INJ.		$10.00		2	
	3/23/2020	ECP INJ. (2 x DOSE)		$20.00		40	
	3/24/2020	STABLE SUPPLIES; March: Acepromazine Suspension, Butecort Sweat		$10.12		9	
	3/26/2020	ACEPROMAZINE SUSPENSION		$40.00		40	
	3/27/2020	250gm CARAFATE SUSP.		$40.00		40	
	3/30/2020	ECP INJ. (2 x DOSE)		$20.00		40	
	4/6/2020	ECP INJ. (2 x DOSE)		$20.00		40	
	4/14/2020	ECP INJ. (2 x DOSE)		$20.00		40	
	4/21/2020	ECP INJ. (2 x DOSE)		$20.00		40	
	4/28/2020	ECP INJ. (2 x DOSE)		$20.00		40	
	5/1/2020	250gm CARAFATE SUSP.		$40.00		40	
	5/5/2020	ECP INJ. (2 x DOSE)		$20.00		40	
	5/11/2020	EXAM (MUSCULO-SKELETAL)		$0.00		2	
		BANAMINE INJ.		$15.00		2	
		ACEPROMAZINE INJ.		$10.00		2	
	5/19/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		BANAMINE INJ.		$15.00		40	
		ROMPUN, ACE PROMAZINE INJ.		$15.00		40	
		LUTALYSE INJ.		$20.00		40	
		ALTREN SUSPENSION; ORAL PROGESTIN; 4oz		$20.00		40	
	6/15/2020	POLYGLYCAN INJ.		$42.50		40	
	6/16/2020	PHENYLBUTAZONE INJ.		$7.50		40	
		I. V. CALCIUM INJ. + VIT B1		$17.50		40	
		MAGNESIUM SULFATE INJ. (p.m.)		$12.50		40	
	6/24/2020	STABLE SUPPLIES; June: Acepromazine suspension + Carafate		$22.86		9	
	7/2/2020						

EXHIBIT #3
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STATEMENT-continued

PATIENT	DATE	DESCRIPTION	QTY	CHARGES	PAYMENTS	DR#	BALANCE
		CACO COPPER, LIVER, IRON & VITS. INJ.		$12.50		40	
	7/3/2020	PHENYLBUTAZONE INJ.		$7.50		40	
		THEELIN INJ.		$17.50		40	
	7/13/2020	DEPO-PROVERA INJ.		$20.00		2	
	7/16/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		DORMOSEDAN INJ.		$20.00		40	
		INTERNAL BLISTER; LUMBOSACRAL SPINOUS MUSCLATURE + LEFT AND RIGHT GLUTEALS		$92.50		40	
	7/18/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		BLOOD CHEMISTRY: AST/CPK		$17.50		40	
	7/19/2020	EXAM (MUSCULO-SKELETAL)		$0.00		2	
		BANAMINE INJ.		$15.00		2	
	7/23/2020	CACO COPPER, LIVER, IRON & VITS. INJ.		$12.50		40	
	7/24/2020	STABLE SUPPLIES; July: Carafate Suspension + Butecort Sweat		$10.83		9	
		PHENYLBUTAZONE INJ.		$7.50		40	
		THEELIN INJ.		$17.50		40	
	8/8/2020	PRE WORK AMICAR INJ.		$10.00		40	
	8/10/2020	EXAM (MUSCULO-SKELETAL)		$0.00		2	
	9/24/2020	STABLE SUPPLIES; September: Acepromazine Suspension, Butecort Sweat, Phenylbutazone Powder		$26.25		9	
		Total for MS. OUTRAJES: $905.06					
RANDIABBY							
	3/1/2020	1 qt. PANACUR SUSP. (GEN)	0.33	$10.00		40	
	3/2/2020	250gm CARAFATE SUSP.		$20.00		40	
	3/3/2020	AMICAR INJ. (2 x DOSE); (PRE-WORK)		$8.75		2	
	3/10/2020	AMICAR INJ. (2 x DOSE); (PRE-WORK)		$8.75		40	
	3/19/2020	ADEQUAN INJ.		$20.00		40	
		500cc I.V. FLUIDS + VITAMINS & DMSO		$12.50		40	
	3/20/2020	PHENYLBUTAZONE INJ.		$3.75		2	
		THEELIN INJ.		$8.75		2	
	3/24/2020	STABLE SUPPLIES; March: Acepromazine Suspension, Butecort Sweat		$5.06		9	
	3/27/2020	250gm CARAFATE SUSP.		$20.00		40	
		LARYNGOSCOPIC EXAM		$15.00		40	
	4/15/2020	ADEQUAN INJ.		$20.00		40	
		500cc I.V. FLUIDS + VITAMINS & DMSO		$12.50		40	
	4/16/2020	MAGNESIUM PASTE		$5.63		40	
		PHENYLBUTAZONE INJ.		$3.75		40	
		THEELIN INJ.		$8.75		40	
	4/21/2020	ACEPROMAZINE INJ.		$5.00		40	
		EXAM (MUSCULO-SKELETAL)		$0.00		40	

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STATEMENT-continued

PATIENT	DATE	DESCRIPTION	QTY	CHARGES	PAYMENTS	DR#	BALANCE
		COX2 NSAID TABLETS		$12.50		40	
	5/1/2020	250gm CARAFATE SUSP.		$20.00		40	
	5/27/2020	CACO COPPER, LIVER, IRON & VITS. INJ.		$6.25		40	
		ADEQUAN INJ.		$20.00		40	
	5/28/2020	KETOFEN INJ.		$8.75		40	
		THEELIN INJ.		$8.75		40	
	6/24/2020	STABLE SUPPLIES; June: Acepromazine Suspension + Carafate		$11.43		9	
		POLYGLYCAN INJ.		$21.25		40	
		CACO COPPER, LIVER, IRON & VITS. INJ.		$6.25		40	
	6/25/2020	PHENYLBUTAZONE INJ.		$3.75		40	
		THEELIN INJ.		$8.75		40	
		MAGNESIUM SULFATE INJ. (p.m.)		$6.25		40	
	6/29/2020	EXAM (MUSCULO-SKELETAL)		$0.00		2	
		COMPLETE BLOOD COUNT		$10.00		2	
		BLOOD CHEMISTRY PROFILE		$18.75		2	
		ROMPUN, ACE PROMAZINE INJ.; p.m.		$7.50		2	
		RECTAL EXAM		$12.50		2	
	6/30/2020	250gm CARAFATE SUSP.		$20.00		40	
		OMEPRAZOLE PASTE - FULL DOSE	12.00	$48.00		40	
	7/15/2020	DEPO-PROVERA INJ.		$10.00		40	
	7/22/2020	2 x ROBAXIN INJ. (DOUBLE DOSE)		$10.00		40	
		PENTOSAN INJ.		$18.75		40	
		CACO COPPER, LIVER, IRON & VITS. INJ.		$6.25		40	
	7/23/2020	PHENYLBUTAZONE INJ.		$3.75		40	
		THEELIN INJ.		$8.75		40	
	7/24/2020	STABLE SUPPLIES; July: Carafate Suspension + Butecort Sweat		$5.42		9	
	7/28/2020	DEPO-PROVERA INJ.		$10.00		40	
	7/31/2020	POST WORK LARYNGOSCOPIC EXAM		$15.00		40	
		EQUISUL SDT SUSPENSION 280ML		$17.00		40	
	8/5/2020	DEPO-PROVERA INJ.		$10.00		40	
	8/6/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		TOLTRAZURIL PASTE		$25.00		40	
		DIAGNOSTIC BLOCK:; LH FETLOCK CONDYLES		$15.00		40	
		EXAM (MUSCULO-SKELETAL); (+)		$0.00		40	
	8/7/2020	250gm CARAFATE SUSP.		$20.00		40	
	8/9/2020	TOLTRAZURIL PASTE		$25.00		40	
	8/12/2020	TOLTRAZURIL PASTE		$25.00		40	
	8/15/2020	PRE WORK AMICAR INJ.		$5.00		2	
		POST WORK LARYNGOSCOPIC EXAM		$15.00		40	
		3 x GENTAMICIN INJ. (p.m.)		$10.00		40	
	8/16/2020	3 x GENTAMICIN INJ.		$10.00		40	
	8/17/2020	3 x GENTAMICIN INJ.		$10.00		2	
	8/18/2020	EXAM (MUSCULO-SKELETAL)		$0.00			

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STATEMENT-continued

PATIENT	DATE	DESCRIPTION	QTY	CHARGES	PAYMENTS	DR#	BALANCE
		ROMPUN, ACE PROMAZINE INJ.		$7.50		40	
		KETOFEN INJ.		$8.75		40	
		IA ADEQUAN + AMIKACIN LEFT HIND FETLOCK JT.; + VETALOG		$37.50		40	
	8/26/2020	DEPO-PROVERA INJ.		$40.00		40	
	9/9/2020	DEPO-PROVERA INJ.		$40.00		40	
	9/20/2020	PRE WORK LASIX INJ.		$20.00		2	
		AMICAR INJ.		$20.00		2	
	9/26/2020	PHENYLBUTAZONE INJ.		$15.00		40	
		N-BUTYL INJ.; P.M.		$25.00		40	
	11/12/2020	PHENYLBUTAZONE INJ.		$15.00		40	
		Total for RANDIABBY: $942.54					
SWIPE LEFT		**50.00%**					
	2/25/2020	PHENYLBUTAZONE INJ.		$7.50		2	
		THEELIN INJ.		$17.50		2	
		I. V. CALCIUM INJ. + VIT B1; p.m.		$17.50		2	
		I.V. SARAPIN INJ. (DOUBLE DOSE)		$22.50		2	
	2/28/2020	1 THYRO L POWD.		$20.00		40	
	3/1/2020	1 qt. PANACUR SUSP. (GEN)	0.33	$20.00		40	
	3/2/2020	250gm CARAFATE SUSP.		$40.00		40	
	3/10/2020	AMICAR INJ. (2 x DOSE); (PRE-WORK)		$17.50		40	
	3/17/2020	EXAM		$0.00		40	
		SERUM AMYLOID A BLOOD TEST		$22.50		40	
	3/18/2020	BLOOD CHEMISTRY: AST/CPK		$17.50		40	
		500cc I.V. FLUIDS + VITAMINS & DMSO; (p.m.)		$25.00		40	
	3/19/2020	PHENYLBUTAZONE INJ.		$7.50		40	
		THEELIN INJ.		$17.50		40	
	3/20/2020	EXAM (ORTHOPEDIC)		$0.00		2	
		DORMOSEDAN INJ.		$20.00		2	
		IA ADEQUAN + AMIKACIN LEFT HIND FETLOCK JT.; + PREDEF		$75.00		2	
		KETOFEN INJ.		$17.50		2	
	3/23/2020	ADEQUAN INJ.		$40.00		40	
		500cc I.V. FLUIDS + VITAMINS & DMSO		$25.00		40	
	3/24/2020	STABLE SUPPLIES: March: Acepromazine Suspension, Butecort Sweat		$10.12		9	
		KETOFEN INJ.		$17.50		2	
		THEELIN INJ.		$17.50		2	
		TRAUMEEL & SARAPIN INJECTION; RF LATERAL SPLINT		$72.50		2	
	3/25/2020	1 THYRO L POWD.		$20.00		40	
	3/27/2020	250gm CARAFATE SUSP.		$40.00		40	
	3/28/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		TETRACYCLINE INJ.		$15.00		40	
		DEXAMETHASONE INJ.		$12.50		40	
		PHENYLBUTAZONE INJ.		$7.50		40	
		COMPLETE BLOOD COUNT		$20.00			

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STATEMENT-continued

PATIENT	DATE	DESCRIPTION	QTY	CHARGES	PAYMENTS	DR#	BALANCE
		BLOOD CHEMISTRY PROFILE		$37.50		40	
		THYROID TEST		$20.00		40	
	3/29/2020	LIPOTROPH INJ.		$10.00		40	
	3/30/2020	LIPOTROPH INJ.		$10.00		40	
		FECAL		$32.50		40	
	3/31/2020	LIPOTROPH INJ.		$10.00		2	
	4/2/2020	LIPOTROPH INJ.		$10.00		40	
	4/3/2020	LIPOTROPH INJ.		$10.00		40	
	4/4/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		1 (12 GRAM) PHENYLBUTAZONE PASTE		$15.00		40	
		LIPOTROPH INJ.		$10.00		40	
	4/5/2020	LIPOTROPH INJ.		$10.00		40	
	4/6/2020	LIPOTROPH INJ.		$10.00		40	
	4/12/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		DORMOSEDAN INJ./ TORBUGESIC INJ.		$27.50		40	
		CRYO-FIRE; RF LATERAL SPLINT		$100.00		40	
		STERIWRAP BANDAGE	2.00	$10.00		40	
	4/14/2020	STERIWRAP BANDAGE		$5.00		40	
	4/26/2020	PENTOSAN INJ.		$37.50		40	
	5/1/2020	250gm CARAFATE SUSP.		$40.00		40	
	5/5/2020	AMICAR INJ. (2 x DOSE); PRE-WORK		$17.50		40	
	6/20/2020	1 PT. DMSO + CORTISONE		$22.50		40	
	6/24/2020	STABLE SUPPLIES: June: Acepromazine Suspension + Carafate		$22.86		9	
	7/4/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		ROMPUN, ACE PROMAZINE INJ.		$15.00		40	
		DIGITAL RADIOGRAPH LEFT FRONT FETLOCK; + VIEWS		$130.00		40	
		DIGITAL RADIOGRAPH LEFT HIND FETLOCK; (SURVEYS)		$30.00		40	
	7/5/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		ROMPUN, ACE PROMAZINE INJ.		$15.00		40	
		HYVISC LEFT FRONT FETLOCK; + VETALOG + AMIKACIN		$82.50		40	
		HYVISC LEFT HIND FETLOCK; + VETALOG + AMIKACIN		$82.50		40	
	7/9/2020	I. V. FLUIDS + DMSO		$20.00		40	
	7/10/2020	PHENYLBUTAZONE INJ.		$7.50		40	
		N-BUTYL INJ.; (P.M.)		$12.50		40	
	7/12/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		NAQUAS INJ (2 X DOSE)		$25.00		40	
		PHENYLBUTAZONE INJ.		$7.50		40	
	7/19/2020	EXAM		$0.00		2	
		SERUM AMYLOID A BLOOD TEST		$22.50		2	
		PHENYLBUTAZONE INJ.		$7.50		2	
		TETRACYCLINE INJ.		$15.00		2	
	7/20/2020	EXAM		$0.00		2	
		DEXAMETHASONE INJ.		$12.50		2	
		TETRACYCLINE INJ.		$15.00		2	

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STATEMENT-continued

PATIENT	DATE	DESCRIPTION	QTY	CHARGES	PAYMENTS	DR#	BALANCE
	7/21/2020	DEXAMETHASONE INJ.		$12.50		2	
		TETRACYCLINE INJ.		$15.00		2	
	7/22/2020	TETRACYCLINE INJ.		$15.00		40	
	7/23/2020	TETRACYCLINE INJ.		$15.00		40	
	7/24/2020	STABLE SUPPLIES; July: Carafate Suspension + Butecort Sweat		$10.83		9	
		NAQUASONE PACKETS	5.00	$6.25		40	
	7/31/2020	EXAM (MUSCULO-SKELETAL)		$0.00		40	
		ROMPUN, ACE PROMAZINE INJ.		$15.00		40	
		DIAG. ULTRASOUND EXAM; LF SUSPENSORY		$125.00		40	
	9/24/2020	STABLE SUPPLIES; September: Acepromazine Suspension, Butecort Sweat, Phenylbutazone Powder	0.75	$19.69		9	
		Total for SWIPE LEFT: $1,864.75					

TOTAL AMOUNT DUE: **$5,538.51**

yequineassociates@gmail.com
(732) 620-0015

YARBROUGH & ASSOCIATES, DVM PA

Previous Balance	ACCOUNT #4038 INFORMATION			Total Amount Due
$1,607.70				$5,538.51
Current Balance	**30-59 Days Past Due**	**60-89 Days Past Due**	**90-119 Days Past Due**	**120+ Days Past Due**
$0.00	$0.00	$0.00	$0.00	$5,538.51

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FLORIDA GAMING CONTROL COMMISSION

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Louis Trombetta, Executive Director

Ron DeSantis, Governor

DIVISION OF PARI-MUTUEL WAGERING
LICENSE ACTION REQUEST FORM

To: Licensing Administrator

From: Investigator Minaya

Date: January 19, 2024

X	PLEASE **ADD ALERT**: Contact Investigations Prior to Licensing
	PLEASE **REMOVE** ALERT FROM LICENSE RECORD

License number and type: 980255 - 1021

Last Name: HAYFORD-QUINONES First Name: JENNIFER A

DOB: [redacted] SS#: [redacted]

Reason for Addition/Removal of Alert: **DO NOT LICENSE!** Unsatisfied Default Final Judgment pending against Jennifer A Hayford-Quinones aka Jennifer Quinones, in favor of Yarbrough & Associates, DVM, P.A, Case # 2023-SC-005606, Division RA, in the County Court in and for Palm Beach County, Florida, in the amount of $6,152.06 plus 7.69% interest per year.

Responsible Party: Inv. Sup Minaya Case Number: 2024 00 3189

Approved by:_____ Date _____

Added/Removed by:_____ Date January 19, 2024

Revised 12/08

EXHIBIT #4
PAGE # 1

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License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jminaya**

VR Home > License Search > License Home > **Maintain License Modifiers - BASE_CLONE**

Search Criteria | Results | Detail

Modifier Type	**A - Alert**
Modifier	**ENFO - Enforcemt Alert**
Effective	**07/20/2021**
Expires	**-**
Additional Info :	
Prompt	
Value	**Restriction, Ref: 2021-033092**
Notes	**01/19/2024 - NEW FINANCIAL UNPAID OBLIGATION: DO NOT LICENSE! Unsatisfied Default Final Judgment pending against Jennifer A Hayford-Quinones aka Jennifer Quinones, in favor of Yarbrough & Associates, DVM, P.A, Case # 2023-SC-005606, Division RA, in the County Court in and for Palm Beach County, Florida, in the amount of $6,152.06 plus 7.69% interest per year. 4/4/2022 - VERIFY THAT PAYMENT PLAN IMPOSED IN FINAL ORDER IS CURRENT BEFORE RENEWING LICENSE - Ref # 2021-033092 - Final Order Filed: Proof of Payment or Attempt to make payment of $150/month to Gulfstream Horsemen's Purchasing Assoc, Inc., must be submitted to the Division by the 15th of each month. First proof is due by 5/15/22. Failure to provide proof as stated above shall result in license suspension until unpaid financial obligation is satisfied. DO NOT LICENSE! Unsatisfied Final Judgment pending against Jennifer A. HAYFORD-QUINONES, in favor of Gulfstream Horsemen's Purchasing Association Inc., Case # COCE-21013181, Division 56, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of $7,155.60 plus 4.25% interest per year. (jminaya - 7/20/21)**
Updated	**01/19/2024 07:05:31**
By	**jminaya**

Notes History | Change | Delete | Save | Cancel | < | >

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EXHIBIT # 4
PAGE # 2

5. Discussion of consent orders

MEMORANDUM

To: The Florida Gaming Control Commission
From: Office of General Counsel
Re: FGCC v. Donald L. Brown
Case No. 2022-017792; Proposed Settlement
Date: May 13, 2024

Executive Summary

Donald L. Brown ("Respondent") seeks to resolve FGCC v. Donald L. Brown, case no. 2022-017792, via settlement in lieu of further litigation of the Commission's Administrative Complaint seeking a penalty based on Respondent's violations section 550.2415(1)(a), Florida Statutes. Pursuant to the terms of the proposed settlement, Respondent would permanently relinquish his Florida Pari-Mutuel Wagering Professional Occupational License and waive any rights to contest or appeal the Commission's future denial of any application for licensure that Respondent submits to the Commission. The Commission would agree to not impose a suspension of 380 days and would agree to not seek the imposition of a penalty in the amount of $10,500 and return of the purse in the amount of $11,320.

Background and Procedural History

On March 2, 2022, Respondent was the owner and trainer for the racing horse, China Beach. On March 2, 2022, China Beach finished in 1st place in the 7th race conducted at Tampa Bay Downs, Inc. A urine sample was collected from China Beach to test for any prohibited substances. The sample was sent to the University of Florida Lab ("UF Lab") and subsequently tested. The UF Lab detected dextrorphan, a metabolite of dextromethorphan, and o-desmethyltramadol, a metabolite of tramadol. Dextrophan, at a urinary concentration of 77 ng/mL and o-desmethyltramadol, at a urinary concentration of 24 ng/mL.

Dextrorphan is a Class B penalty under the Uniform Classification Guidelines for Foreign Substances, version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc. ("Guidelines"). The penalty associated with this violation is a 15-day suspension and $500 administrative fine.

O-desmethyltramadol is a Class A penalty under the Guidelines. The penalty associated with this violation is a one-year suspension and $10,000 administrative fine.

The Division filed an administrative complaint alleging a violation of section 550.2415(1)(a), Florida Statutes, which makes it a violation of Florida law to race an animal that has been determined to have a prohibited substance present in its system. Respondent requested a formal administrative hearing, alleging a disputed issue of material fact. This case was present to the Commission at the April 2, 2024, meeting and the Commission voted to allow the settlement negotiations to continue.

Analysis

Pursuant to section 550.2415(1)(c), Florida Statutes, "[t]he finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race."

Rule 75-6.002(1), Florida Administrative Code, provides that, "[t]he trainer of record shall be responsible for and be the absolute insurer of the condition of the horses…he/she enters to race."

Under section 120.57(4), Florida Statutes, the Commission may resolve matters such as this case informally through a negotiated settlement. As part of settlement and consent order, Respondent would permanently relinquish his Florida Pari-Mutuel Wagering Professional Occupational License and waive any rights to contest or appeal the Commission's future denial of any application for licensure that Respondent submits to the Commission. The Commission would agree to not impose a suspension of 380 days and would agree to not seek the imposition of a penalty in the amount of$10,500 and return of the purse in the amount of $11,320.00.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v. FGCC Case No.: 2022-017792

DONALD L. BROWN,

Respondent.
_____/

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (hereinafter the "Commission") and Donald L. Brown (hereinafter "Respondent"), each individually, a "party," and collectively as "parties," hereby agree and stipulate as follows:

WHEREAS, The Commission has jurisdiction over this matter and Respondent; and

WHEREAS, on April 22, 2022, the Commission filed an administrative complaint against Respondent, alleging a violation of section 550.2415(l)(a), Florida Statutes, and Rule 75-6.011 of the Florida Administrative Code by racing a horse with two prohibited substances in its body on March 2, 2022, at Tampa Bay Downs, Inc., (the "Administrative Complaint"); and

WHEREAS, Respondent requested a formal hearing pursuant to sections 120.569 and 120.57(1), Florida Statutes; and

WHEREAS, the parties have negotiated and agreed that the best interest of all the parties will be served by a settlement of these proceedings in lieu of further litigation.

STIPULATION

NOW THEREFORE, in consideration of the mutual promises and recitals herein, the parties hereby agree and stipulate to the following:

1. All recitals herein are true and correct and are incorporated herein.

2. All parties agree that the above "whereas" clauses incorporated herein are binding findings of the parties.

3. The Commission is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

4. Each party has entered into the terms of this Stipulation and Consent Order voluntarily. Respondent is aware that he is entitled to the advice of counsel and has either sought the advice of counsel or, by execution of this Stipulation and Consent Order, is knowingly waiving the right to have the advice of counsel. Respondent acknowledges that the Commission has not made any promise, nor has it in any other way encouraged Respondent to enter into this Stipulation and Consent Order without the advice of counsel.

5. The parties acknowledge and agree that this Stipulation and Consent Order constitutes the final order in this case and that this Stipulation and Consent Order is enforceable under section 120.69 and chapter 550, Florida Statutes, as final agency action.

6. Respondent, for itself and its related or resulting organizations, successors, transferees, attorneys, heirs, and executors or administrators, discharges the Commission and its agents, representatives, and attorneys, of and from all claims, demands, actions, causes of action, suits, damages, losses and expenses of any and every nature whatsoever, arising out of or in any way related to this matter and the Commission's actions, including, but not limited to, any claims that were or may be asserted in any federal or state court or administrative forum, including any claims arising out of this agreement, by or on behalf of Respondent or its related or resulting organizations.

7. Each party shall bear its own costs and attorney's fees.

8. Upon full execution of this Stipulation and Consent Order, Respondent agrees to permanently relinquish his Florida Pari-Mutuel Wagering Professional Occupational License, license number 1029345, and to be declared ineligible for licensure by the Commission. Respondent waives any rights to contest or appeal the Commission's future denial of any application for licensure that Respondent submits to the Commission.

9. Upon full execution of this Stipulation and Consent Order, the Commission agrees that it will not impose any administrative penalty against Respondent based solely on the allegations of fact in the Administrative Complaint. However, the Commission is not precluded from using the subject events for any purpose within the jurisdiction of the Commission.

10. By executing this Stipulation and Consent Order, the Respondent neither admits nor denies the facts and legal conclusions raised in the Administrative Complaint, and the Commission continues to assert the validity thereof. Nothing in this Stipulation and Consent Order shall be deemed to preclude the Commission from imposing a penalty against Respondent for any future act(s) or omission(s) constituting either a violation of Florida law or the Florida Administrative Code.

11. Respondent must mail the executed Stipulation and Consent Order to: **The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade Way, Tallahassee, Florida 32399-1035, Attention: Emily Alvarado.**

12. The parties acknowledge and agree that this Stipulation and Consent Order is subject to the approval of the Commission. The Stipulation and Consent Order will have no force or effect unless and until the Commission files a Final Order adopting this Stipulation and Consent Order. Should this Stipulation and Consent Order be rejected, no statement made in furtherance thereof by Respondent may be used as direct evidence against Respondent in any proceeding.

13. Upon the Commission's adoption of this Stipulation and Consent Order, Respondent agrees to waive any and all appeals and proceedings relating to these proceedings to which it may be entitled, including, but not limited to, an informal proceeding under section 120.57(2), Florida Statutes; a formal proceeding under section 120.57(1), Florida Statutes; appeals under section 120.68, Florida Statutes; and declaratory and all writs of relief in any court or quasi-court of competent jurisdiction; and agrees to waive compliance with the form of the Final Order (findings of fact and conclusions of law) to which it may be entitled, provided, however, that this agreement shall not be deemed a waiver by either party of its right to judicial enforcement of the Stipulation and Consent Order.

14. Venue for any action brought to interpret, enforce, or challenge the terms of this Stipulation and Consent Order and its corresponding Final Order shall lie solely in the Circuit Court of Florida, in and for Leon County, Florida.

15. This Stipulation and Consent Order is executed by the parties for the purpose of avoiding further administrative action with respect to the matters addressed herein. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Stipulation and Consent Order. Should the Commission not accept this Stipulation and Consent Order, it is agreed that presentation to and consideration of this Stipulation and Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

16. This Stipulation and Consent Order may be signed in counterparts, and copies shall be treated as original.

17. This Stipulation and Consent Order is binding upon all parties.

18. The parties agree that this serves as notice that the signed Stipulation and Consent Order will be placed on the next available Commission meeting. If Respondent would like to attend, Respondent is responsible for checking the Florida Gaming Control Commission website at https://flgaming.gov for the meeting materials, agenda, and contact information.

19. Respondent authorizes the Commission to correct any typographical errors or make any non-material changes to this Stipulation and Consent Order after it is signed.

[Signature pages to follow]

This Stipulation and Consent Order for the Florida Gaming Control Commission Case Number 2022-017792 is APPROVED for legal sufficiency this ____ day of _____, 2024.

_____

Emily Alvarado
Deputy Chief Attorney
The Florida Gaming Control Commission

Respondent, DONALD L. BROWN, requests that the Commission enter a Final Order approving and incorporating this Stipulation and Consent Order in resolution of this matter.

Signed this 10 day of May, 2024.

DONALD L. BROWN
Respondent

STATE OF Florida

COUNTY OF MARION

The foregoing instrument was acknowledged before me, by means of ☒ physical presence or ☐ online notarization, this 10th day of MAY, 2024, by Donald L. Brown, who is personally known to me or who produced the following as identification: FL Driver License

Notary Public

My commission expires: 10-23-26

Notary Public State of Florida
Justin Vandyke
My Commission HH 324817
Expires 10/23/2026

EXHIBIT
1

LAW OFFICES OF
BRADFORD J. BEILLY, P.A.
1144 SOUTHEAST 3RD AVENUE
FORT LAUDERDALE, FLORIDA 33316

TELEPHONE: (954) 763-7000
brad@beillylaw.com

April 25, 2024

Emily A. Alvarado
Deputy Chief Attorney
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Ste. 250
Tallahassee, FL 32399

E-mail: Emily.Alvarado@flagaming.gov

Re: FGCC, Division of Pari-Mutuel Wagering v. Donald L. Brown
FGCC Case No. 2022-017792 / DOAH Case No. 23-0579PL

Dear Emily:

As we have discussed, Mr. Brown is willing to resolve this case without the necessity of a final hearing before the Administrative Law Judge currently scheduled for May 7, 2024.

In exchange for the Florida Gaming Control Commission dismissing its charges, and without admitting any liability, Mr. Brown will relinquish his owner/trainer license, and agree to never reapply for a license from the Florida Gaming Control Commission.

The basis of this offer is the following:

1. Mr. Brown is 73 years old.

2. Mr. Brown has never had a medication violation in Florida or any other state prior to the pending alleged violations.

3. Other than the pending alleged violations which arises out of a race held on March 2, 2022, Mr. Brown has not had any alleged medication violations in Florida or any other state where he has raced.

4. The testimony of Mr. Brown and his groom will establish that the alleged positive drugs were not administered to the horse known as "China Beach".

5. The testimony of Dr. Cynthia Cole, the former director of the University of Florida Racing Laboratory, will establish that the positive tests for both medications found in the "A" Sample are likely the result of environmental contamination and not direct administration. This is bolstered by the fact that the drugs were only found in minimal quantities in the horse's urine, with none being found in the horse's blood.

6. The evidence will also show that the condition of the ship-installs at Tampa Bay Downs could also have been the source of the positive tests.

7. Although HISA's medication rules are different than the medication rules in effect in Florida in 2022, under HISA's rules, Tramadol is considered a therapeutic substance and its presence would lead to a maximum of a 30-day suspension absent mitigating circumstances, of which there are plenty here.

If you need any further information from me to present this offer to the Gaming Control Commission, please let me know.

Very truly yours,

Bradford J. Belly

cc: Donald L. Brown

FILED
Department of Business and Professional Regulation
Deputy Agency Clerk
CLERK Evette Lawson-Proctor
Date 4/22/2022
File #

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS
AND PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v. DBPR Case No.: 2022-017792

DONALD L. BROWN,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Donald L. Brown ("Respondent"), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to Chapter 550, Florida Statutes.

2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 1029345-1021, issued by Petitioner.

3. At all times material hereto, Respondent was the trainer of record for the racing horse "China Beach," with microchip number 981020025167161.

4. At all times material hereto, "China Beach" was owned by "Donald L. Brown," who holds a Pari-Mutuel Wagering Professional Individual Occupational License, number 1029345-1021, issued by Petitioner.

5. On March 2, 2022, "China Beach" participated in the 7th race of the performances held by Tampa Bay Downs, Inc. ("TBD").

6. On March 2, 2022, "China Beach" finished in 1st place in the 7th race of the performances held by TBD.

7. TBD is a facility operated by a permitholder authorized to conduct pari-mutuel wagering in this state under Chapter 550, Florida Statutes.

8. On March 2, 2022, urine sample number 310536 was collected from "China Beach."

9. Urine sample number 310536 was processed and forwarded to the University of Florida Racing Laboratory ("UF Lab"), for analysis.

10. The UF Lab tested urine sample number 310536 and found that it contained **dextrorphan, a metabolite of dextromethorphan, and o-desmethyltramadol, a metabolite of tramadol**.

11. In urine sample number 310536, **dextrorphan, a metabolite of dextromethorphan**, was detected at a urinary concentration of 77 ng/mL.

12. In urine sample number 310536, **o-desmethyltramadol, a metabolite of tramadol**, was detected at a urinary concentration of 24 ng/mL.

13. Pursuant to Section 550.2415(1)(a), Florida Statutes:

> The racing of an animal that has been impermissibly medicated or determined to have a prohibited substance present is prohibited. It is a violation of this section for a person to impermissibly medicate an animal or for an animal to have a prohibited substance present resulting in a positive test for such medications or substances based on samples taken from the animal before or immediately after the racing of that animal.

14. Pursuant to Rules 61D-6.008 and 61D-6.011, Florida Administrative Code, it is a violation of Section 550.2415, Florida Statutes, if the presence of **dextrorphan, a metabolite of**

dextromethorphan, and o-desmethyltramadol, a metabolite of tramadol, are found in a race-day specimen.

15. Section 550.2415(1)(c), Florida Statutes, states, "[t]he finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race."

16. Rule 61D-6.002(1), Florida Administrative Code, provides that, "[t]he trainer of record shall be responsible for and be the absolute insurer of the condition of the horses…he/she enters to race."

17. Based on the foregoing, Respondent violated Section 550.2415(1)(a), Florida Statutes, and Rule 61D-6.011, Florida Administrative Code, by racing "China Beach" with two prohibited substances in its body on March 2, 2022.

WHEREFORE, Petitioner respectfully requests the Division enter an Order imposing against Respondent one or more of the penalties specified in Rule 61D-6.011, Florida Administrative Code, Section 550.2415(3)(a), Florida Statutes, and/or any other relief that the Division is authorized to impose pursuant to Chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

(Signature page to follow)

This Administrative Complaint for DBPR Case Number 2022-017792 is signed this 22nd day of April, 2022.

/s/ Eric Saccomanno

Eric Saccomanno
Assistant General Counsel
FBN: 1032559
Department of Business and Professional Regulation
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1786
Facsimile: (850) 921-1311
Primary: Eric.Saccomanno@MyFloridaLicense.com
Secondary: Ebonie.Lanier@MyFloridaLicense.com

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

NOTICE OF RIGHT TO REQUEST A SPLIT SAMPLE

Pursuant to Rule 61D-6.006, Florida Administrative Code, you have the right to request a split sample with respect to each "Report of Positive Result" from the UF Lab. (Copy attached as Exhibit A). To request a split sample, use Form DBPR PMW-3290, Notification to Stewards/Judge of Split Sample Request (Form 3290).

You can obtain Form 3290, as well as a list of approved split samples laboratories, at the State Office located in any Florida pari-mutuel facility, or on the Division's website: http://www.myfloridalicense.com/DBPR/pari-mutuel-wagering/forms-and-publications/. You must submit Form 3290 to the state steward, Division hearing officer, or office of the General Counsel, within 10 days from receipt of this Notice, or you will waive your right to request a split sample.

EXHIBIT A

UF UNIVERSITY of FLORIDA

College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

April 07, 2022

Mr. Louis Trombetta, Director
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, FL 32399-1037

RE: Report of Positive Result

Sample 310536 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

dextrorphan found in urine
dextrorphan is a metabolite of dextromethorphan (an antitussive and Class 4 drug) - the concentration of dextrorphan is 77 ng/mL.

o-desmethyltramadol found in urine
o-desmethyltramadol is a metabolite of tramadol (an analgesic and class 2 drug) - the concentration of o-desmethyltramadol is 24 ng/mL.

D166885-FHU	Horse Urine	23.9 g	INTACT
Laboratory Number	Specimen	Final Weight	Seal
Tampa Bay Downs		03/02/2022	03/09/2022
Collected From		Date Collected	Date Received

Pursuant to Chapter 550.2415 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 04/16/2022, or upon commencement of administrative action, whichever occurs first.

Dirk A Hunt Associate Director

Brooks Nelson Chemist III

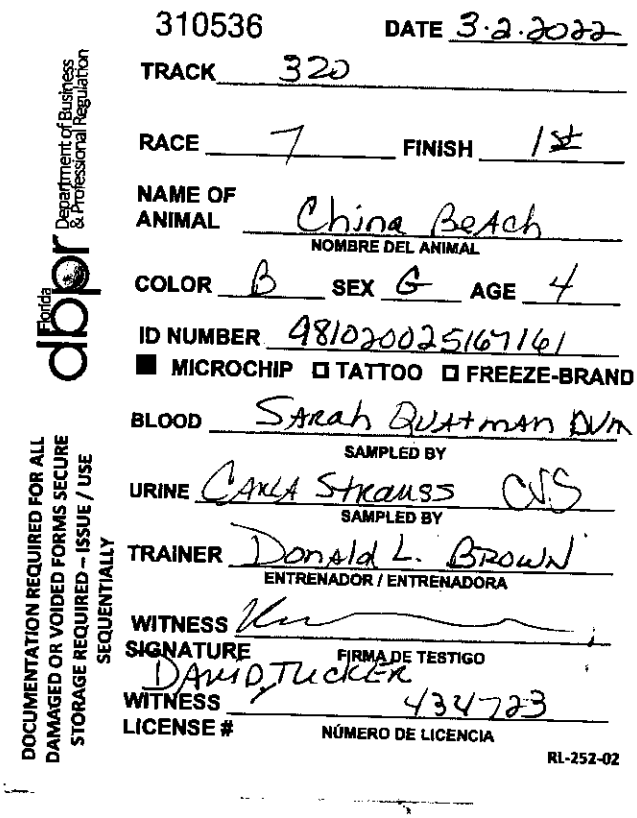
22-50

University of Florida Racing Lab
ISO/IEC 17025-2017
Certificate # AT-1642

ANAB ACCREDITED

The Foundation for The Gator Nation

An Equal Opportunity Institution



310536 DATE 3.2.2022

TRACK 320

RACE 7 FINISH 1st

NAME OF ANIMAL China Beach
NOMBRE DEL ANIMAL

COLOR B SEX G AGE 4

ID NUMBER 981020025167161

■ MICROCHIP ☐ TATTOO ☐ FREEZE-BRAND

BLOOD Sarah Quatman DVM
SAMPLED BY

URINE Carla Strauss CVS
SAMPLED BY

TRAINER Donald L. Brown
ENTRENADOR / ENTRENADORA

WITNESS SIGNATURE
FIRMA DE TESTIGO

WITNESS David Tucker

LICENSE # 434723
NÚMERO DE LICENCIA

RL-252-02

Florida dbpr Department of Business & Professional Regulation

DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED – ISSUE / USE
SEQUENTIALLY

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

ELECTION OF RIGHTS – PAGE 1 OF 2

DBPR v. DONALD L. BROWN **CASE NO.: 2022-017792**

PLEASE SELECT OPTION (A), OPTION (B), OR OPTION (C) BELOW.

THIS IS A **LEGALLY BINDING DOCUMENT.** SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

OPTION (A) ☐ **I request a hearing before the Board of Stewards** pursuant to Section 120.80(4)(a), Florida Statutes, and Chapter 61D-3, Florida Administrative Code. Hearings before the stewards are for the purpose of the imposition of fines or suspensions as provided by the rules of the division.

OPTION (B) ☐ **I waive my right to a hearing before the Board of Stewards, pursuant to Section 120.80(4)(a), Florida Statutes, and request a hearing pursuant to Sections 120.569 and 120.57, Florida Statutes.** Please select from the following hearing types:

☐ **I do not dispute the allegations** of material fact in the Administrative Complaint. I wish to submit oral and written evidence in mitigation at a hearing pursuant to Section 120.57(2), Florida Statutes ("informal hearing") and that this oral and written evidence be considered before any penalty and fines are imposed.

☑ **I do dispute the allegations** of material fact in the Administrative Complaint. This is a petition for a hearing involving disputed material facts pursuant to Sections 120.569(2)(a) and 120.57(1), Florida Statutes, ("formal hearing") before an Administrative Law Judge of the Division of Administrative Hearings ("DOAH"). **I specifically dispute the following paragraphs in the Administrative Complaint** (attach extra pages or write on the back if needed):
Mr. Brown disputes that the urine sample that allegedly tested positive for the drugs described in the complaint were from the horse known as "China Beach".

In addition to the above election for a formal hearing, if you wish to enter into settlement negotiations with the Division, check the box below:

☑ Section 120.569(2)(a), Florida Statutes, requires the Division to send this case to DOAH for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement in order to enter into settlement negotiations with the Division.

OPTION (C) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint and **waive my right** to any form of hearing. I request that a Final Order imposing a penalty and fines be entered in this case.

PLEASE PROVIDE THE EMAIL ADDRESS WHERE YOU WISH TO RECEIVE SERVICE:

EMAIL ADDRESS: _____

If you do not have an email address or do not wish to provide an email address for service in this case, service of documents related to this matter will be sent via U.S. Mail to the mailing address you provide on the following signature page.

If an attorney or qualified representative represents you in this matter, service of all documents will be made to their email address, or if no email address is provided, to their mailing address as provided on the following signature page.

RECEIVED
2022 MAY -9 AM 9:49
DIVISION OF PARI-MUTUEL WAGERING

THE ELECTION OF RIGHTS FORM AND ANY ATTACHMENTS SHOULD BE RECEIVED BY THE DEPARTMENT WITHIN 21 DAYS AND SENT TO:

Office of the General Counsel
Department of Business and Professional Regulation
2601 Blair Stone Road, Tallahassee, FL 32399-2202
Attention: Ebonie N. Lanier, Administrative Assistant II
Telephone: (850) 717-1663 Fax: (850) 921-1311
Email: Ebonie.Lanier@MyFloridaLicense.com

RECEIVED
MAY 06 2022
By: E. Lanier
DBPR General Counsel

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

ELECTION OF RIGHTS – PAGE 2 OF 2

DBPR v. DONALD L. BROWN **CASE NO.: 2022-017792**

SIGNATURE PAGE

PRINTED NAME

Address

City State Zip

Telephone Number Facsimile Number (if any)

Email Address

SIGNATURE

Bradford J. Beilly, Esq.

ATTORNEY OR QUALIFIED REPRESENTATIVE

1144 SE 3 Ave.

Address

Fort Lauderdale FL 33316

City State Zip

954-763-7000

Telephone Number Facsimile Number (if any)

brad@beillylaw.com

Email Address

SIGNATURE

THE ELECTION OF RIGHTS FORM AND ANY ATTACHMENTS SHOULD BE RECEIVED BY THE DEPARTMENT WITHIN 21 DAYS AND SENT TO:

Office of the General Counsel
Department of Business and Professional Regulation
2601 Blair Stone Road, Tallahassee, FL 32399-2202
Attention: Ebonie N. Lanier, Administrative Assistant II
Telephone: (850) 717-1663 Fax: (850) 921-1311
Email: Ebonie.Lanier@MyFloridaLicense.com

Fleming, Donna

From: Lanier, Ebonie
Sent: Monday, May 9, 2022 9:28 AM
To: Fleming, Donna; Ricks, Glenda
Subject: EOR - BROWN - 2022017792
Attachments: SPTLPMW0322050909251.pdf

Good Morning,

Please see the attached EOR for Case No. 2022017792.

Thanks,

Ebonie Lanier

Ebonie N. Lanier
Administrative Assistant II
Office of the General Counsel/Pari-Mutuel Wagering
Department of Business and Professional Regulation
Phone: (850) 717-1663

STATE OF FLORIDA
DIVISION OF ADMINISTRATIVE HEARINGS

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

vs. Case No. 23-0579PL

DONALD L. BROWN,

Respondent.
_____/

ORDER ON RESPONDENT'S MOTION TO ALLOW DNA TESTING

On March 1, 2023, this cause came before the undersigned on Respondent's Motion to Allow DNA Testing and Request for Hearing on the Motion ("Motion"). On March 16, 2023, the undersigned conducted a telephonic hearing on the Motion. On March 21, 2023, the undersigned issued an Order reserving ruling on Respondent's Motion, and directing Respondent to provide additional information regarding the process to facilitate DNA testing. On March 31, 2023, Petitioner was granted the opportunity to respond, and timely filed a response thereto.

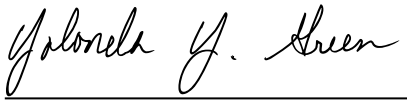
Having heard from both parties, and based on Respondent's response to the undersigned's Order issued on March 21, 2023, and Petitioner's response thereto, it is, therefore,

ORDERED that:

1. Respondent's Motion is denied.

2. The final hearing scheduled for April 18, 2023, remains as scheduled.

DONE AND ORDERED this 7th day of April, 2023, in Tallahassee, Leon County, Florida.



YOLONDA Y. GREEN
Administrative Law Judge
1230 Apalachee Parkway
Tallahassee, Florida 32399-3060
(850) 488-9675
www.doah.state.fl.us

COPIES FURNISHED:

Emily Ann Alvarado, Esquire
(eServed)

Bradford J. Beilly, Esquire
(eServed)

Marc Daniel Taupier, Esquire
(eServed)

STATE OF FLORIDA
DIVISION OF ADMINISTRATIVE HEARINGS

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

DOAH Case No.: 23-0579PL
FGCC Case No.: 2022-017792

DONALD L. BROWN

Respondent.
_____/

PETITIONER'S RESPONSE TO RESPONDENT'S MOTION TO ALLOW DNA TESTING

Petitioner, the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Commission"), objects to Donald L. Brown's ("Respondent's") Motion to Allow DNA Testing ("Motion"), and states:

PROCEDURAL HISTORY

1. On April 22, 2022, the Commission filed an Administrative Complaint against Respondent alleging a violation of section 550.2415(1)(a), Florida Statutes, for racing horse "China Beach" with two prohibited substances in its body at Tampa Bay Downs on March 2, 2022.

2. On May 5, 2022, Respondent requested that the Commission send a split sample of the urine sample[1] identified as collected from China Beach on March 2, 2022, to Texas A&M Veterinary Medical Diagnostic Laboratory to confirm whether the two prohibited substances were present in the split sample of the urine sample.

3. On June 8, 2022, Texas A&M Veterinary Medical Diagnostic Laboratory received the split sample of the urine sample.

[1] Split-sample testing allows a horse trainer or owner who receives a drug positive test result from the University of Florida Laboratory to send the second sealed sample to another comparable drug testing laboratory approved by the Commission for secondary testing. This is used in order to confirm the presence of the impermissible substance in the horse.

Filed March 8, 2023 4:50 PM Division of Administrative Hearings

4. On June 30, 2022, Texas A&M Veterinary Medical Diagnostic Laboratory notified the University of Florida Racing Laboratory, in writing, that the presence of the two prohibited substances were present in the split urine sample. *See* composite Exhibit A.[2]

5. On February 13, 2023, this case was referred to the Division of Administrative Hearings pursuant to sections 120.569(2)(a) and 120.57(1), Florida Statutes.

6. On March 1, 2023, Respondent filed a motion requesting the Administrative Law Judge ("ALJ") to enter an order directing the Commission to transfer a biological sample of the Commission to the University of California, Davis, Veterinary Genetics Laboratory ("UC Davis") for DNA testing.

LEGAL ANALYSIS

I. The applicable statutes and rules do not allow for secondary testing of Commission samples at an unapproved laboratory.

7. Section 550.2451(5), Florida Statutes, provides that the Commission "shall maintain a list of at least five approved independent laboratories for an owner or trainer to select from if a drug test result is positive."

8. Rule 61D-6.006, Florida Administrative Code, provides that the trainer or owner shall choose an independent laboratory from a list of five approved laboratories. This Commission approved list is available to the trainer or owner at www.fgcc.fl.gov.

9. The Commission has approved the Center for Tox Services, Industrial Laboratories, Texas A&M Veterinary Medical Diagnostic Laboratory, the Analytical Toxicology Laboratory at the Ohio Department of Agriculture, and the Pennsylvania Equine Toxicology & Research Laboratory (collectively, "Approved Laboratories") for the secondary testing of biological specimens.

[2] Composite Exhibit A consists of the June 30, 2022, letter from Texas A&M Veterinary Medical Diagnostic Laboratory, and a letter dated March 7, 2023, amending the June 30, 2022, letter only to replace a reference to "serum" with a reference to a "urine sample."

10. Respondent seeks an order that requires the Commission to release control of a biological sample belonging to the state of Florida and send it to UC Davis, a laboratory that is not one of the Commission's Approved Laboratories, for DNA testing against a purported DNA sample that is kept on file with UC Davis for China Beach.

11. While the applicable rules and statutes provide for split sample testing, they do not provide for secondary "split-sample" testing at a laboratory that has not been approved by the Commission. *See* § 550.2415(5), Fla. Stat.; Fla. R. Admin. Cod R. 61D-6.006.

12. Furthermore, the Respondent has not provided to the Commission sufficient information regarding the process used by UC Davis to receive China Beach's purported DNA sample, verify that the sample belongs to China Beach, and store and maintain China Beach's purported DNA sample profile at its facility, rendering the Commission unable to confirm the reliability of the collection and storage of the purported DNA sample at UC Davis.

13. Additionally, Respondent failed to identify the chain of custody for the biological sample from which the DNA profile for China Beach was derived prior to UC Davis' involvement.

14. If Respondent cannot establish that the purported DNA sample in question was collected from China Beach and not from any other horse prior to UC Davis' involvement, the chain of custody is broken. *See Stevens v. Fla. Dept. of Revenue, ex rel Beltran*, 790 So. 2d 1182, 1184-1185 (Fla. 2d DCA 2001).

15. Without an established chain of custody for China Beach's purported DNA sample profile on file at UC Davis for China Beach, any testing conducted using UC Davis' purported DNA sample profile for the purposes of establishing whether the Division's sample was obtained from China Beach is unreliable.

16. Based on the foregoing, there is no legal mechanism within the Commission's statutes or rules that would permit the Commission to provide a third party laboratory with custody of its samples for any purpose.

17. In addition, Respondent has not provided evidence sufficient to demonstrate the reliability of the collection, storage, and maintenance of the purported DNA sample profile at UC Davis.

18. Finally, even if Respondent were to establish that UC Davis will provide reliable testing using scientifically acceptable procedures, Respondent has not provided evidence sufficient to demonstrate that Respondent is able to establish the chain of custody for the DNA sample in question.

II. The applicable statutes and rules do not authorize DNA testing.

19. Section 550.2451, Florida Statutes, requires that the Commission create procedures to ensure that racing animals are not impermissibly medicated by testing biological samples taken from the animal immediately after the racing of that animal.

20. Rule 61D-6.0051, Florida Administrative Code, outlines the procedures for the collection and testing of biological samples of racing horses, detailing specifically how a sample is identified, collected, and stored, in line with the requirement in section 550.2415.

21. Section 550.2451(5), provides for secondary, "split-sample" testing by "an approved independent laboratory," for purposes of confirming the commission's laboratory's positive result.

22. Rule 61D-6.006, Florida Administrative Code, outlines the procedures for the "split-sample" testing of biological samples of racing horses, in line with the requirements set forth in section 550.2415, Florida Statutes.

23. To discern legislative intent of a statute, courts look "primarily" to the actual language used in the statute. *See Golf Channel v. Jenkins*, 752 So. 2d 561, 564 (Fla. 2000).

24. Section 550.2415 does not expressly require or permit DNA testing of collected biological samples to confirm the identity of the tested racing horses, nor does it direct the Commission to adopt rules or procedures regarding same.

25. If the Legislature intended DNA testing to be an option to ensure proper collection of biological samples of horses, then the Legislature would have addressed DNA testing in section 550.2451, in the same manner that "split-sample" testing is contemplated.

26. Section 120.52(8)(c), Florida Statutes, states in pertinent part, that an existing rule is an invalid exercise of delegated legislative authority if "[t]he rule enlarges, modifies, or contravenes the specific provisions of law implemented."

27. Accordingly, neither rule 61D-6.0051 nor rule 61D-6.006, Florida Administrative Code, prescribes procedures for DNA testing of blood and urine samples collected from racing horses subsequent to a race.

28. Administrative agencies, such as the Commission, "only have such power as granted to them by the Legislature and may not expand their jurisdiction." *See Rinella v. Abifaraj*, 908 So.2d 1126, 1129 (Fla. 1st DCA 2005).

29. Absent a statutory grant of authority, the Commission cannot adopt a procedure or promulgate a rule regarding DNA testing of biological samples collected by Commission staff from racing horses in accordance with section 550.2415; any such procedure or rule would result in enlarging, modifying, or contravening the intent of section 550.2415. *See* § 120.52(8)(c), Fla. Stat.

30. Therefore, requiring the Commission to implement a procedure that is not part of the intended purpose of section 550.2415, Florida Statutes, would violate section 120.52(8)(c).

III. Petitioner follows procedures to ensure chain of custody of samples.

31. The Commission is responsible for maintaining the chain of custody of biological samples collected by Commission employees pursuant to rule 61D-6.0051, Florida Administrative Code.

32. Rule 61D-6.0051, Florida Administrative Code, prescribes procedures that the Commission must follow to ensure that biological samples are properly collected, preserved, and sent for testing.

33. Rule 61D-6.0051(2)(a), Florida Administrative Code, provides, in pertinent part, that "[t]he owner, trainer of record, groom, or other authorized person shall accompany the horse and division personnel to its barn and shall remain with the horse until a specimen is collected, and is permitted to accompany the division personnel and specimen back to the detention enclosure for sealing of the specimen container."

34. The requirement that an authorized person must accompany the horse to the detention barn ensures that the owner or trainer are given the ability to verify the integrity of the sample collection process and to confirm that the sample that is labeled with their horse's identification is properly taken and stored.

35. Respondent was given the opportunity to be present during the biological sample collection process at the Tampa Bay Downs Detention Barn on March 2, 2022.

36. The individual sent to observe the collection process is listed and is required to sign the sample documentation sheet upon viewing and confirming the biological sample is sealed.

37. As required by rule 61D-6.0051(2)(a), Florida Administrative Code, Respondent sent a representative, David Tucker, to observe the collection. *See* Exhibit B.

38. Chain of custody was observed by Mr. Tucker, who was given the opportunity to observe the sample collection and verify that the biological sample was placed in the correct tube with the correct label containing an accurate sample number.

39. Following the collection process, Mr. Tucker was asked to sign the sample documentation sheet. *See* Exhibit C.

40. Once sealed in the presence of the Mr. Tucker, the samples were stored and sent directly to the University of Florida Laboratory for testing.

41. Rule 61D-6.0051(2)(a), Florida Statutes, affords the owner and the trainer of the tested racing horse the opportunity to ensure that the owner or trainer is able to observe the chain of custody of their horses sample and therefore verify that the sample came from their horse.

42. Respondent's concerns regarding the validity of the sample collected on March 2, 2022, can be verified through the existing collection process available to trainers and owners and established under rule 61D-6.0051, as well as through the recollection of the representative sent by Respondent, Mr. Tucker.

43. Respondent's request for DNA testing will not lead to any relevant information in this proceeding that rule 61D-6.0051(2)(a) does not already provide for.

IV. Respondent's Motion does not comply with the requirements of Rule 1.350, Florida Rules of Civil Procedure.

44. Section 120.569(2)(f), Florida Statutes, confers upon the ALJ in this proceeding "the power to … effect discovery on the written request of any party by any means available to the courts and in the manner provided in the Florida Rules of Civil Procedure."

45. Rule 28-106.206, Florida Administrative Code, provides, "[a]fter commencement of a proceeding, parties may obtain discovery through the means and in the manner provided in rules 1.280 through 1.400, Florida Rules of Civil Procedure. The presiding officer may issue appropriate orders to effectuate the purposes of discovery and to prevent delay."

46. Rule 1.350(a)(2), Florida Rules of Civil Procedure, provides that "any party may request any other party … to inspect and copy, test, or sample any tangible things that constitute or contain matters within the scope of rule 1.280(b) and that are in the possession, custody, or control of the party to whom the request is directed."

47. Pursuant to rule 1.350(b), Florida Rules of Civil Procedure, a party must identify the items to be inspected and it must designate a "reasonable time, place, and manner of making the inspection or performing the related acts."

48. In this case, Respondent has not sufficiently identified the manner of making the inspection or the manner in which Respondent will perform the related acts.

49. Specifically, Respondent has not identified: (1) whether the requested testing will destroy the Commission's sample; (2) whether the sample will be returned to the possession or control of the Commission; (3) how the sample will be transported so as to sufficiently sustain the sample's chain of custody; (4) how the sample's chain of custody will be documented; (5) how the sample will be secured during transport; (6) who will have access to the sample; (7) how the sample will be transported so as not to degrade or destroy the sample; (8) what testing methodologies and serology interpretation guidelines will be used to conduct the requested DNA testing; (9) how and when China Beach's DNA profile, which will be compared to the Commission's sample during the requested test, was or will be obtained, and by whom; (10) whether a chain of custody can be established for the collection, transport, and analysis of the biological sample collected to establish China Beach's DNA profile; (11) whether the requested testing will be presumptive or confirmatory; (12) what equipment will be utilized for the requested DNA testing; (13) how any such equipment is calibrated; and (14) whether the requested testing is reliable and derived from scientifically acceptable procedures.

50. A discovery request should not be enforced where the request lacks sufficient specificity necessary for one to know what is demanded. *Buckley Development Co., Ltd. v. Tagrin*, 270 So. 2d 433 (Fla. 3d DCA 1972).

51. Therefore, the motion does not comply with the requirements of a discovery request pursuant to rule 1.350(a)(2), Florida Rules of Civil Procedure, because Respondent has

not identified the manner in which the DNA will be tested or provided any information regarding the reliability of the testing procedures to be utilized.

WHEREFORE, the Division requests that this Administrative Law Judge enter an order DENYING Respondent's Motion to Allow DNA Testing and Request for Hearing on the Motion and grant the Commission any other such relief as deemed just and necessary.

Respectfully Submitted on this 8th day of March, 2023.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true and correct copy of the foregoing document has been provided to the following addressee via electronic mail on this 8th day of March 2023:

Donald L. Brown
c/o Bradford Beilly, Esq.
Beilly & Strohsal, P.A.
1144 S.E. 3rd Avenue
Fort Lauderdale, FL 33316
Phone (954) 763-7000
brad@beillylaw.com

/s/ Emily A. Alvarado
Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
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Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

COLLEGE STATION LABORATORY

TEXAS A&M TVMDL VETERINARY MEDICAL DIAGNOSTIC LABORATORY

POSITIVE LETTER
(Amended Report)

March 7, 2023

Dirk Hunt
Associate Director
University of Florida
Racing Laboratory
P.O. Box 100275
Gainesville, FL 32610-0275
352-392-2238

RE: No. 310536

Received: June 8, 2022
Laboratory No.: C221590160
Sample No.: 310536

INVESTIGATION: Dextrorphan and O-Desmethyltramadol in urine

Please note the previous report referenced both serum and urine when only urine should have been referenced for the matrix.

The urine sample submitted was received in good condition with security seals intact. Testing began on June 23, 2022.

The sample was subjected to qualititative instrumental analysis for the presence of Dextrorphan and O-Desmethyltramadol utilizing liquid chromatography – tandem mass spectrometry (LC-MS/MS). Dextrorphan and O-Desmethyltramadol were detected in the sample by LC-MS/MS. No further testing has been assigned.

The remainder of the sample will be stored frozen and disposed of after 1 year. Records associated with this case will be disposed of after 2 years.

If you have any questions or require additional information, please do not hesitate to contact me.

Sincerely,

Paige Roig

Paige Roig
Laboratory Supervisor
Analytical Chemistry
TVMDL

TM

EXHIBIT

A

PO Drawer 3040
College Station, TX 77841-3040

483 Agronomy Rd, TAMU 4471
College Station, TX 77840-4471

Tel. 979.845.3414
Fax. 979.458.3260
http://tvmdl.tamu.edu

COLLEGE STATION LABORATORY

TEXAS A&M TVMDL VETERINARY MEDICAL DIAGNOSTIC LABORATORY

POSITIVE LETTER

June 30, 2022

Dirk Hunt
Associate Director
University of Florida
Racing Laboratory
P.O. Box 100275
Gainesville, FL 32610-0275
352-392-2238

RE: No. 310536

Received: June 8, 2022
Laboratory No.: C221590160
Sample No.: 310536

INVESTIGATION: Dextrorphan and O-Desmethyltramadol in urine

The serum sample submitted was received in good condition with security seals intact. Testing began on June 23, 2022.

The sample was subjected to qualititative instrumental analysis for the presence of Dextrorphan and O-Desmethyltramadol utilizing liquid chromatography – tandem mass spectrometry (LC-MS/MS). Dextrorphan and O-Desmethyltramadol were both detected in the sample by LC-MS/MS. No further testing has been assigned.

The remainder of the sample will be stored frozen and disposed of after 1 year. Records associated with this case will be disposed of after 2 years.

If you have any questions or require additional information, please do not hesitate to contact me.

Sincerely,

Paige Roig

Paige Roig
Laboratory Supervisor
Analytical Chemistry
TVMDL

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College Station, TX 77841-3040

483 Agronomy Rd, TAMU 4471
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STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

STATE DETENTION AREA SECURITY LOG

Track Number: 370 **Day of Week:** Wednesday **Date:** 3-2-22

	Licensee Name	License Number	Time In	Time Out	Horse Name	Race No.	Escort-Tagger Initials	Escort-Tagger ID Number
1.	Armento Rueda	5627271	1230	101	Aycapote	1	CVS	Sunni
2.	David Holland	7992265	1257	120	Bron Magic	2	JR	Sam
3.	Stacy Bess	1129621	1257	124	Bionic Bottle	2	SP	Sandy
4.	Kathryn Spencer	11913170	130	135	Foxy Austin	3	ECC	Elli
5.	Elvis Waldron	1156909	~~130~~	~~135~~	Natashis Bizniz	3	KZ	Kim
6.	Angel Brito	17-30634	201	232	Knight Of The Coast	4	CVS	Sunni
7.	Juan Andino	5617454	235	306	Jazzy Times	5	JR	Sam
8.	Joshua Lemp	6937217	233	312	Fox Rock	5	SP	Sandy
9.	Luis Gonzalez	11577785	309	403	Bastien Le Brun	6	ECC	Elli
10.	John Wall	5479959	309	350	Lil Bull	6	KZ	Kim
11.	Daniel Tucker	4134723	341	414	China Beach	7	CVS	Sunni
12.	Jose Castellon	13110057	409	510	Knight Sleeper	8	JR	Sam
13.	Juan Barbuez	10914354	408	501	Tactical Range	8	SP	Sandy
14.	Enrique Hernandez	9580324	441	512	Amilitas Tiesto	9	KZ	Kim
15.	Miguel Angel Gomez Ortiz	13173047	434	502	The Unemployed Lady	9	ECC	Elli
16.								
17.								

Report Prepared By: Pam Rotta
Print Name

Initial

Employee ID Number

Page: 1 of 1

PMW-506
Rev. 11/14

EXHIBIT
B

310536 DATE 3.2.2022

TRACK 320

RACE 7 FINISH 1st

NAME OF ANIMAL China Beach
NOMBRE DEL ANIMAL

COLOR B SEX G AGE 4

ID NUMBER 981020025167161

■ MICROCHIP ☐ TATTOO ☐ FREEZE-BRAND

BLOOD Sarah Bushman DVM
SAMPLED BY

URINE Carla Strauss CS
SAMPLED BY

TRAINER Donald L. Brown
ENTRENADOR / ENTRENADORA

WITNESS SIGNATURE
FIRMA DE TESTIGO

WITNESS David Tucker

LICENSE # 434723
NÚMERO DE LICENCIA

RL-252-02

Florida dbpr Department of Business & Professional Regulation

DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED – ISSUE / USE
SEQUENTIALLY

EXHIBIT

C

STATE OF FLORIDA
DIVISION OF ADMINISTRATIVE HEARINGS

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

Case No.: 2023-0579PL
DBPR Case No.: 2022-017792

v.

DONALD L. BROWN,

Respondent.
_____/

MOTION TO ALLOW DNA TESTING AND REQUEST FOR HEARING ON THE MOTION

Respondent Donald L. Brown moves this Tribunal for entry of an order directing the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, ("Division") to authorize the University of Florida Laboratory to release a portion of the remainder of the "A" sample urine tube to the University of California, Davis, Veterinary Genetics Laboratory for DNA testing to establish whether or not the sample was taken from the racehorse known as "China Beach", and in support thereof, states as follows:

1. This case involves an administrative complaint filed by the Division against Respondent Donald L. Brown, a licensed racehorse trainer, alleging that on March 2, 2022, he raced a horse at Tampa Bay Downs whose post-race sample tested positive for the unpermitted medications dextrophan and o-desmethyltramadol.

2. The alleged violation was found in a urine sample alleged to have been drawn by an employee of Tampa Bay Downs from the racehorse known as "China Beach" after it won the second race at Tampa Bay Downs that day.

Filed March 1, 2023 9:03 AM Division of Administrative Hearings

3. The Respondent disputes that the urine containing these medications was taken from the horse known as "China Beach".

4. The undersigned counsel has asked the Division to allow DNA testing by University of California, Davis, Veterinary Genetics Laboratory to establish whether the urine that tested positive did or did not come from "China Beach".

5. The University of California, Davis, Veterinary Genetics Laboratory has a DNA profile of "China Beach" that was established when China Beach was issued its Foal Certificate by the Jockey Club. All currently racing thoroughbred horses have DNA profiles maintained by the University of California, Davis, Veterinary Genetics Laboratory, which profiles were established hair samples submitted to the Jockey club when foal certificate were requested.

6. There is precedent for the need for this DNA testing. Specifically, in Division Case No. 2019-001488, a DNA sample test requested by the trainer therein established that the Division's sample did not come from the racehorse known as "Northernwind" as alleged in the administrative complaint in that case. See letter from the undersigned counsel together with attachments dated June 19, 2019 to the Division's counsel, a copy of which is attached hereto as Exhibit "A" to this motion.

7. As a result of the June 19, 2019 letter, together with its attachments, the Division entered an Order of Dismissal on July 12, 2019. A copy of the Order of Dismissal is attached hereto as Exhibit "B".

8. Division Case No. 2019-001488 involved the same racetrack where the post-race samples were taken in this case.

9. The Respondent is willing to pay for all costs incurred in connection with the DNA testing.

10. The undersigned has spoken telephonically with Emily Alvarado, counsel for the Division who has authorized the undersigned to represent to this Tribunal that the Division objects to the DNA testing requested herein.

WHEREFORE, Respondent Brown requests that this Tribunal enter an order directing the Division to permit DNA testing, to coordinate with the University of California, Davis, Veterinary Genetics Laboratory in transferring the necessary samples. In addition, the undersigned requests a hearing before this Tribunal on this motion.

CERTIFICATE OF SERVICE

I CERTIFY that on this 1st day of March, 2023, a copy of the foregoing was e-mailed to Emily A. Alvarado@fgcc.com, Office of the General Counsel, Florida Gaming Control Commission, 2601 Blair Stone Rd., Tallahassee, FL 32399.

BEILLY & STROHSAHL, P.A.
1144 S.E. 3rd Avenue
Ft. Lauderdale, FL 33316
Telephone: 954-763-7000
Facsimile: 954-525-0404

/s/ Bradford J. Beilly
Bradford J. Beilly
Fla. Bar No. 310328
brad@beillylaw.com
John Strohsahl
Fla. Bar No. 0609021
john@beillylaw.com

LAW OFFICES OF
BEILLY & STROHSAHL, P.A.
1144 SOUTHEAST 3 AVENUE
FORT LAUDERDALE, FLORIDA 33316

TELEPHONE: (954) 763-7000
FACSIMILE: (954) 525-0404
brad@beillylaw.com

June 19, 2019

Megan Silver, Esq.
Florida Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, FL 32399

Re: Keith Raymond Nations; Case No. 2019-001488

Dear Ms. Silver:

As you are aware, I represent Keith Nations in connection with the above referenced Administrative Complaint.

Mr. Nations was charged with a class 3 stacking of phenylbutazone and flunixin as Mr. Nations does not treat his horse with flunixin he asked for a split sample from the Center for Tox Services in Tempe, Arizona. The split sample testing by the Center for Tox Services surprised Mr. Nations as it confirmed the finding of flunixin, which Mr. Nations did not use to treat the horse. A copy of the split sample result dated February 20, 2019 is attached.

As Mr. Nations was convinced that he did not treat the horse with flunixin, he asked the Center for Tox Services to send the remaining genetic material from the split sample to the Veterinarian Genetics Laboratory at the University of California, Davis for DNA testing against the Jockey Club data base that has the DNA profile of the horse he trains known as "Northern Wind". A copy of the transmittal letter from Center for Tox Services to UC, Davis dated February 28, 2019 is attached.

UC, Davis then tested the sample from Arizona against the known DNA profile of "Northern Wind". The conclusion of the testing was that "the DNA profile obtained from blood sample 911903 . . . does not match the DNA profile on record" for the horse known as "Northern Wind". A copy of the letter from UC, Davis dated April 17, 2019 is attached.

As you can see, the forensic analysis opined that the DNA from the split of the blood sample is not from the same source as the DNA profile of the thoroughbred horse known as Northern Wind by the Jockey Club.

Exhibit "A"

Please review the enclosures and contact me to discuss final disposition of this case.

Very truly yours,



Bradford J. Beilly

BJB/pb

cc: Keith Nations

CENTER FOR TOX SERVICES

February 20, 2019

Margaret H. Wilding
Associate Director
University of Florida
Racing Laboratory
P.O. Box 100275
Gainesville, FL 32610-0275

RE: Split Sample Test Result
Sample Number – 911903 B

Dear Ms. Wilding:

CENTER FOR TOX SERVICES, INC. has completed the quantitative analysis of Sample Number 911903 B for Flunixin and Phenylbutazone. One (1) collection tube containing a volume of approximately 3 ml of horse serum was received by the laboratory on February 13, 2019 via Federal Express. The sample was received sealed; it was assigned our Laboratory I.D. Number 28750.

The serum sample was analyzed for Flunixin and Phenylbutazone utilizing a Liquid Chromatography/Mass Spectrometry/Mass Spectrometry (LC/MS/MS) confirmation procedure. Based on a review of the LC/MS/MS data, this sample contains 6.9 ± 0.6 nanograms/milliliter of Flunixin and 0.5 ± 0.04 micrograms/milliliter of Phenylbutazone.

This remainder of sample will be destroyed sixty (60) days from the date of this correspondence unless authorization is received to return it.

If I can be of further assistance with this matter, please do not hesitate to contact me.

Sincerely,

Jeanne B. Mahoney
Director

C: Keith Nations

RECEIVED
FEB 25 2019
University of Florida
Racing Laboratory

Lot #05245

MW
2/25/19

1819 W. Drake Drive • Suite 102 • Tempe, Arizona 85283 • (480) 345-7454 • FAX (480) 345-8350

CENTER FOR TOX SERVICES

February 28, 2019

Veterinary Genetics Laboratory
Forensic DNA Testing
University of California, Davis
980 Old Davis Road
Davis, CA 95616

RE: Keith Nations
Horse – Northern Wind

VGL Forensics Receiving Department:

At the request of Keith Nations, I am sending the remainder or approximately 0.5 mls of equine serum from the horse, 'Northern Wind' for the DNA Genetic Marker Test which is indicated on the Submission Form provided.

Contact Information:
Keith Nations
NATION'S RACING STABLE LLC
11801 Derbyshire Drive
Tampa, FL 33625
206.661.1986
nationsracingstable@gmail.com

For any questions concerning this sample, please contact Mr. Nations. Also please submit all inquiries, laboratory findings and payment for your services to Mr. Nations.

If I can be of any other assistance, please do not hesitate to call.

Sincerely,

Jeanne B. Mahoney
President/Director

C: Keith Nations

UNIVERSITY OF CALIFORNIA, DAVIS

BERKELEY • DAVIS • IRVINE • LOS ANGELES • MERCED • RIVERSIDE • SAN DIEGO • SAN FRANCISCO SANTA BARBARA • SANTA CRUZ

VETERINARY GENETICS LABORATORY
SCHOOL OF VETERINARY MEDICINE
TELEPHONE: (530) 752-2211
FAX: (530) 752-3556

ONE SHIELDS AVENUE
DAVIS, CALIFORNIA 95616-8744

April 17, 2019

Keith Nations
Nations Racing Stable LLC
11801 Derbyshire Drive
Tampa, FL 33626

Re: DNA match comparison to reference profile

Items Received

On March 1, 2019, the VGL-Forensics Laboratory received blood and serum samples submitted for DNA analysis and match comparison to the VGL-Service reference DNA profile for TB95660. The samples arrived in signed, sealed bubble wrap and were assigned the following laboratory case number:

- FCH290-1 Northern Wind Serum/Blood 911903 Unknown

The VGL-Service profile for TB95660 was assigned the following laboratory case number:

- FCH290-2 TB95660 Reference DNA profile

Tests Conducted

Specific Request: DNA comparison of serum/blood to DNA profile for VGL case number TB95660.

DNA extraction and quantification of the blood sample was performed according to standard operating protocols. The extract was tested with a panel of 14 equine-specific short tandem repeat (STR) DNA markers and two sex-linked markers.

The serum sample was not tested.

Results and Conclusions

The DNA profile obtained from blood sample 911903, tested as FCH290-1, does not match the DNA profile on record for TB95660, tested as FCH290-2.

It is my opinion that the blood sample is not from the same source as the DNA profile on record for TB95660, identified as Northern Wind by the Jockey Club.

Disposition

Remaining samples will be returned within 30 days from this report. The DNA test results will remain on permanent record at the VGL-Forensics Laboratory. A comprehensive record of the work performed is available at the VGL-Forensics Laboratory.

This report may not be reproduced, except in full, without written permission of the laboratory. The results in the report relate only to the samples selected or received.

Digitally signed by Dr. Robert Grahn
Date: 2019.04.17 11:25:06 -07'00'

Robert Grahn
Forensic Analyst
VGL-Forensics Laboratory
UC Davis School of Veterinary Medicine

Digitally signed by Teri Kun
DN: cn=Teri Kun, o=UC Davis, ou=VGL Forensics, email=tjkun@ucdavis.edu, c=US
Date: 2019.04.17 11:31:41 -07'00'

Teri Kun
Forensic Scientist
VGL-Forensics Laboratory
UC Davis School of Veterinary Medicine

Digitally signed by Christina Lindquist
Reason: I have reviewed this document
Date: 2019.04.17 11:29:21 -07'00'

Christina Lindquist
Technical Reviewer
VGL-Forensics Laboratory
UC Davis School of Veterinary Medicine

This report may not be reproduced, except in full, without written permission of the laboratory. The results in the report relate only to the samples selected or received.

FILED
Department of Business and Professional Regulation
Deputy Agency Clerk
CLERK Evelle Lawson-Proctor
Date 7/12/2019
File #

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DBPR CASE NUMBER:	2019-001488
COMPLAINT MADE AGAINST:	KEITH RAYMOND NATIONS c/o Brad Beilly, Esquire Beilly & Strohsahl, P.A. 1144 S.E. Third Avenue Fort Lauderdale, Florida 33316
LICENSE NUMBER:	10946842-1021
STAFF RECOMMENDATION:	DISMISSAL

ORDER OF DISMISSAL

THE COMPLAINT: The Administrative Complaint asserts that Keith Raymond Nations ("Respondent") violated section 550.2415, Florida Statutes, and Rule 61D-6.008(3), *Florida Administrative Code*, by racing "Northern Wind" with impermissible amounts of phenylbutazone and flunixin in its body on December 5, 2018.

THE FACTS: At all material times, Respondent was the trainer of record for the racing horse "Northern Wind".

According to Rule 61D-6.008(3), Florida Administrative Code, it is a violation if two (2) Non-Steroidal Anti-Inflammatory Drugs (NSAIDs) are found at concentrations above the secondary limit. The secondary limit for phenylbutazone is .3 micrograms per milliliter and the secondary limit for flunixin is 3 nanograms per milliliter.

Pursuant to the sample collected from the December 5, 2018 race, phenylbutazone was found at a concentration of .494 +/- .025 µg/mL and flunixin was found at a concentration of 3.61 +/- .13 ng/mL. As such, an Administrative Complaint was filed against Respondent on January 28, 2019.

Since the filing of the Administrative Complaint, the Division has received additional evidence that limits its ability to meet its evidentiary burden to prove all facts in the administrative complaint by clear and convincing evidence.

THE LAW: According to Rule 61D-6.008(3), Florida Administrative Code, it is a violation if two (2) Non-Steroidal Anti-Inflammatory Drugs (NSAIDs) are found at concentrations above the secondary limit. The secondary limit for phenylbutazone is .3 micrograms per milliliter and the secondary limit for flunixin is 3 nanograms per milliliter. The

Exhibit "B"

Department bears the burden of proof to establish by clear and convincing evidence the allegations in the complaints. See *Ferris v. Turlington*, 510 So. 2d 292, 294 (Fla. 1987); *Pic 'N' Save Cent. Fla., Inc. v. Dep't of Bus. & Prof'l Reg., Div. of Alcoholic Beverages & Tobacco*, 601 So. 2d 245, 249 (Fla. 1st DCA 1992).

Based on evidence received by Respondent following the filing of an Administrative Complaint, there is not sufficient evidence to proceed in this matter.

Based on the foregoing, this case should be voluntarily dismissed without prejudice.

It is therefore ORDERED that this case should be and is hereby DISMISSED WITHOUT PREJUDICE.

DONE this 10 day of July, 2019.

LOUIS TROMBETTA, DIRECTOR
Division of Pari-Mutuel Wagering

Cc: Jason Holman, Senior Attorney

MEMORANDUM

To: The Florida Gaming Control Commission
From: Office of the General Counsel
Re: FGCC v. Washington Kennel Club, Inc.
Case No. 2023-000965; Proposed Settlement
Date: May 9, 2024

Executive Summary

Washington County Kennel Club, Inc. d/b/a Ebro Greyhound Park ("Respondent") seeks to resolve case no. 2023-000965 via settlement in lieu of further litigation of the Commission's Administrative Complaint. Pursuant to the terms of the proposed settlement, Respondent would agree to pay a fine of $14,258.00.

Background and Procedural History

On March 18, 2024, a second amended administrative complaint was filed against Respondent alleging a 113 violations of section 849.086(7)(h)1, Florida Statutes, by allowing an unapproved Designated Player game to be played at the facility on multiple days from September 2022 to January 2023. Respondent and the Commission engaged in settlement negotiations and this case has been presented to the Commission at two prior meetings.

Analysis

Section 849.086(7)(h)1, Florida Statutes, provides that "[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

Under section 120.57(4), Florida Statutes, the Commission may resolve matters such as this case informally through a negotiated settlement. As part of settlement negotiations, Respondent is requesting the Commission to consider and agree to the proposed terms set forth in the Stipulation and Consent Order, whereby the Respondent would agree to pay an administrative fine of $14,258.00.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-000965

WASHINGTON COUNTY KENNEL CLUB,
INC. d/b/a EBRO GREYHOUND PARK,

Respondent,
_____/

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (hereinafter the "Commission") and Washington County Kennel Club, Inc. d/b/a EBRO Greyhound Park (hereinafter "Respondent"), each individually, a "party," and collectively as "parties," hereby agree and stipulate as follows:

WHEREAS, the Commission is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes; and

WHEREAS, Respondent is a pari-mutuel wagering permitholder pursuant to section 550.054, Florida Statutes, that holds an annual operating license to conduct pari-mutuel wagering and a valid cardroom license pursuant to section 849.086, Florida Statutes; and

WHEREAS, the Commission has jurisdiction over this matter and Respondent; and

WHEREAS, on March 18, 2024, the Commission filed a second amended administrative complaint against Respondent, alleging that Respondent violated section 849.086(7)(h)(1), Florida Statutes, by offering for play a designated player game at its cardroom that was neither a designed

player game identified in cardroom license applications approved by the former Division of Pari-Mutuel Wagering on or before March 15, 2018, not a substantially similar designated player game identified in card room license applications approved by the former Division of Pari-Mutuel Wagering on or before April 1, 2021 (the "Administrative Complaint"); and

WHEREAS, Respondent requested a formal hearing pursuant to sections 120.569 and 120.57(1), Florida Statutes; and

WHEREAS, the parties have negotiated and agreed that the best interest of all the parties will be served by a settlement of these proceedings in lieu of further litigation.

STIPULATION

NOW THEREFORE, in consideration of the mutual promises and recitals herein, the parties hereby agree and stipulate to the following:

1. All recitals herein are true and correct and are incorporated herein.

2. All parties agree that the above "whereas" clauses incorporated herein are binding findings of the parties.

3. The Commission is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

4. Each party has entered into the terms of this Stipulation and Consent Order voluntarily. The undersigned has read and understands this Agreement and has the authority to bind its principals to it. Respondent is aware that it is entitled to the advice of counsel and has either sought the advice of counsel or, by execution of this Stipulation and Consent Order, is knowingly waiving the right to have the advice of counsel. Respondent acknowledges that the Commission has not made any promise, nor has it in any other way encouraged Respondent to enter into this Stipulation and Consent Order without the advice of counsel.

5. **FINE**: Respondent agrees to and shall pay to the Commission the sum of **FOURTEEN THOUSAND, TWO HUNDRED FIFTY-EIGHT DOLLARS ($14,258.00)** at the time Respondent submits an executed copy of this Consent Order. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).**

6. Respondent must mail the executed Stipulation and Consent Order and the payment to: **the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade Way, Tallahassee, Florida 32399-1035, Attention: Elina Valentine.**

7. Respondent neither admits nor denies the facts and legal conclusions raised in the Administrative Complaint and the Commission continues to assert the validity thereof. However, this Stipulation and Consent Order may be considered in aggravation of any future proceedings involving Respondent.

8. The parties understand that this Stipulation and Consent Order will in no way preclude future proceedings by the Commission against Respondent for any future act(s) or omission(s) constituting either a violation of Florida law or the Florida Administrative Code.

9. The parties acknowledge and agree that this Stipulation and Consent Order constitutes the final order in this case and that this Stipulation and Consent Order is enforceable under section 120.69 and chapter 550, Florida Statutes, as final agency action.

10. Venue for any action brought to interpret, enforce, or challenge the terms of this Stipulation and Consent Order and its corresponding Final Order shall lie solely in the Circuit Court of Florida, in and for Leon County, Florida.

11. Respondent, for itself and its related or resulting organizations, successors, transferees, attorneys, heirs, and executors or administrators, discharges the Commission and its agents, representatives, and attorneys, of and from all claims, demands, actions, causes of action, suits, damages, losses and expenses of any and every nature whatsoever, arising out of or in any way related to this matter and the Commission's actions, including, but not limited to, any claims that were or may be asserted in any federal or state court or administrative forum, including any claims arising out of this agreement, by or on behalf of Respondent or its related or resulting organizations.

12. Each party shall bear its own costs and attorney's fees.

13. The parties acknowledge and agree that this Stipulation and Consent Order is subject to the approval of the Commission. The Stipulation and Consent Order will have no force or effect unless and until the Commission files a Final Order adopting this Stipulation and Consent Order. Should this Stipulation and Consent Order be rejected, no statement made in furtherance thereof by Respondent may be used as direct evidence against Respondent in any proceeding.

14. This Stipulation and Consent Order is executed by the parties for the purpose of avoiding further administrative action with respect to the matters addressed herein. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Stipulation and Consent Order. Should the Commission not accept this Stipulation and Consent Order, it is agreed that presentation to and consideration of this Stipulation and Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

15. Upon the Commission's adoption of this Stipulation and Consent Order, Respondent agrees to waive any and all appeals and proceedings relating to these proceedings to which it may be entitled, including, but not limited to, an informal proceeding under section 120.57(2), Florida Statutes; a formal proceeding under section 120.57(1), Florida Statutes; appeals under section 120.68, Florida Statutes; and declaratory and all writs of relief in any court or quasi-court of competent jurisdiction; and agrees to waive compliance with the form of the Final Order (findings of fact and conclusions of law) to which it may be entitled, provided, however, that this agreement shall not be deemed a waiver by either party of its right to judicial enforcement of the Stipulation and Consent Order.

16. The parties agree that this serves as notice that the signed Stipulation and Consent Order will be placed on the next available Commission meeting. If Respondent would like to attend, Respondent is responsible for checking the Florida Gaming Control Commission website at https://flgaming.gov/ for the meeting materials, agenda, and contact information.

17. Respondent authorizes the Commission to correct any typographical errors or make any non-material changes to this Stipulation and Consent Order after it is signed.

18. This Stipulation and Consent Order contains the entire understandings and agreements of the parties. This Stipulation and Consent Order supersedes any prior oral or written agreements between the parties.

19. This Stipulation and Consent Order may be signed in counterparts, and copies shall be treated as original.

[Signature pages to follow]

Respondent, WASHINGTON KENNEL CLUB, INC. d/b/a EBRO GREYHOUND PARK requests that the Commission enter a Final Order approving and incorporating this Stipulation and Consent Order in resolution of this matter.

Signed this 15th day of April, 2024.

WASHINGTON KENNEL CLUB, INC. d/b/a EBRO GREYHOUND PARK,
Respondent

Signed on behalf of Washington Kennel Club, Inc. d/b/a Ebro Greyhound Park by:

Stockton Hess
Printed Name

President
Title

STATE OF FLORIDA

COUNTY OF Washington

The foregoing instrument was acknowledged before me, by means of ☑ physical presence or ☐ online notarization, this 15 day of April, 2024, by a duly authorized representative of Washington Kennel Club, Inc. d/b/a EBRO Greyhound Park, who is personally known to me or who produced the following as identification: _____

Nichole Owens Kennedy
Notary Public

NICHOLE OWENS KENNEDY
Notary Public
State of Florida
Comm# HH434614
Expires 9/14/2027

My commission expires:
09/14/2027

This Stipulation and Consent Order for the Florida Gaming Control Commission Case Number 2023-000965 is APPROVED for legal sufficiency this ____ day of _____, 2024.

Elina Valentine
General Counsel
The Florida Gaming Control Commission

WASHINGTON COUNTY KENNEL CLUB, INC 25882

CaseNo:	4/15/24	14,258.00	14,258.00

Case# 2023-000965

Cardroom

4/16/24	25882	Florida Gaming Control Commission	$14,258.00

WASHINGTON COUNTY KENNEL CLUB, INC
OPERATING
6558 DOG TRACK RD
EBRO, FL 32437

Check Number: 25882

CADENCE BANK

25882
85-127/842
723

DATE
Apr 16, 2024

Memo:

CHECK ARMOR FRAUD PROTECTION

AMOUNT
$ 14,258.00

PAY TO THE ORDER OF: Fourteen Thousand Two Hundred Fifty-Eight and 00/100 Dollars

Florida Gaming Control Commission
4070 Esplanade Way
Suite 250
Tallahassee, FL 32399

AUTHORIZED SIGNATURE

[redacted]

Rutledge | Ecenia

119 South Monroe Street, Suite 202
Tallahassee, FL 32301

PO Box 551
Tallahassee, FL 32302

April 23, 2024

Via Hand Delivery

RECEIVED
2024 APR 23 AM 11:15
FLORIDA GAMING
CONTROL COMMISSION

Elina Valentine, General Counsel
Florida Gaming Control Commission
Office of the General Counsel
4070 Esplanade Way
Tallahassee, Florida 32399

Re: Washington County Kennel Club v. FGCC; Case No.: 2023-000965

Ms. Valentine:

Enclosed please find an executed Stipulation and Consent Order and a check in the amount of $14,258.00 (check number 25882) from Washington County Kennel Club as payment for the above referenced matter.

In accordance with our prior communications, it is my understanding that this issue will be placed on the June, 2024 Commission meeting.

Thank you for your attention to this matter.

Sincerely,

Gary R. Rutledge

GRR/vw

Enclosures

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-000965

WASHINGTON COUNTY KENNEL CLUB,
INC. d/b/a EBRO GREYHOUND PARK,

Respondent,
_____/

SECOND AMENDED ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (the "Commission"), files this Second Amended Administrative Complaint against Washington County Kennel Club, Inc., d/b/a EBRO Greyhound Park ("Respondent"), and alleges:

1. The Commission is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.

2. At all times material hereto, Respondent held a pari-mutuel wagering permit pursuant to section 550.054, Florida Statutes.

3. At all times material hereto, Respondent held an annual operating license to conduct pari-mutuel wagering at its facility located at 6558 Dog Track Road Ebro, Florida 32437 (the "Facility").

4. At all times material hereto, Respondent held a valid cardroom license, number 154, issued by the Commission under section 849.086, Florida Statutes, and operated a cardroom within the meaning of section 849.086(2)(c), Florida Statutes, at its Facility (the "Cardroom").

5. During a routine inspection of the Cardroom on September 14, 2022, Commission staff first observed a poker table containing a game titled Quick Draw Poker to be played in a designated player manner (hereinafter referred to as "Quick Draw Poker.")

6. Commission staff subsequently observed that Quick Draw Poker, as offered for play by Respondent in the Cardroom, is neither a designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

7. On or about January 4, 2023, Commission staff informed Keith Moore, employed with Respondent as a cardroom manager, that Respondent was in violation for operating a new designated player game called Quick Draw Poker.

8. On or about February 1, 2023, Respondent ceased offering a table for play of Quick Draw Poker in the Cardroom.

COUNT I

9. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

10. On September 14, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

11. Section 849.086(7)(h)1, Florida Statutes, provides that "[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

12. Based on the foregoing, on September 14, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT II

13. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

14. On September 15, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

15. Section 849.086(7)(h)1, Florida Statutes, provides that "[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

16. Based on the foregoing, on September 15, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT III

17. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

18. On September 16, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

19. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

20. Based on the foregoing, on September 16, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT IV

21. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

22. On September 17, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

23. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

24. Based on the foregoing, on September 17, 2022, Respondent violated section 849.086(7)(h)1, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT V

25. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

26. On September 18, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

27. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

28. Based on the foregoing, on the date of September 18, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar

designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT VI

29. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

30. On September 19, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

31. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

32. Based on the foregoing, on September 19, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT VI

33. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

34. On September 20, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

35. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

36. Based on the foregoing, on the date of September 20, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT VII

37. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

38. On September 21, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

39. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

40. Based on the foregoing, on September 21, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT VIII

41. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

42. On September 22, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

43. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

44. Based on the foregoing, on September 22, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT IX

45. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

46. On September 23, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

47. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

48. Based on the foregoing, on the date of September 23, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT X

49. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

50. On September 24, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

51. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

52. Based on the foregoing, on September 24, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XI

53. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

54. On September 25, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

55. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

56. Based on the foregoing, on September 25, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XII

57. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

58. On September 26, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

59. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Divis202ion of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

60. Based on the foregoing, on September 26, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XIII

61. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

62. On September 27, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

63. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

64. Based on the foregoing, on September 27, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT IVX

65. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

66. On September 28, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

67. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

68. Based on the foregoing, on September 28, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XV

69. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

70. On September 29, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

71. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

72. Based on the foregoing, on September 29, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XVI

73. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

74. On September 30, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

75. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

76. Based on the foregoing, on the date of September 30, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XVII

77. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

78. On October 1, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

79. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

80. Based on the foregoing, on October 1, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XVIII

81. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

82. On October 2, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

83. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

84. Based on the foregoing, on October 2, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XIX

85. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

86. On October 3, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

87. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

88. Based on the foregoing, on October 3, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XX

89. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

90. On October 4, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

91. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

92. Based on the foregoing, on October 4, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXI

93. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

94. On October 5, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

95. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

96. Based on the foregoing, on October 5, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXII

97. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

98. On October 6, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

99. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

100. Based on the foregoing, on October 6, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXIII

101. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

102. On October 7, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

103. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

104. Based on the foregoing, on October 7, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXIV

105. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

106. On October 8, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

107. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

108. Based on the foregoing, on October 8, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXV

109. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

110. On October 9, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

111. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

112. Based on the foregoing, on October 9, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXVI

113. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

114. On October 10, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

115. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

116. Based on the foregoing, on October 10, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXVII

117. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

118. On October 11, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

119. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

120. Based on the foregoing, on October 11, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXVIII

121. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

122. On October 12, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

123. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

124. Based on the foregoing, on October 12, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXIX

125. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

126. On October 13, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

127. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

128. Based on the foregoing, on October 13, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXX

129. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

130. On October 14, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

131. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

132. Based on the foregoing, on October 14, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXXI

133. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

134. On October 15, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

135. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

136. Based on the foregoing, on October 15, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXXII

137. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

138. On October 16, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

139. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

140. Based on the foregoing, on October 16, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXXIII

141. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

142. On October 17, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

143. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially

similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

144. Based on the foregoing, on October 17, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXXIV

145. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

146. On October 18, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

147. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

148. Based on the foregoing, on October 18, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXXV

149. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

150. On October 19, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

151. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

152. Based on the foregoing, on October 19, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXXVI

153. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

154. On October 20, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

155. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

156. Based on the foregoing, on October 20, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXXVII

157. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

158. On October 21, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

159. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

160. Based on the foregoing, on October 21, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXXVIII

161. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

162. On October 22, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

163. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

164. Based on the foregoing, on October 22, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XXXIX

165. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

166. On October 23, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

167. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

168. Based on the foregoing, on October 23, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XL

169. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

170. On October 24, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

171. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

172. Based on the foregoing, on October 24, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XLI

173. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

174. On October 25, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

175. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

176. Based on the foregoing, on October 25, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XLII

177. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

178. On October 26, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

179. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

180. Based on the foregoing, on October 26, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XLIII

181. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

182. On October 27, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

183. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

184. Based on the foregoing, on October 27, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XLIV

185. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

186. On October 28, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

187. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

188. Based on the foregoing, on October 28, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XLV

189. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

190. On October 29, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

191. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

192. Based on the foregoing, on October 29, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XLVI

193. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

194. On October 30, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

195. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

196. Based on the foregoing, on October 30, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XLVII

197. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

198. On October 31, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

199. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

200. Based on the foregoing, on October 31, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XLVIII

201. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

202. On November 1, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

203. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

204. Based on the foregoing, on November 1, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XLIX

205. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

206. On November 2, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

207. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

208. Based on the foregoing, on November 2, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT L

209. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

210. On November 3, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

211. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

212. Based on the foregoing, on November 3, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LI

213. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

214. On November 4, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

215. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

216. Based on the foregoing, on November 4, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LII

217. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

218. On November 5, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

219. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

220. Based on the foregoing, on November 5, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LIII

221. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

222. On November 6, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

223. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

224. Based on the foregoing, on November 6, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LIV

225. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

226. On November 7, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

227. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

228. Based on the foregoing, on November 7, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LV

229. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

230. On November 8, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

231. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

232. Based on the foregoing, on November 8, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LVI

233. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

234. On November 9, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

235. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

236. Based on the foregoing, on November 9, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LVII

237. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

238. On November 10, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

239. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

240. Based on the foregoing, on November 10, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LVIII

241. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

242. On November 11, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

243. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

244. Based on the foregoing, on November 11, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LIX

245. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

246. On November 12, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

247. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

248. Based on the foregoing, on November 12, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LX

249. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

250. On November 13, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

251. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

252. Based on the foregoing, on November 13, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXI

253. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

254. On November 14, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

255. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

256. Based on the foregoing, on November 14, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXII

257. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

258. On November 15, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

259. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

260. Based on the foregoing, on November 15, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXIII

261. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

262. On November 16, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

263. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

264. Based on the foregoing, on November 16, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXIV

265. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

266. On November 17, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

267. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

268. Based on the foregoing, on November 17, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXV

269. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

270. On November 18, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

271. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

272. Based on the foregoing, on November 18, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXVI

273. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

274. On November 19, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

275. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

276. Based on the foregoing, on November 19, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXVII

277. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

278. On November 20, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

279. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

280. Based on the foregoing, on November 20, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXVIII

281. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

282. On November 21, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

283. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

284. Based on the foregoing, on November 21, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXIX

285. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

286. On November 22, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

287. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

288. Based on the foregoing, on November 22, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXX

289. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

290. On November 23, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

291. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

292. Based on the foregoing, on November 23, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXI

293. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

294. On November 24, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

295. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

296. Based on the foregoing, on November 24, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXII

297. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

298. On November 25, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

299. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

300. Based on the foregoing, on November 25, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXIII

301. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

302. On November 26, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

303. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

304. Based on the foregoing, on November 26, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXIV

305. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

306. On November 27, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

307. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

308. Based on the foregoing, on November 27, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXV

309. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

310. On November 28, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

311. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

312. Based on the foregoing, on November 28, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXVI

313. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

314. On November 29, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

315. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

316. Based on the foregoing, on November 29, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXVII

317. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

318. On November 30, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

319. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

320. Based on the foregoing, on November 30, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXVIII

321. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

322. On December 1, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

323. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

324. Based on the foregoing, on December 1, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXIX

325. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

326. On December 2, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

327. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

328. Based on the foregoing, on December 2, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXX

329. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

330. On December 3, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

331. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

332. Based on the foregoing, on December 3, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXXI

333. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

334. On December 4, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

335. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

336. Based on the foregoing, on December 4, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXXII

337. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

338. On December 5, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

339. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

340. Based on the foregoing, on December 5, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXXIII

341. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

342. On December 6, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

343. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

344. Based on the foregoing, on December 6, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXXIV

345. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

346. On December 7, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

347. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

348. Based on the foregoing, on December 7, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXXV

349. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

350. On December 8, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

351. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

352. Based on the foregoing, on December 8, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXXVI

353. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

354. On December 9, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

355. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

356. Based on the foregoing, on December 9, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXXVII

357. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

358. On December 10, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

359. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

360. Based on the foregoing, on December 10, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXXVIII

361. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

362. On December 11, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

363. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

364. Based on the foregoing, on December 11, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT LXXXIX

365. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

366. On December 12, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

367. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

368. Based on the foregoing, on December 12, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XC

369. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

370. On December 13, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

371. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

372. Based on the foregoing, on December 13, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XCI

373. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

374. On December 14, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

375. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

376. Based on the foregoing, on December 14, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XCII

377. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

378. On December 15, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

379. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

380. Based on the foregoing, on December 15, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XCIII

381. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

382. On December 16, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

383. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

384. Based on the foregoing, on December 16, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XCIV

385. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

386. On December 17, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

387. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

388. Based on the foregoing, on December 17, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XCV

389. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

390. On December 18, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

391. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

392. Based on the foregoing, on December 18, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XCVI

393. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

394. On December 19, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

395. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

396. Based on the foregoing, on December 19, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XCVII

397. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

398. On December 20, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

399. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

400. Based on the foregoing, on December 20, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XCVIII

401. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

402. On December 21, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

403. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

404. Based on the foregoing, on December 21, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT XCIX

405. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

406. On December 22, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

407. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

408. Based on the foregoing, on December 22, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT C

409. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

410. On December 23, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

411. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

412. Based on the foregoing, on December 23, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CI

413. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

414. On December 26, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

415. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

416. Based on the foregoing, on December 26, 2022, Respondent violated section 849.086(7)(h)1, Florida Statutes, by offering a designated player game, which was not approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CII

417. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

418. On December 27, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

419. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

420. Based on the foregoing, on December 27, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CIII

421. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

422. On December 28, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

423. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

424. Based on the foregoing, on December 28, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CIV

425. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

426. On December 29, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

427. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

428. Based on the foregoing, on December 29, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CV

429. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

430. On December 30, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

431. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

432. Based on the foregoing, on December 30, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CVI

433. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

434. On December 31, 2022, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

435. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

436. Based on the foregoing, on December 31, 2022, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CVII

437. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

438. On January 1, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

439. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

440. Based on the foregoing, on January 1, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CVIII

441. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

442. On January 2, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

443. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

444. Based on the foregoing, on January 2, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CIX

445. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

446. On January 3, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

447. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

448. Based on the foregoing, on January 3, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CX

449. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

450. On January 4, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

451. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

452. Based on the foregoing, on January 4, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXI

453. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

454. On January 5, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

455. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

456. Based on the foregoing, on January 5, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXII

457. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

458. On January 6, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

459. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

460. Based on the foregoing, on January 6, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXIII

461. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

462. On January 7, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

463. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

464. Based on the foregoing, on January 7, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXIV

465. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

466. On January 8, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

467. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

468. Based on the foregoing, on January 8, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXV

469. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

470. On January 9, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

471. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

472. Based on the foregoing, on January 9, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXVI

473. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

474. On January 10, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

475. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

476. Based on the foregoing, on January 10, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXVII

477. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

478. On January 11, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

479. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

480. Based on the foregoing, on January 11, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXVIII

481. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

482. On January 12, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

483. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

484. Based on the foregoing, on January 12, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXIX

485. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

486. On January 13, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

487. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

488. Based on the foregoing, on January 13, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXX

489. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

490. On January 14, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

491. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

492. Based on the foregoing, on January 14, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXI

493. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

494. On January 15, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

495. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

496. Based on the foregoing, on January 15, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXII

497. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

498. On January 16, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

499. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

500. Based on the foregoing, on January 16, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXIII

501. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

502. On January 17, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

503. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

504. Based on the foregoing, on January 17, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXIV

505. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

506. On January 18, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

507. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

508. Based on the foregoing, on January 18, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXV

509. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

510. On January 19, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

511. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

512. Based on the foregoing, on January 19, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXVI

513. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

514. On January 20, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

515. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

516. Based on the foregoing, on January 20, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXVII

517. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

518. On January 21, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

519. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

520. Based on the foregoing, on January 21, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXVIII

521. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

522. On January 22, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

523. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

524. Based on the foregoing, on January 22, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXIX

525. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

526. On January 23, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

527. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

528. Based on the foregoing, on January 23, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXX

529. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

530. On January 24, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

531. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

532. Based on the foregoing, on January 24, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXXI

533. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

534. On January 25, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

535. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

536. Based on the foregoing, on January 25, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXXII

537. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

538. On January 26, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

539. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

540. Based on the foregoing, on January 26, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXXIII

541. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

542. On January 27, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

543. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by

the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

544. Based on the foregoing, on January 27, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXXIV

545. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

546. On January 28, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

547. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

548. Based on the foregoing, on January 28, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated

player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXXV

549. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

550. On January 29, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

551. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

552. Based on the foregoing, on January 29, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXXVI

553. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

554. On January 30, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

555. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

556. Based on the foregoing, on January 30, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

COUNT CXXXVII

557. Petitioner realleges and adopts paragraphs numbered 1 through 8 as if set forth fully herein.

558. On January 31, 2023, Respondent offered Quick Draw Poker to be played in a designated player manner in the Cardroom.

559. Section 849.086(7)(h)1, Florida Statutes, provides"[p]oker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021."

560. Based on the foregoing, on January 31, 2023, Respondent violated Section 849.086(7)(h)1 by offering for play a designated player game at its Cardroom that was neither a

designated player game identified in cardroom license application approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, nor a substantially similar designated player game identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in section 849.086(14)(a), Florida Statutes, and/or the rules promulgated thereunder.

This Second Amended Administrative Complaint for FGCC Case Number 2023-000965 is signed this 18th day of March 2024.

/s Elina Valentine

Elina Valentine
Florida Bar Number: 57776
General Counsel
Office of the General Counsel
Florida Gaming Control Commission
4070 Esplanade Way, Suite 202
Tallahassee, Florida 32399-1035
Primary: Elina.Valentine@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov
Telephone: (850) 794-8066
Facsimile: (850) 921-1311

NOTICE OF RIGHTS

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **icampbell**

Lic Type	**1002 - Cardroom License**			Status	**90 Closed**	Status Date	**03/16/2023**
Complaint #	**2023000965**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**WASHINGTON COUNTY KENNEL CLUB, INC**	Responsible	**cwtaylor - TAYLOR, CHARLES W**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**V-C - Cardroom Violations**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**01/04/2023**
Region	**NR - Northern Region**	Received	**01/05/2023**
Reference	**849.086(7)(h)(1)**		
Entered	**01/05/2023**	Entered By	**lmuniz**
Summary	**154-Washington County Kennel Club, Inc. -------- / ---------- . Cardroom authorized: On January 4, 2023, Washington County Kennel Club (Ebro) was found to be operating an unapproved designated player game called "Quick Draw Poker." This is a violation of the above cited statute.**		
Updated	**03/16/2023 14:59:08**	By	**icampbell**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

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FLORIDA GAMING CONTROL COMMISSION

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**NORTHERN**	**January 9, 2023**	**2023 00 0965**

Respondent:	Complainant:
Washington County Kennel Club, Inc. 6558 Dog Track Road Ebro, Florida 32437 **(850) 234-3943**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 West Commercial Boulevard, Suite 165** **Ft. Lauderdale, Florida 33309**

License # and Type:	Profession:	Report Date:
154-1002	**Cardroom**	**March 14, 2023**

Period of Investigation:	Type of Report:
Janaury 9, 2023 through March 14, 2023	**Final**

Alleged Violation:

849.086 Cardrooms authorized.—

(7) CONDITIONS FOR OPERATING A CARDROOM.—

(h) Poker games played in a designated player manner in which one player is permitted, but not required, to cover other players' wagers must comply with the following restrictions:

1. Poker games to be played in a designated player manner must have been identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before March 15, 2018, or, if a substantially similar poker game, identified in cardroom license applications approved by the former Division of Pari-mutuel Wagering on or before April 1, 2021.

Synopsis:

WASHIINGTON COUNTY KENNEL CLUB, INC. was found to be operating a new designated player game called Quick Draw Poker, which had not been identified in the cardroom license applications and approved by the Division.

Related Case:

Investigator / Date	Investigator Supervisor / Date
/s/ CW Taylor CW Taylor / March 14, 2023	/s/ C. Derek Washington C. Derek Washington / March 16, 2023

Chief of Investigations / Date

/s/ Steven E. Kogan

Steven E. Kogan / March 16, 2023

CONTINUATION

Investigative Activity:

On September 14, 2022, during a routine cardroom inspection at the **WASHINGTON COUNTY KENNEL CLUB (EBRO).** I noticed a new Designated Player game called "Quick Draw Poker" installed on Table 22, I spoke with Keith **MOORE**, Cardroom Manager (7944868-1012-2024), and **MOORE** explained it was a game he had been designing himself for a long time. He indicated that it was simply based on the game One Card poker, but it allowed you to have additional bonus wagers not included with the current One Card poker game offered statewide. I later verbally informed my Supervisor, Derek **WASHINGTON,** about the game.

On Friday, October 28, 2022, I received an email from Steven **KOGAN**, Chief of Investigations, requesting information regarding the new game, which was reported to imitate playing Roulette. I immediately contacted **MOORE** and requested all information regarding the new game, which he sent via email. **(EXHIBIT #1)**

On January 4, 2023, Investigations Supervisor Derek **WASHINGTON** and I went to **WASHINGTON COUNTY KENNEL CLUB (EBRO) and** advised Cardroom Manager Keith **MOORE** that they were in violation for operating a new designated player game called Quick Draw Poker, which had not been identified in the cardroom license applications and approved by the Division. He stated that the cardroom wanted to comply and would do whatever was required. He said they would no longer offer the game, remove the table felt, and replace it with an approved game as soon as possible.

On January 31, 2023, during a routine cardroom inspection at **WASHINGTON COUNTY KENNEL CLUB (EBRO),** I found that the table felt was not replaced yet, so I reminded management that they had agreed to remove and replace the table felt within a timely manner, and they indicated that the table felt would be replaced as soon as possible.

On February 1, 2023, I received a photo of the table with the Quick Draw Poker felt removed. **(EXHIBIT #2)**

Case Status: Investigations case closed. Case forwarded to Legal for review.

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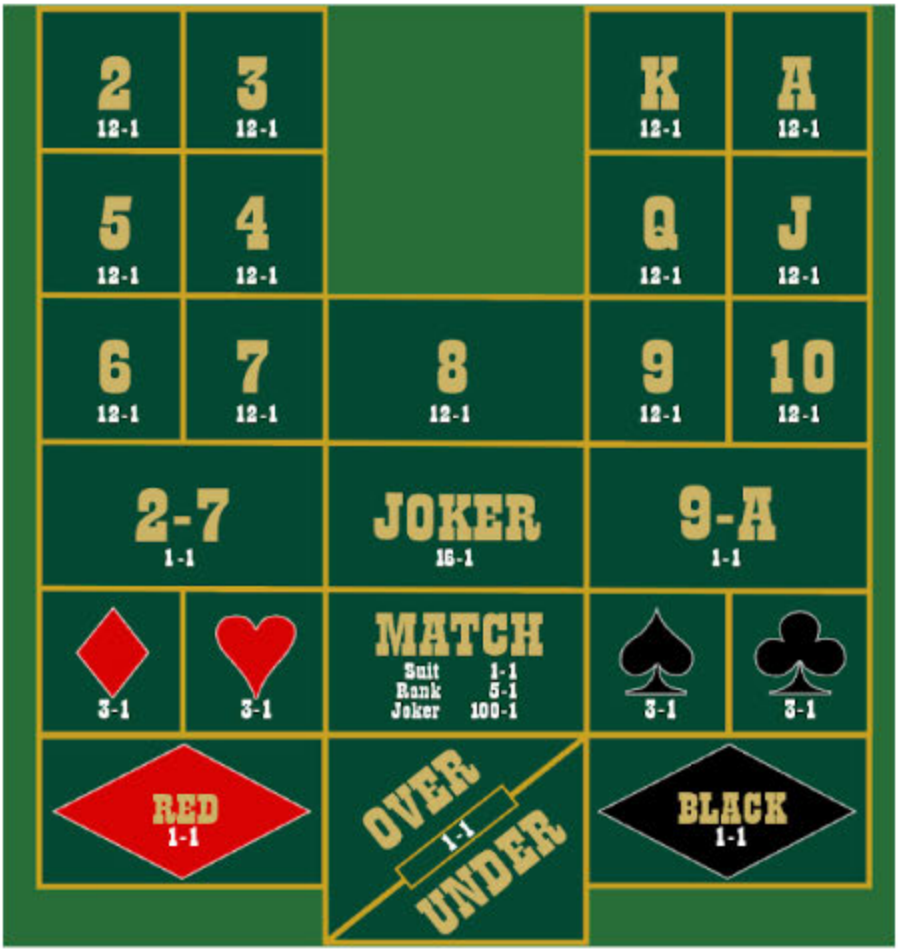
I. INVESTIGATIVE REPORT COVERSHEET ……..

II. INVESTIGATIVE REPORT ……..

III. EXHIBITS

1. Quick Draw Poker Rules …….1-7
2. Photo of Table with Quick Draw Poker removed…..…….1-1
3. License Info……...…….....1-2

QUICK DRAW POKER



THERE ARE FIFTY-FIVE (55) CARDS USED TO PLAY QUICK DRAW POKER: A STANDARD DECK OF FIFTY-TWO (52) CARDS PLUS THREE IDENTICAL JOKERS.

RANKING OF HANDS:

1. THE RANK OF THE CARDS USED IN QUICK DRAW POKER, FOR THE PURPOSE OF DETERMINING A WINNING OVER WAGER, SHALL BE, IN ORDER FROM HIGHEST TO LOWEST RANK: JOKER, ACE, KING, QUEEN, JACK, 10, 9, 8, 7, 6, 5, 4, 3 , AND 2.
2. THE RANK OF THE CARDS USED IN QUICK DRAW POKER, FOR THE PURPOSE OF DETERMINING A WINNING UNDER WAGER, SHALL BE, IN ORDER FROM HIGHEST TO LOWEST RANK: JOKER, 2, 3, 4, 5, 6, 7, 8, 9, 10, JACK, QUEEN, KING, AND ACE.

General:

1. All the wagers at Quick Draw Poker shall be made by placing chips on the appropriate betting areas of the table layout in accordance with the posted table minimum and maximum wagering limits.
    a. Over/Under: $5 - $3,000
        i. May bet $6, $7, $8, or $9, but must be in increments of $5 afterwards
        ii. Make sure players making small, odd numbered bets understand how the surrender works when the player and DP are tied. For example, a $5 wager would have $3 go to the Designated Player, and the player would keep $2.
    b. Red, Black, Diamond, Heart, Club, Spade, 2-7, 9-A : $5 - $3000
    c. Match, Joker, 2, 3, 4, 5, 6, 7, 8, 9, 10, J, Q, K, A: $2- $500
        i. May bet $2, $3, or $4, but must be in increments of $5 afterwards
2. All wagers shall be placed prior to the dealer announcing "no more bets".
3. At the beginning of each round of play, each player shall be required to place an Over or Under wager.
    a. Players may not play both. Dealers should clarify placement of the bet before dealing cards if there is any question as to which bet the player is making.
    b. If there is a question over which bet was made after the cards have been dealt, the floor should be called, and the default bet will be considered to be the Over bet.
4. Each player who has placed an Over or Under wager shall also have the option to make Bonus Bets.
5. The Designated Player will collect all losing wagers and will pay all winning wagers to the extent their chip stack covers. Once the Designated Player`s chip stack is exhausted, all player wagers not covered by the Designated Player will be returned to the players.

6. House rake is paid by the Designated Player, at a rate determined by the cardroom and posted at the table.
7. At the start of each hand, the dealer will perform a standard shuffle, shuffle, box, and shuffle of the deck. The Dealer will wait until all bets are set before cutting the deck onto a cut card. No more bets will be allowed to be made after the Dealer cuts the deck.

Dealing procedures:

1. Prior to dealing any cards, the dealer shall announce "No more bets" and burn one card. The dealer will place each card face up on the appropriate area of the layout.
2. No player shall touch any card used in the game of Quick Draw Poker other than their own.
3. The dealer shall, starting with the first player clockwise from the dealer button and continuing in a clockwise manner, deal the cards as follows:
    a. One card face up to each player who has placed an Over or Under wager; and
    b. One card face up for the Designated Player in front of the dealer

Round of Play:

1. After the dealing procedures above have been completed, the dealer shall, beginning from the first player counter-clockwise from the dealer button and proceeding around the table in a counter-clockwise direction, compare the rank of each player`s card with that of the Designated Player`s card and settle all Over or Under wagers and Bonus Bets:
    a. If the player chose to bet Over:
        i. If the player's card is lower in rank than the Designated Player`s card, the player shall lose their Over wager.
        ii. If the player's card is higher in rank than the Designated Player's card, the player shall win, and their Over wager will be paid 1 to 1.

III. If the player's card and the Designated Player's card are of equal rank (a tie hand), the player surrenders half of their Over wager.

B. If the player chose to bet Under:

I. If the player's card is lower in rank than the Designated Player`s card, the player shall lose their Under wager.

1. Remember that the ranking from highest to lowest for the Under wager is Joker, 2, 3, 4, 5, 6, 7, 8, 9, 10, Jack, Queen, King, Ace.

A. For example, an ace is lower ranked than a 2.

II. If the player's card is higher in rank than the Designated Player's card, the player shall win, and their Under wager will be paid 1 to 1.

III. If the player's card and the Designated Player's card are of equal rank (a tie hand), the player surrenders half of their Under wager.

C. After the Over or Under wager is settled, the Dealer shall pay or take all Bonus Bets according to the Bonus Bet Qualifications and Payouts Table.

D. If an odd amount is wagered, and the Player surrenders half of their bet, we round up to the nearest dollar.

I. For example, if $15 is wagered and the player is forced to surrender, they surrender $8 and keep $7.

2. After the collection of all losing wagers and the payment of all winning wagers, the dealer shall collect all cards, offer the rotation of the Designated Player button to all eligible Designated Players, and begin a new hand.

3. The Designated Player is never required to cover all opposing players' wagers. Payoffs of wagers are limited to the amount of the Designated Player's chip stack.

Bonus Bet	Qualifications and Payouts
2	• If player's card has rank of 2: pay 12 to 1 • If card has any other rank: take
3	• If player's card has rank of 3: pay 12 to 1 • If card has any other rank: take
4	• If player's card has rank of 4: pay 12 to 1 • If card has any other rank: take
5	• If player's card has rank of 5: pay 12 to 1 • If card has any other rank: take
6	• If player's card has rank of 6: pay 12 to 1 • If card has any other rank: take
7	• If player's card has rank of 7: pay 12 to 1 • If card has any other rank: take
8	• If player's card has rank of 8: pay 12 to 1 • If card has any other rank: take
9	• If player's card has rank of 9: pay 12 to 1 • If card has any other rank: take
10	• If player's card has rank of 10: pay 12 to 1 • If card has any other rank: take
J	• If player's card has rank of Jack: pay 12 to 1 • If card has any other rank: take
Q	• If player's card has rank of Queen: pay 12 to 1 • If card has any other rank: take
K	• If player's card has rank of King: pay 12 to 1 • If card has any other rank: take
A	• If player's card has rank of Ace: pay 12 to 1 • If card has any other rank: take
Joker	• If player's card has rank of Joker: pay 16 to 1 • If card has any other rank: take

	• IF PLAYER'S CARD HAS RANK OF 2, 3, 4, 5, 6, OR 7: PAY 1 TO 1 • IF CARD HAS RANK OF 8: PUSH • IF CARD HAS ANY OTHER RANK: TAKE
	• IF PLAYER'S CARD HAS RANK OF 9, 10, JACK, QUEEN, KING, OR ACE: PAY 1 TO 1 • IF CARD HAS RANK OF 8: PUSH • IF CARD HAS ANY OTHER RANK: TAKE
	• IF PLAYER'S CARD HAS A SUIT OF HEARTS OR DIAMONDS: PAY 1 TO 1 • IF CARD IS A JOKER OR HAS A SUIT OF CLUBS OR SPADES: TAKE
	• IF PLAYER'S CARD HAS A SUIT OF CLUBS OR SPADES: PAY 1 TO 1 • IF CARD IS A JOKER OR HAS A SUIT OF HEARTS OR DIAMONDS: TAKE
	• IF PLAYER'S CARD HAS A SUIT OF HEARTS: PAY 3 TO 1 • IF CARD IS A JOKER OR HAS A SUIT OF CLUBS, SPADES, OR DIAMONDS: TAKE
	• IF PLAYER'S CARD HAS A SUIT OF DIAMONDS: PAY 3 TO 1 • IF CARD IS A JOKER OR HAS A SUIT OF CLUBS, SPADES, OR HEARTS: TAKE
	• IF PLAYER'S CARD HAS A SUIT OF CLUBS: PAY 3 TO 1 • IF CARD IS A JOKER OR HAS A SUIT OF DIAMONDS, SPADES, OR HEARTS: TAKE
	• IF PLAYER'S CARD HAS A SUIT OF SPADES: PAY 3 TO 1 • IF CARD IS A JOKER OR HAS A SUIT OF CLUBS, DIAMONDS, OR HEARTS: TAKE
	• IF PLAYER'S CARD AND DESIGNATED PLAYER'S CARD HAS THE SAME SUIT: PAY 1 TO 1 • IF PLAYER'S CARD AND DESIGNATED PLAYER'S CARD HAS THE SAME RANK: PAY 5 TO 1 • IF PLAYER'S CAR AND DESIGNATED PLAYER'S CARD ARE BOTH JOKERS: PAY 100 TO 1

Quick Draw Poker may be played with up to 5 players, including all Designated Players, and will generally be played on tables that look like:



Signage, including rake structures, betting limits, and notice of joker cards will be displayed on all tables running Quick Draw Poker.



EXHIBIT #2
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License

Fed Tax # [redacted] Lic Type **1002 - Cardroom License** Expires On **06/30/2023**

File # **37** Name **WASHINGTON COUNTY KENNEL CLUB, INC** Extended To

License # **154** Rank **CLIC - Cardroom Operating License** Renewed On

Entity # **154** Lic Status **Current**

Address

Street # **6558** Street **DOG TRACK ROAD**

Line 2

Line 3

City **EBRO** State **FL** Zip **32437**

Routing

Other

1st License Date **03/22/2022** Rank Date **03/22/2022** Certificate #

Method **I-S-1020** Status Date **07/14/2008** Certificate Date

Fee Exempt **No** Birth Date Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
L	154 - Washington County Kennel Club, Inc.	03/30/2007	

DBA Name

DBA **EBRO GREYHOUND PARK**

Alt Keys

BEST LIC NBR **CLI154**

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EXHIBIT #3
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License

Fed Tax #	[redacted]	Lic Type	**1012 - Cardroom Employee Occupational**	Expires On	**06/30/2024**
File #	**3433**	Name	**MOORE, KEITH TRAVIS**	Extended To	
License #	**7944868**	Rank	**CEMP - Cardroom Employee Occupational**	Renewed On	
Entity #	**7944868**	Lic Status	**Current**		

Address

Street # **1302** Street **ARTHUR AVENUE**

Line 2

Line 3

City **PANAMA CITY** State **FL** Zip **32401**

Routing

Other

1st License Date	**05/18/2021**	Rank Date	**05/18/2021**	Certificate #	
Method	**I-S-1024**	Status Date	**05/18/2021**	Certificate Date	
Fee Exempt	**No**	Birth Date	[redacted]	Renewal Sent	

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	CMAN - Cardroom Manager	06/10/2015	
I	GHND - Greyhound	01/07/2007	
L	154 - Washington County Kennel Club, Inc.	01/07/2007	
Y	3YR - 3 Year License	06/23/2012	

Alt Keys

BEST LIC NBR **7944868**

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EXHIBIT #3
PAGE 2/2

6. Discussion of license denials

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Joseph Klein, Senior Attorney
Re: Jordan Miller; Case No. 2024-010658
Date: May 16, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks to deny the application of Jordan Miller (the "Applicant") for a Pari-Mutuel Professional Individual Occupational license (the "Application"). The Applicant submitted a completed Application on November 30, 2023.

Upon review of the Application, it appears Applicant has been convicted of a felony offense in the State of New York. The Executive Director of the Commission reviewed the file along with the waiver interview notes and declined to waive the statutory restrictions excluding offenders. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Letter of License Denial.

Pertinent Facts

On November 30, 2023, the Applicant submitted an application for a Pari-Mutuel Professional Individual Occupational license to the Division. The Applicant submitted a request for waiver of the restrictions excluding offenders with disqualifying offenses on February 14, 2024.

Upon review, it appears that on July 16, 2009, the Applicant was convicted of the following felony offense in Madison County, New York:

- Grand Larceny 2nd Degree

This felony conviction is a disqualifying offense under section 550.105(5)(b), Florida Statutes.

A Division investigator conducted a waiver interview of the Applicant on February 27, 2024, and documented the interview in a report submitted to the Executive Director of the Commission for consideration. On April 25, 2024, the Executive Director, having reviewed the waiver report and all relevant information and documents, declined to waive the restrictions excluding offenders.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides that:

> [t]he commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides that:

> . . . the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

> "[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission.

Staff Recommendation: The Florida Gaming Control Commission may deny or declare Applicant ineligible for a Pari-Mutuel Professional Individual Occupational License upon finding of a felony criminal conviction under section 550.105(5), Florida Statutes. Accordingly, the Division of recommends the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial to Jordan Miller in this matter.

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1021 - Pari-Mutuel Wagering Individual Occupational**	Status	**90 Closed**	Status Date	**04/05/2024**
Complaint #	**2024010658**	Case Type	**CMP - Complaint**	Disposition	Disposition Date
Docket#		Respondent	**MILLER, JORDAN**	Responsible	**bjones - JONES, BRADFORD** **Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**	☐ Parties	☑ Activities
Form	**WALK - Walk-in**	Priority	**1**	☑ Allegations	☐ Discipline
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**	☑ Violations	☐ Compliance
Security	**STND - Standard**	Incident	**11/30/2023**	☐ Related	☑ Disposition
Region	**SR - Southern Region**	Received	**02/21/2024**	☐ Inspection	
Reference				☐ Costs	
Entered	**02/22/2024**	Entered By	**cstubbs1**	☐ Time Tracking	Auto Assign
Summary	**321 - Gulfstream Park**			☐ Attachments	History
Updated	**04/08/2024 16:50:07**	By	**cstubbs1**	☐ Work Notes	Print Report

Change | Save | OK | Cancel | Back

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ROUTING SLIP
REQUEST FOR WAIVER

RE: MILLER, JORDAN . – LIC # 13829737 **Case No: 2024 01 0658**
(APPLICANT'S NAME – LICENSE #)

1012 – Jockey Agent **321-GULFSTREAM PARK**
Occupation Code and Job Title Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: May 22, 2024
(DATE)

Investigations Section: Reviewed by: Bradford D. Jones 4/5/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: _____ _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [X] No If yes, in what jurisdiction? _____

Executive Director: _____
(Initial & Date)

[ ] **Prepare Waiver or** [ ] **Prepare File for Commission Review**

Comments: _____

Investigative Findings:

January 29, 2009	**Cazenovia Village Police Department, NY. - Grand Larceny 2nd Degree – Felony – Convicted upon a plea of Guilty on July 16, 2009 and sentenced to 3.5 years in State Prison. - Deportation Order to country of origin (Canada) upon release.**

***Please attach Routing Slip to front of case file**

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office: **PMW**	Region: **Southern**	Date of Complaint: **February 21, 2024**	Case Number: **2024 01 0658**

Respondent:	Complainant:
MILLER, JORDAN **1929 NW 49TH AVENUE** **COCONUT CREEK, FLORIDA 33065**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # / Type:	**Profession**:	**Report Date**:
133829737/1021	Jockey Agent	March 15, 2024

Period of Investigation:	**Type of Report:**
February 23, 2024 - March 15, 2024	**Final**

Basis of Investigation: This investigation is predicated upon receipt of Jordan. **MILLER's** application for a State of Florida PMW Individual Occupational License dated November 30, 2023 and Waiver Request form dated February 14, 2024.

On November 30, 2023, **MILLER** submitted a PMW Occupational License application to be licensed as a Jockey Agent at Gulfstream Park (GSP). On his original application, he answered **"Yes"** to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" **(Exhibit # 1 - pg. 4). MILLER** disclosed a 2009 Felony arrest for Grand larceny in Maddison County, New York **(Exhibit #1 - pg. 2).**

On December 18, 2023, licensing sent **MILLER** a Deficiency Letter **(Exhibit #4 pg. 1)** advising that due to his criminal history, submission of a Waiver Request is required for him to be considered for licensure**. MILLER** subsequently requested a waiver on February 14, 2024.

Related Case:

Investigations Specialist II/	Approved by Investigator Supervisor / Date
Date Lisa Vila/ March 15, 2024	Tyrell Smith / March 27, 2024

Chief of Investigations / Date:

Bradford D. Jones / April 5, 2024

CONTINUATION

CRIMINAL HISTORY

Arrest 1	
Date of Arrest: 01-29-2009	**Arresting Agency:** **Cazenovia Village Police Department, NY.**

OFFENSE					
	CHARGES	**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Grand Larceny 2nd Degree	Felony	Guilty	Guilty	07-16-2009
2					
3					
4					

SENTENCE
3-year 6 months- Auburn Correctional Facility. (released 01-26-2012). Deportation to country of origin. (Canada).

Additional Information:

Arrest 2	
Date of Arrest:	**Arresting Agency:**

OFFENSE					
	CHARGES	**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

CRIMINAL HISTORY

Arrest 3					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
CHARGES		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
CHARGES		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?		**X**
Does the Applicant possess an Occupational License from other jurisdictions?	**X**	

1. License Type: Application# 249037			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction: State of Florida Gaming Control Commission

	YES	NO
Has License ever been suspended or revoked?		**X**
Was any derogatory information received?	**X**	

Additional Comments: Applicant criminal history.

2. License Type:			
Date Licensed: 06/23/2022	Expiration Date: 06/23/2025	License #: 511173382	Agency or Jurisdiction: Pennsylvania

	YES	NO
Has License ever been suspended or revoked?		**X**
Was any derogatory information received?		**X**

Additional Comments:
License in good standing.

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

MILLER did not complete licensing requirements.

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

CONTINUATION

WAIVER INTERVIEW

	YES	NO
Was a Waiver Interview Conducted?	X	

Date of Interview:	Location of Interview:
February 27, 2024	Telephonic Interview

	YES	NO
Was the applicant cooperative?	X	

SUMMARY OF INTERVIEW:

On February 27, 2024, the Waiver Interview was conducted. During the interview, **MILLER** disclosed information about his 2009 Grand Larceny arrest in Madison County, Cazenovia Village New York.

MILLER stated on January 29, 2009, he was at a house party of an acquaintance and took property that did not belong to him. **MILLER** further stated that during the party he went into the bedroom of the owner and took a jewelry box containing unknown assorted jewelry without the owner's permission. He then left the residence with the jewelry box in his possession.

MILLER advised he was captured a few hours later by the police before he could open the jewelry box, however, at trial he discovered that the items were valued over $500,000 in total. He believed that the items that were inside the box were pieces of fine jewelry and watches.

MILLER pled guilty and was found guilty and served 3 years and six months in Auburn State Prison (NY) **(Exhibit #3 pg.8).**

MILLER was then deported to his home country, Canada and was banned to work in the United States until he was cleared by the US Justice Department in 2019.

MILLER stated he has not been in any trouble since his arrest and worked very hard to establish himself as a Jockey agent. He initially found work outside of the United States and has subsequently obtained licensing in Pennsylvania.

On March 12, 2024, I obtained a copy of a document from the United States District Court of New York. According to an affidavit of Michael McCole, Special Agent, United States Social Security Administration, when **MILLER** was apprehended by the authorities for the theft, he was in possession of a fraudulent Social Security card that was previously issued to a then-deceased female.

In the affidavit, details of the theft case were described as follows:

On the date of the theft, **MILLER** was at the residence of his then girlfriend. His girlfriend who is the victim, reported to the Cazenovia Police that her boyfriend**,** Jordan **MILLER** left her a note ending their relationship and that her jewelry box containing items valued at approximately $500,000 was missing. The victim surmised **MILLER** took it and was possibility enroute to his home in Canada. Information was gained a few hours later that he was in Rochester, New York. U.S. Border Agents apprehended **MILLER,** who was in possession of the jewelry box (See Police report Cazenovia, **Exhibit #2 pg. 6-9).**

Subsequently, a search warrant was obtained to search **MILLER'S** luggage where the fraudulent Social Security card was also discovered. The federal case was later dismissed due to **MILLER** being prosecuted by local authorities **(Exhibit #2 pg. 4-5).** All property was recovered and returned to the owner.

CONTINUATION

MILLER advised that after the incident he was able to obtain his Jockey agent license in Pennsylvania and California.

Philadelphia State Horse Racing Commission, Nicole McCrone, verified **MILLER** has a license in good standing with no rulings against him, license #51173382 issued in 2022.

I also attempted to verify licensing in California. Lynn Martin researched her database and could not locate a current license for **MILLER.** I questioned **MILLER** regarding the status, and he responded that he may not have completed the final documents necessary for final processing.

A check of the Association of Racing Commissioners International (ARCI) database showed No rulings against **MILLER as well as no license record in the state of California.**

MILLER provided his photo via email. **(Exhibit #1 pg. 5).**

MILLER is seeking his license so he can become a Jockey agent.

Case closed by Investigations and forwarded to Licensing.

CONTINUATION

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FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1021 - Pari-Mutuel Wagering Individual Occupational**			Status	**20 Under Investigation**	Status Date	**02/22/2024**
Complaint #	**2024010658**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**MILLER, JORDAN**	Responsible	**Ivila - VILA, LISA**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**	☐ Parties	☑ Activities
Form	**WALK - Walk-in**	Priority	**1**	☑ Allegations	☐ Discipline
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**	☑ Violations	☐ Compliance
Security	**STND - Standard**	Incident	**11/30/2023**	☐ Related	☑ Disposition
Region	**SR - Southern Region**	Received	**02/21/2024**	☐ Inspection	
Reference				☐ Costs	
Entered	**02/22/2024**	Entered By	**cstubbs1**	☐ Time Tracking	Auto Assign
Summary	**321 - Gulfstream Park**			☐ Attachments	History
Updated	**02/22/2024 09:10:18**	By	**cstubbs1**	☐ Work Notes	Print Report

Change | Save | OK | Cancel | Back

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EXHIBIT # 1
PAGE # 1

DBPR PMW-3180 – Request for Waiver

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
[redacted]

TO Jordan Miller
Full Legal Name of Applicant

[redacted]
Date of Birth

Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Division Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation.

For Pari-Mutuel and Cardroom Applicants.

☐ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction.

☒ New applicant to Florida who has been convicted of any felony regardless whether adjudication was withheld.

For Cardroom Applicants Only.

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Division Director will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

J. Miller
Signature of Applicant

Feb 11/24
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM DBPR PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

DBPR PMW-3180, Effective 6-11-11, Rule 61D-5.001, F.A.C. Page 1 of 1

2024 FEB 19 PM 3:04

EXHIBIT # 1
PAGE # 2

2023 DEC -4 AM 10:53

FL... G
CONTROL CO... SION

NOV 30 2023

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

Social Security Number: N/A	Birth Date (MM/DD/YYYY): [redacted]	Gender: ☒ Male ☐ Female	
Last Name: MILLER	First: JORDAN	Middle	Suffix

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☒ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☐ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☒ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address: 1929 NW 49th AVE		Email Address (optional)	
City: Coconut Creek	State: FL	Zip Code (+4 optional): 33063	Country, if other than USA
Primary Phone Number: 647-390-1257		Secondary/Cell Phone Number (optional)	
Current Street Address: SAME			
City	State	Zip Code (+4 optional)	Country, if other than USA

Type of Occupational License applying for:
☐ Pari-Mutuel General Individual ☒ Pari-Mutuel Professional Individual
☐ Cardroom Employee
Occupation: JOCKEY AGENT

Facility where employed and/or doing business: GULFSTREAM PARK

Does your position require access to the Cardroom? ☐ Yes ☒ No

Is this your first time applying for a racing/gaming license in Florida? ☐ Yes ☒ No

Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? ☐ Yes ☒ No

Do you own or lease animals intended for racing in Florida? ☒ No ☐ Yes, complete the following:
Stable Name, Kennel Name, or Business Name _____
Trainer Name (horse or greyhound racing only) _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1021 License # 13829737 File # 73573 App # 249037
Association Code 321 Date Received 11-30-23 Entered By CB License Year 2026
License Fee 80 FP Date 11-30-23 FP Fee 37.25 Total Fee 117.25
Off Temp Waiver Requested ARCI Enforcement Minor

DBPR PMW-3120, Effective September 2020, Rule 61D-5.001, F.A.C. D20 OPEN Page 1 of 2

EXHIBIT # 1
PAGE # 3

2023 DEC -4 AM 10: 53

FLORIDA GAMING CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☒ Yes ☐ No	Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
MAY 2009	Cattaraugus	NY	Grand Larceny	FELONY	1-3 Years

☐ Yes ☒ No	Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
☐ Yes ☒ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy **Act**, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States **Code**, Sections **653**, 654; and Sections 409.2577, 409.2598, and **559.79**, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. **Social** Security **numbers** must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. **193, Sec. 317.**

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

NOV 30/23
Date

NOV 30 2023

EXHIBIT # 1
PAGE # 4

AO 91 (Rev. 5/85) Criminal Complaint

U.S. DISTRICT COURT - N.D. OF N.Y.
FILED
FEB - 2 2009
O'CLOCK
Lawrence K. Baerman, Clerk - Syracuse

United States District Court

NORTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA

v.

JORDAN M. MILLER

CRIMINAL COMPLAINT

CASE NUMBER: 09-MJ-39

I, the undersigned complainant being duly sworn state the following is true and correct to the best of my knowledge and belief. On or about February 2, 2009, in Madison County, in the Northern District of New York defendant,

falsely and with intent to deceive did represent a number to be his social security account number when in fact it is not the number assigned to him in violation of Title 42, United States Code, Section 408(a)(7)(B)

I further state that I am a Special Agent, U.S. Social Security Administration and that this complaint is based on the following facts:
Official Title

See Attached Affidavit

Continued on the attached sheet and made a part hereof: ☒ YES ☐ NO

Michael McCole
Special Agent
United States Social Security Administration

Sworn to before me, and subscribed in my presence,

February 2, 2009 at Syracuse, New York
Date City and State

George H. Lowe
United States Magistrate Judge
Name and Title of Judicial Officer

Signature of Judicial Officer

EXHIBIT # 2
PAGE # 1

AFFIDAVIT OF MICHAEL MCCOLE

STATE OF NEW YORK :
: ss.:
COUNTY OF ONONDAGA:

Michael McCole, Special Agent, United States Social Security Administration, being duly sworn, states the following is true and correct to my knowledge and belief. The following facts are based on personal knowledge and upon reviewing reports prepared by the Cazenovia Police Department and the United States Border Patrol.

1. On January 28, 2009, a female resident of Cazenovia, New York reported to police that her boyfriend, Jordan M. Miller, had left her a note breaking up with her and in the process had taken her Louie Vatone jewelry box containing jewelry valued at approximately $500,000. The victim believed that Jordan Miller was en route to Toronto, Canada. Further information was developed which indicated that Jordan Miller had purchased a bus ticket in Syracuse to travel to Toronto, Canada, via Rochester, New York.

2. Approximately three hours later, Border Patrol Agents boarded a Greyhound bus in Rochester, New York and found the subject Jordan Miller. He indicated that he was a citizen of Canada and presented a passport to agents. Found in his possession was the Louie Vatone jewelry box containing the reported stolen jewelry.

3. Investigators from the Cazenovia Police Department were then dispatched to the

EXHIBIT # 2
PAGE # 2

Rochester, New York area where they questioned Jordan Miller regarding the theft. He agreed to return to the Cazenovia Police Department with investigators. The jewelry was also returned to Cazenovia, New York where the victim identified it as the jewelry that was taken from her home by Jordan Miller.

4. Miller was subsequently charged with Grand Larceny in the Second Degree in violation of New York State Penal Law Section 155.40(1). Miller is currently lodged in the Madison County Correctional Facility.

5. Investigators from the Cazenovia Police Department then applied for and received a search warrant from Town Justice Timothy Moore authorizing a further search of Miller's luggage and personal property. In executing the search warrant, the investigators found the defendant to be in possession of a fraudulent Social Security card bearing Social Security number 423-68-XXXX. The undersigned conducted a review of Social Security Administration records and determined that this same Social Security number was previously issued to a now deceased female born in the State of Alabama.

6. The undersigned believes that there is probable cause to believe that Jordan M. Miller has violated Title 42, United States Code, Section 408(a)(7)(B).

Michael McCole
Special Agent

Sworn to before me this 2nd
day of February, 2009.

George H. Lowe
United States Magistrate Judge

EXHIBIT # 2
PAGE # 3

U.S. DISTRICT COURT - N.D. OF N.Y.
FILED
JUN 18 2009
AT ____ O'CLOCK ____
Lawrence K. Baerman, Clerk - Syracuse

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF NEW YORK

****

UNITED STATES OF AMERICA

v.

Criminal Action No.
09-MJ-39

JORDAN M. MILLER

Defendant.

****

ORDER FOR DISMISSAL

Pursuant to Rule 48(a) of the Federal Rules of Criminal Procedure and by leave of court endorsed hereon, the United States Attorney for the Northern District of New York hereby dismisses the Criminal Complaint and Warrant for Arrest against **Jordan M. Miller**, the defendant.

The reason(s) for this dismissal are (check one or more):

___ Case transferred to another District

___ Speedy Trial Act

___ Defendant's cooperation

___ Insufficient evidence at this time

_X_ Other: Defendant being prosecuted by local authorities

EXHIBIT # 2
PAGE # 4

With respect to this dismissal, defendant (check one):

___ Consents

___ Objects

_x_ Has not been consulted

This dismissal is without prejudice.

ANDREW T. BAXTER
United States Attorney

By: John G. Duncan
Assistant U.S. Attorney
Bar Roll No. 601100

Leave of court is granted for the filing of the foregoing dismissal.

Dated: June 18, 2009
Syracuse, New York

George H. Lowe
United States Magistrate Judge

EXHIBIT # 2
PAGE # 5

1. NYSID No.	2. CJTN No.	New York State ARREST REPORT	3. Case No.	4. Ref. No.
5. FBI No.	6. Arrest No. 1802	7. Agency CAZENOVIA POLICE DEPARTMENT NY0262100	8. Div/Precinct	4a.

DEFENDANT INFORMATION

9. Name (Last, First, Middle)						11. Phone Number
MILLER, JORDAN, M						(561) 767-5231
12. Street Number and Name, Bldg. No., Apt. No.			13. City, State, Zip			14. Residence Status
639 SEYMOUR COURT			NORTH VANCOOVER, BC 13035-1228			FOREIGN NONRESIDENT
15. Place of Birth	16. D.O.B.	17. Age	18. Gender	19. Race		20. Ethnicity
USA	[redacted]	22	MALE	WHITE		NOT HISPANIC
21. Skin	22. Height	23. Weight	24. Hair	25. Eyes	26. Glasses	27. Build
FAIR	6 ft. 0 in.	205 lbs.	BROWN	GREEN	NO	MEDIUM
28. Marital Status		29. U.S. Citizen	30. Citizen Of			
SEPARATED		NO	CANADA			
31. Soc. Sec. No.	32. Education		33. Religion	35. Employed		
[redacted]	COLLEGE YEARS (ENTER 13-16) - 10		CATHOLIC	NOT IN LABOR FORCE		
34. Occupation						
36. Scars/Marks/Tattoos Description						
SCAR ON THE BACK OF HEAD. "JMM" TATTOOED ON LEFT FOREARM.						

ARREST INFORMATION

37. Arresting Officer/ID		39. Assisting Officer/ID		41. Arrest Date	42. Time
BYRON LUKE OFFICER 226		WOOD MARK INVESTIGAT 212		01/29/2009	04:17
43. Location of Arrest			44. Juvenile	45. Condition of Defendant	
90 ALBANY ST. CAZENOVIA, NEW YORK 13035-1228			NO		
48. Miranda	49. Miranda By			50. Miranda Date	51. Miranda Time
YES	WOOD MARK INVESTIGAT			01/29/2009	03:55
52. Statements	54. Search Warrant	55. ID Procedure			
NONE	YES	NONE			
56. Arraign. Court			57. Arraign. Judge		
CAZENOVIA VILLAGE COURT NY026131J			T MOORE		
58. Arraign. Date	59. Arraign. Time	60. Property	61. Evidence	61a. Processed By	
01/29/2009	08:00	YES	YES	INVESTIGATOR WOOD	
61b. Disposition			63. Arrestee Status	64. Bail Amount	
			HELD		
65. Bondsman	66. Photo No.	67. Arrest Type		68. Warrant No.	
		COMPLAINT			
69. Arrest FOA	70. Other Agency			71. F/P Taken	
NO				YES	
76. Return Court			77. Return Judge		
78. Date	79. Time	80. Defendant/Case TOT Agency		80a. Officer Name	
81. Case TOT Time	82. Case TOT Date	43a. CTV Code			
		CAZENOVIA TOWN 2751			

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03/14/2024 15:01

INCIDENT INFORMATION

62. Incident No.	72. Offense Location	73. Offense Date/Time	74. No.Offender	75. No.Victims	Incident Case No.
16336	CAZENOVIA VILLAGE 2721	01/28/2009 17:18	1	1	

OFFENSE INFORMATION

83. LAW Article/Section SUB	CL	CAT	DEG	ATT	NAME OF OFFENSE	CTS	NCIC Code	Victim Age Sex Hdcp	ASSOC NO	TYPE
PL 155.40	C	F	2	C	GRAND LARCENY-2ND	1	2399			
								Incident Number: 16336		

86. Arresting Officer Signature	87. ID No.	88. Supervisor Signature	89. ID No.
90. Arrest Made as a Result of SAFIS Print ID? NO	**91.**	**92.**	

EXHIBIT # 2
PAGE # 7

03/14/2024 15:01

1. Agency	2. Div/Precinct	New York State INCIDENT REPORT	3. ORI	5. Case No.	6. Incident No.
CAZENOVIA POLICE DEPARTMENT			NY0262100		16336

7,8,9. Date Reported (Day, Date, Time)	10,11,12. Occurred On/From (Day, Date, Time)	13,14,15. Occurred To (Day, Date, Time)
WEDNESDAY 01/28/2009 17:18	WEDNESDAY 01/28/2009 17:18	

16. Incident Type	17. Business Name
LARCENY-FROM BUILDING	

19. Incident Address (Street Name, Bldg. No., Apt. No.)
17 CARRIAGE HOUSE CIRCLE BLDG 17 APT D

20. City/State/Zip
CAZENOVIA NEW YORK 13035-1228

21. Location Code (TSLED)	23. No. of Victims	24. No. of Suspects	26. Victim also Complainant?
CAZENOVIA VILLAGE 2721	1	1	No

Location Type
MULTIPLE DWELLING

22.OFF. No.	LAW	SECTION	SUB	CL	CAT	DEG	ATT	NAME OF OFFENSE	CTS
1.	PL	155.40		C	F	2	C	GRAND LARCENY 2ND	1

ASSOCIATED PERSONS

25. TYPE	Name (Last, First, Middle, Title)	DOB	Street Name Bldg., Apt.No., City, State, Zip	Res Phone Bus Phone
VICTIM	[redacted]			
SUSPECT	MILLER, JORDAN M	12/21/1986	639 SEYMOUR COURT NORTH VANCOOVER BC 13035-1228	(561) 767-5231
PERSON INTERVIEWED	[redacted]			

VICTIM

Name	[illegible]	atus
[redacted]		

SUSPECT

Person ID #	34. Type/No.	35. Name (Last, First, Middle)					
188321	SUSPECT	MILLER, JORDAN, M					
37. Apparent Condition		38. Address (Street Name, Bldg., Apt. No., City, State, Zip) 639 SEYMOUR COURT NORTH VANCOOVER, BC 13035-1228					
39a. Home Phone	39b. Work Phone	40. Social Security	41. DOB	42. Age	43. Gender	44. Race	
(561) 767-5231		[redacted]		22	MALE	WHITE	
45. Ethnicity	46. Skin	47. Occupation					
NOT HISPANIC							
48. Height	49. Weight	50. Hair	51. Eyes	52. Glasses	53. Build		
				UNKNOWN			
54. Employer/School		55. Employer Address CAZENOVIA, NY 13035-1228					
56. Scars/Marks/Tattoos /Description							

36. Alias/Nickname/Maiden Name		
Last Name	First Name	Middle Name

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03/14/2024 14:57:57

PROPERTY

58. Name	Property Status	
[redacted]	STOLEN	
Property Type	**Make or Model / Drug**	
JEWELRY/PRECIOUS METALS		
Serial No.	**Qty/Measure**	**Value**
	0	$500,000.00
Description		
DESIGNER JEWELRY		

Total Property Value: **$500,000.00**

NARRATIVE

Date of Action	Date Written	Officer Name & Rank
01/29/2009	01/29/2009	BYRON, LUKE (OFFICER)

Narrative

[redacted]

Date of Action	Date Written	Officer Name & Rank
03/05/2009	03/05/2009	ZALESKI, KAREN (SERGEANT)

Narrative

[redacted]

ADMINISTRATIVE

74. Inquiries	75. NYSPIN Message No.	76. Complainant Signature	
77. Reporting Officer Signature (Include Rank)	78. ID No.	79. Supervisor Signature (Include Rank)	80. ID
OFFICER LUKE BYRON	226	SERGEANT KAREN ZALESKI	225
81. Status	82. Status Date	83. Notified/TOT	
CLOSED BY INVESTIGATION	01/28/2009		

EXHIBIT # 2
PAGE # 9

FLORIDA
GAMING CONTROL
COMMISSION

C.B. UPTON, CHAIRMAN
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

December 18, 2023

Mr. Jordan Miller
1929 Northwest 49th Avenue
Coconut Creek, Florida 33063

RE: Application No. 249037, Entity 13829737
1021 - PMW Professional Individual Occupational

Dear Mr. Miller:

We have received your supporting documentation with the Florida Gaming Commission. Any individual or Business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - request for waiver and DBPR PMW-3185 - request for release of information and authorization to release information (forms enclosed). If you wish to apply for waiver consideration, the request must be received by 02/1/2024. Otherwise, your application will be processed as-is.

To expedite the processing of your application, please include this letter as well as any Supporting documents and/or court disposition records that you may have. If you have An outstanding balance and would like to submit your payment by telephone, please call 850.794.8130.

Thank you,

TL
Operations Analyst II

OFFICE OF OPERATIONS
4070 Esplanade Way, Suite 250
Tallahassee, FL 32399

EXHIBIT # 4
PAGE # 1.

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – PMW Occupational License

RE: MILLER, JORDAN- 13829737 **Case No:** _____
(APPLICANT'S NAME– LICENSE #)

INITIAL APPLICATION RECEIVED:	11/30/2023
COMPLETE APPLICATION RECEIVED:	11/30/2023
90-DAY DEADLINE:	**2/28/2024**

Tori Lanier	**321- Gulfstream Park**	**Jockey Agent**
Application Processor	**Facility (d/b/a name)**	**Occupation/Job Title**

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review

Conviction

Did the application accurately reflect the Criminal History Record? ☐Yes ☒No

☒ Felony: 1 Count(s)
☐ Misdemeanor – Industry Related/Gambling Related/Bookmaking
☐ Animal Cruelty
☐ Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only)

Comments:

Arrest Date	Location	Charge	Level	Court Case #	Disposition
01/29/2009	Madison County, NY	Grand Larceny 2nd	F	00720438H	Guility

Disposition Unknown

☐ Felony Arrest(s) :
☐ Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking
☐ Animal Cruelty

Comments:

Arrest Date	Location	Charge	Level	Court Case #	Disposition

Enforcement/Jurisdiction Offenses

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.

Comments:

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Comments:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☑ No ☐ ☐ **Approval**
Forward to ☑ **Investigations** ☐ **Legal** ☐ **Director** Initials: 2/21/24

Comments:

EXHIBIT # 4
PAGE # 2

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > License Search > **License Home**

License

Fed Tax # [redacted] Lic Type **1021 - PMW Professional Individual Occupational** Expires On

File # **73573** Name **MILLER, JORDAN** Extended To

License # Rank Renewed On

Entity # **13829737** Lic Status **Applicant**

Licensee | History | Notes | Notes History | Back

Address

Street # **1929** Street **NW 49TH AVE**

Line 2

Line 3

City **COCONUT CREEK** State **FL** Zip **33063**

Routing

Other

1st License Date Rank Date Certificate #

Method - - Status Date [redacted] Certificate Date

Fee Exempt **No** Birth Date Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
No items found.			

Alt Keys

BEST LIC NBR

Get Adobe Reader.

EXHIBIT # 4
PAGE # 3

Cheryl Stubbs

From: Tori Lanier
Sent: Wednesday, February 21, 2024 3:54 PM
To: Cheryl Stubbs
Cc: David Donaldson; Glenda Ricks; Julio Minaya; Bradford Jones; Derek Washington; Ian Campbell; Michelle Futrell
Subject: Investigations referral Miller, Jordan 1021-File 73573 Lic- 13829737

Please open a case for Miller, Jordan. All documents and rap sheet have been scanned into Onbase. Note: Waiver routing slip has been scanned in Onbase.

Sincerely,

Tori Lanier,
Operations Analyst II
Florida Gaming Control Commission, Office of Operations
4070 Esplanade Way, Tallahassee, FL 32399
(850) 794-8130 Office ~ (850) 536-8714 FAX

FLORIDA GAMING CONTROL COMMISSION

EXHIBIT # 4
PAGE # 4

JORDAN MILLER

78 – 7625 FINCH AVE. WEST BRAMPTON, ON L6T 0B2 – (647)390-1257 – GOSTRIDER1257@HOTMAIL.CA

To Whom It May Concern,

I am writing this letter to be submitted with my I-192 application and all other documents to personally express to you the reasons why I feel I am worthy of such a waiver being granted. In the thirteen years since my conviction, I have worked hard to atone for my wrongdoing and turn my life around to become an upstanding citizen and positive member of society. I have had plenty of time do so and do not even recognize the young, foolish child that committed such a crime. Every day I regret and am sorry for the mistakes I made not because I was caught but because of the wrongfulness of my actions and the personal harm it caused others. As you can see by my record, since then I have not been in any kind of trouble and have worked very hard at furthering my career in the horse racing industry. I have held many positions within the business from exercise rider to horse owner and assistant trainer. For the past four years I have been working as a jockey's agent, securing mounts for my clients and building successful relationships with some of the top owners and trainers in Canada. I have represented Canada's leading jockey Kazushi Kimura since the beginning of his career and in almost four years together he has won two Sovereign Awards as Canada's Outstanding Apprentice Jockey, one Eclipse Award as North America's Outstanding Apprentice jockey and most recently a Sovereign Award as the Outstanding Jockey in all of Canada for 2021. When Kazushi arrived in Canada in 2018 as an 18-year-old, he couldn't speak very much English and I helped acclimatize him to his new home and get to know the language. We have built a very successful working relationship that has helped me acquire new clients to represent who subsequently have also been very successful. I have held down a steady place of residence wherever I have been with Woodbine Racetrack being my home base for almost twelve years. In my free time I also do volunteer work with The Horsemen's Benevolent and Protective Association of Ontario (HBPA) which represents owners, trainers and horsepeople. I have given my time to many of their charitable functions held throughout the year. I thank you very much for your time and I hope this letter demonstrates to you the person I have become and the work I have done to distance myself from the person I once was.

Sincerely,

Jordan Miller

EXHIBIT # 4
PAGE # 6

Licensee:JORDAN MICHAEL MILLER

No Picture Available

Reports on JORDAN MICHAEL MILLER

Comprehensive Ruling Report
Drug Ruling Report (Last 5 Years)
Comprehensive Licensee Report

Name Information

Add a New Name Record

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix
Current Legal Name		JORDAN	MICHAEL	MILLER	

License Information

Add a New License Record

License Number	License Type	Issued Date	Expiration Date	Licensing Commission
511173382	Jockey Agent	6/23/2022	6/23/2025	Pennsylvania Horse Racing Commission

Fingerprint Information

Add a New Fingerprint Record

Processing Commission	Date Taken	Status	Notes	RCI Card Submitted Date

Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End

Applicable Reports

Comprehensive Ruling Report
Comprehensive Licensee Report

EXHIBIT # 4
PAGE # 6

Reset

License Number: 511173382

State/Province: Pennsylvania

License Type 1

License Type: Jockey Agent **Division:** Horse **Breed:** Thoroughbred

Issue Date: 6/23/2022

Expire Date: 6/23/2025

Add A License Type | Remove A License Type

License Record owned by the Pennsylvania Horse Racing Commission.
License Record created on 12/1/2023 (Eastern Time) by XML Auto Importer .
Last Modified on 12/1/2023 (Eastern Time) by XML Auto Importer .

EXHIBIT # 4
PAGE # 7

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Joseph Klein, Senior Attorney
Re: Takiria Nakoya Antionette Brown; Case No. 2024-018945
Date: May 16, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks to deny the application of Takiria Nakoya Antionette Brown (the "Applicant") for a Cardroom Employee Occupational License (the "Application"). The Applicant submitted a completed application for a Cardroom Employee Occupational License.

Upon review of the application, it appears Applicant has been convicted of three misdemeanor offenses in Palm Beach County, Florida. The Executive Director of the Commission reviewed the file along with the waiver interview notes and declined to waive the statutory restrictions excluding offenders. Therefore, the Division recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial.

Pertinent Facts

On February 20, 2024, the Applicant submitted an application for a Cardroom Employee Occupational License to the Division. The Division issued a deficiency letter to the Applicant on March 7, 2024, requesting that she provide information relating to an arrest in Palm Beach County on March 1, 2018. The Applicant submitted a request for a waiver of the restrictions excluding offenders with disqualifying offenses on March 15, 2024.

Upon review of the completed Application, it appears that the Applicant has been convicted of the following misdemeanor offenses in Palm Beach County, Florida:

- December 6, 2022: Petit Theft
- March 23, 2018: Petit Theft
- March 23, 2018: Resisting Merchant

These misdemeanor convictions are disqualifying offenses pursuant to section 849.086(6)(g), Florida Statutes.

A Division investigator conducted a waiver interview of the Applicant on April 9, 2024, and documented the interview in a report submitted to the Executive Director of the Commission for consideration. On April 25, 2024, the Executive Director, having reviewed the waiver report and all relevant information and documents, declined to waive the restrictions excluding offenders.

Relevant Law

Section 550.105(5)(c), Florida Statutes, provides that:

> The commission may deny, declare ineligible, or revoke any occupational license if the applicant for such license has been convicted of a felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in s. 849.25, or involves cruelty to animals. If the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission.

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Section 849.086(6)(g), Florida Statutes, provides that:

> [t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term

> "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Staff Recommendation: The Florida Gaming Control Commission may deny the Application or declare the Applicant ineligible for a Cardroom Employee Occupational License upon a finding of a disqualifying offense pursuant to section 849.086(6)(g), Florida Statutes. Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial to Takiria Nakoya Antionette Brown in this matter.

ROUTING SLIP
REQUEST FOR WAIVER

RE: FROOME, ANDREW BRADLEY– 13904385
(APPLICANT'S NAME – LICENSE #)

Case No: 2024 01 4191

1012 – Dealer
Occupation Code and Job Title

320 – Tampa Bay Downs
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: JUNE 9, 2024
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 4/15/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: 4/18/24
(Initial & Date)

(Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [✓] No If yes, in what jurisdiction? _____

Executive Director: LT 4/25/24
(Initial & Date)

[ ] Prepare Waiver or [✓] Prepare File for Commission Review

Comments: _____

Investigative Findings:

March 12, 2015	Drug Enforcement Agency (DEA), Nashville, TN. – Conspiracy to Possess with Intent to Distribute Oxycodone and Oxymorphone – Felony – Pled Guilty – Convicted on February 11, 2016 – Sentenced to 51 months in Federal Prison, followed by 3 years' Probation, $100 Assessment fee. – Also ordered to forfeit vehicle, firearm, and $43,244.

*Please attach Routing Slip to front of case file

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1012 - Cardroom Employee Occupational**		Status **90 Closed**	Status Date	**04/15/2024**
Complaint #	**2024014191**	Case Type	**CMP - Complaint**	Disposition	Disposition Date
Docket#		Respondent	**FROOME, ANDREW BRADLEY**	Responsible	**bjones - JONES, BRADFORD** **Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**	☐ Parties	☑ Activities
Form	**WALK - Walk-in**	Priority	**1**	☑ Allegations	☐ Discipline
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**	☑ Violations	☐ Compliance
Security	**STND - Standard**	Incident	**02/12/2024**	☐ Related	☑ Disposition
Region	**NR - Northern Region**	Received	**03/08/2024**	☐ Inspection	
Reference				☐ Costs	
Entered	**03/08/2024**	Entered By	**cstubbs1**	☐ Time Tracking	Auto Assign
Summary	**910 - Vendor/Other; TLH Licensing**			☐ Attachments	History
Updated	**04/15/2024 15:39:12**	By	**cstubbs1**	☐ Work Notes	Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.

ROUTING SLIP
REQUEST FOR WAIVER

RE: FROOME, ANDREW BRADLEY– 13904385 **Case No: 2024 01 4191**
(APPLICANT'S NAME – LICENSE #)

1012 – Dealer
Occupation Code and Job Title

320 – Tampa Bay Downs
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: JUNE 9, 2024
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 4/15/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: _____ _____
(Initial & Date) **(Initial & Date)**

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [ ] No If yes, in what jurisdiction? _____

Executive Director: _____
(Initial & Date)

[ ] **Prepare Waiver or** [ ] **Prepare File for Commission Review**

Comments: _____

Investigative Findings:

March 12, 2015 **Drug Enforcement Agency (DEA), Nashville, TN. – Conspiracy to Possess with Intent to Distribute Oxycodone and Oxymorphone – Felony – Pled Guilty – Convicted on February 11, 2016 – Sentenced to 51 months in Federal Prison, followed by 3 years' Probation, $100 Assessment fee. – Also ordered to forfeit vehicle, firearm, and $43,244.**

***Please attach Routing Slip to front of case file**

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office: **PMW**	Region: **CENTRAL**	Date of Complaint: **MARCH 8, 2024**	Case Number: **2024 01 4191**

Respondent:	Complainant:
FROOME, ANDREW BRADLEY **755 SAINT ANDREW'S DR. APT. #19-208** **MURFREESBORO, TN. 37128** **TEL# (615)730-4923**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # / Type:	Profession:	Report Date:
13904385 / 1012	**POKER DEALER**	**MARCH 19, 2024**

Period of Investigation:	Type of Report:
MARCH 8, 2024 – MARCH 19, 2024	**FINAL**

This investigation is based upon submission of **ANDREW BRADLEY FROOME'S** State of Florida Pari-Mutuel Occupational License Application and Waiver Request Form dated February 12, 2024.

On February 12, 2024, **FROOME** applied for a Pari-Mutual Cardroom employee License (1012) to work as a "Dealer" at Tampa Bay Downs. On his application, he answered "YES" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" **FROOME** listed the following offenses:

- 2010 Misdemeanor conviction for Consumption of Alcohol Under Age 21.
- 2011 Misdemeanor conviction for Violation of Implied Consent Law.
- 2011 Misdemeanor conviction for Reckless Endangerment.
- 2009 Misdemeanor conviction for Evading Arrest in Rutherford, TN.
- 2015 Felony conviction for Conspiracy to Possess and Distribute Controlled Substance in the Middle District of TN. (**FROOME** mistakenly listed his 2105 felony conviction as a 2016 felony conviction.)

Upon receipt of **FROOME's** FDLE Criminal History Report, PMW Licensing sent him a Deficiency Letter on February 26, 2024, requesting records for an arrest on 4/26/2007, in Rutherford County, TN. This documentation was ultimately received and is attached herein as **EXHIBIT #2.**

Related Case:

Investigations Specialist II / Date	Approved by Investigator Supervisor / Date
/s/ Randa Samson Randa Samson / March 19, 2024	/s/ C. Derek Washington C. Derek Washington / March 20, 2024

Chief of Investigations / Date

/s/ Bradford D. Jones
Bradford D. Jones / April 15, 2024

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 3/12/2015	**Arresting Agency:** Drug Enforcement Agency (DEA), Nashville, TN				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Conspiracy to Possess with Intent to Distribute Oxycodone and Oxymorphone	Felony	Guilty	Guilty	2/11/2016
2					
3					
4					

SENTENCE
51 months prison time, followed by 3 years' probation, and $100 Assessment fee.

Additional Information: He was also required to forfeit his Vehicle, a 40-caliber firearm, and $43,244.

Arrest 2					
Date of Arrest: 4/26/2007	**Arresting Agency:** Murfreesboro Police Department, TN				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Aggravated Burglary	Felony	N/A	Pre-Trial Diversion	06/11/2007
2					
3					
4					

SENTENCE
Ordered to pay $85.00 in Restitution, Court ordered the applicant to Good and lawful behavior, required daily attendance at school, obey household rules and obey school rules.

Additional Information: This was a Juvenile Case – Applicant was 17 years old at time of conviction (EXHIBIT #2).

CRIMINAL HISTORY

Arrest 3					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?		**X**
Does the Applicant possess an Occupational License from other jurisdictions?		**X**

1. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

WAIVER INTERVIEW

		YES	NO
Was a Waiver Interview Conducted?		X	
Date of Interview: 3/19/2024	Location of Interview: Telephonic		
		YES	NO
Was the applicant cooperative?		X	
Additional Comments:			

SUMMARY OF INTERVIEW:

During **FROOME**'s interview, he explained that his 2015 felony conviction for Conspiracy to Possess with Intent to Distribute Oxycodone and Oxymorphone was the result of him being in his early 20s and having no real direction or purpose in life and since then had a lot of time to reflect on his actions especially when he was in jail.

FROOME stated he now lives in Murfreesboro, TN, and would like to move to Florida and become a Poker Dealer at Tampa Bay Downs. **FROOME** also said he has not been in trouble since his release on January 2, 2019, and has completely turned his life around.

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed no rulings against **FROOME. (EXHIBIT #4)**

Case Status: Investigations case closed, and forwarded to Licensing.

CONTINUATION

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STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

TO: Andrew Bradley Frobme [redacted] _____
Full Legal Name of Applicant | Date of Birth | Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Division Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☑ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☑ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Division Director will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

[signature] 2/12/24
Signature of Applicant **Date**

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM DBPR PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT #1
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DBPR On-Line Services

If you need to mail additional information to DBPR please include this coversheet.

License Type: **Cardroom Employee Occupational**

Application Type: **Cardroom Employee Occupational License**

File Number: **15263**

Application Number: **74646**

License Number:

Application Date: **02/12/2024 (mm/dd/yyyy)**

Last Name: **Froome**

First Name: **Andrew**

Middle Name: **Bradley**

Mail To:
Department of Business and Professional Regulation
Central Intake Unit
2601 Blair Stone Road
Tallahassee, FL 32399-0783

If you have any questions please call our Customer Contact Center at 850-487-1395.



State of Florida
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, FL 32399

Application Summary

Thank you for submitting an online application. We will evaluate the application to determine if you meet the eligibility requirements for the license. Initial review of your application can take up to 14 days and up to 30 days during peak licensing times. You will be notified in writing if we need any additional information or documentation. Your application will be considered complete only upon the department's receipt of all requested information, including validation of payment from your financial institution.

Profession

License Type:	**Cardroom Employee Occupational**
Application Number:	**74646**
Application Type:	**Cardroom Employee Occupational License**
Application Date:	**02/12/2024 (mm/dd/yyyy)**
License Number:	
File Number:	**15263**

Personal Detail

First Name:	**Andrew**
Middle Name:	**Bradley**
Last Name:	**Froome**
Birthdate:	[redacted] **(mm/dd/yyyy)**
Gender:	**Male**
Race:	**White**

Addresses

Current Mailing Address:	**755 Saint Andrew's Dr.** **Apt# 19-208** **Murfreesboro, TN** **37128** **US**
Phone Number:	**615-730-4923**
Extension:	
E-mail Address:	**froomeandrew@yahoo.com**

License Attributes Selected

Occupational Codes	**Dealer**
FingerPrint Reduct	**Livescan Fingerprint Fee Exemption**
PMW Temporary	**Yes**

Livescan Information

Enter Transaction Control Number (TCN): **70cs1405050000000053**

Facility

EXHIBIT #1
PAGE 3/7

Pari-Mutuel Facility Where Employed and/or Doing Business With:	**TLH Licensing**

Prior Name Questions

Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the one you provided for this application?	**No**

Cardroom Access

Does your position require access to the Cardroom?	**Yes**

Track Management

Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit?	**No**

Prior License

Is this your first time applying for a racing/gaming license in Florida?	**Yes**

Criminal History Background Questions

Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?	**No**
Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?	**Yes**

Criminal History Background Details 1

Date of Disposition	**05/11/2010 (mm/dd/yyyy)**
County	**Rutherford**
State	**Tennessee**
Offense	**Consumption of Alcohol Under Age of 21**
Misdemeanor or Felony?	**Misdemeanor**
Sentence	**Probation of 1 year.**

Criminal History Background Details 2

Date of Disposition	**02/15/2011 (mm/dd/yyyy)**
County	**Rutherford**
State	**Tennessee**
Offense	**Violation of Implied Consent Law**
Misdemeanor or Felony?	**Misdemeanor**
Sentence	**1 week of jail. One year of Probation. Take rehab classes.**

Criminal History Background Details 3

Date of Disposition	**02/15/2011 (mm/dd/yyyy)**
County	**Rutherford**
State	**Tennessee**
Offense	**Wreckless Endangerment**
Misdemeanor or Felony?	**Misdemeanor**
Sentence	**1 week of jail. One year of Probation. Take rehab classes.**

Criminal History Background Details 4

Date of Disposition	**05/18/2009 (mm/dd/yyyy)**
County	**Rutherford**
State	**Tennessee**
Offense	**Evading Arrest**
Misdemeanor or Felony?	**Misdemeanor**
Sentence	**Probation of 1 year.**

Criminal History Background Details 5

Date of Disposition	**02/11/2016 (mm/dd/yyyy)**
County	**Middle District Of TN**
State	**Tennessee**
Offense	**Conspiracy to possess and distribute controlled substances**
Misdemeanor or Felony?	**Felony**
Sentence	**51 months imprisonment. Pay fine. 3 years probation.**

Racing/Gaming License Background Question

Have you ever had a racing or gaming license revoked or denied in this or any other state or country?	**No**
Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine?	**No**

Attachments

misdemeanorevadingarrestpdf.pdf

MisdemeanorUnderageConsumptionpdf.pdf

MisdemeanorViolationofImpliedConsentLawpdf.pdf

Misdemeanorwrecklessendangermentpdf.pdf

Felonyconvictionpdf.pdf

Form3180.pdf

Form3195.pdf

Fees	
Fingerprint Fee	**$37.25**
LiveScanReduce	**$-37.25**
3 year License	**$100.00**
Total Amount Due:	**$100.00**

By submission of this application you affirmed the following: I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this written declaration has the same legal effect as an oath or affirmation. Under penalties of perjury, I declare that I have read the foregoing application and the facts stated in it are true. I understand that falsification of any material information on this application may result in criminal penalty or administrative action, including a fine, suspension or revocation of the license. I understand that an electronic affirmation shall have the same force and effect as a written signature.

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > License Search > **License Home**

License

Fed Tax #	[redacted]	Lic Type	**1012 - Cardroom Employee Occupational**	Expires On	
File #	**15263**	Name	**Froome, Andrew Bradley**	Extended To	
License #		Rank		Renewed On	
Entity #	**13904385**	Lic Status	**Applicant**		

Address

Street # **755** Street **SAINT ANDREW'S DR.**

Line 2 **APT# 19-208**

Line 3

City **MURFREESBORO** State **TN** Zip **37128**

Routing

Other

1st License Date		Rank Date	Certificate #
Method	**- -**	Status Date	Certificate Date
Fee Exempt	**No**	Birth Date [redacted]	Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
No items found.			

Alt Keys

BEST LIC NBR

Licensee | History | Notes | Notes History | Back

Get Adobe Reader.

EXHIBIT #1
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UNITED STATES DISTRICT COURT

for the

Middle District of Tennessee

United States of America
v.
Andrew Bradley Froome

Case No. 3:15-00037

Defendant

ARREST WARRANT

To: Any authorized law enforcement officer

YOU ARE COMMANDED to arrest and bring before a United States magistrate judge without unnecessary delay
(name of person to be arrested) Andrew Bradley Froome,
who is accused of an offense or violation based on the following document filed with the court:

☑ Indictment ☐ Superseding Indictment ☐ Information ☐ Superseding Information ☐ Complaint
☐ Probation Violation Petition ☐ Supervised Release Violation Petition ☐ Violation Notice ☐ Order of the Court

This offense is briefly described as follows:

21:846 Conspiracy to distribute/possess with intent to distribute Oxycodone, Hydromorphone, Oxymorphone (Count 1)

Date: 03/11/2015

Issuing officer's signature

City and state: Nashville, Tennessee

Ann E. Schwarz, Criminal Docketing Supervisor
Printed name and title

Return

This warrant was received on *(date)* 3.11.15, and the person was arrested on *(date)* 3.12.15
at *(city and state)* Smyrna, TN.

Date: 3.12.15

Arresting officer's signature

TFO Kevin Hall
Printed name and title

EXHIBIT #2
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CRIMINAL COVER SHEET
MIDDLE DISTRICT OF TENNESSEE
NASHVILLE DIVISION

Petty Offense ( )
Misdemeanor ( )
Felony (X)
Juvenile ( )

County of Offense: Davidson
AUSA's NAME: Cecil VanDevender

[10] Andrew Bradley Froome
Defendant's Full Name

Defendant's Address

Interpreter Needed? ___ Yes X No

If Yes, what language? _____

Defendant's Attorney

COUNT(S)	TITLE/SECTION	OFFENSE CHARGED	MAX. PRISON	MAX. FINE
ONE	21 U.S.C. § 846	Conspiracy to Distribute and Possess with Intent to Distribute Schedule II (Oxycodone, Oxymorphone)	20 years	$1,000,000

If the defendant is charged with conspiracy but not with the primary offense, list the primary offense below:

TITLE/SECTION	OFFENSE	MAX. PRISON	MAX. FINE

Is the defendant currently in custody? Yes ( ) No (X) If yes, State or Federal?

Has a complaint been filed? Yes ( ) No (X)
If Yes: Name of the Magistrate Judge: _____ Case No.: _____
Was the defendant arrested on the complaint? Yes ( ) No (X)

Has a search warrant been issued? Yes ( ) No ( )
If Yes: Name of the Magistrate Judge _____ Case No.: _____

Was bond set by Magistrate/District Judge? Yes ( ) No (X) Amount of bond: _____

Is this a Rule 20? Yes ( ) No (X) To/from what district? _____
Is this a Rule 40? Yes ( ) No (X) To/from what district? _____

Is this case related to a pending or previously filed case: Yes ( ) No (X)
What is the related case number? _____
Who is the Magistrate Judge? _____

Estimated trial time: 10 Days

The Clerk will issue a **Summons/Warrant** (circle one)

Bond Recommendation: _____

EXHIBIT #2
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United States District Court

MIDDLE District of TENNESSEE

UNITED STATES OF AMERICA

V.

ANDREW BRADLEY FROOME

JUDGMENT IN A CRIMINAL CASE

Case Number: 3:15-00037-10

USM Number: 22729-075

Benjamin H. Perry
Defendant's Attorney

THE DEFENDANT:

X pleaded guilty to count(s) One (1)

_____ pleaded nolo contendere to count(s) _____ which was accepted by the court.

_____ was found guilty on count(s) _____ after a plea of not guilty.

The defendant is adjudicated guilty of these offenses:

Title & Section	Nature of Offense	Offense Ended	Count
21 U.S.C. §§ 814(b)(1)(C) and 846	Conspiracy to Possess with Intent to Distribute and to Distribute Oxycodone and Oxymorphone	March 11, 2015	One (1)

The defendant is sentenced as provided in pages 2 through 6 of this judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

_____ The defendant has been found not guilty on count(s) _____

_____ Count(s) _____ is dismissed on the motion of the United States.

It is ordered that the defendant shall notify the United States attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant must notify the court and United States attorney of material changes in economic circumstances.

February 11, 2016
Date of Imposition of Judgment

Todd Campbell
Signature of Judge

Todd J. Campbell, U.S. District Judge
Name and Title of Judge

February 11, 2016
Date

EXHIBIT #2
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DEFENDANT: ANDREW BRADLEY FROOME
CASE NUMBER: 3:15-00037-10

IMPRISONMENT

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of:

fifty-one (51) months.

__X__ The court makes the following recommendations to the Bureau of Prisons:

1. Credit for pretrial confinement since March 12, 2015.
2. Incarcerated near Nashville, Tennessee. The Court recommends FCI Manchester or FCI Ashland, Kentucky.

__X__ The defendant is remanded to the custody of the United States Marshal.

_____ The defendant shall surrender to the United States Marshal for this district:

_____ at _____ _____ a.m. _____ p.m. on _____

_____ as notified by the United States Marshal.

_____ The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons:

_____ before 2 p.m. on _____.

_____ as notified by the United States Marshal.

_____ as notified by the Probation or Pretrial Services Office.

RETURN

I have executed this judgment as follows:

_____

_____

_____

Defendant delivered on _____ to _____

at _____, with a certified copy of this judgment.

_____
UNITED STATES MARSHAL

EXHIBIT #2
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By _____
DEPUTY UNITED STATES MARSHAL

DEFENDANT: ANDREW BRADLEY FROOME
CASE NUMBER: 3:15-00037-10

SUPERVISED RELEASE

Upon release from imprisonment, the defendant shall be on supervised release for a total term of: three (3) years

The defendant must report to the probation office in the district to which the defendant is released within 72 hours of release from the custody of the Bureau of Prisons.

The defendant shall not commit another federal, state or local crime.

The defendant shall not unlawfully possess a controlled substance. The defendant shall refrain from any unlawful use of a controlled substance. The defendant shall submit to one drug test within 15 days of release from imprisonment and at least two periodic drug tests thereafter, as determined by the Court.

_____ The above drug testing condition is suspended, based on the court's determination that the defendant poses a low risk of future substance abuse. (Check, if applicable.)

__X__ The defendant shall not possess a firearm, ammunition, destructive device, or any other dangerous weapon. (Check, if applicable.)

__X__ The defendant shall cooperate in the collection of DNA as directed by the probation officer. (Check, if applicable.)

_____ The defendant shall register with the state sex offender registration agency in the state where the defendant resides, works, or is a student, as directed by the probation officer. (Check, if applicable.)

_____ The defendant shall participate in an approved program for domestic violence. (Check, if applicable.)

If this judgment imposes a fine or restitution, it is a condition of supervised release that the defendant pay in accordance with the Schedule of Payments sheet of this judgment.

The defendant must comply with the standard conditions that have been adopted by this court as well as with any additional conditions on the attached page.

STANDARD CONDITIONS OF SUPERVISION

1) the defendant shall not leave the judicial district without the permission of the court or probation officer;

2) the defendant shall report to the probation officer in a manner and frequency directed by the court or probation officer;

3) the defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;

4) the defendant shall support his or her dependents and meet other family responsibilities;

5) the defendant shall work regularly at a lawful occupation, unless excused by the probation officer for schooling, training, or other acceptable reasons;

6) the defendant shall notify the probation officer at least ten days prior to any change in residence or employment;

7) the defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute, or administer any controlled substance or any paraphernalia related to any controlled substances, except as prescribed by a physician;

8) the defendant shall not frequent places where controlled substances are illegally sold, used, distributed, or administered;

9) the defendant shall not associate with any persons engaged in criminal activity and shall not associate with any person convicted of a felony, unless granted permission to do so by the probation officer;

10) the defendant shall permit a probation officer to visit him or her at any time at home or elsewhere and shall permit confiscation of any contraband observed in plain view of the probation officer;

11) the defendant shall notify the probation officer within seventy-two hours of being arrested or questioned by a law enforcement officer;

12) the defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the court; and

13) as directed by the probation officer, the defendant shall notify third parties of risks that may be occasioned by the defendant's criminal record or personal history or characteristics and shall permit the probation officer to make such notifications and to confirm the defendant's compliance with such notification requirement.

EXHIBIT #2
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DEFENDANT: ANDREW BRADLEY FROOME
CASE NUMBER: 3:15-00037-10

SPECIAL CONDITIONS OF SUPERVISION

1. The Defendant is prohibited from owning, carrying or possessing firearms, ammunition, destructive devices or other dangerous weapons.

2. The Defendant shall participate in a program of drug testing and substance abuse treatment which may include a 30-day inpatient treatment program followed by up to 90 days in a community correction center at the direction of the Probation Officer. The Defendant shall pay all or part of the cost for substance abuse treatment if the United States Probation Office determines the Defendant has the financial ability to do so or has appropriate insurance coverage to pay for such treatment.

3. The Defendant shall furnish all financial records, including, without limitation, earnings records and tax returns, to the United States Probation Office upon request.

4. Defendant shall promptly advise the United States Office of the name and contact information for any physician who prescribes any controlled substance and for any pharmacy that dispenses a controlled substance on behalf of the Defendant and agrees to execute a release of information form so that medical records may be obtained from such physician and/or pharmacy.

5. The Defendant shall cooperate in the collection of DNA as directed by the Probation Officer.

EXHIBIT #2
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DEFENDANT: ANDREW BRADLEY FROOME
CASE NUMBER: 3:15-00037-10

CRIMINAL MONETARY PENALTIES

The defendant must pay the total criminal monetary penalties under the Schedule of Payments on the attached sheet.

	Assessment	Fine	Restitution
TOTALS	**$100.00**	**$0.00**	**$0.00**

_____ The determination of restitution is deferred until _____. An *Amended Judgment in a Criminal Case* (AO 245C) will be entered after such determination.

_____ The defendant must make restitution (including community restitution) to the following payees in the amount listed below.

If the defendant makes a partial payment, each payee shall receive an approximately proportioned payment, unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

Name of Payee	Total Loss*	Restitution Ordered	Priority or Percentage

TOTALS	$_____	$_____

_____ Restitution amount ordered pursuant to plea agreement $_____

_____ The defendant must pay interest on restitution and a fine of more than $2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on the Schedule of Payments sheet may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).

_____ The court determined that the defendant does not have the ability to pay interest and it is ordered that:

_____ the interest requirement is waived for the _____ fine _____ restitution.

_____ the interest requirement for the _____ fine _____ restitution is modified as follows:

*Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

EXHIBIT #2
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DEFENDANT: ANDREW BRADLEY FROOME
CASE NUMBER: 3:15-00037-10

SCHEDULE OF PAYMENTS

Having assessed the defendant's ability to pay, payment of the total criminal monetary penalties are due as follows:

A _____ Lump sum payment of $_____ due immediately, balance due

_____ not later than _____, or
_____ in accordance _____ C, _____ D, _____ E, or _____ F below; or

B __X__ Payment to begin immediately (may be combined with _____ C, _____ D, or _____ F below); or

C _____ Payment in equal _____ (e.g., weekly, monthly, quarterly) installments of $_____ over a period of _____ (e.g., months or years), to commence _____ (e.g., 30 or 60 days) after the date of this judgment; or

D _____ Payment in equal _____ (e.g., weekly, monthly, quarterly) installments of $_____ over a period of _____ (e.g., months or years), to commence _____ (e.g., 30 or 60 days) after release from imprisonment to a term of supervision; or

E _____ Payment during the term of supervised release will commence within _____ (e.g., 30 or 60 days) after release from imprisonment. The court will set the payment plan based on an assessment of the defendant's ability to pay at that time; or

F _____ Special instructions regarding the payment of criminal monetary penalties:

Unless the court has expressly ordered otherwise, if this judgment imposes imprisonment, payment of criminal monetary penalties is due during imprisonment. All criminal monetary penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the clerk of the court.

The defendant shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

_____ Joint and Several

Defendant and Co-Defendant Names and Case Numbers (including defendant number), Total Amount, Joint and Several Amount, and corresponding payee, if appropriate.

_____ The defendant shall pay the cost of prosecution.

_____ The defendant shall pay the following court cost(s):

__X__ The defendant shall forfeit the defendant's interest in the following property to the United States:

Taurus Millenius, Model PT140 Pro, .40 caliber firearm (serial no. SDR43089); $43,244.00 currency that was seized from Defendant has been administratively forfeited and the Government has filed a Notice of Satisfaction of Plea Agreement Forfeiture Terms (Docket No. 376).

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Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) fine interest, (6) community restitution, (7) penalties, and (8) costs, including the cost of prosecution and court costs.

FILED
U.S. DISTRICT COURT
MIDDLE DISTRICT OF TENN.
MAR 11 2015
BY _____ DEPUTY CLERK

UNITED STATES DISTRICT COURT
FOR THE MIDDLE DISTRICT OF TENNESSEE
NASHVILLE DIVISION

UNITED STATES OF AMERICA)
)
v.)
)
)
[1] [redacted])
[2])
[3])
[4])
[5])
[6])
[7])
[8])
[9])
[10] ANDREW BRADLEY FROOME)
[11] [redacted])
[12] [redacted])
[13] [redacted])
[14] [redacted])
[15] [redacted])

NO. 3:15-00037

21 U.S.C. § 841
21 U.S.C. § 846
18 U.S.C. § 1956(h)
18 U.S.C. § 1956(a)(1)

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[16] [redacted])
[17] [redacted])
[18] [redacted])
)
)
)

INDICTMENT

COUNT ONE

THE GRAND JURY CHARGES:

Beginning on a date unknown to the Grand Jury, but not later than in or about November 2012, and continuing thereafter until on or about March 11, 2015, in the Middle District of Tennessee and elsewhere, [redacted] [10] ANDREW BRADLEY FROOME; [redacted] did combine, conspire, confederate, and agree with each other, and with others known and unknown to the Grand Jury, to unlawfully, knowingly, and intentionally possess with intent to distribute and to distribute controlled substances, including Oxycodone and Oxymorphone, both Schedule II

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controlled substances, in violation of Title 21, United States Code, Section 841(a)(1).

All in violation of Title 21, United States Code, Section 846.

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COUNT TWO

THE GRAND JURY FURTHER CHARGES:

Beginning on a date unknown to the Grand Jury, but not later than in or about November 2012, and continuing thereafter until on or about March 11, 2015, in the Middle District of Tennessee and elsewhere, [redacted] did knowingly combine, conspire, and agree with each other and with other persons known and unknown to the Grand Jury to commit offenses against the United States in violation of Title 18, United States Code, Section 1956, to wit: Knowingly conducting and attempting to conduct financial transactions affecting interstate and foreign commerce, which involved the proceeds of a specified unlawful activity, that is the unlawful distribution of Schedule II controlled substances, with the intent to promote the carrying on of the said specified unlawful activity, that is the distribution of Schedule II controlled substances, and that while conducting and attempting to conduct such financial transactions knew that the property involved in the financial transactions represented the proceeds of some form of unlawful activity, in violation of Title 18, United States Code, Section 1956(a)(1)(A)(i).

All in violation of Title 18, United States Code, Section 1956(h).

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FORFEITURE ALLEGATION ONE

1. The allegations contained in this Indictment are re-alleged and incorporated by reference as if fully set forth in support of this forfeiture.

2. Upon conviction of Count One, **DEFENDANTS** [redacted] [10] **ANDREW BRADLEY FROOME;** [redacted], jointly and severally, shall forfeit to the United States of America:

A. pursuant to Title 21, United States Code, Section 853(a)(1), any property constituting, or derived from, any proceeds obtained, directly or indirectly, as the result of violation of Title 21, United States Code, Section 846, including but not limited to a money judgment in an amount to be determined, representing the amount of gross drug proceeds obtained as a result of such offense; and

B. pursuant to Title 21, United States Code, Section 853(a)(2), any property used, or intended to be used, in any manner or part, to commit, or to facilitate the commission of, such violation.

3. If any of the property described above, as a result of any act or omission of **DEFENDANTS** [redacted]

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EXHIBIT #2
PAGE

[redacted]

[redacted] [10] ANDREW BRADLEY FROOME; [redacted]

[redacted]

a. cannot be located upon the exercise of due diligence;

b. has been transferred or sold to, or deposited with, a third party;

c. has been placed beyond the jurisdiction of the court;

d. has been substantially diminished in value; or

e. has been commingled with other property that cannot be divided without difficulty,

the United States shall be entitled to forfeiture of substitute property, and it is the intent of the United States, pursuant to Title 21, United States Code, Section 853(p), to seek forfeiture of any other property of the above named **DEFENDANTS,** up to the value of said property listed above as subject to forfeiture.

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FORFEITURE ALLEGATION TWO

1. The allegations contained in this Indictment are re-alleged and incorporated by reference as if fully set forth in support of this forfeiture.

2. Upon conviction of Count Two, **DEFENDANTS** [redacted] and severally, shall forfeit to the United States of America: pursuant to Title 18, United States Code, Section 982(a)(1), any property, real or personal, involved in the violation of Title 18, United States Code, Section 1956(h) (conspiracy to commit money laundering), or any property traceable to such property including but not limited to proceeds of the violation and including but not limited to a money judgment in an amount to be determined, representing the property involved in a violation of Title 18, United States Code, Section 1956(h) (conspiracy to commit money laundering), or any property traceable to such property.

3. If any of the property described above, as a result of any act or omission of **DEFENDANTS** [redacted]

a. cannot be located upon the exercise of due diligence;

b. has been transferred or sold to, or deposited with, a third party;

c. has been placed beyond the jurisdiction of the court;

d. has been substantially diminished in value; or

e. has been commingled with other property that cannot be divided without difficulty,

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the United States shall be entitled to forfeiture of substitute property, and it is the intent of the United States, pursuant to Title 21, United States Code, Section 853(p), to seek forfeiture of any other property of the above named **DEFENDANTS,** up to the value of said property listed above as subject to forfeiture.

[redacted]
FOREPERSON

DAVID RIVERA
UNITED STATES ATTORNEY

CECIL W. VANDEVENDER
ASSISTANT UNITED STATES ATTORNEY

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2007 Juvenile Case

Non- Disqualifying Offenses

Case: 75GS1-2009-CR-750125

Court Division: Criminal **Case Number: 75GS1-2009-CR-750125** **State Of Tennessee vs Andrew Froome**

Case

Judge:	Judge David Loughry	Case Is Incomplete:	N	Notes:	GSA Additional Data
Filing Date:	03/02/2009	Case Is Sealed:	N		Filing #: 244375
Status:	Disposed	Case Is Confidential:	N		Complaintant: JUSTIN LEMANSKI MPD
		Case Is Archived:	N		VOP: 12/22/2009
					VOP Disposed: 05/11/2010

Parties

Defendant:	Andrew Froome	AKA:	
Plaintiff:	State Of Tennessee	AKA:	

Attorney(s)

Party: Andrew Froome Attorney: East Brock

Charge(s)

TCA Code:	Evading Arrest	Offense Type:	Misdemeanor
FilingDate:	03/02/2009	Offense Class:	A
DispositionDate	05/18/2009	Location:	
Disposition:	GSA see RDE for Disposition		
TJDisposition Code:	Other	Violation Date:	02/26/2009
ArrestDate:	02/26/2009	Arresting Officer:	
SentenceDate:		Comments:	Disposition: 39 1 28 5 31 Complaintant: JUSTIN LEMANSKI MPD Attorney: BROCK EAST A/R Date: 03/08/2010 A/R: RETAINED

TCA Code:	PROBATION VIOLATION	Offense Type:	Misdemeanor
FilingDate:	12/22/2009	Offense Class:	U
DispositionDate	05/11/2010	Location:	
Disposition:	GSA see RDE for Disposition		
TJDisposition Code:	Other	Violation Date:	12/22/2009
ArrestDate:	02/07/2010	Arresting Officer:	
SentenceDate:		Comments:	

Hearings

Party	Party Role	Hearing Type	Date/Time	Judge	Hearing Result
Andrew Froome	Defendant	Hearing	2010-05-11 9:00:00	Judge David Loughry	Hearing Date Prior To Conversion

Rule Docket Entries

Date/Time	Party	Entry	Notes

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Case: 75GS1-2009-CR-750125

Court Division: Criminal **Case Number: 75GS1-2009-CR-750125** **State Of Tennessee vs Andrew Froome**

2009-03-02 0:00:00	Andrew Froome	THE DEFENDANT ENTERS A CONDITIONAL PLEA (TCA 40-35-313 DIVERSION) FINE 50.00 PAY COURT COSTS 11/29 PROBATION SUPERVISED PROBATION AT PROVIDENCE UNTIL SPECIAL CONDITIONS ARE MET THIS 05.18.09 /S/ JUDGE DAVID LOUGHRY VOP NEXT PAGE ON THIS VOP WARRANT IS DISMISSED. THIS 05.11.10 /S/ JUDGE BEN H. MCFARLIN JR.	GSA Narrative
2009-03-02 0:00:00	Andrew Froome	GSA Additional Data Filing #: 244375 Complaintant: JUSTIN LEMANSKI MPD VOP: 12/22/2009 VOP Disposed: 05/11/2010	GSA Additional Data

Fees

Next Due Date:

Fee	Distribution	Pay To	Assessed Amount	Paid Amount	Due Amount
ADD CO TAX - 10068	ADD CO TAX - 10068	Rutherford County Finance	$10.00	$10.00	$0.00
C/S CONT - 10069	C/S CONT - 10069	Rutherford County Finance	$2.00	$2.00	$0.00
CIC FEE - 10022	CIC FEE - 10022	Department of Revenue	$25.50	$25.50	$0.00
CLK FEE - 10002	CLK FEE - 10002		$69.00	$69.00	$0.00
CNTY FINE - 10007	CNTY FINE - 10007	Rutherford County Finance	$65.00	$65.00	$0.00
CNTY LIT - 10021	CNTY LIT - 10021	Rutherford County Finance	$63.85	$63.85	$0.00
COURT SECURITY - 10064	COURT SECURITY - 10064	Rutherford County Finance	$25.00	$25.00	$0.00
JUD. COMM. - 10013	JUD. COMM. - 10013	Rutherford County Finance	$4.00	$4.00	$0.00
MPD - 10070	MPD - 10070	Murfreesboro Police Dept.	$40.00	$40.00	$0.00
PD FEE - 10052	PD FEE - 10052	Public Defenders Conference	$12.50	$12.50	$0.00
PENALTY TAX - 10012	PENALTY TAX - 10012	Department of Revenue	$15.00	$15.00	$0.00
STATE LIT - 10040	STATE LIT - 10040	Department of Revenue	$29.50	$29.50	$0.00
		Case Balance:	$361.35	$361.35	$0.00

Transactions

Transaction Type	Transaction_Dat	Batch ID	Status	Number	Description	Amount	Void

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Rutherford County Gen Sessions
Criminal Rule and Execution Docket Report

Case: 75GS1-2009-CR-761421

Court Division: Criminal **Case Number: 75GS1-2009-CR-761421** **State Of Tennessee vs Andrew B. Froome**

Case

Judge:	Judge Ben Hall McFarlin	Case Is Incomplete:	N	Notes:	GSA Additional Data
Filing Date:	12/07/2009	Case Is Sealed:	N		Filing #: 261699
Status:	Disposed	Case Is Confidential:	N		Complaintant: TIM JENSEN MPD
		Case Is Archived:	N		

Parties

Defendant:	Andrew B. Froome	AKA:	
Plaintiff:	State Of Tennessee	AKA:	

Attorney(s)

Party: Andrew B. Froome Attorney: East Brock

Charge(s)

TCA Code:	Consumption Of Alcohol Under Age Of 21	Offense Type:	Misdemeanor
FilingDate:	12/07/2009	Offense Class:	A
DispositionDate	05/11/2010	Location:	
Disposition:	GSA see RDE for Disposition		
TJDisposition Code:	Other	Violation Date:	12/04/2009
ArrestDate:	12/04/2009	Arresting Officer:	
SentenceDate:		Comments:	Disposition: 10 28 31 Complaintant: TIM JENSEN MPD Attorney: BROCK EAST A/R Date: 03/08/2010 A/R: RETAINED

Hearings

Party	Party Role	Hearing Type	Date/Time	Judge	Hearing Result
Andrew B. Froome	Defendant	GSA-Review Hearing	2011-02-15 8:00:00	Judge Ben Hall McFarlin	Hearing Date Prior To Conversion
Andrew B. Froome	Defendant	Hearing	2010-05-11 9:00:00	Judge Ben Hall McFarlin	Hearing Date Prior To Conversion

Rule Docket Entries

Date/Time	Party	Entry	Notes

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Case: 75GS1-2009-CR-761421

Court Division: Criminal **Case Number: 75GS1-2009-CR-761421** **State Of Tennessee vs Andrew B. Froome**

2009-12-07 0:00:00	Andrew B. Froome	CASE IS RETIRED FOR 11/29 PAY COURT COSTS SUPERVISED PROBATION AT PROVIDENCE UNTIL SPECIAL CONDITIONS ARE MET THIS 05.11.10 /S/ JUDGE BEN H MCFARLIN JR. COMMUNICATION/ VIOLATION REPORT FILED 12.09.10 DEF HAS FAILED CONDT BEING ARRESTED /PAYING FINES.COST/COMPL CLASSES RETIREMENT TERMINATED PLEA OF GUILTY PAY COURT COSTS--TO SERV 7 DAYS 11/29 SUSP SENT 11/29 SUPERVISED PROBATION UNTIL SPECIAL CONDITIONS MET THIS 02.15.11 /S/ JUDGE BEN H MCFARLIN JR CONCURRENT W/ALL CASES CREDIT OF 5 HRS	GSA Narrative
2009-12-07 0:00:00	Andrew B. Froome	GSA Additional Data Filing #: 261699 Complaintant: TIM JENSEN MPD	GSA Additional Data

Fees

Next Due Date:

Fee	Distribution	Pay To	Assessed Amount	Paid Amount	Due Amount
ADD CO TAX - 10068	ADD CO TAX - 10068	Rutherford County Finance	$10.00	$10.00	$0.00
C/S CONT - 10069	C/S CONT - 10069	Rutherford County Finance	$6.00	$6.00	$0.00
CIC FEE - 10022	CIC FEE - 10022	Department of Revenue	$25.50	$25.50	$0.00
CLK FEE - 10002	CLK FEE - 10002		$67.00	$67.00	$0.00
CNTY FINE - 10007	CNTY FINE - 10007	Rutherford County Finance	$15.00	$15.00	$0.00
CNTY LIT - 10021	CNTY LIT - 10021	Rutherford County Finance	$63.85	$63.85	$0.00
COURT SECURITY - 10064	COURT SECURITY - 10064	Rutherford County Finance	$25.00	$25.00	$0.00
JUD. COMM. - 10013	JUD. COMM. - 10013	Rutherford County Finance	$4.00	$4.00	$0.00
MPD - 10070	MPD - 10070	Murfreesboro Police Dept.	$40.00	$40.00	$0.00
PD FEE - 10052	PD FEE - 10052	Public Defenders Conference	$12.50	$12.50	$0.00
PENALTY TAX - 10012	PENALTY TAX - 10012	Department of Revenue	$15.00	$15.00	$0.00
STATE LIT - 10040	STATE LIT - 10040	Department of Revenue	$29.50	$29.50	$0.00
VAA - 10066	VAA - 10066	Rutherford County Finance	$42.00	$42.00	$0.00
VNF - 10072	VNF - 10072	Department of Revenue	$1.00	$1.00	$0.00

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Case: 75GS1-2009-CR-761421

Court Division: Criminal **Case Number: 75GS1-2009-CR-761421** **State Of Tennessee vs Andrew B. Froome**

Case Balance:	$356.35	$356.35	$0.00

Transactions

Transaction Type	Transaction_Dat	Batch ID	Status	Number	Description	Amount	Void

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Rutherford County Gen Sessions

Criminal Rule and Execution Docket Report

Case: 75GS1-2010-CR-775472

Court Division: Criminal **Case Number: 75GS1-2010-CR-775472** **State Of Tennessee vs Andrew B. Froome**

Case

Judge:	Judge Ben Hall McFarlin	Case Is Incomplete:	N	Notes:	Abstract Date: 02/28/2011
Filing Date:	11/08/2010	Case Is Sealed:	N		GSA Additional Data
Status:	Disposed	Case Is Confidential:	N		Filing #: 275173
		Case Is Archived:	N		Abstract Date: 02/28/2011
					Complaintant: GABE BESLEAGA MPD

Parties

Defendant:	Andrew B. Froome	AKA:	
Plaintiff:	State Of Tennessee	AKA:	

Attorney(s)

Party: Andrew B. Froome Attorney: Defender Public

Charge(s)

TCA Code:	Violation Of Implied Consent Law	Offense Type:	Misdemeanor
FilingDate:	11/08/2010	Offense Class:	C
DispositionDate	02/15/2011	Location:	
Disposition:	GSA see RDE for Disposition		
TJDisposition Code:	Other	Violation Date:	11/06/2010
ArrestDate:	11/06/2010	Arresting Officer:	
SentenceDate:		Comments:	Disposition: 3 28 31 Complaintant: GABE BESLEAGA MPD Attorney: PUBLIC DEFENDER A/R Date: 01/05/2011 A/R: APPOINTED Fees Waived: N

Hearings

Party	Party Role	Hearing Type	Date/Time	Judge	Hearing Result
Andrew B. Froome	Defendant	Hearing	2011-02-15 9:00:00	Judge Ben Hall McFarlin	Hearing Date Prior To Conversion

Rule Docket Entries

Date/Time	Party	Entry	Notes
2010-11-08 0:00:00	Andrew B. Froome	GSA Additional Data Filing #: 275173 Abstract Date: 02/28/2011 Complaintant: GABE BESLEAGA MPD	GSA Additional Data

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Case: 75GS1-2010-CR-775472

Court Division: Criminal **Case Number: 75GS1-2010-CR-775472** **State Of Tennessee vs Andrew B. Froome**

2010-11-08 0:00:00	Andrew B. Froome	THE DEFENDANT PLEADS GUILTY AND PAY COURT COSTS SUPERVISED PROBATION AT PROVIDENCE UNTIL SPECIAL CONDITIONS ARE MET THIS 02.15.11 /S/ JUDGE BEN H MCFARLIN JR. CHECK # 76612 WAS ISSUED FOR $35.15 ON 12.07.11	GSA Narrative

Fees

Next Due Date:

Fee	Distribution	Pay To	Assessed Amount	Paid Amount	Due Amount
ADD CO TAX - 10068	ADD CO TAX - 10068	Rutherford County Finance	$10.00	$10.00	$0.00
CLK FEE - 10002	CLK FEE - 10002		$3.00	$91.00	-$88.00
CLRF - 10057	CLRF - 10057	Department of Revenue	$1.00	$1.00	$0.00
CNTY FINE - 10007	CNTY FINE - 10007	Rutherford County Finance	$10.00	$10.00	$0.00
CNTY LIT - 10021	CNTY LIT - 10021	Rutherford County Finance	$64.85	$64.85	$0.00
COURT SECURITY - 10064	COURT SECURITY - 10064	Rutherford County Finance	$25.00	$25.00	$0.00
FUNDS - 10008	FUNDS - 10008		$35.15	$35.15	$0.00
JUD. COMM. - 10013	JUD. COMM. - 10013	Rutherford County Finance	$4.00	$4.00	$0.00
MPD - 10070	MPD - 10070	Murfreesboro Police Dept.	$40.00	$40.00	$0.00
PD FEE - 10052	PD FEE - 10052	Public Defenders Conference	$12.50	$12.50	$0.00
PENALTY TAX - 10012	PENALTY TAX - 10012	Department of Revenue	$15.00	$15.00	$0.00
STATE LIT - 10040	STATE LIT - 10040	Department of Revenue	$29.50	$29.50	$0.00
VNF - 10072	VNF - 10072	Department of Revenue	$1.00	$1.00	$0.00
		Case Balance:	$251.00	$339.00	-$88.00

Transactions

Transaction Type	Transaction_Dat	Batch ID	Status	Number	Description	Amount	Void

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Case: 75GS1-2010-CR-775470

Court Division: Criminal **Case Number: 75GS1-2010-CR-775470** **State Of Tennessee vs Andrew B. Froome**

Case

Judge:	Judge Ben Hall McFarlin	Case Is Incomplete:	N	Notes:	Abstract Date: 02/28/2011
Filing Date:	11/08/2010	Case Is Sealed:	N		GSA Additional Data
Status:	Disposed	Case Is Confidential:	N		Filing #: 275174
		Case Is Archived:	N		Abstract Date: 02/28/2011
					Complaintant: GABE BESLEAGA MPD

Parties

Defendant:	Andrew B. Froome	AKA:	
Plaintiff:	State Of Tennessee	AKA:	

Attorney(s)

Party: Andrew B. Froome Attorney: Defender Public

Charge(s)

TCA Code:	Dui (1st Offense)	Offense Type:	Misdemeanor
FilingDate:	11/08/2010	Offense Class:	A
DispositionDate	02/15/2011	Location:	
Disposition:	GSA see RDE for Disposition		
TJDisposition Code:	Other	Violation Date:	11/06/2010
ArrestDate:	11/06/2010	Arresting Officer:	
SentenceDate:		Comments:	Disposition: 3 1 28 9 7 5 31 Complaintant: GABE BESLEAGA MPD Attorney: PUBLIC DEFENDER A/R Date: 01/05/2011 A/R: APPOINTED Fees Waived: N

Hearings

Party	Party Role	Hearing Type	Date/Time	Judge	Hearing Result
Andrew B. Froome	Defendant	Hearing	2011-02-15 9:00:00	Judge Ben Hall McFarlin	Hearing Date Prior To Conversion

Rule Docket Entries

Date/Time	Party	Entry	Notes
2010-11-08 0:00:00	Andrew B. Froome	GSA Additional Data Filing #: 275174 Abstract Date: 02/28/2011 Complaintant: GABE BESLEAGA MPD	GSA Additional Data

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Case: 75GS1-2010-CR-775470

Court Division: Criminal **Case Number: 75GS1-2010-CR-775470** **State Of Tennessee vs Andrew B. Froome**

2010-11-08 0:00:00	Andrew B. Froome	THE DEFENDANT PLEADS GUILTY AND FINE 525.00 PAY COURT COSTS TO SERV 7 DAYS 11/29 SUSPENDED SENT. UPON PAYMENT OF FINES & COSTS 11/29 PROBATION SUPERVISED PROBATION AT PROVIDENCE UNTIL SPECIAL CONDITIONS ARE MET THIS 02.15.11 /S/ JUDGE BEN H MCFARLIN JR. CHARGE AMENDED TO MISDEMEANOR RECKLESS ENDANGERMENT CONCURRENT W/ALL CASES CREDIT OF 5 HRS ORDER ENTERED DEF NOT REQUIRED TO GO TO BUFFALO VALLEY MUST COMPLETE ALCOHOL REHAB ON AN OUT PATIENT BASIS /S/JUDGE MCFARLIN 03.18.11JM	GSA Narrative

Fees

Next Due Date:

Fee	Distribution	Pay To	Assessed Amount	Paid Amount	Due Amount
ADD CO TAX - 10068	ADD CO TAX - 10068	Rutherford County Finance	$10.00	$0.00	$10.00
C/S CONT - 10069	C/S CONT - 10069	Rutherford County Finance	$4.00	$0.00	$4.00
CIC FEE - 10022	CIC FEE - 10022	Department of Revenue	$25.50	$25.50	$0.00
CLK FEE - 10002	CLK FEE - 10002		$77.00	$77.00	$0.00
CLRF - 10057	CLRF - 10057	Department of Revenue	$1.00	$1.00	$0.00
CNTY FINE - 10007	CNTY FINE - 10007	Rutherford County Finance	$540.00	$540.00	$0.00
CNTY LIT - 10021	CNTY LIT - 10021	Rutherford County Finance	$64.85	$64.85	$0.00
CNTY OFF - 10010	CNTY OFF - 10010	Rutherford County Finance	$2.00	$2.00	$0.00
COURT SECURITY - 10064	COURT SECURITY - 10064	Rutherford County Finance	$25.00	$25.00	$0.00
INDIG FEE - 10048	INDIG FEE - 10048	Department of Revenue	$50.00	$50.00	$0.00
JAIL FEE - 10033	JAIL FEE - 10033	Rutherford County Finance	$12.00	$12.00	$0.00
JUD. COMM. - 10013	JUD. COMM. - 10013	Rutherford County Finance	$4.00	$4.00	$0.00
MPD - 10070	MPD - 10070	Murfreesboro Police Dept.	$40.00	$40.00	$0.00
PD FEE - 10052	PD FEE - 10052	Public Defenders Conference	$12.50	$12.50	$0.00
PENALTY TAX - 10012	PENALTY TAX - 10012	Department of Revenue	$15.00	$15.00	$0.00
STATE LIT - 10040	STATE LIT - 10040	Department of Revenue	$29.50	$29.50	$0.00
VAA - 10066	VAA - 10066	Rutherford County Finance	$42.00	$18.85	$23.15

EXHIBIT #2
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Case: 75GS1-2010-CR-775470

Court Division: Criminal **Case Number: 75GS1-2010-CR-775470** **State Of Tennessee vs Andrew B. Froome**

VNF - 10072	VNF - 10072	Department of Revenue	$1.00	$0.00	$1.00
		Case Balance:	$955.35	$917.20	$38.15

Transactions

Transaction Type	Transaction_Dat	Batch ID	Status	Number	Description	Amount	Void

EXHIBIT #2
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Licenses

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: Andrew
Middle Name:
Last Name: Froome
Sex: M
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

EXHIBIT #4
PAGE 1/3

February 26, 2024

Mr. Andrew Bradley Froome
755 Saint Andrew's Drive, Apt# 19-208
Murfreesboro, Tennessee 37128

RE: Application No. 74646, Entity 13904385
1012 - Cardroom Employee Occupational

Dear Mr. Froome:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section, and provide court disposition records and arrest reports. **Please note, you must complete all sections of page including the below arrest information.**

  You must list the information on your application, **and** provide both court disposition records and arrest reports for the following charges:
  - Rutherford County, Tennessee Arrest(s) – 04/26/2007

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 06/26/2024, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Thank you,

AH
Operations Review Specialist

EXHIBIT #4
PAGE 3/3

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Joseph Klein, Senior Attorney
Re: Zebulum Obadiah Prince; Case No. 2024-014913
Date: May 16, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks to deny the application of Zebulum Obadiah Prince (the "Applicant") for a Pari-Mutuel Wagering General Individual Occupational License (the "Application"). The Applicant submitted a completed application for a Pari-Mutuel Wagering General Individual Occupational License on February 14, 2024.

Upon review of the application, it appears Applicant has been convicted of two felony offenses in Broward County, Florida. The Executive Director of the Commission reviewed the file along with the waiver interview notes and declined to waive the statutory restrictions excluding offenders. Therefore, the Division recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial.

Pertinent Facts

On January 20, 2024, the Applicant submitted an application for a Pari-Mutuel Wagering General Individual Occupational License to the Division. The Division issued a deficiency letter to the Applicant on February 5, 2024, requesting that he provide information relating to a September 24, 2020, arrest. The Applicant submitted a revised Application, as well as a request for waiver of the restrictions excluding offenders with disqualifying offenses, on February 14, 2024.

Upon review of the completed Application, it appears that on January 18, 2022, the Applicant was convicted of the following felony offenses in Broward County, Florida:

- Delivery of Cannabis
- Attempt to Tamper with Physical Evidence

These felony convictions are disqualifying offenses pursuant to section 550.105(5)(b), Florida Statutes.

A Division investigator conducted a waiver interview of the Applicant on March 21, 2024, and documented the waiver interview in a report submitted to the Executive Director of the Commission for consideration. On April 25, 2024, the Executive Director, having reviewed the waiver report and all relevant information and documents, declined to waive the restrictions excluding offenders.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

> …[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission.

Staff Recommendation: The Florida Gaming Control Commission may deny the Application or declare the Applicant ineligible for a Pari-Mutuel Wagering General Individual Occupational License upon a finding of a disqualifying offense pursuant to sections 550.105(5), Florida Statutes. Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial to Zebulum Obadiah Prince in this matter.

ROUTING SLIP
REQUEST FOR WAIVER

RE: PRINCE, ZEBULUM OBADIAH – LIC # 11569729 **Case No: 2024 01 4913**
(APPLICANT'S NAME – LICENSE #)

1022 – Hot Walker
Occupation Code and Job Title

GULFSTREAM PARK
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: JUNE 10, 2024
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 4/18/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: Jucks 4/24/24 _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [X] No If yes, in what jurisdiction? _____

Executive Director: LT 4/25/24
(Initial & Date)

[ ] Prepare Waiver or [✓] Prepare File for Commission Review

Comments: _____

Investigative Findings:

September 24, 2020	**Miramar Police Department, FL. - Delivery of Cannabis, - Attempted Tamper with Physical Evidence, - Possession with Intent to Sell/Deliver, Cannabis, - Carrying a Concealed Firearm, - Possession of a Weapon During the Commission of a Felony – Felonies - Possession of Cannabis 20 Grams or Less – Misdemeanor. - Adjudication Withheld upon a plea of Nolo Contendere for the Delivery of Cannabis and Attempt to Tamper with Physical Evidence charges and sentenced on January 18, 2022, to 24 months' Probation and ordered to, $671.00 in Court costs and Fines of which $50.00 remains unpaid. – The remaining charges were dropped.**

***Please attach Routing Slip to front of case file**

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1022 - Pari-Mutuel General Individual Occupational**	Status	**90 Closed**	Status Date	**04/18/2024**
Complaint #	**2024014913**	Case Type	**CMP - Complaint**	Disposition	Disposition Date
Docket#		Respondent	**PRINCE, ZEBULUM OBADIAH**	Responsible	**ddonaldson - DONALDSON, DAVID** **Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**	☐ Parties	☑ Activities
Form	**WALK - Walk-in**	Priority	**1**	☑ Allegations	☐ Discipline
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**	☑ Violations	☐ Compliance
Security	**STND - Standard**	Incident	**01/20/2024**	☐ Related	☑ Disposition
Region	**SR - Southern Region**	Received	**03/11/2024**	☐ Inspection	
Reference				☐ Costs	
Entered	**03/12/2024**	Entered By	**jhodge**	☐ Time Tracking	Auto Assign
Summary	**321 - Gulfstream Park**			☐ Attachments	History
Updated	**04/19/2024 11:41:53**	By	**jhodge**	☐ Work Notes	Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.

ROUTING SLIP
REQUEST FOR WAIVER

RE: PRINCE, ZEBULUM OBADIAH – LIC # 11569729 **Case No: 2024 01 4913**
(APPLICANT'S NAME – LICENSE #)

1022 – Hot Walker **GULFSTREAM PARK**
Occupation Code and Job Title **Facility (d/b/a name)**

90-DAY RESPONSE DEADLINE: JUNE 10, 2024
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 4/18/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: _____ _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [X] No If yes, in what jurisdiction? _____

Executive Director: _____
(Initial & Date)

[ ] Prepare Waiver or [ ] Prepare File for Commission Review

Comments: _____

Investigative Findings:

September 24, 2020	**Miramar Police Department, FL. - Delivery of Cannabis, - Attempted Tamper with Physical Evidence, - Possession with Intent to Sell/Deliver, Cannabis, - Carrying a Concealed Firearm, - Possession of a Weapon During the Commission of a Felony – Felonies - Possession of Cannabis 20 Grams or Less – Misdemeanor. - Adjudication Withheld upon a plea of Nolo Contendere for the Delivery of Cannabis and Attempt to Tamper with Physical Evidence charges and sentenced on January 18, 2022, to 24 months' Probation and ordered to, $671.00 in Court costs and Fines of which $50.00 remains unpaid. – The remaining charges were dropped.**

***Please attach Routing Slip to front of case file**

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office: **PMW**	Region: **Southern**	Date of Complaint: **March 13, 2024**	Case Number: **2024 01 4913**

Respondent:	Complainant:
PRINCE ZEBULUM OBADIAH **1720 SW, 85 TERRACE** **MIRAMAR, FLORIDA 33025**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # / Type:	**Profession**:	**Report Date**:
11569729/1022	**Hot Walker**	**April 2, 2024**

Period of Investigation:	**Type of Report:**
March 13, 2024 through April 2, 2024	**Final**

Basis of Investigation: This investigation is based upon submission of **PRINCE'S** Florida Pari-Mutuel Application dated January 20, 2024. Due to disqualifying information on his application, a Deficiency Letter was sent to Zebulum Obadiah **PRINCE** on February 5, 2024. Subsequently, he submitted a revised application and Waiver Request Form, which he signed on February 14, 2024.

On his application, **PRINCE** answered "Yes" to the questions "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" He noted a Florida Misdemeanor Possession of Cannabis conviction on September 27, 2022.

As confirmed per FDLE Criminal History Report and Broward County Court Documents **(EXHIBIT #2-3)**, **PRINCE** was arrested for felony Delivery of Cannabis, Attempt[ed] Tampering with Evidence, Carrying a Concealed Firearm, Possession of a Weapon During Commission of a Felony, and Possession of Cannabis 20 Grams or Less.

Application(s) and Request for Waiver are attached herein and are as assigned **(EXHIBIT #1)**.

Related Case:

Investigations Specialist II / Date April 2,2024	Investigator Supervisor / Date: April 16, 2024
Lisa Vila /	Tyrell Smith

Chief of Investigations / Date

Bradford D. Jones / April 18, 2024

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 09/24/2020		**Arresting Agency: Miramar Police Department**			
OFFENSE					
Charge(s)		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Delivery of Cannabis	Felony	Nolo Contendere	Adjudication withheld	01/18/2022
2	Attempt tamper w/physical evidence	Felony	Nolo Contendere	Adjudication withheld	01/18/2022
3	Possession w/intent Sell / deliver	Felony	N/A	Prosecutor No Action	12/11/2020
4	Carrying concealed firearm	Felony	**N/A**	Prosecutor No Action	12/11/2020
5	Possession weapon during commission of felony	Felony	**N/A**	Prosecutor No Action	12/11/2020
6	Possession cannabis 20 grams or less	Misdemeanor	**N/A**	Prosecutor No Action	12/11/2020
SENTENCE					
Probation 24 months, $225.00. Pay to trust fund. Pay Investigative cost $445.90. 30-day THC waiver. $50.00 assessment remains unpaid. Probation terminated on 1/17/2024.					

Arrest 2					
Date of Arrest:		**Arresting Agency:**			
OFFENSE					
Charge(s)		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

CONTINUATION

CRIMINAL HISTORY

Arrest 3					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
CHARGES		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
CHARGES		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?	X	
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type: Enforcement Alert/ Hot walker			
Date Licensed: 11/26/2017	Expiration Date: 09/25/2020	License #: 11569729	Agency or Jurisdiction: Florida PMW

	YES	NO
Has License ever been suspended or revoked?		X
Was any derogatory information received?	X	

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

3. License Type: Exercise person			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

WAIVER INTERVIEW

		YES	NO
Was a Waiver Interview Conducted?		X	
Date of Interview: 03/22/2024	Location of Interview: Telephone		
		YES	NO
Was the applicant cooperative?		X	
Additional Comments:			

SUMMARY OF INTERVIEW:

On March 21, 2024, **PRINCE'S** waiver interview was conducted via telephone, I introduced myself to him and explained the nature of my call; **PRINCE** provided the following information.

During the interview, **PRINCE** advised he originally obtained a PMW license issued in 2017, license # 11569729, which exp. June 30, 2020. Due to the 2020 arrest, his license was no longer in good standing. An Enforcement Alert was placed on his PMW license effective September 25, 2020 **(EXHIBIT #4 pg. 4)**.

PRINCE was asked about his criminal history, he stated that he was arrested for a felony drug charge (Arrest #1) in 2020. **PRINCE** further explained on the incident date, he responded to a public gas station parking lot, where he knew drugs (cannabis) were being illegally sold in the rear of the facility.

PRINCE further advised that he purchased a bag of weed (Marijuana) and was in the process of rolling a marijuana cigarette (joint) when he observed Police Officers converge in the area. Subsequently, the officers approached him, and he attempted to conceal the bag of marijuana. The Police confirmed that he was in possession of marijuana, and he was arrested and charged with intent to sell marijuana and attempting to tamper with evidence. **PRINCE** stated that he attempted to explain to the Officers that he was there only to purchase and smoke the marijuana and not to sell. The Officers advised him that he was observed by an undercover Police Officer and charged accordingly. **PRINCE** was also charged with carrying a concealed firearm, however, **PRINCE** advised that a firearm was located at the gas station in his proximity, however, it was not his therefore, that offense along with other charges were dropped.

According to the Miramar Police Complaint Affidavit dated September 24, 2020., **PRINCE** was observed conducting two hand to hand drug exchanges while at the gas station. Additionally, an undercover police officer then approached **PRINCE** a gave him $20 dollars in exchange for cannabis. The contraband purchased from **PRINCE** tested positive for cannabis. He was also charged with Tampering with Physical Evidence because as uniformed police officers approached **PRINCE,** he was observed removing a firearm from his waistband and threw it over a wall where officers were standing by **(EXHIBIT #2 pg. 27-29).**

PRINCE advised he responded to court and plead No Contest, to the charges that were filed. Adjudication was withheld, he was placed on probation for 24 months and paid Court Costs and Fines.

CONTINUATION

PRINCE is aware that the Enforcement Alert is on his license and wishes to address the situation so he can return to work at Gulfstream Park as a hot walker.

PRINCE has been working since his 2020 arrest as a Handyman.

An ARCI inquiry shows no ruling on **PRINCE**.

Case Status: Closed by Investigations and forwarded to Licensing.

CONTINUATION

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EXHIBIT # 1
PAGE # 1

PMW-3180 – Request for Waiver

2024 FEB 16 PM 2:27

FLORIDA GAMING
CONTROL COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
www.fgcc.fl.gov

TO: ZEBULUM OBADIAH PRINCE [redacted] [redacted]
Full Legal Name of Applicant Date of Birth Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the FGCC in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☑ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☐ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the FGCC will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

Prince 2.14.2024

Signature of Applicant **Date**

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT # 1
PAGE # 2

2024 FEB 16 PM 2:27
FLORIDA GAMING CONTROL COMMISSION

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

PERSONAL INFORMATION				
Social Security Number/Federal Employer ID Number				
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION				
Last Name Prince	First ZEBULUM	Middle OBADIAH	Title	Suffix
Birth Date (MM/DD/YYYY) [redacted]				
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION				
Representative's Name Last	First	Middle	Title	Suffix
Permitholder Name				
Official Capacity				

ATTEST STATEMENT

I, Zebulum Prince (name of applicant/representative) do hereby instruct all law enforcement or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.

(if individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: Prince Date: 2/14-2024

NOTARIZATION

The foregoing application was sworn to and subscribed before me this 14 Day of FEB 20 24

by Zebulum Prince Prince
Type or print name of applicant Signature of applicant

who is personally known to me or who has produced the following as identification.

Florida DL
Type of identification

KEVIN J. SCHEEN
Commission # GG 963964
Expires March 1, 2024
Bonded Thru Budget Notary Services

Signature of person taking acknowledgement

KEVIN J. SCHEEN
Commission # GG 963964
Expires March 1, 2024
Notary Seal (Rubber Stamp and Expiration)

EXHIBIT # 1
PAGE # 3

2024 JAN 24 AM 11:40

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering

JAN 2 0 2024

FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled **"For Division Use Only."**

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]	[redacted]	☑ Male ☐ Female

Last Name	First	Middle	Suffix
ZEBULUM	PRINCE	OBADIAH	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☑ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
1720 SW 85 TER	

City	State	Zip Code (+4 optional)	Country, if other than USA
MIRAMAR	FL	33025	

Primary Phone Number	Secondary/Cell Phone Number (optional)
954 816-4007	305-384 [illegible] 8600

Current Street Address: SAME ABOVE

City	State	Zip Code (+4 optional)	Country, if other than USA

Type of Occupational License applying for:
☑ General Individual ☐ Professional Individual
☐ Cardroom Employee
Occupation: HOT WALKER

Facility where employed and/or doing business: GULFSTREAM PARK

Does your position require access to the Cardroom? ☐ Yes ☑ No

Is this your first time applying for a racing/gaming license in Florida? ☐ Yes ☑ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☑ No ☐ Yes, complete the following:
Stable Name, Kennel Name, or Business Name _____
Trainer Name (horse or greyhound racing only) _____

IF APPLICABLE, COMPLETE FOR VETERINARIAN, VETERINARIAN ASSISTANT, AND GREYHOUND TRAINER

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1022 License # 1153929 File # 94876 App # 20076
Association Code 321 Date Received 1-20-24 Entered By _____ License Year 24
License Fee 15 FP Date 1-20-24 FP Fee 3725 Total Fee 3740
☐ Off Temp ☐ Waiver Requested ☐ ARC ☐ Enforcement ☐ Misc

1020 OPEN

EXHIBIT # 1
PAGE # 4

SIGN & DATE

2024 FEB 16 PM 2:27

FLORIDA GAMING

2024 JAN 24 AM 11:40

☐ Yes ☒ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
8-31-2021	BROWARD	FL	DELIVERY CANNABIS OFF TRAFFIC W/ DRIVER LICENSE [redacted]	MISDEMEANOR	2 YEAR PROBATION
9-27-2021	BROWARD	FL	POSITION OF CANNABIS	MISDEMEANOR	PROBATION FINISH

☐ Yes ☒ No	Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.
☐ Yes ☒ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and, give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

1-20-2024
Date

JAN 2 0 2024

EXHIBIT # 1
PAGE # 3

since 3.7.2024

Florida Gaming Control Commission 2024 MAR -8 PM 2:50
Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

Social Security Number	Birth Date	Gender
[redacted]		☑ Male ☐ Female

Last Name	First	Middle	Suffix
PRINCE	ZeBULUM	OBADIAH	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☐ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
1720 SW 85 Terr	

City	State	Zip Code (+4 optional)	Country, if other than USA
MIRAMAR	FL	33025	

Primary Phone Number	Secondary/Cell Phone Number (optional)
954 816-4007	305-380 9844

Current Street Address
Same

City	State	Zip Code (+4 optional)	Country, if other than USA

Type of Occupational License applying for:
☑ General Individual ☐ Professional Individual
☐ Cardroom Employee

Occupation: Hot WALKER

Facility where employed and/or doing business:
Gulfstream

Does your position require access to the Cardroom? ☐ Yes ☑ No

Is this your first time applying for a racing/gaming license in Florida? ☐ Yes ☑ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☑ No ☐ Yes, complete the following:

Stable Name, Kennel Name, or Business Name _____

Trainer Name (horse or greyhound racing only) _____

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code_____ License #_____ File #_____ App #_____

Association Code_____ Date Received_____ Entered by_____ License Year_____

License Fee_____ FP Date_____ FP Fee_____ Total Fee_____

☐ Off Temp ☐ Waiver Requested ☐ ABDI ☐ Fingerprints ☐ Minor

EXHIBIT # 1
PAGE # 4

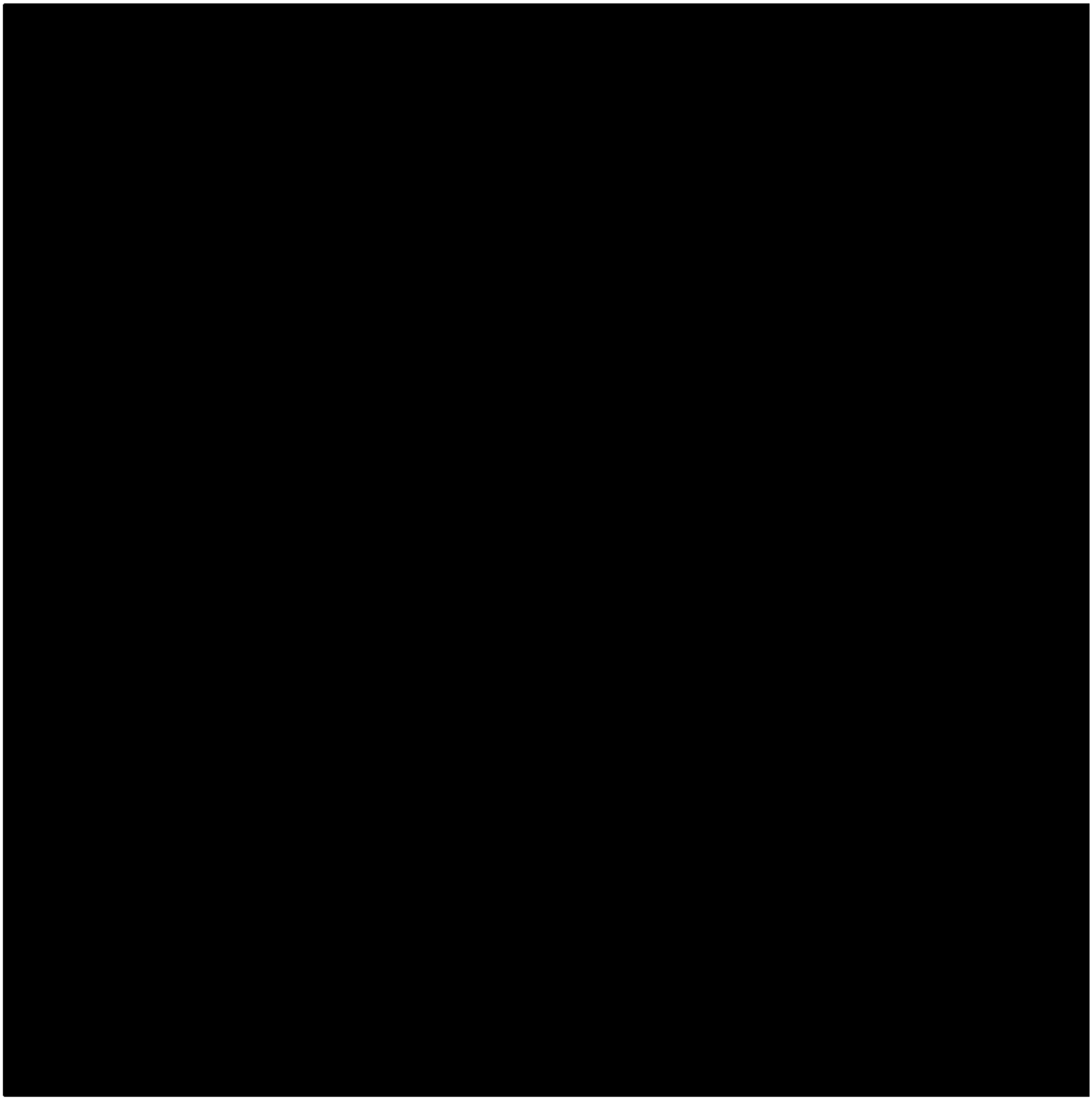


EXHIBIT # 2
PAGE # 1

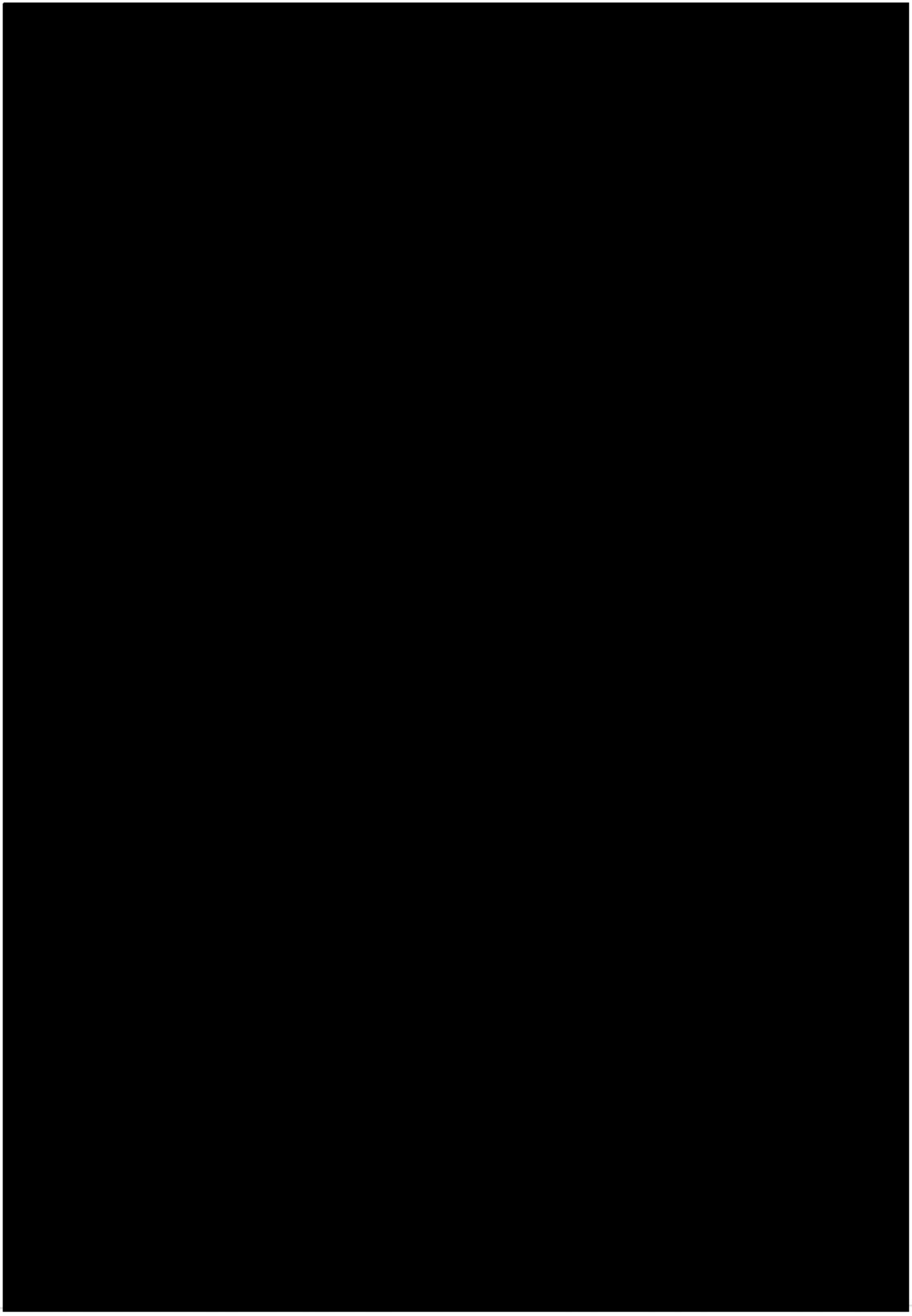




EXHIBIT # 2
PAGE # 3

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 1/18/2022 2:23:37 PM.****

N THE CIRCUIT COURT OF THE SEVENTEENTH JUDICIAL CIRCUIT,
IN AND FOR BROWARD COUNTY, FLORIDA

STATE OF FLORIDA,

CASE NO: 20-8172CF100
JUDGE: KOLLRA

vs.

Defendant,
Zebulun Prince /

Filed In Open Court,
CLERK OF THE CIRCUIT COURT
ON 1.18.22
BY

DNA INQUIRY ADDENDUM

Defense Counsel

KN I have reviewed the discovery disclosed by the State, including a listing or description of physical items of evidence.

KN I have reviewed with my client the nature of the evidence disclosed through discovery.

KN I am unaware of any physical evidence for which DNA testing may exonerate my client.

KN'LLP 93790 — 1/18/22
Defense Counsel, Bar Number — Date

Prince — 1/18/22
Defendant — Date

Assistant State Attorney / Statewide Prosecutor

X I am unaware of any physical evidence for which DNA testing may exonerate the Defendant.

1/18/22
Date

EXHIBIT # 2
PAGE # 4

RULE 3.992(a) CRIMINAL PUNISHMENT CODE SCORESHEET

The Criminal Punishment Code Scoresheet Preparation Manual is available at: http://www.dc.state.fl.us.pub./sen_cpcm/index html

1. DATE OF SENTENCE	2. PREPARER'S NAME	3 COUNTY	4 SENTENCING JUDGE	
	MAC 12162021 ☑ SAO ☐ DC	Broward	KOLLRA, ERNEST	
5 DEFENDANT(LAST, FIRST, MII.)	6 DOB	8 RACE	10. PRIMARY OFF DATE	12
PRINCE ZEBULUM	[redacted]	BLACK	09/24/2020	☐ PLEA ☑ TRIAL
	7 DC #	9 GENDER M	11 PRIMARY DOCKET # 20008172CF10A	

I. PRIMARY OFFENSE:

If qualifier, please check ☐ Attempt ☐ Solicitation ☐ Conspiracy ☐ Reclassification

FEL/MM DEGREE	F.S.#	DESCRIPTION	OFFENSE LEVEL	POINTS
FEL/3	893.13(1)A2	DELIVERY CANNABIS	3	

(Level - Points: 1=4, 2=10, 3=16, 4=22, 5=28, 6= 36, 7=56, 8=74, 9=92, 10 =116)

I. 16.00

Prior capital felony triples Primary Offense points ☐

Filed In Open Court
CLERK OF THE CIRCUIT COURT
ON 1-18-22
BY

II. ADDITIONAL OFFENSE(S):

DOCKET #	FEL/MM DEGREE	F S #	OFFENSE LEVEL	QUALIFY A S C R	COUNTS		POINTS		TOTAL
20008172CF10A	FEL/3	918.13(A)*	2	☐☐☐☐	1	x	1 20	=	1.20
DESCRIPTION.	ATTEMPT TAMP W/PHYSICAL EVID( )								
				☐☐☐☐	0	x	0 00	=	0 00
DESCRIPTION·									
				☐☐☐☐	0	x	0 00	=	0 00
DESCRIPTION:									
				☐☐☐☐	0	x	0 00	=	0.00
DESCRIPTION·									

(Level - Points. M=0.2, 1=0.7, 2=1.2, 3=2.4, 4=3.6, 5=5 4, 6=18, 7=28, 8=37, 9=46, 10=58)

Prior capital felony triples Additional Offense points ☐

Suplemental Page Points. 0

II. 1.20

III. VICTIM INJURY:

		Number		Total			Number		Total
2nd Degree Murder	240 x	0	=	0	Slight	4 x	0	=	0
Death	120 x	0	=	0	Sex Penetration	80 x	0	=	0
Severe	40 x	0	=	0	Sex Contact	40 x	0	=	0
Moderate	18 x	0	=	0					

III. 0.00

IV. PRIOR RECORD:

FEL/MM DEGREE	F S #	OFFENSE LEVEL	QUALIFY A S C R	DESCRIPTION	NUMBER		POINTS	TOTAL
			☐☐☐☐		0	x	0 00	0 00
			☐☐☐☐		0	x	0.00	0.00
			☐☐☐☐		0	x	0.00	0 00
			☐☐☐☐		0	x	0.00	0.00
			☐☐☐☐		0	x	0.00	0 00
			☐☐☐☐		0	x	0 00	0 00
			☐☐☐☐		0	x	0.00	0.00
			☐☐☐☐		0	x	0.00	0.00
			☐☐☐☐		0	x	0.00	0 00

(Level - Points: M=0 2, 1=0 5, 2=0 8, 3=1.6, 4=2.4, 5=3.6, 6=9, 7=14, 8=19, 9=23, 10=29)

Suplemental Page Points:

IV. 0

Page SubTotal: 17 20

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Effective Date For Offenses committed under the Criminal Punishment Code effective for offenses on or after October 1, 1998, and subsequent revisions

NAME (LAST,FIRST,MI)	DOCKET #
PRINCE , ZEBULUM	20008172CF10A

Page 1 SubTotal 17.20

V. Legal Status Violation = 4 Points — **V.** 0

☐ Escape ☐ Fleeing ☐ Failure to appear ☐ Supersedeas bond ☐ Incarceration ☐ Pretrial intervention or diversion program

☐ Court Imposed or post prison release community supervision resulting in a conviction

VI. Community Sanction Violation before the court for sentencing — **VI.** 0

☐ Probation ☐ Community Control ☐ Pretrial intervention or diversion

☐ 6 points for any violation other than new felony conviction x _____ each successive violation OR

☐ New felony conviction = 12 points x 0 each successive violation if new offense results in conviction before or at same time as sentence for violation of probation OR

☐ 12 points x _____ each successive violation for a violent felony offender of special concern when the violation is not based solely on failure to pay costs,fines, or restitution OR

☐ New felony conviction = 24 points x _____ each successive violation for a violent felony offender of special concern if new offense results in a conviction before or at the same time for violation of probation

VII. Firearm/Semi-Automatic or Machine Gun = 18 or 25 points — **VII.** 0

VIII. Prior Serious Felony - 30 points — **VIII.** 0

IX. Enhancements (only if the primary offense qualifies for enhancement) — **SubTotal Sentence Points:** 17.20

Law Enf. Protect	Drug Trafficker	Motor Vechicle Theft	Criminal Gang Offense	Domestic Violence in the Presence or Related Child (offenses committed on or after 3/12/07)	Adult-on-Minor Sex Offense (offenses committed on or after 10/1/14)
☐ x 1.5 ☐ x 2.0 ☐ x 2.5	☐ x 1.5	☐ x 1.5	☐ x 1.5	☐ x 1.5	☐ x 2.0

Enhanced SubTotal Sentence Points: **IX.** 0

TOTAL SENTENCE POINTS: 17.20

SENTENCE COMPUTATION

If total sentence points are less than or equal to 44, the lowest permissible sentence is any non-state prison sanction. If the total sentence points are 22 points or less, see Section 775 082(10), Florida Statutes, to determine if the court must sentence the offender to a non-state prison sanction

If total sentence points are greater than 44

0 00 (total sentence points) minus 28 = 0 00 x 75 = 0 00 (lowest permissible prison sentence in months)

If total sentence points are 60 points or less and court makes findings pursuant to both Florida Statute 948 20 and 397.334(3), the court may place the defendant into a treatment-based drug court program

The maximum sentence is up to the statutory maximum for the primary and any additional offenses as provided in s 775 082, F.S , unless the lowest permissible sentence under the Code, exceeds the statutory maximum Such sentence may be imposed concurrently or consecutively. If the total sentence points are greater than or equal to 363, a life sentence may be imposed.

_____ maximum sentence in years

TOTAL SENTENCE IMPOSED

		Years	Months	Days
☐ State Prison	☐ Life			
☐ County Jail	☐ Time Served			
☐ Community Control				
☒ Probation ☐ Modified			24	

Please check if sentenced as ☐ habitual offender, ☐ habitual violent offender, ☐ violent career criminal, ☐ prison releasee reoffender, or a ☐ mandatory minimum applies.

☐ Mitigated Departure ☐ Plea Bargain ☐ Prison Diversion Program

Other Reason

JUDGE'S SIGNATURE Ernest Kellum

EXHIBIT # 2
PAGE # 6

Effective Date For Offenses committed under the Criminal Punishment Code effective for offenses on or after October 1, 1998, and subsequent revisions

Reasons for Departure - Mitigating Circumstances

(reasons may be checked here or written on the scoresheet)

- ☐ Legitimate, uncoerced plea bargain.
- ☐ The defendant was an accomplice to the offense and was a relatively minor participant in the criminal conduct.
- ☐ The capacity of the defendant to appreciate the criminal nature of the conduct or to conform that conduct to the requirements or law was substatially impaired
- ☐ The defendant requires specialized treatment for a mental disorder that is unrelated to substance abuse or addiction, or for a physical disability, and the defendant is amenable to treatment.
- ☐ The need for payment of restitution to the victim outweighs the need for a prison sentence.
- ☐ The victim was an initiator, willing participant, aggresor, or provoker of the incident.
- ☐ The defendant acted under extreme duress or under the domination of another person
- ☐ Before the identity of the defendant was determined, the victim was substantially compensated
- ☐ The defendant cooperated with the state to resolve the current offense or any other offense.
- ☐ The offense was committed in an unsophisticated manner and was an isolated incident for which the defendant has shown remorse.
- ☐ At the time of the offense the defendant was too yong to appreciate the consequences of the offense
- ☐ The defendant is to be sentenced as a youthful offender
- ☐ The defendant is amenable to the services of a postadjudicatory treatment-based drug court program and is otherwise qualified to participate in the program
- ☐ The defendant was making a good faith effort to obtain or provide medical assistance for an individual experience a drug-related overdose

Pursuant to 921.0026(3) the defendant's substance abuse or addition does not justify a downward departure from the lowest permissible sentence.

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**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 1/18/2022 2:23:37 PM.****

IN THE CIRCUIT COURT OF THE SEVENTEENTH JUDICIAL CIRCUIT,
IN AND FOR BROWARD COUNTY, FLORIDA

STATE OF FLORIDA, CASE NO: 20-8172CF10A

vs. JUDGE: KOLLRA

Defendant,
Zebulum Prince /

PLEA OF GUILTY OR NO CONTEST TO CRIMINAL CHARGES IN CIRCUIT COURT

I am pleading to the charge(s) of: I. Delivery of Cannabis (F3) (5 years FSP)
II. Attpt Tamper w/ Phys Evidence (F3) (5 years FSP)

Filed In Open Court
CLERK OF THE CIRCUIT COURT
ON 1.18.22
BY

The max pen is: 10 years FSP

The minimum penalty is: ANS

My guideline recommended range is: ANS

And my guideline permitted range is: ANS - 10 years FSP

I understand that if the Court accepts my plea to the charge(s) listed above, my sentence will be: Counts I & II concurrent w/H Adj, 24 months probation, $445.90 lab fee, cost of prosecution

Other than the proposed sentence set forth above, no one has made any promises or guarantees to me nor has anyone threatened me or in any way forced me to enter this plea; I am doing this freely and voluntarily.

I understand that a plea of Guilty means I committed the offense. A plea of Not Guilty means I did not commit the offense and I want a trial. I know that a plea of No Contest or Nolo Contendre is a plea of convenience. If I plead No Contest I know that I am not admitting that I did anything. I am saying that I consider it to be in my best interest to resolve the matter at this time by giving up the rights listed on the front and back of this form.

I understand I have the right to a jury trial. Trial by Jury means citizens will listen to the facts of this case and decide whether I am guilty or not guilty of the offense. Trial by Judge means the Judge will listen to the facts of the case and decide guilt or innocence.

I understand I have the right to be represented by a lawyer and the right to have a lawyer appointed if I cannot afford one, and I am satisfied with the advice and representation I have received from my attorney and I am entering this plea with his or her advice.

I understand I have the right to plead not guilty, the right to persist in my not guilty plea, and the right to be presumed innocent and to require the State to prove my guilt beyond a reasonable doubt.

I understand I have the right to confront and cross-examine witnesses at trial.

I understand I have the right to call witnesses of my own at trial and to have those witnesses subpoenaed by the Court. I understand I have the right to compel the presence of witnesses on my behalf.

I understand I have the right to remain silent and not have the fact considered by the Judge or Jury at trial. I understand I have the right not to be compelled to incriminate myself.

I understand I have the right to testify at trial, if I wish, and have my testimony considered by the same standards as other witnesses.

I understand that by entering this plea I give up my right to challenge the manner in which evidence against me was obtained and I do not want my attorney to file any motions to suppress evidence.

I acknowledge that my attorney and I have reviewed any discovery disclosed by the State. My attorney and I are unaware of any physical evidence for which DNA may exonerate me.

EXHIBIT # 2
PAGE # 8

By pleading Guilty or **NO CONTEST**, I acknowledge that I wish to give up the above listed rights and have the Judge impose the sentence the Judge deems appropriate or the sentence listed on the first page (circle one).

I understand that I have the right to be represented by a lawyer at this time. If I cannot afford a lawyer, I have the right to have a lawyer appoint to represent me.

I am not under the influence of any alcohol or drugs at this time, and I fully understand the Judge's instructions, and what my rights are. I am not physically or mentally ill or impaired at this time.

I understand that if I have any felony convictions in the future, what happens today will be a factor in determining punishment for that felony.

If the charge to which I am pleading is a sexually violent offense, or a sexually motivated offense, or if I have been previously convicted or such an offense, I understand that this plea may subject me to involuntary civil commitment as a sexually violent predator upon completion of my sentence. Further, I understand this plea may require me to register as a sex offender.

I understand that if I am not a U.S. Citizen my plea will subject me to deportation pursuant to the laws and regulations governing the U.S. Department of Homeland Security. I understand that entering this plea could lead to me being removed from the United States.

I understand that I have the right to speak to the Judge concerning the sentence before sentencing, and to appeal the judgment and sentence imposed within thirty days, with the right to an attorney to be appointed for such an appeal if I cannot afford one. This appeal must be filed with the Fourth District Court of Appeal in West Palm Beach, Florida within thirty (30) days of the date the sentence is imposed.

I understand my driver's license, as well as any other state issued license, may be suspended as a result of the plea that I am now entering if the charges against me are drug related.

I understand this plea may violate any probation, community control, or parole I was serving on the date of the offense to which I am now pleading.

If I am found guilty of violation any conditions of probation or community control I understand that I may get sentenced to more severe penalties under the sentencing guidelines.

I have not been threatened or coerced into this plea, and have not been promised any reward or favor for giving up my rights by anyone.

I have read the material on the other side of this page and I understand it.

Having read and understood both sides of this paper, I hereby enter my plea of (circle only one): Guilty / **NO CONTEST**

[illegible] 93790	[signature]	6.12.1980
Attorney for the Defendant Florida Bar No	Defendant	Date of Birth

Sworn to by the above-named Defendant before me this 18 day of January, 2022. I find the plea to be by a Defendant who appears to be alert and intelligent, who understands the nature of the charge(s) and the consequences of the plea. Further, the plea is freely and voluntarily made with a knowing and intelligent waiver of rights. I also find that the facts which the prosecution is prepared to prove are sufficient to sustain the plea.

If you are a person with a disability who needs an accommodation in order to participate in this proceeding, you are entitled, at no cost to you, to the provision of certain assistance. Please contact the Court Administrator's ADA Coordinator at (954) 831-7721 within 2 working days of your receipt of this notice. If you are hearing impaired or voice impaired, call (954) 831-7017 or call the Florida Relay Service at (800) 995-8771 (TTY) or (800) 995-8770 (Voice).

Filed in Open Court,
BRENDA D. FORMAN, CLERK

On _____

Ernest A. Kollra, Circuit Judge

EXHIBIT # 2
PAGE # 9

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 1/18/2022 2:10:59 PM.****

[ ] 17th Judicial Circuit in and for Broward County

CLOCK IN

Filed In Open Court
CLERK OF THE CIRCUIT COURT
ON 1.18.22
BY [illegible]

DIVISION: CRIMINAL FF

ADJUDICATION WITHHELD

THE STATE OF FLORIDA VS.

CASE NUMBER 020-8172CF104

PLAINTIFF

Zebulum Prince DEFENDANT

The Defendant Zebulum Prince being personally before this court represented by K. Mcgill, his attorney of record, and the state represented by M. Custer, Assistant State's Attorney, and having [ ] Been tried and found guilty [ ] Entered a plea of Guilty [ ] Entered a plea of nolo contendere of the following crime(s):

COUNT	CRIME	OFFENSE STATUTE NUMBER	DEGREE OF CRIME	OBTS NUMBER
1	Delivery of Cannabis	893.03(1)(c)7 893.13(1)(a)2	3°	
2	Attempt tamper w/ Physical Evidence	777.04(1) 777.04(4)(d) 918.13	3°	

Stayed and withheld imposition of sentence — [X] The Court hereby stays and withholds the imposition of sentence as to count(s) 1-2 and places the Defendant on probation for a period of 24 mos under the supervision of the Department of Corrections (condition of probation set forth in separate order)

Sentence Deferred Until Later Date (check if Applicable) — [ ] The Court hereby defers imposition of sentence until _____ (Date)

[X] Pay $225.00 Trust Fund pursuant to F.S. 938.05 (1)(a)

The Defendant in Open Court was advised of his right to appeal from this Judgement by filing notice of appeal with the clerk of Court within thirty days following the date sentence is imposed or probation is ordered pursuant to this adjudication. The defendant was also advised of his right to the assistance of counsel in taking said appeal at the expense of the State upon showing of indigence.

COUNT (S) _____ ; _____ DAYS BROWARD COUNTY JAIL W/CREDIT FOR _____ DAYS TIMES SERVED

I HEREBY CERTIFY that a true and correct copy of the above and foregoing was served on the State Attorney by: [ ] Hand delivery [ ] U.S. Mail *and to the* Defense Attorney by [ ] Hand delivery [ ] U.S. Mail this 18 day of Jan, 20 22

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PAGE # 10

ICC 112-77
7/2008

Instr# 117878931 , Page 1 of 3, Recorded 01/20/2022 at 12:31 PM
Broward County Commission

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 1/18/2022 1:10:15 PM.****
printed:printed:01/14/2022 jrivera /Court Clerk

CIRCUIT COURT DISPOSITION ORDER IN AND FOR BROWARD COUNTY, FLORIDA

Case Number 20008172CF10A Arrest Number MM20000460 BCCN # 0932739

State of Florida VS Prince, Zebulum AKA

Judge Ernest A. Kollra Cash bond / Return to depositor / Surety bond / IC

Cash bond number(s)

Charges 087-1 Deliver Cannabis
080-2 Att Tamper w/Physical Evidence

( ) REMANDED ( ) REMAIN IC ( ) UNTIL PICKED UP BY ( ) UNTIL AFTER POST ADJUDICATORY HEARING OR BED AVAILABLE AT

( ) Arraignment (✓) Change of Plea ( ) Guilty (✓) No Contest ( ) PSI/PDR ( ) Sentencing / Re-Sentencing
( ) Trial by Jury ( ) Trial by Court ( ) First VOP/VOCC ( ) Final VOP/VOCC ( )Admits Allegations
( ) Convicted by Jury/Court ____ ( ) Acquitted by Jury/Court ____ ( ) Dismissed ____ ( ) Speedy
( ) Discharged ____ ( ) Nolle Prosequi ____ ( ) Found Incompetent/Placement Pending/ Committed to Child/Family Services
( ) Adj. Guilty ____ (✓) Adj. Withheld 1-2 ( ) Adj. Delinquent ____
( ) Committed to DJJ/Level ____ ( ) Sentence Withheld ____ ( ) Previous Sentence Vacated
( ) PSI Ordered
Adj. and Sentence deferred to

Type of probation / Community Control:
( ) Youthful Offender ( ) Drug Offender ( ) Sexual Offender ( ) Habitual Offender ( ) Mental Health ( ) County
PROBATION/COMM. CONTROL: ( ) Revoked ( ) Reinstated ( ) Modified ( ) Terminated
Extended ____ ( ) All previous special conditions apply
WARRANT: ( ) Dismissed ( ) Withdrawn ( ) Served in open court

SENTENCE: *(PROBATION / COMM. CONTROL)*
COUNT(S): 1-2
024 ( ) Years (✓) Months ( ) Days (✓) Probation ( ) Community Control ( ) Followed by
____ ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
(✓) each count concurrent/consecutive ( ) Concurrent ( ) Consecutive to case number ____
COUNT(S): ____
____ ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control ( ) Followed by
____ ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
____ ( ) each count concurrent/consecutive ( ) Concurrent ( ) Consecutive to case number ____

SENTENCE: *(INCARCERATION)*
COUNT(S): ____ ( ) One year plus one day ( ) ____ ( ) Years ( ) Months ( ) Days
( ) BCJ ( ) FSP, w/credit for ____ days T/S
( ) Followed by ____ ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
( ) Each count concurrent/consecutive ( ) Concurrent/consecutive ( ) To case number ____
( ) Any other sentence ( ) Work release ( ) Prison sentence suspended
COUNT(S): ____ ( ) One year plus one day ( ) ____ ( )Years ( ) Months ( ) Days
( ) BCJ ( ) FSP, w/credit for ____ days T/S
( ) Followed by ____ ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
( ) Each count concurrent/consecutive ( ) Concurrent/consecutive ( ) To case number ____
( ) Any other sentence ( ) Work release ( ) Prison sentence suspended

EXHIBIT # 2
PAGE # 2

JUDGE Ernest Kollra

DEPUTY CLERK ____ **DATE** 1/18/22

FILE COPY-WHITE DEFENDANT'S COPY-BLUE SHERIFF'S COPY-YELLOW PROBATION'S COPY-PINK DEFENSE ATTORNEY'S COPY-GOLD REVISED 09/25/13

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 1/18/2022 1:10:15 PM.****

State of Florida VS Prince, Zebulum Case Number 20008172CF10A

SPECIAL CONDITIONS OF PRISON SENTENCE:

( ) Habitual Violent Offender mandatory minimum _____ years Ct(s) _____
( ) Violent Career Criminal mandatory minimum _____ years Ct(s) _____
( ) Prison Release Reoffender mandatory minimum _____ years Ct(s) _____
( ) Firearm mandatory minimum _____ years Ct(s) _____
( ) Other mandatory minimum _____
( ) Habitual Offender Ct(s) _____ ( ) Youthful Offender ( ) Sexual Predator/Offender ( ) Boot Camp
( ) To be given credit for all time previously served in prison, to be calculated by Department of Corrections

SPECIAL CONDITIONS OF PROBATION:

( ) _____ days BCJ w/credit for _____ days T/S ( ) Electronic Monitor
( ) SAP 30 days ( ) AA/NA 30 days ( ) AFTER CARE 30 days (out of custody) ( ) LIFE SKILLS 30 days
( ) Upon successful completion of drug program jail sentence shall be terminated.

( ) _____ hours of Community Service
(X) $ _____ CCS waived/ imposed
( ) Anger Management Program
( ) Blood draw per F.S. 943.325 - 2 samples for conviction of sexual assaults; lewd or indecent acts; homicides (782.04) aggravated battery; home invasion robbery or carjacking
( ) Curfew _____
( ) Drug / Alcohol evaluation and treatment recommended
( ) Forfeit weapon / firearm
( ) Defendant to enter and successfully complete Post Adj. Drug Court.
( ) May transfer probation to _____
( ) May travel _____ for work purposes
( ) No contact with minor children without adult supervision
( ) No contact directly or indirectly with victim(s) or victim's family or others listed
( ) No driving without valid driver's license
( ) No drugs or alcohol
( ) Enter and successfully complete _____
( ) BARC
( ) IRT ( ) followed by _____
( ) HOUSE OF HOPE/STEPPING STONES
( ) SPECTRUM
( ) FOLLOWED BY _____
(X) Other 30 Day THC Waiver

( ) Drug Ct Monitoring/Hearing set _____
( ) Obtain GED or High School diploma
( ) Psychological/Psychiatric evaluation and treatment necessary
( ) Random drug/alcohol testing

( ) The Dept. of DHSMV is to withhold issuance of defendant's driver license/privileges for a period of 1 years after the date the deft. was convicted or until deft. is evaluated for and, if deemed necessary by the evaluating agency, completes a drug treatment and rehab. program approved or regulated by DCF per FS.322.055

( ) Restitution ordered $_____ amount reserved
( ) Restitution converted to a civil lien
( ) Upon successful completion of jail sent. probation to automatically terminate
(X) Pay investigative costs:
(X) Crime Lab 445.90
( ) Expert Witnesses _____
( ) Supp. Cost of Prosecution _____
( ) Toxicology _____
( ) Law Enforcement Agency
Agency #1 _____ $_____
Agency #2 _____ $_____
(X) Court costs/fines are not a condition of probation.

D.U.I USE ONLY

Count _____
_____ Years _____ Months _____ Days Probation w/Special Conditions:
( ) DUI School/level ( ) _____ Days License Suspended ( ) _____ Work Permit
( ) _____ Days Immobilization by _____ Company
( ) _____ Ignition Interlock Program ( ) _____ Hours of Community Service
( ) Evaluation, Treatment and Therapy if necessary
( ) Other _____

To run ( ) Concurrent ( ) Consecutive to Count(s): _____

( ) 1st DUI ( ) 2nd DUI ( ) 3rd DUI ( ) 4th DUI

EXHIBIT # 2
PAGE # 13

JUDGE Ernest Kellers
DEPUTY CLERK _____ DATE 1/18/22

File Copy-White Defendant's Copy-Blue Sheriff's Copy-Yellow Probation's Copy-Pink Defense Attorney's Copy-Gold REVISED 9/17/14

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 1/18/2022 1:10:15 PM.****

State of Florida VS Prince, Zebulum Case Number 20008172CF10A

ORDER ASSESSING CHARGES/COSTS/FEES

The defendant is hereby ordered to pay the following sums if checked:

		FINES		
( )	(Count)	Fine Assessed	$_____	775.083(1)
( )	(Count)	Surcharge Assessed	$_____	817.568(12)
( )	(Count)	5% Surcharge (if fine assessed)	$_____	938.04
		MANDATORY COSTS		
(X)	($225/Case)	Local Criminal Justice (Trust Fund)	_____	938.05(1)(a)
(X)	($50/Case)	Crimes Compensation Trust Fund (VC)	_____	938.03(1)
(X)	($2/Count)	Local Law Enforcement EDU ($5 Assessment)	_____	938.15
(X)	($3/Count)	Add l Court Cost Clearing Trust ($5 Assessment)	_____	938.01(1)
(X)	($50/Count)	Crime Prevention (if fine assessed)(SN1)	_____	775.083(2)
(X)	($100/Case)	Cost of Prosecution	_____	938.27(8)
(X)	($20/Count)	Crime Stoppers Trust Fund (if fine assessed)(CSTF)	_____	938.06(1)
(X)	($2/Count)	Teen Court (T.C.)	_____	938.19(2)
(X)	($65/Count)	Add l Costs (BOCC) Programs (AC)	_____	939.185(1)(a)
		SPECIFIC OFFENSE/REQUIRED COSTS		
( )	($50/Case)	Public Defender Application Fee	_____	27.52(1)(b)
( )	($100/Case)	Public Defender Assistance (PD fee imposed)	_____	938.29(1)(a)
( )	Pay $_____	(additional Public Defender Fee as ordered by the court)	_____	938.29(1)(a)
( )	_____	PD Fee Converted to Civil Lien	_____	938.29(2)(b)
( )	($100/Count)	FDLE Operating Trust Fund (OTF)	_____	938.055
( )	($201/Count)	Domestic Violence Surcharge (DVC)	_____	938.08
( )	($151/Count)	Rape Crisis Trust Fund (RCP)	_____	938.085
( )	($151/Count)	Crimes Against Minor (CAM)	_____	938.10(1)
( )	($____/Count)	Alcohol & Other Drug Abuse Programs	_____	938.21/938.23
( )	($5000/Count)	Commit Prostitution (Cir Crt Adm)		796.07(6)
		MISDEMEANORS	_____	
( )	($60/Case)	Add l Court Costs/Misd/Crim Traf	_____	938.05(1)(b)
( )	($10/Case)	Article V Assessment	_____	318.18(19)
( )	($30/Count)	Court Facilities Fund (CFF)	_____	318.18(13)(a)
( )	($20/Count)	Crime Prevention (if fine assessed) (SN1)	_____	775.083(2)
( )	($65/Count)	DOH Admin. Trust Fund	_____	318.18(20)
( )	($26/Count)	Court Costs (CC)		AOVI-02-D-3
		DUI	_____	
( )	(Count)	Fine Assessed	$_____	Varies
( )	(Count)	5% Surcharge (if fine assessed)	$_____	938.04
( )	($65/Count)	Add l Costs (BOCC) Programs (AC)	_____	939.185(1)(a)
( )	($60/Case)	Add l Court Costs/Misd/Crim Traf(CJC)	_____	938.05(1)(b)
( )	($26/Count)	Court Costs (CC)	_____	AOVI-02-D-3
( )	($15/Count)	County Alcohol & Other Drug Abuse Trust Fund (CDC)	_____	938.13(1)(a)
( )	($20/Count)	Crime Prevention (if fine assessed) (SN1)	_____	775.083(2)
( )	($50/Case)	Crimes Compensation Trust Fund (VC)	_____	938.03(1)
( )	($135/Count)	Emergency Medical Services Trust Fund (EMTF)		938.07
		OTHER	_____	
( )		Waive All Court Costs	_____	
( )		Pay Balance of Previously Imposed Costs	_____	
( )		Balance of Court Costs/Fees Converted to Civil Lien	_____	
( )		Extradition Costs		
( )		Defendant may do community service hours@ $10/hour in lieu of court costs		
( )		Other		

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JUDGE Ernest Keller

DEPUTY CLERK _____ DATE 1/18/22

FILE COPY-WHITE DEFENDANT'S COPY- GREEN SHERIFF'S COPY-YELLOW PROBATION'S COPY-PINK DEFENSE ATTORNEY'S COPY-GOLD REVISED 10/02/16

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 1/18/2022 11:48:54 AM.****

STATE OF FLORIDA

IN THE SEVENTEENTH JUDICIAL CIRCUIT COURT, IN AND FOR BROWARD COUNTY

-VS-

Zebulum Prince

Defendant

Filed In Open Court, CLERK OF THE CIRCUIT COURT ON 1.18.22 BY

CASE NUMBER 20008172CF10A

DC NUMBER _____

Local Jurisdiction Identification Number:

☒ **ORDER OF PROBATION** ☐ **DRUG OFFENDER PROBATION** ☐ **COMMUNITY CONTROL**
☐ **COMMUNITY CONTROL/PROBATION** ☐ **COMMUNITY CONTROL/DRUG OFFENDER PROBATION**
☐ **DRUG OFFENDER PROBATION/PROBATION** ☐ **SEX OFFENDER PROBATION**
☐ **COMMUNITY CONTROL/SEX OFFENDER PROBATION** ☐ **MENTAL HEALTH PROBATION**

This cause coming before the Court to be heard, and you, the defendant, being now present before the court, and you having

☐ entered a plea of guilty to ☐ been found guilty by jury verdict of

☐ entered a plea of nolo contendere to ☐ been found guilty by the court trying the case without a jury of

Count I Deliver Cannabis

Count II Att Tamper w/physical Evidence

Count _____

Count _____

Count _____

Count _____

Count _____

Count _____

Count _____

Count _____

SECTION 1: JUDGMENT OF GUILT

☐ The court hereby adjudges you to be guilty of the above offense(s).

Now, therefore, it is ordered and adjudged that the imposition of sentence is hereby withheld and that you be placed on ☐ Probation, ☐ Community Control ☐ Drug Offender Probation ☐ Sex Offender Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.

SECTION 2: ORDER WITHHOLDING ADJUDICATION

☒ Now, therefore, it is ordered and adjudged that the adjudication of guilt is hereby withheld and that you be placed on ☒ Probation, ☐ Community Control ☐ Drug Offender Probation ☐ Sex Offender Probation for a period of 24 months under the supervision of the Department of Corrections, subject to Florida law.

SECTION 3: INCARCERATION DURING PORTION OF SUPERVISION SENTENCE

It is hereby ordered and adjudged that you be:

☐ committed to the Department of Corrections for a term of _____ prison with credit for _____ jail time, followed by ☐ Probation, ☐ Community Control ☐ Drug Offender Probation ☐ Sex Offender Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.

or

☐ confined in the County Jail for a term of _____ with credit for _____ jail time. After you have served ___ of the term, you shall be placed on ☐ Probation, ☐ Community Control ☐ Drug Offender Probation ☐ Sex Offender Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.

or

☐ confined in the County Jail for a term of _____ with credit for _____ jail time, as a special condition of supervision.

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**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 1/18/2022 11:48:54 AM.****

Defendant: Zaulum Prince Case # 20008172CF10A

IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

(1) You will report to the probation officer as directed.

(2) You will pay the State of Florida the amount of $ 0 per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.

(3) You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.

(4) You will not possess, carry or own any firearm. You will not possess, carry, or own any weapon without first procuring the consent of your officer.

(5) You will live without violating any law. A conviction in a court of law is not necessary for such a violation of law to constitute a violation of your probation, community control, or any other form of court ordered supervision.

(6) You will not associate with any person engaged in any criminal activity.

(7) You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician. Nor will you visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.

(8) You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.

(9) You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.

(10) You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.

(11) You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.

(12) You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.

(13) You will submit to the taking of a digitized photograph by the department. This photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.

(14) You will report in person within 72 hours of your release from incarceration to the probation office in _____ County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 on the previous page is checked.) Otherwise, you must report immediately to the probation office located at _____.

SPECIAL CONDITIONS

☒ 30 Day THC Waiver

☐ _____

☐ _____

☐ _____

☐ _____

☐ _____

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Defendant: Zebulum Prince Case # 20008172CF10A

SPECIAL CONDITIONS

☐ 1. You must undergo a ☐ Drug and Alcohol ☐ Drug ☐ Alcohol ☐ Mental Health ☐ Psycho-sexual evaluation and, if treatment is deemed necessary, you must successfully complete the treatment, and be responsible for the payment of any costs incurred while receiving said evaluation and treatment, unless waived by the court.

Additional Instructions Ordered: _____

☐ 2. You will make restitution to the following victim(s), as directed by the court, until the obligation is paid in full:

NAME: _____ TOTAL AMOUNT: $_____

Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several:

_____

NAME: _____ TOTAL AMOUNT: $_____

Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several:

_____

☐ 3. You will be required to pay for drug testing unless exempt by the court.

☐ 4. You will enter the Department of Corrections Non-Secure Drug Treatment Program or other residential treatment program/Probation and Restitution Center for a period of successful completion as approved by your officer. You are to remain until you successfully complete said Program and Aftercare. You are to comply with all Rules and Regulations of the Program. ☐ You shall ☐ Shall not be confined in the county jail until placement in said program, and if you are confined in the jail, the Sheriff will transport you to said program.

☐ 5. You will abstain entirely from the use of alcohol and/or illegal drugs, and you will not associate with anyone who is illegally using drugs or consuming alcohol.

☐ 6. You will submit to urinalysis testing on a _____ basis to determine the presence of alcohol or illegal drugs. You will be required to pay for the tests unless exempt by the court.

☐ 7. You will not visit any establishment where the primary business is the sale and dispensing of alcoholic beverages.

☐ 8. You will successfully complete _____ hours of community service at a rate of_____, at a work site approved by your officer.
Additional instructions ordered:_____

☐ 9. You will remain at your residence between 10 p.m. and 6 a.m. due to a curfew imposed, unless otherwise directed by the court.
Additional instructions ordered:_____

☐ 10. You will submit to electronic monitoring, follow the rules of electronic monitoring, and pay for the cost of the electronic monitoring service.

☐ 11. You will not associate with _____ during the period of supervision.

☐ 12. You will have no contact (direct or indirect) with the victim or the victim's family during the period of supervision.

☐ 13. You will have no contact (direct or indirect) with _____ during the period of supervision.

☐ 14. You will maintain full time employment or attend school/vocational school full time or a combination of school/work during the term of your supervision.

☐ 15. You will make a good faith effort toward completing basic or functional literacy skills or a high school equivalency diploma.

☐ 16. You will successfully complete the Probation & Restitution Program, abiding by all rules and regulations.

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Revised 07-01-2016

Defendant: Zebulum Prince Case # 20008172CF10A

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay:
Court Costs, Fees, and Fines, as imposed at sentencing, in the total amount of: $_____ See dispo

Payments processed through the Department of Corrections will be assessed a 4% surcharge pursuant to s. 945.31, F.S.
Pursuant to s. 948.09, F.S., you will be assessed an amount of $2.00 per month for each month of supervision for the Training Trust Fund Surcharge.

- ☐ Court Costs/Fines Waived
- ☐ Court Costs/Fines in the amount of _____ converted to _____ community service hours
- ☐ Court Costs/Fines in the amount of _____ reduced to civil judgment.
- ☐ Court Costs/Fines are not a condition of probation.

See dispo

SPECIFIC INSTRUCTIONS FOR PAYMENT: _____

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

DONE AND ORDERED, on 1/18/22

NUNC PRO TUNC ____

Ernest Keller
, Circuit Judge

I acknowledge receipt of a copy of this order and that the conditions have been explained to me and I agree to abide by them.

Date: 1/18/22

Z Prince
Defendant

Instructed by: Andrew Hudson
Supervising Officer

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Filing # 195102043 E-Filed 03/29/2024 01:15:25 PM

FLORIDA
DEPARTMENT of
CORRECTIONS

Governor
RON DESANTIS

Secretary
RICKY D. DIXON

501 South Calhoun Street, Tallahassee, FL 32399-2500

http://www.dc.state.fl.us

Date: 01/30/2024

RE: TERMINATION OF SUPERVISION
DC# Q71387
DOCKET/UC NO(S) 2008172
COUNTY BROWARD

Zebulum Prince
1720 SW 85th Ter.
Miramar, FL 33025

Dear Mr. Prince

You are hereby notified that you completed your term(s) of supervision on **01/17/2024**, as referenced above, and are no longer under the supervision of the Department of Corrections.

Pursuant to Florida Statute 98.0751, if you were convicted of a felony offense, other than murder or a sex offense, you may be eligible to have your voting rights restored immediately upon the completion of all terms of your sentence, including, but not limited to any unpaid restitution, court costs, fees or fines.

Individuals who do not qualify for automatic restoration of voting rights under Florida Statute 98.0751, will not be qualified to vote until their civil rights are restored as outlined in Article IV, Section 8 of the Florida Constitution.

For additional information related to the restoration of voting rights process under Florida Statute 98.0751, contact the Supervisor of Elections in your county of release.

If you were adjudicated guilty and on supervision for a felony offense, please see attached information sheet prepared by the Office of Executive Clemency, entitled **RESTORATION OF CIVIL RIGHTS, PARDONS, PARDONS WITHOUT FIREARM AUTHORITY, FIREARM AUTHORITY, REMISSION OF FINES AND FORFEITURES** updated August 29, 2016 for information regarding the restoration of civil rights process. This information sheet and the application can be accessed on the following website for future reference: https://fcor.state.fl.us/restoration.shtml or call (850) 488-2952 or 1-800-435-8286.

Sincerely,
Officer Jim Duchemin

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Original: Offender
Copy: Offender File
Clerk of Court (if required)
FCOR (if required)

(Revised 7/19)

Right Side – Offender File

*** FILED: BROWARD COUNTY, FL BRENDA D. FORMAN, CLERK 03/29/2024 01:15:25 PM.****

STATE OF FLORIDA
FLORIDA DEPARTMENT OF CORRECTIONS
TERMINATION OF SUPERVISION SUMMARY

CASE#: 062020CF008172A88810

January 30, 2024

Zebulum Prince DC#Q71387
1720 Sw 85th Ter
Miramar, FL 33025-5105

Dear Zebulum Prince,

Please see the below details in reference to any outstanding terms for the case number(s) listed below at the time of termination, including, but not limited to, uncompleted conditions, unpaid restitution, court costs, fees, and fines. Information contained in this document is for informational purposes only and should not be construed as modifying, satisfying, or negating any legal sentence or obligation.

Sentencing Judge: Judge Kollra
County of Sentence: Broward
Case No: 2008172

Community Supervision

Sentence Date	Offense	Offense Date	County	Case Number	Supervision Length
01/18/2022	MARIJUANA-SALE/MANUF/DEL	09/24/2020	BROWARD	2008172	002Y 00M 00D
01/18/2022	OBSTRUCT CRIME INVESTIGATION	09/24/2020	BROWARD	2008172	002Y 00M 00D

Termination

Date of Termination	Type of Termination	Disposition Reason (If appropriate)
01/17/2024	NORMAL TERMINATION	

Restitution

Case Number	Payee Name	Original Obligation	Current Balance
** NO RESTITUTION ORDERED **			

Court Costs/Fines

Case Number	Payee Name	Original Obligation	Current Balance
** See Clerks Office **			
The Clerk of Circuit Court establishes and maintains a system of accounts receivable for court related fees, charges, and costs.			

State Accounts

Case Number	Payee Name	Original Obligation	Current Balance
	DEPARTMENT OF CORRECTIONS DRUG TE	30.00	0.01
	DC OFFICER TRAINING/EQUIPMENT SUR	0.00	0.00
	STATE OF FLORIDA COST OF SUPERVIS	0.00	0.00
	SURCHARGE	1.20	0.00

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Others

Case Number	Payee Name	Original Obligation	Current Balance
** No Other Accounts **			

Community Service Hours

Court Ordered	Hours Remaining
** NO PUBLIC SERVICE HOURS ORDERED **	

Treatment Status
(Summary of offender's current and prior participation in treatment, educational, and vocational programs):

Treatment Date	Program Description	Termination Date	Status	Termination Reason
** No Treatment Record **				

Status of Other Special Conditions

Case Number	Special Condition	Begin Date	Due Date	End Date	Status
2008172	COS WAIVED	01/18/2022	01/17/2024	01/17/2024	COMPLETED
2008172	RANDOM DRUG TESTING	01/18/2022	01/17/2024	01/17/2024	COMPLETED

The foregoing is true and correct to the best of my knowledge and belief.

Jim Duchemin Officer Jim Duchemin 1/30/24 Date

Anton Mccullough Supervisor 1/30/24 Date

CC: Offender
CC: Clerk of Court, if applicable
CC: Offender File
CC: Florida Commission on Offender Review, if applicable

EXHIBIT # 2
PAGE # 23

Zebulum Prince
1720 SW 85Th Terrace
Miramar FL 33025

Male [redacted] Black

20-008172-CF10A

5 Ft. 6 In. 118 Lbs. Brown

BCCN: 0932739

In The Circuit/Court
In And For Broward County,
Florida

Capias

Judge Ernest A Kollra - FF
Deft Number 20-008172-CF10A

To all and singular the sheriffs of Florida, Greetings:

You are commanded to take **Zebulum Prince**

If that person be found in your county, arrest and safely keep so that you may have that person's body before the Judge of our said court instanter to answer to the charge, filed by the State Attorney of Broward County, Florida to:

Charge	Charge Description	Bond Amount
1	Deliver Cannabis	1,000.00
2	Attempt Tampering w/Physical Evidence	1,000.00
	Total:	2,000.00

Issue Date: 12/11/2020

And Command you to execute this writ.

12/16/2020
Witness Brenda D. Forman, Clerk of our
Said court and the seal of our said court,
At the Courthouse at Fort Lauderdale Florida,
Brenda D. Forman, Clerk

By: _____ Deputy Clerk

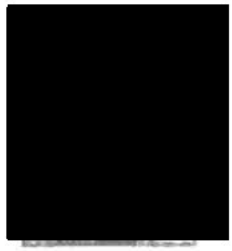
Arresting Agency:
Arresting Officer:
Reason Capias Issued: **Capias - Change of Charge**

****

Received this capias on _____ and executed same on _____
By arresting the within named defendant and having him now before the court.
_____, Sheriff By: _____
Deputy Sheriff

EXHIBIT #2
PAGE # 24

Broward County Sheriff's Office



Booking Report

20-8172 FF

CIS #	172000460	BCCN #	932739	Booking Sheet Control Date and Time	
OBTS	608276401	Print Clearance	09/24/20 20:42.36	Prints Yes	09/24/20 23:53:30
Arrest #	MM 2000460	Offense Report #	200903937	Agency	MIRAMAR
Last Name First Middle	PRINCE, ZEBULUM			SSN #	[redacted]

Race	Sex	Height	Weight	Eyes	Hair	Comp.	Age Admitted	DOB	Place of Birth	State	FDLE
B	M	506	118	BRO	BLK	MBR	40	[redacted]	MIAMI	FLORIDA	0

Permanent Address: 1720 SW 85TH TER MIRAMAR FL 33025

Months of Residence: 24

Arrest Date: 09/24/20 17:09:00 Place of Arrest: 7520 PEMBROKE RD MIRAMAR FL Arresting Officer: 482 LLYOD

Inmate Logged Date: 09/24/20 20:10:03 Inmate Log Type: FULL INTAKE Place Admitted: MAIN

Intake Comments: SP/CO/29/54- 15189 WC- 10251

Alias Last name, First, Middle, DOB

Warrants Officer Id: bs10251

Scars,Marks,Tattoos

Release Date/Time	Release Reason	Release Authorized By

Charge No.	Charge Initiation Date	Statute	Warrant/Capias	Level	M.C	B. Type	Bond Amount
1	09/24/20 23:17	790 01-2		3F	Y	BOND	$1,000.00
Charges	CARRYING CONCEALED FIREARM		**Comments**				
Booking Off. ID	bs19231	**County**		**Judge**			

Charge No.	Charge Initiation Date	Statute	Warrant/Capias	Level	M.C	B. Type	Bond Amount
2	09/24/20 23:17	790 07-4		1F	Y	HOLD FOR MAG	$0 00
Charges	ENGAGE IN CRIMINAL OFFENSE W WEAPON SUBSQ OFF		**Comments**				
Booking Off. ID	bs19231	**County**		**Judge**			

Charge No.	Charge Initiation Date	Statute	Warrant/Capias	Level	M.C	B. Type	Bond Amount
3	09/24/20 23:19	893 13-1a2(Cann-PIS)		3F	Y	BOND	$1,000.00
Charges	POSSESS W/INTENT SELL MANUF DELIVER CANNABIS		**Comments**				
Booking Off. ID	bs19231	**County**		**Judge**			

Charge No.	Charge Initiation Date	Statute	Warrant/Capias	Level	M.C	B. Type	Bond Amount
4	09/24/20 23:20	893 13-1a2(Cann-PIS)		3F	Y	BOND	$1,000 00
Charges	POSSESS W/INTENT SELL MANUF DELIVER CANNABIS		**Comments**				
Booking Off. ID	bs19231	**County**		**Judge**			

EXHIBIT #2
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Charge No.	Charge Initiation Date	Statute	Warrant/Capias	Level	M.C	B. Type	Bond Amount
5	09/24/20 23:25	893 13-6b		1M	Y	BOND	$100 00
Charges	POSS CANNABIS 20 GRAMS LESS/ SYNTH CANN 3 GMS LESS		**Comments**				
Booking Off. ID	bs19231	**County**		**Judge**			

Charge No.	Charge Initiation Date	Statute	Warrant/Capias	Level	M.C	B. Type	Bond Amount
6	09/24/20 23:25	918 13		3F	Y	BOND	$1,000.00
Charges	TAMPER WITH OR FABRICATE PHYSICAL EVIDENCE		**Comments**				
Booking Off. ID	bs19231	**County**		**Judge**			

* End of Report *

EXHIBIT # 2
PAGE # 20

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 9/25/2020 12:24:45 PM.****

☐ COMPLAINT AFFIDAVIT

SHADED FIELDS MUST BE ANSWERED IF DEFENDANT NOT IN CUSTODY

☒ ARREST FORM

BROWARD COUNTY
ARREST # MM20-0460

OBTS #

Filing Agency	Offense Report	Local ID #	FDLE	FBI	SS #
MIRAMAR PD	20-09-03937				

Defendant's Last Name	First	Middle	SUF	Alias/Street Name	Citizenship
PRINCE	ZEBULUM O				

Race	Sex	Hgt	Wgt	Hair	Eyes	Comp	Age	DOB	Birth Place
B	M	5'06	118	BLACK	BROW	MEDIU	40	[redacted]	MIAMI

Permanent Address: 1720 SW 85TH TER, MIRAMAR, FL 33025

Scars, Marks, TT:

Residence Type: ☒ (1) City (2) County (3) Florida (4) Out of State — Local Address: 1720 SW 85TH TER, MIRAMAR, FL 33025

Place of Employment: SUNOCO, — Length:

How long defendant in Broward County	Breathalyser By/CCN	Reading	Place of Arrest	Date/Time Arrested	Arresting Officer(s) CCN
2Y			7520 PEMBROKE RD	09/24/2020 17:09	LLOYD, WAYNE T. (482)

Officer Injured Y☐ N☒	Unit	Zone	Beat	Shift	Trans. Unit	PMD Y☐ N☒	Transporting Officer/CCN	Pick-up Time	Time Arrived/BSO
					665				

TYPE / ACTIVITY: N / N

Type: N-N/A; A-Amphetamine; B-Barbiturate; C-Cocaine; E-Heroin; H-Hallucinogen; M-Marijuana; O-Opium/Deriv; P-Paraphernalia/Equipment; S-Synthetic; U-Unknown; Z-Other

Activity: N-N/A; P-Possess; S-Sell; B-Buy; T-Traffic; A-Smuggle; D-Deliver; E-Use; M-Manufacture/Produce/Cultivate; K-Dispense/Distribute; Z-Other

Indication of:	Y	N	UK
Alcohol Influence	☐	☐	☐
Drug Influence	☒	☐	☐

Attach Defendant's Photo

Defendant's Vehicle Make: _____ Type: _____ Year: _____ Color: _____ VIN # _____

Vehicle Towed To: _____ Tag #: _____ Other Identifiers or remarks: _____

Name of victim(s) (if corporation, exact legal name and state of incorporation)
State Of Florida

Count #	Offenses Charged	WC# / Citation # (if applicable)	FS or Capias/Warrant #
1	CARRYING CONCEALED WEAPON-FIREARM		790.01-2
1	WEAPON OFFENSE-ENGAGE IN CRIMINAL OFFENSE W WEAPON SUBSQ OFF		790.07-4
2	SELL, MAN/DEL OR POSS/WINT CANNABIS		893.13-1a2 (Canna-PTS) WL
1	POSSESSION OF CANNABIS < 20 GRAMS		893.13(6)(b)
1	EVIDENCE-DESTROYING-TAMPER WITH OR FABRICATE PHYSICAL		918.13

Probable Cause Affidavit

Before me this date personally appeared LLOYD, WAYNE T. (482) who being first duly sworn deposes and says that on 24 day of September, (year) 2020 at 7520 PEMBROKE RD, MIRAMAR, FL (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows

On September 24, 2020, at approximately 1600 hours, The Miramar Police Department (SIU) conducted an undercover operation at 7520 Pembroke Road (Sunoco Gas / Car Wash).

During surveillance, detectives observed the defendant conduct two hand to hand transactions at the business (car wash). Detective Brown, who was acting in an undercover capacity, was provided with a description of the defendant by Detective Bloom

* * * Continued * * *

I swear the above statement is correct and true to the best of my knowledge and belief.

Officer/Affiant's Signature — LLOYD, WAYNE T. (482) Officer's Name/CCN — Sob - Strategic Investigations Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 24 day of September 2020 (year), by OFFICER LLOYD, WAYNE T. (name and title), who is personally known to me or has produced _____ as identification.

Notary Public, Deputy Clerk of the Court or Assistant State Attorney — SERGEANT 125 Title/Rank and CCN

DEFALCO, FRANK — Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

(SHOULD ADDITIONAL SPACE BE NEEDED, USE THE PROBABLE CAUSE AFFIDAVIT CONTINUATION (BSO DB#2a))

BSO DB-#2 (Revised 05/00)

COURT COPY

Org - Court
2nd - State Attorney
3rd - Filing Agency
4th - Arresting Agency

EXHIBIT # 2
PAGE # 27

SP/Co/25/54-1589
MC-10251

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 9/25/2020 12:24:45 PM.****

☐ COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

☒ ARREST FORM

BROWARD COUNTY
ARREST # MM20-0460

OBTS #

Filing Agency	Offense Report	Local ID	EDLE	FBI	SSI
MIRAMAR PD	20-09-03937				

Defendant's Last Name	First	Middle	SUF	Alias/Street Name	Citizenship
PRINCE	ZEBULUM O				

Name of victim(s) (if corporation, exact legal name and state of incorporation):

Count #	Offenses Charged	WC# / Citation # (if applicable)	FS or Capias/Warrant #
	*** SEE PAGE 1 ***		

2 Probable Cause Affidavit

Before me this date personally appeared LLOYD, WAYNE T. (482) who being first duly sworn deposes and says that on 24 day of September, (year) 2020 at 7520 PEMBROKE RD, MIRAMAR, FL (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

who was observing the defendant and maintaining surveillance at the business.

Detective Brown who was acting in an undercover capacity, walked up to the defendant and provided him with twenty dollars of US Currency in exchange for cannabis. Suspect cannabis field tested positive. The transaction took place in the back alley way of the Sunoco.

Approximately 3-4 minutes after Detective Brown made the purchase, this Detective arrived and made contact with the defendant. As this detective walked toward the Defendant, while dressed in Police Uniform , the defendant placed a white plastic bag on a bench and reached into his front waist band, pulled out a handgun (Taurus, Judge, 45 Caliber), and threw it over the back wall of the alley where detectives were waiting.

Defendant was immediately detained and placed under arrest.

The white plastic bag contained 4 individually wrapped plastic baggies, containing cannabis.

Defendant committed the act of Possession, with the intent to distribute by selling cannabis to Detective Brown. Defendant committed the act of Possession of a Firearm during the commission of a felony by having the handgun on his person during the selling of the narcotics. Defendant committed the act of tampering with evidence by throwing the handgun over the wall. Defendant committed the act of carrying a concealed firearm by possessing the firearm, concealed in his waistband. Defendant committed the act of possession of cannabis by having the plastic bag with the cannabis on his person, prior

*** Continued ***

I swear the above statement is correct and true to the best of my knowledge and belief.

Officer/Affiant's Signature

LLOYD, WAYNE T. (482)
Officer's Name/CCN

Sob - Strategic Investigations
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 24 day of September, 2020 (year),
by OFFICER LLOYD, WAYNE T. (name and title), who is personally known to me or has produced as identification.

Notary Public, Deputy Clerk of the Court, or Assistant State Attorney

Det. / 307
Title/Rank and CCN

DEPALCO, FRANK Campbell, Noelle
Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

EXHIBIT # 2
PAGE # 28

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

COURT COPY

BSO DB-#2a (Revised 05/00)

One - Court
2nd - State Attorney
3rd - Filing Agency
4th - Arresting Agency

**** FILED: BROWARD COUNTY, FL Brenda D. Forman, CLERK 9/25/2020 12:24:45 PM.****

☐ COMPLAINT AFFIDAVIT
PROBABLE CAUSE AFFIDAVIT CONTINUATION

☒ ARREST FORM

BROWARD COUNTY
ARREST # MM20-0460

OBTS #

Filing Agency	Offense Report	Local ID #	FDLE	FBI	SS #
MIRAMAR PD	20-09-03937				

Defendant's Last Name	First	Middle	SUF	Alias/Street Name	Citizenship
PRINCE	ZEBULUM O				

Name of victim(s) (if corporation, exact legal name and state of incorporation)

Count #	Offenses Charged	WC# / Citation # (if applicable)	FS or Capias/Warrant #
	* * * SEE PAGE 1 * * *		

Probable Cause Affidavit

Before me this date personally appeared LLOYD, WAYNE T. (482) who being first duly sworn deposes and says that on 24 day of September, (year) 2020 at 7520 PEMBROKE RD, MIRAMAR, FL (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows

to placing it on the bench.

Defendant was ultimately taken to BSO main jail for further processing.

This incident was recorded by my BWC.

I swear the above statement is correct and true to the best of my knowledge and belief

Officer/Affiant's Signature

LLOYD, WAYNE T. (482)
Officer's Name/CCN

Sob - Strategic Investigations
Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 24 day of September, 2020 (year),
by OFFICER LLOYD, WAYNE T. (name and title), who is personally known to me or has produced _____ as identification.

Notary Public, Deputy Clerk of the Court or Assistant State Attorney

SERGEANT 1425
Title/Rank and CCN

DEFREECE, FRANK Campbell, Melville
Print, Type or Stamp Commissioned Name of Notary Public

(SEAL)

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

COURT COPY

BSO DB-#2a (Revised 05/00)

Orig - Court
2nd - State Attorney
3rd - Filing Agency
4th - Arresting Agency

EXHIBIT # 2
PAGE # 29

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

February 5, 2024

Mr. Zebulum Obadih Prince
1720 Southwest 85 Terrace
Miramar, Florida 33025

RE: Application No. 200076, Entity 11569729
1022 - PMW General Individual Occupational

Dear Mr. Prince:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Name: Our records indicate that the name provided on your application differs from the name provided on your previous application. Please provide documentation to resolve this discrepancy. Please correct the application to match your name and initial and date next to the correction.

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section, and provide court disposition records and arrest reports. Please note, you must list both charges associated with this arrest. **Please initial and date any changes made to your application.**

  You must list the information on your application, and provide the arrest report for the following charges:
  - Broward County, Florida Arrest(s) – 09/24/2020

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

EXHIBIT # 4
PAGE # 1

OF WAGERING
4070 ESP
TAL
FLGAMING.GOV

If you fail to provide the Florida Gaming Control Commission with a complete application by 06/05/2024, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

AH
Operations Analyst II

EXHIBIT # 4
PAGE # 2

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – PMW Occupational License

RE: **PRINCE, ZEBULUM OBADIAH - 11569729** **Case No:** _____
(APPLICANT'S NAME– LICENSE #)

INITIAL APPLICATION RECEIVED:	1/20/2024
COMPLETE APPLICATION RECEIVED:	3/7/2024
90-DAY DEADLINE:	**6/5/2024**

Amy Hall	321 - Gulfstream Park	1022 – Hot Walker
Application Processor	**Facility (d/b/a name)**	**Occupation/Job Title**

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review

Conviction

Did the application accurately reflect the Criminal History Record? ☐ Yes ☒ No

- ☐ Felony: 2 Count(s)
- ☐ Misdemeanor – Industry Related/Gambling Related/Bookmaking
- ☐ Animal Cruelty
- ☒ Forgery, Larceny, Extortion, Conspiracy to Defraud (Cardroom Professions Only)

Comments:

Arrest Date	Location	Charge	Level	Court Case #	Disposition
09/24/2024	Broward County, FL	Marijuana Distribution	F3	062020CF008172A88810	Adjudication Withheld
09/24/2024	Broward County, FL	Evidence Destroying	F3	062020CF008172A88810	Adjudication Withheld

Disposition Unknown

- ☐ Felony Arrest(s) :
- ☐ Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking
- ☐ Animal Cruelty

Comments:

Enforcement/Jurisdiction Offenses

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.

Comments:

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number: Business Name:

Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐

Date Deficiency Letter Issued: Initials:

Comments:

EXHIBIT # 4
PAGE # 3

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☑ No ☐ ☐ **Approval**

Forward to ☐ **Investigations** ☐ **Legal** ☐ **Director** Initials: 3/8/24

Comments:

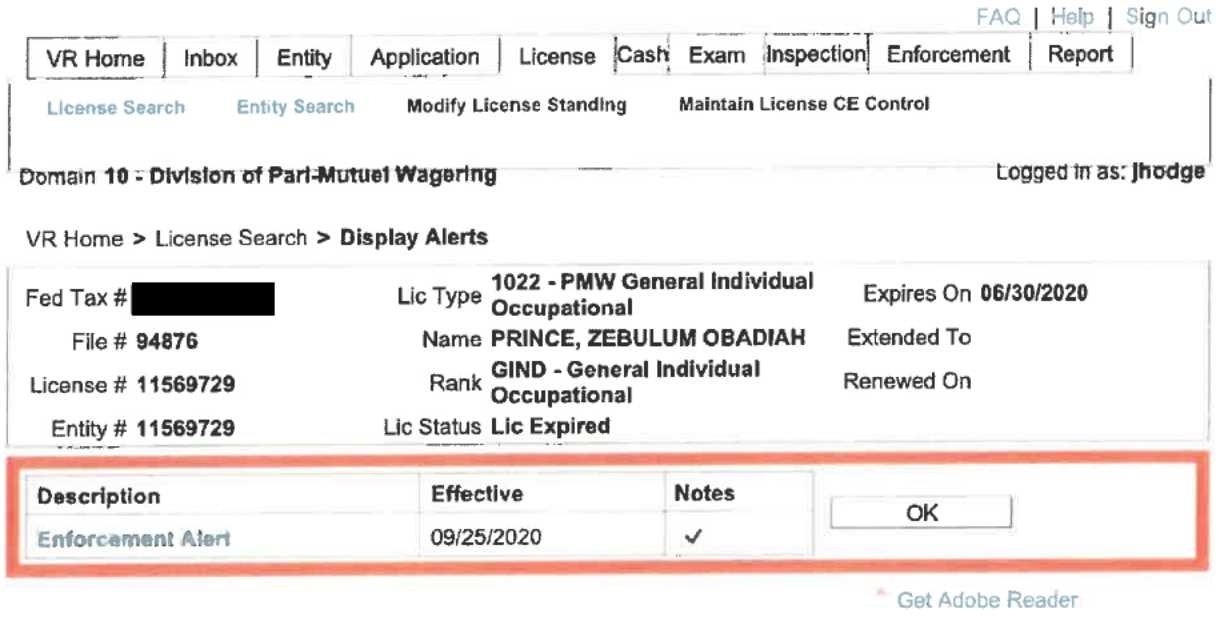


EXHIBIT # 4
PAGE # 4

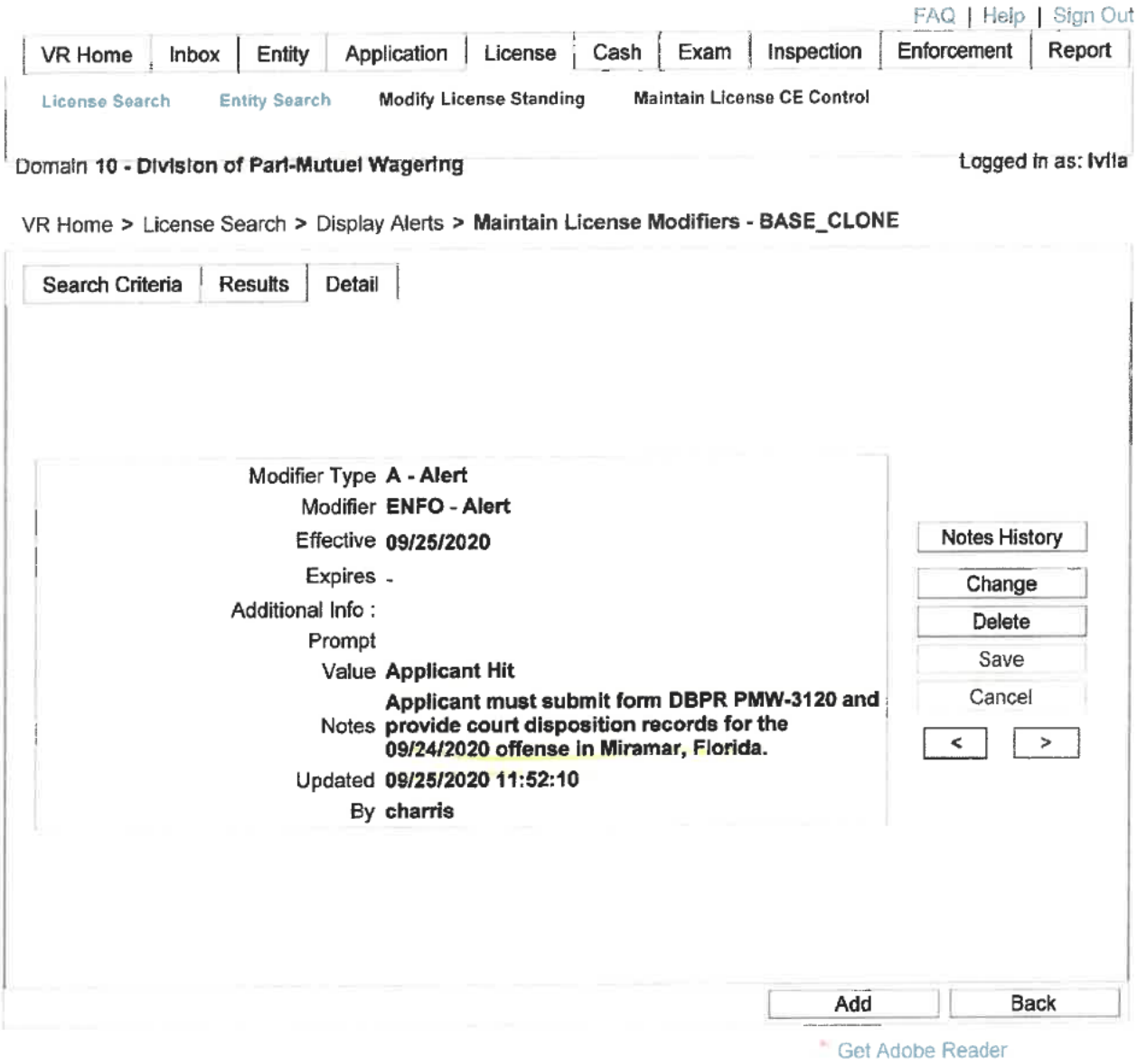


EXHIBIT # 4
PAGE # 5

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

VR Home > License Search > **License Home**

Licensee | History | Notes | Notes History | Back

License

Fed Tax # [redacted] Lic Type **1022 - PMW General Individual Occupational** Expires On **06/30/2020**

File # **94876** Name **PRINCE, ZEBULUM OBADIAH** Extended To

License # **11569729** Rank **GIND - General Individual Occupational** Renewed On

Entity # **11569729** Lic Status **Lic Expired**

Address

Street # **1720** Street **SW 85 TERR**

Line 2

Line 3

City **MIRAMAR** State **FL** Zip **33025**

Routing

Other

1st License Date **12/22/2017** Rank Date **12/22/2017** Certificate #

Method **I-S-1024** Status Date **07/01/2021** Certificate Date

Fee Exempt **No** Birth Date [redacted] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	09/25/2020	Applicant Hit
C	HTWK - Hot Walker	11/26/2017	
I	TBRD - Thoroughbred	11/26/2017	
L	321 - Gulfstream Park	12/22/2017	
Y	3YR - 3 Year License		

Alt Keys

BEST LIC NBR **11569729**

EXHIBIT # 4
PAGE # 6

Get Adobe Reader

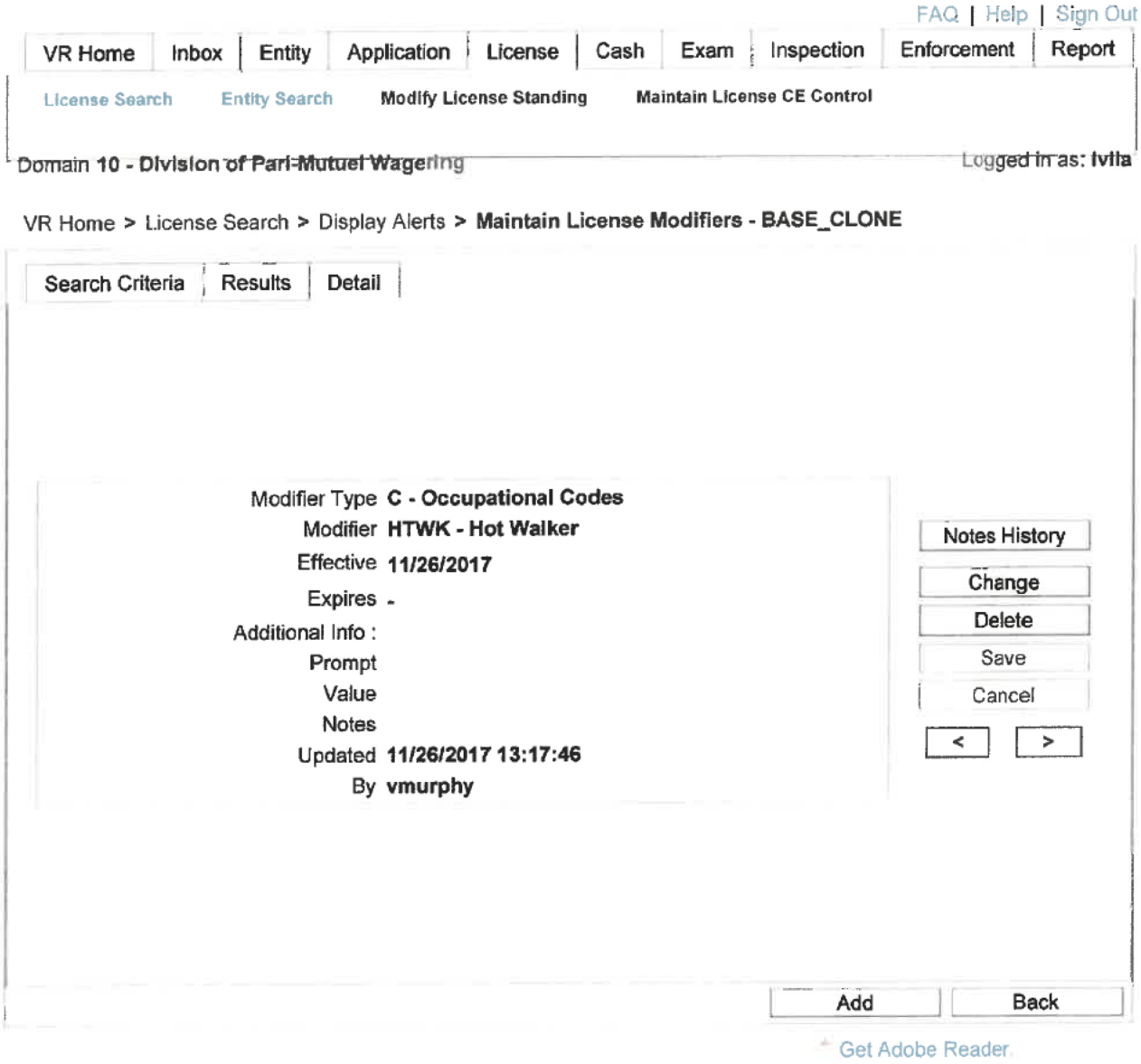


EXHIBIT # 4
PAGE # 7

LICENSES

RCI

Licensee Search

Page 1 of 1. 1 match.

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
2139579	PRINCE	ZEBULUM	OBADIH	[redacted]	None	[redacted]	MIRAMAR	FL

EXHIBIT # 4
PAGE # 8

Comprehensive Ruling Report

Rulings Against: ZEBULUM OBADIH PRINCE

Legal Name: ZEBULUM OBADIH PRINCE
Birth Date:

0 Total Ruling(s) Listed

"Multiple medication violation points and point totals are for advisory and informational purposes only to indicate the existence of regulatory medication violation determinations made by racing regulatory entities in order to notify officials of possible aggravating factors that should be reviewed by officials prior to taking regulatory action. Confirmation of violations should be made directly with the racing regulatory entity responsible."

No Rulings

EXHIBIT # 4
PAGE # 9

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Joseph Klein, Senior Attorney
Re: Hassan Shamarl Young; Case No. 2024-018242
Date: May 16, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks to deny the application of Hassan Shamarl Young (the "Applicant") for a Cardroom Employee Occupational License (the "Application"). The Applicant submitted a completed application for a Cardroom Employee Occupational License on March 7, 2024, and a request for waiver of the restrictions excluding offenders with disqualifying offenses on March 5, 2024.

Upon review of the application, it appears the Applicant has been convicted of two felony offenses in Marion County, Florida. The Executive Director of the Commission reviewed the file along with the waiver interview notes and declined to waive the statutory restrictions excluding offenders. Therefore, the Division recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial.

Pertinent Facts

On November 16, 2023, the Applicant submitted an application for a Cardroom Employee Occupational License to the Division. The Division issued a deficiency letter to the Applicant on December 11, 2023, requesting that he provide information relating to arrests in Marion County, Florida on June 20, 2012, March 28, 2012, and July 5, 2022. Applicant submitted a request for waiver of the restrictions excluding offenders with disqualifying offenses on March 5, 2024. On March 7, 2024, the Applicant submitted an amended application which included the requested information.

Upon review of the completed Application, it appears that on August 26, 2022, the Applicant was convicted of the following felony offenses in Marion County, Florida:

- Driving While License Revoked as Habitual Offender (Case #22CF001168)
- Driving While License Revoked as Habitual Offender (Case #22CF002539)

The foregoing felony convictions are disqualifying offenses pursuant to sections 550.105(5), and 849.086(6)(f), Florida Statutes.

A Division investigator conducted a waiver interview of the Applicant on April 10, 2024, and documented the interview in a report submitted to the Executive Director of the Commission for consideration. On April 26, 2024, the Executive Director, having reviewed the waiver report and all relevant information and documents, declined to waive the restrictions excluding offenders.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

> …[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not

a capital offense, the restrictions excluding offenders may be waived by the director of the commission.

Staff Recommendation: The Florida Gaming Control Commission may deny the Application or declare the Applicant ineligible for a Cardroom Employee Occupational License upon a finding of a disqualifying offense pursuant to sections 550.105(5) and 849.086(6)(f), Florida Statutes. Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial to Hassan Shamarl Young in this matter.

ROUTING SLIP
REQUEST FOR WAIVER

RE: YOUNG, HASSAN SHAMARI – 13827705
(APPLICANT'S NAME – LICENSE #)

Case No: 2024 01 8242

1012 – Chip Runner
Occupation Code and Job Title

950 – Ocala Breeders Sales (Ocala Bets)
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: JUNE 26, 2024
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 4/15/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: gbucks 4/24/24
(Initial & Date) _____ (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [ ] No If yes, in what jurisdiction? _____

Executive Director: LT 4/26/24
(Initial & Date)

[ ] Prepare Waiver or [√] Prepare File for Commission Review

Comments: _____

Investigative Findings:

March 28, 2022	Marion County Sheriff's Office, FL. – Drive While License Revoked as Habitual Offender (Case #22CF001168) – Felony – Pled Nolo Contendere – Adjudication Withheld on August 26, 2022 – Sentenced to 55 days in County Jail - and ordered to pay $993.50 in Court costs/Fees/Fines all of which remains unpaid.
July 5, 2022	Marion County Sheriff's Office, FL. – Drive While License Revoked as Habitual Offender (Case #22CF002539) – Felony – Pled Nolo Contendere – Adjudication Withheld on August 26, 2022 – Sentenced to 55 days in County Jail - and ordered to pay $993.50 in Court costs/Fees/Fines all of which remains unpaid. - Case to run concurrent with the above listed case.

*Please attach Routing Slip to front of case file

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1012 - Cardroom Employee Occupational**		Status	**90 Closed**	Status Date **04/15/2024**
Complaint #	**2024018242**	Case Type **CMP - Complaint**	Disposition		Disposition Date
Docket#		Respondent **YOUNG, HASSAN SHAMARL**	Responsible	**bjones - JONES, BRADFORD**	**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**	☐ Parties	☑ Activities
Form	**WALK - Walk-in**	Priority	**1**	☑ Allegations	☐ Discipline
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**	☑ Violations	☐ Compliance
Security	**STND - Standard**	Incident	**11/28/2023**	☐ Related	☑ Disposition
Region	**CR - Central Region**	Received	**03/26/2024**	☐ Inspection	
Reference				☐ Costs	
Entered	**03/27/2024**	Entered By	**jhodge**	☐ Time Tracking	Auto Assign
Summary	**950 - OCALA BREEDERS' SALES COMPANY, INC**			☐ Attachments	History
Updated	**04/16/2024 10:34:22**	By	**jhodge**	☐ Work Notes	Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.

ROUTING SLIP
REQUEST FOR WAIVER

RE: YOUNG, HASSAN SHAMARI – 13827705 **Case No: 2024 01 8242**
(APPLICANT'S NAME – LICENSE #)

1012 – Chip Runner **950 – Ocala Breeders Sales (Ocala Bets)**
Occupation Code and Job Title Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: JUNE 26, 2024
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 4/15/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: _____ _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [ ] No If yes, in what jurisdiction? _____

Executive Director: _____
(Initial & Date)

[ ] **Prepare Waiver or** [ ] **Prepare File for Commission Review**

Comments: _____

Investigative Findings:

March 28, 2022	**Marion County Sheriff's Office, FL. – Drive While License Revoked as Habitual Offender (Case #22CF001168) – Felony – Pled Nolo Contendere – Adjudication Withheld on August 26, 2022 – Sentenced to 55 days in County Jail - and ordered to pay $993.50 in Court costs/Fees/Fines all of which remains unpaid.**
July 5, 2022	**Marion County Sheriff's Office, FL. – Drive While License Revoked as Habitual Offender (Case #22CF002539) – Felony – Pled Nolo Contendere – Adjudication Withheld on August 26, 2022 – Sentenced to 55 days in County Jail - and ordered to pay $993.50 in Court costs/Fees/Fines all of which remains unpaid. - Case to run concurrent with the above listed case.**

***Please attach Routing Slip to front of case file**

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office: **PMW**	Region: **CENTRAL**	Date of Complaint: **MARCH 26, 2024**	Case Number: **2024 01 8242**

Respondent:	Complainant:
YOUNG, HASSAN SHAMARI **4726 SOUTHWEST 65TH PLACE** **OCALA, FLORIDA 34474** **TEL# (352)657-0629**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 WEST COMMERCIAL BOULEVARD, SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # / Type:	Profession:	Report Date:
13827705 / 1012	**HOST / CHIP RUNNER**	**APRIL 10, 2024**

Period of Investigation:	Type of Report:
MARH 26, 2024 - APRIL 10, 2024	**FINAL**

This investigation is based upon submission of **HASSAN SHAMARI YOUNG'S** State of Florida Pari-Mutuel Occupational License Application dated November 16, 2023, and Waiver Request Form dated March 5, 2024.

On November 16, 2023, **YOUNG** applied for a Pari-Mutual Cardroom Employee Occupational License (1012) to work as a "Chip Runner" at OcalaBets. On his application, he answered "YES" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" **YOUNG** disclosed four misdemeanor convictions for "DWLS" in Alachua and Marion County, Florida **(EXHIBIT #1).**

Upon receipt of **YOUNG's** FDLE Criminal History Report, PMW Licensing sent him a Deficiency Letter on February 27, 2024, requesting that he list the following charges:

- Marion County, Florida - 6/20/2012, 03/28/2022, 07/05/2022**.**

On March 7, 2024, **YOUNG** submitted an amended application listing a 2012 Misdemeanor conviction for VOP Criminal Mischief, and two 2022 Felony convictions for Driving While License Suspended or Revoked in Marion County, Florida **(EXHIBIT #1).**

Related Case:

Investigations Specialist II / Date	Approved by Investigator Supervisor / Date
/s/ Randa Samson Randa Samson / April 10, 2024	/s/ C. Derek Washington C. Derek Washington / April 11, 2024

Chief of Investigations / Date

/s/ Bradford D. Jones
Bradford D. Jones / April 15, 2024

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 03/28/2022	**Arresting Agency: Marion County Sheriff's Office, FL.**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Drive While License Revoked as Habitual Offender (Case #22CF001168)	Felony	Nolo Contendere	Adjudication Withheld	8/26/22
2					
3					
4					

SENTENCE
55 days jail time (Credited time served 55 days) and pay $993.50 in Court costs/Fees/Fines.

Additional Information: Applicant still owes a balance of $993.50

Arrest 2					
Date of Arrest: 07/05/2022	**Arresting Agency: Marion County Sheriff's Office, FL.**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Drive While License Revoked as Habitual Offender (Case #22CF002539)	Felony	Nolo Contendere	Adjudication Withheld	8/26/2022
2					
3					
4					

SENTENCE
53 days jail time (Credited time served 53 days) and pay $993.50 in Court costs/Fees/Fines.

Additional Information: Both cases listed above to run Concurrent.

CRIMINAL HISTORY

Arrest 3					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
Charge(s):		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?		X
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

WAIVER INTERVIEW

		YES	NO
Was a Waiver Interview Conducted?		X	
Date of Interview: APRIL 10, 2024	Location of Interview: OCALA BETS		
		YES	NO
Was the applicant cooperative?		X	
Additional Comments:			

SUMMARY OF INTERVIEW:

During his interview, **YOUNG** explained that he was arrested in 2018 for driving under the influence (DUI) and had his license suspended for five (5) years. He stated during the time while his license was suspended, he was arrested twice in 2022 for driving while his license was suspended[1]. He said on both occasions, he was pulled over while picking up his children and had no option but to drive.

YOUNG further mentioned that he works hard, avoids trouble, and spends time with his two daughters. He currently resides in Ocala, Florida, with his aunt and her husband. **YOUNG** expressed his desire to eventually become a poker dealer to provide better for his two girls.

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed no rulings against **YOUNG (EXHIBIT #4).**

Case Status: Investigations case closed and forwarded to Licensing.

[1] ***Review of Florida Department of Highway Safety and Motor Vehicles (FLHSMV) records reveals that YOUNG's Florida Driver's License is currently subject to seventeen (17) suspensions for fifteen (15) different dates (EXHIBIT #4).***

CONTINUATION

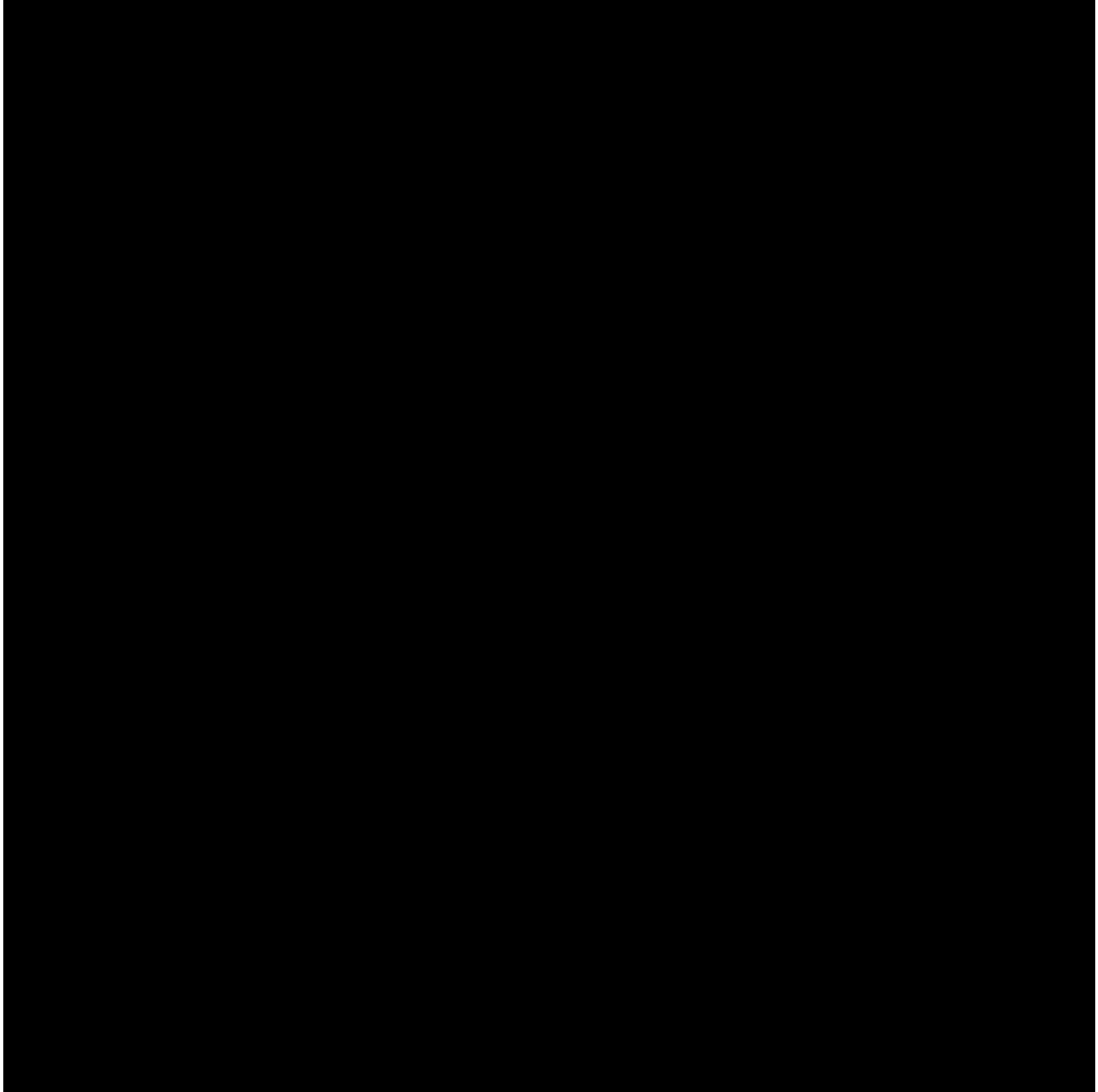
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2024 MAR 15 PM 2:53

FLORIDA GAMING CONTROL COMMISSION

FGCC PMW-3180 – Request for Waiver

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING
www.flgaming.gov

TO: Hassan Young
Full Legal Name of Applicant

[redacted]
Date of Birth

Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☐ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☑ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

H. C.
Signature of Applicant

03/05/24
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3195 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT #1
PAGE 2/8

2023 DEC -1 PM 3:00

FLORIDA GAMING
CONTROL COMMISSION

1020 OPEN

DBPR PMW-3120 – Individual Occupational License Application

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants Must Submit:

- [x] Completed Form DBPR PMW-3120 – Print clearly and complete all sections that are not optional in black or blue ink.
- [ ] Additional Pages – If necessary to respond to any application questions.
- [ ] Supporting Legal Documentation – If necessary to respond to background information questions in application.
- [x] Three (3) Year Licensing Fee – Make checks or money orders payable to ~~DBPR~~. FGCC
  - [ ] Pari-Mutuel General Occupational License - $15.00* * does not include fingerprint fee
  - [ ] Pari-Mutuel Professional Occupational License - $80.00*
  - [x] Cardroom Employee Occupational License - $100.00* + 37.25 PRINT PROCESSING FEE
- [ ] Fingerprints – Choose One Option:
  - [ ] Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL920630Z.

    IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.
  - [x] Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

    IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx for the current fee amount.
  - [ ] Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

    IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:
Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395

EXHIBIT #1
PAGE 3/8

2023 DEC -1 PM 3:00

FLORIDA GAMING CONTROL COMMISSION

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]	[redacted]	☑ Male ☐ Female

Last Name	First	Middle	Suffix
Young	Hassan	Shamarl	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☑ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
4037 NW Blitchton Rd Apt 74D	

City	State	Zip Code (+4 optional)	Country, if other than USA
Ocala	FL	34475	

Primary Phone Number	Secondary/Cell Phone Number (optional)
(407) - 797 - 8919	

Current Street Address: 4035 NW Blitchton Rd Apt 74D

City	State	Zip Code (+4 optional)	Country, if other than USA
Ocala	FL	34475	

Type of Occupational License applying for:
☐ Pari-Mutuel General Individual ☐ Pari-Mutuel Professional Individual
☑ Cardroom Employee

Occupation: Chip Runner

Facility where employed and/or doing business: Ocala Bets

Does your position require access to the Cardroom? ☑ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☑ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☑ No ☐ Yes, complete the following:

Stable Name, Kennel Name, or Business Name _____

Trainer Name (horse or greyhound racing only) _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1012 License # 13827705 File # 15146 App # 74322

Association Code 950 Date Received 11/28/2023 Entered By DW License Year 23/24

License Fee 100 FP Date 11/16/2023 FP Fee 3725 Total Fee 13725

Off Temp Waiver Requested ARCI ✓ Enforcement Minor

EXHIBIT #1
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2023 DEC -1 PM 3:01

FLORIDA GAMING
CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☑ No	Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☑ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
	Marion	FL	DWLS	misdemeanor	30 Days
	Alachua	FL	DWLS	misdemeanor	Dismissed
	marion	FL	DWLS	misdemeanor	30 Days
	marion	FL	DWLS	misdemeanor	30 Days

☐ Yes ☑ No	Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
☐ Yes ☑ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

11/16/2023
Date

EXHIBIT #1
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2024 MAR 15 PM 2:53

FLORIDA GAMING CONTROL COMMISSION

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
FGCC PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled **"For Division Use Only."**

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]	[redacted]	☑ Male ☐ Female

Last Name	First	Middle	Suffix
Young	Hassan	Shamurl	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☑ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
4726 SW 65th Place	

City	State	Zip Code (+4 optional)	Country, if other than USA
Ocala	FL	34474	

Primary Phone Number	Secondary/Cell Phone Number (optional)
352 657 0624	

Current Street Address
4726 SW 65th Place

City	State	Zip Code (+4 optional)	Country, if other than USA
Ocala	FL	34474	

Type of Occupational License applying for:
☐ General Individual ☐ Professional Individual
☑ Cardroom Employee

Occupation: Poker dealer

Facility where employed and/or doing business: N/A

Does your position require access to the Cardroom? ☑ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☑ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a pari-mutuel permitholder? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☑ No ☐ Yes, complete the following:

Stable Name, Kennel Name, or Business Name _____

Trainer Name (horse or greyhound racing only) _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code_____ License #_____ File #_____ App #_____

Association Code_____ Date Received_____ Entered By_____ License Year_____

License Fee_____ FP Date_____ FP Fee_____ Total Fee_____

☐ Off Temp ☐ Waiver Requested ☐ ARCI ☐ Enforcement ☐ Minor

EXHIBIT #1
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RECEIVED

2024 MAR 15 PM 2:54

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NEEDED)

☐ Yes ☑ No — Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

☑ Yes ☐ No — Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
06/20/2012	marion	FL	VOP/crim mischief	misdemeanor	30 Days
03/28/2022	marion	FL	DWLSR	felony	
07/05/2022	marion	FL	DWLSR	felony	

☐ Yes ☑ No — Have you ever had a racing/gaming license suspended, revoked, or denied in this or any other state or country? If yes, you must list the details in the section provided below.

☐ Yes ☑ No — Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for obtaining a change, correction, or updating of the FDLE or FBI criminal history are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Florida Gaming Control Commission shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 550.105, Florida Statutes.

Signature of Applicant

03/7/2024
Date

EXHIBIT #1
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FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

VR Home > License Search > **License Home**

License

Fed Tax #	[redacted]	Lic Type	**1012 - Cardroom Employee Occupational**	Expires On	
File #	**15146**	Name	**Young, Hassan Shamarl**	Extended To	
License #		Rank		Renewed On	
Entity #	**13827705**	Lic Status	**Applicant**		

Licensee | History | Notes | Notes History | Back

Address

Street # **4726** Street **SOUTHWEST 65TH PLACE**

Line 2

Line 3

City **OCALA** State **FL** Zip **34474**

Routing

Other

1st License Date		Rank Date		Certificate #	
Method	**- -**	Status Date		Certificate Date	
Fee Exempt	**No**	Birth Date	[redacted]	Renewal Sent	

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
No items found.			

Alt Keys

BEST LIC NBR

Get Adobe Reader.

EXHIBIT #1
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ORIGINAL

ARREST AFFIDAVIT/FIRST APPEARANCE FORM
OCALA POLICE DEPARTMENT

OBTS # [redacted] Agency ORI # FL 042 0100

Court Case Number: 22CF11168 W

Felony ☒ Misdemeanor ☐ County or Municipal Ordinance ☐

Traffic ☒ Juvenile ☐ Warrant ☐ CAPIAS ☐

Agency Case Number: 202200040909

Defendant's Name: Last	First	Middle	DOB	SEX	RACE	HGT	WGT	HAIR	EYES
Young	Hassan	Shamarl	[redacted]	M	B	5'11"	250	BRO	BRO

Mailing Address: St/P.O. Box: 12 Anna Ave

Scars-Marks-Tattoos-Amputations (describe each):

St. Add. (if different): Street: Roosevelt NY 11575 (City / State / Zip)

Phone: Res: (516) 647-1364

Place of Birth: New York

Alias:

Place of Employment: N/A

Phone: Bus: ( )

Occupation:

Social Security Number: N/A

Driver Lic. No.: [redacted] State: FL

Vehicle Towed By:

Hold on Vehicle: Yes ☐ No ☒ Arrest-Suffix

Agency:

Arrest Date: 03/28/22 (Mo. Day. Year)

Arrest Time: 1822

Arrest Location: SW 15th St/ SW 27th Ave

Juvenile Diversion Non-Utilization Tracking: (Select one)

U.S. Citizen: Y ☒ N ☐ U ☐ N/A ☐

Residence Type: 1. City ☐ 2. County ☐ 3. Florida ☐ 4. Out-of-Florida ☒

Activity: F Forgery, C Counterfeit, A Fraud, X Stolen Property, T Traffic, P Possess, S Sell, B Buy, R Smuggle, D Deliver, U Use, M Manufacture/Produce/Cultivate, Z Other, K Dispense/Distribute, N N/A

Type: A Amphetamine, B Barbiturate, C Cocaine, H Hallucinogen, M Marijuana, O Opium/Deriv, P Heroin, S Synthetic, U Unknown, P Paraphernalia/Equipment, O Other, N N/A

CHARGE	Description	Counts	Activity	Type	NCIC	CIS	Statute	Bond Amount	In Accordance to Bond Schedule
E	**DWLSR - 3rd or Subsequent**	1	N	N			322.34(2)(c)	2,000	Y ☒ N ☐
									Y ☐ N ☐
									Y ☐ N ☐
									Y ☐ N ☐
									Y ☐ N ☐

Indication of: Alcohol Influence Y ☐ N ☒ Unk ☐; Drug Influence Y ☐ N ☒ Unk ☐

Weapon Seized/Type: Y ☐ N ☒

Juvenile Disposition: 1. Handled/Processed Within Dept. and Released ☐ 2. Turned Over to HRS/CYF ☐ 3. Incarcerated (County Jail) ☐

JAIL LOG: (To be completed by booking Officer) Jail Inmate Number:

Date Booked	Time Booked	Booking Officer	Fingerprinted By:	Photographed By:	Bin Number
3 28 22	1950 AM PM	5952	6208	5952	

Advised of Rights By: 5952

Check for Warrant(s): NCIC ☒ FCIC ☒ Local ☒

Holds: Yes ☐ No ☒

Agency of Hold:

Attorney (if known): PRIVATE

Religion: MUSLIM J ☐ Pr ☐ C ☐ Other ☐

Marital Status: S ☒ M ☐ D ☐ Sep ☐

Telephone call logged: Time ALLOWED AM PM ( )

Next of Kin PARENTS OF JUVENILE (for emergency): SHERIEA GONZALEZ

Relation: G-FRIEND Address:

Phone: 352) 355 8739

Date	Returnable Court Date	Returnable Court Time	Release Date	Release Time	Releasing Officer
/ /		AM PM	/ /	AM PM	

BOND, Charge A	Charge B	Charge C	Charge D	Charge E

NAME, ADDRESS OF BONDSMAN: /

Bond Type: ROR ☐ SURETY ☐ Cash ☐

Bail Bond ☐ Cert ☐ Other ☐

Approving Officer Signature

OPD Form 0200 - Rev. Apr. 2020

MCOC - CASE INTAKE
MAR 29 '22 AM8:55

1444541

[redacted]

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ORIGINAL

Complaint/Arrest Affidavit Continuation			Court Case No.	Agency Case No. 202200040909
Defendant Name:	Last **Young**	First **Hassan**	Middle **Shamarl**	Date of Birth [redacted]

PROBABLE CAUSE AFFIDAVIT: (specify probable cause for each charge)

Before Me, the undersigned authority personally appeared Ofc. C. Russo who being duly sworn, alleges, on the information and belief, that on the 28th day of March 2022 , Marion County, Florida, the defendant did:

Willfully and unlawfully committed the listed offense of Driving While License Suspended or Revoked - 3rd or Subsequent Offense to wit:

On March 28th, 2022, at approximately 1755 hours, I responded to the area of SW 15th St and SW 27th Ave to identify a driver involved in a crash. Ocala Police Department Community Service Specialist Decker was working the crash and advised she could not positively identify a black male driver involve in the incident.

Upon arrival, I made contact with CSS Decker who requested I utilize my agency issued RAPID ID device in attempts to identify the subject. I was met with negative results through the RAPID ID device. The subject provided me with his name and date of birth as Hassan S. Young (DOB [redacted]). I then conducted in-house search and located a driver's license number out of Florida for a person matching that name. Next, I utilized DAVID and was able to positively identify the subject as the person he said he was. Young also informed me that he was driving the vehicle during the crash.

An FCIC/NCIC query for Young revealed his license to be revoked on 06/19/2018 for DUI. This query also revealed Young had been arrested for driving under the influence 2 times, previously. The prior suspensions are as follows:

06/19/2018 REV-180 DAYS DRIVING UNDER THE INFLUENCE A9OQN8E
11/20/2018 REV-60.0 MOS HABITUAL TRAFFIC VIOLATOR
10/24/2018 SUSP-INDEF CRIMINAL-FAIL TO PAY A8MME8E CO:14 CT:1
11/13/2018 SUSP-INDEF FAILED TO PAY TRAFFIC FINE(PENALTY) A47IBAE CO:14 CT:1
04/29/2019 SUSP-INDEF FAILED TO PAY TRAFFIC FINE(PENALTY) A7GBBGE CO:14 CT:1
05/07/2019 SUSP-INDEF FAILED TO PAY TRAFFIC FINE(PENALTY) A84GKIE CO:14 CT:4
10/18/2021 SUSP-INDEF CRIMINAL-FAIL TO PAY A1PX2EP CO:14 CT:1
10/18/2021 SUSP-INDEF CRIMINAL-FAIL TO PAY A47IB9E CO:14 CT:1
10/25/2021 SUSP-INDEF CRIMINAL-FAIL TO PAY A9OQN8E CO:14 CT:1
11/20/2018 FR-SUSP NON-JUDGEMENT SUSPENSION 630687010
07/26/2018 FR-SUSP NON-JUDGEMENT SUSPENSION 430277584

Due to this information, I found probable cause to charge Young with DWLSR - 3rd or Subsequent. I placed him under arrest and transported him to the Marion County Jail.

SWORN to and SUBSCRIBED before me
This 28th day of March , 2022 .

-6419
☐ Notary Public ☒ Certified Officer

OFC. C. Russo 6439
AFFIANT

Ocala Police Department
ARRESTING AGENCY

OPD Form 020B - Rev. Apr. 2020

MCCC - CASE INTAKE
MAR 29 '22 AM8:55

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Complaint/Arrest Affidavit Continuation		Court Case No.	Agency Case No. 202200040909 ORIGINAL
Defendant Name: Last	First	Middle	Date of Birth
Young	**Hassan**	**Shamarl**	[redacted]

FIRST APPEARANCE FINDINGS & ORDERS

Based upon the foregoing Affidavit and/or Sworn Testimony of _____ the undersigned finds and determines:

☐ As to charges(s) ___, ___, ___, ___, ___, that there was at the time of arrest and is probable cause to believe the defendant has committed the offense with which he/she is accused and it is hereby Ordered and Adjudged that defendant is to be detained or post bond as otherwise affixed pending further proceedings.

☐ As to charges(s) , , , , , that there is alack of evidence that the defendant committed the offense with which he/she is accused, and it is hereby Ordered and Adjudged that the Sheriff or Chief of Police having custody is directed to forthwith release defendant from custody on defendant's own recognizance, subject to defendant appearing at all subsequent court proceeding upon proper notice.

☐ As to charges(s) ___, ___, ___, ___, ___, that it is hereby Ordered arid Adjudged the matter of probable cause is hereby continued until the next First Appearance Hearing after date hereof, at which Hearing, the Arresting Agency shall present any further proof of probable cause that it may possess.

RELEASE ORDER:

The above named Defendant was brought before the undersigned on this date at o'clock M. for a first appearance hearing and the undersigned thereupon informed him/her of the charge against him/her and provided him/her with a copy thereof and also adequately advised him/her that (1) he/she was not required to say anything and that anything he/she did say might be used against him/her (2) if he/she was financially unable to afford an attorney that the Court would appoint one to represent him/her, and (3) he/she had the right to communicate with his/her attorney, his/her friends, and if necessary reasonable means would be provided to enable him/her to do so; and the undersigned having considered all available relevant factors necessary to determine whether bail is necessary to assure Defendant's future appearance, and found that same is _____, necessary, it is upon consideration thereof ORDERED AND ADJUDGED that the Defendant

☐ Be released on his/her own recognizance upon the condition that he/she appear as agreed below.

☐ Be admitted to bail in the amount of $ _____ as to charge A, $ _____ as to charge B, $ _____ as to charge C, $ _____ as to charge D, and $ _____ as to charge E, upon the conditions that he/she appear as agreed below

SPECIAL CONDITIONS OF BAIL

☐ The defendant may not consume or possess alcohol.

☐ The defendant may not operate a motor vehicle.

☐ The defendant must comply with any other written provisions.

☐ DEFENDANT WAIVED RIGHT TO COUNSEL

_____
JUDGE

REFUSAL OF APPOINTMENT OF COUNSEL

( ) I hereby represent to the County that I do not desire the services of the Office of the Public Defender and that I will employ private counsel.

AGREEMENT TO APPEAR

I hereby acknowledge receipt of a copy of the above and I agree and promise to appear in Courtroom _____ of the _____ County Courthouse, in _____, Florida, on the _____ day of _____ 20__ at o'clock, __M. and at such other times as the Court may order, and also agree to notify the Clerk of the Court, in writing, of my new

DATED: _____ ,20___
SWORN TO AND SUBSCRIBED BEFORE

ME THIS_____DAY OF_____ ,20___

_____
Deputy Clerk/Judge

_____
Defendant

_____
Address

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MCCC - CASE INTAKE
MAR 29 '22 AM8:55

22-CF-1168

2022000040909

FLORIDA UNIFORM TRAFFIC CITATION

AFTUTVE

CHECK DIGIT

COUNTY OF: MARION 14

(1) F.H.P. (2) P.D. (3) S.O. OTHER

CITY (IF APPLICABLE): OCALA 40

AGENCY NAME: OCALA P.D.

AGENCY #: 2

IN THE COURT DESIGNATED BELOW THE UNDERSIGNED CERTIFIES THAT HE/SHE HAS JUST AND REASONABLE GROUNDS TO BELIEVE AND DOES BELIEVE THAT ON

COMPLAINT (RETAINED BY COURT)

DAY OF WEEK	MONTH	DAY	YEAR		
MONDAY	03	28	2022	07:21	AM / PM

NAME (PRINT FIRST)	MIDDLE	LAST
HASSAN	SHAMARL	YOUNG

STREET: 8315 SW 135TH STREET RD — IF DIFFERENT THAN ONE ON DRIVER LICENSE X HERE

CITY: OCALA — STATE: FL — ZIP CODE: 34473

TELEPHONE NUMBER	DATE OF BIRTH (MO. DAY YR.)	RACE	SEX	HGT.	ETHNICITY
[redacted]	[redacted]	H	M	6'00"	N

DRIVER LICENSE NUMBER OR OTHER I.D.	Name Change	STATE	CLASS	CDL LICENSE	YR. LICENSE EXP	PERMANENT REGISTRATION	COMMERCIAL VEHICLE
[redacted]	Yes / No	FL	E	Yes / No	2020	Yes / No	Yes / No

YR. VEHICLE	MAKE	STYLE	COLOR	PLACARDED HAZ. MATERIAL
2016	VOLK	4D	WHI	Yes / No

VEHICLE LICENSE NO.	TRAILER TAG NO.	STATE	YEAR TAG EXPIRES	> 15 PASSENGERS
[redacted]		FL	2022	Yes / No

UPON A PUBLIC STREET OR HIGHWAY, OR OTHER LOCATION NAMELY: SW 15TH ST/ SW 27TH AVE

MOTORCYCLE: Yes / No

COMPANION CITATION No (S): Yes / No

FT. _____ MILES _____ N / S / E / W OF NODE _____

DID UNLAWFULLY COMMIT THE FOLLOWING OFFENSE — CHECK ONLY ONE OFFENSE PER EACH CITATION

UNLAWFUL SPEED _____ MPH SPEED APPLICABLE _____ MPH

(INTERSTATE / SCHOOL ZONE / CONSTRUCTION WORKERS PRESENT)

SPEED MEASUREMENT DEVICE:

- CARELESS DRIVING
- VIOLATION OF TRAFFIC CONTROL DEVICE
- FAILURE TO STOP AT A TRAFFIC SIGNAL
- IMPROPER CHANGE OF LANE OR COURSE
- NO PROOF OF INSURANCE
- VIOLATION OF RIGHT-OF-WAY
- CHILD RESTRAINT
- SAFETY BELT VIOLATION
- IMPROPER OR UNSAFE EQUIPMENT
- EXPIRED TAG SIX (6) MONTHS OR LESS
- EXPIRED TAG MORE THAN SIX (6) MONTHS
- IMPROPER PASSING
- EXPIRED DRIVER LICENSE SIX (6) MONTHS OR LESS
- EXPIRED DRIVER LICENSE MORE THAN SIX (6) MONTHS
- NO VALID DRIVER LICENSE
- **DRIVING WHILE LICENSE SUSPENDED**
- DRIVING UNDER THE INFLUENCE
- PASSENGER UNDER 18 YRS.

BAL _____ %

OTHER VIOLATIONS OR COMMENTS PERTAINING TO OFFENSE: DWLSR - KNOWINGLY THIRD OR SUBSEQUNET OFFENSE

RE-EXAM: Yes / No

DISMISSED: Yes / No

AGGRESSIVE DRIVING	IN VIOLATION OF STATE STATUTE SECTION	SUB-SECTION
	322.34(2C)	

CRASH	PROPERTY DAMAGE	INJURY TO ANOTHER	SERIOUS BODILY INJURY TO ANOTHER	FATAL
Yes / No	Yes 200 / No	Yes / No	Yes / No	Yes / No

X CRIMINAL VIOLATION COURT APPEARANCE REQUIRED AS INDICATED BELOW.

INFRACTION COURT APPEARANCE IS REQUIRED AS INDICATED BELOW.

INFRACTION WHICH DOES NOT REQUIRE APPEARANCE IN COURT

CHECK DIGIT

CIVIL PENALTY $ _____ **TO BE SET FELONY CASE**

A.M. / P.M.

COURT INFORMATION — DATE (mm/dd/yyyy) _____ TIME _____

MARION COUNTY COURTHOUSE
COURT

110 NW 1 AVE, OCALA, FL 34475 PHONE:(352) 671-5599
LOCATION

MAIL PAYMENT TO: PO BOX 907 OCALA, FL 34478

ARREST DELIVERED TO: MCJ — DATE: 03 28 2022

I AGREE AND PROMISE TO COMPLY AND ANSWER TO THE CHARGES AND INSTRUCTIONS SPECIFIED IN THIS CITATION. WILLFUL REFUSAL TO ACCEPT AND SIGN THE CITATION MAY RESULT IN ARREST. I UNDERSTAND MY SIGNATURE IS NOT AN ADMISSION OF GUILT OR WAIVER OF RIGHTS. IF YOU NEED REASONABLE FACILITY ACCOMODATIONS TO COMPLY WITH THIS CITATION CONTACT THE CLERK OF THE COURT.

IN CUSTODY

x SIGNATURE OF VIOLATOR (SIGNATURE IS REQUIRED IF INFRACTION REQUIRES APPEARANCE IN COURT)

PO — C Russo
RANK - NAME OF OFFICER

6534 — OPD
I.D. NO. — TROOP/UNIT

X I CERTIFY THIS CITATION WAS DELIVERED TO THE PERSON CITED ABOVE

HSMV 75901 (Rev. 07/12)

If you were charged with a civil infraction, you must complete one of the following options within 30 CALENDAR DAYS, of the date of this citation. If you fail to comply WITHIN 30 CALENDAR DAYS, your driving privilege will be suspended until you comply. You will then be subject to additional penalties.

Option 1: You may pay the civil penalty listed on the front of this citation to the clerk of court. You must enclose this citation if you make payment, which may be a money order or a cashier check: The Clerk of Court **X** does _____ does not accept personal checks. Payment of the civil penalty is considered a conviction and points will be assessed, if applicable. Proof of compliance in the form of driver license or registration certificate, whichever is applicable, is required in addition to payment if you were cited for drivers license expired less than six months, expired tag less than six months, failure to display a valid driver license and failure to display a valid registration.

You will be required to complete a driver improvement course if you are convicted of running a red light or passing a school bus.

Your driving privilege will be suspended if you are convicted of not providing proof of insurance.

Mail payments to: Marion County of Court
PO Box 907
Ocala, FL 34478

You may pay on line at: **www.myfloridacounty.com** for citations that do not require proof of compliance and are less than 30 days old.

Option 2: If you were cited for expired driver license, failure to display a valid driver license, expired tag, failure to possess a valid registration or no proof of insurance, you may show proof to the Clerk of Court that you had a valid driver license, tag/registration, or insurance, whichever is applicable, at the time of the offense. The charge will be dismissed upon payment of dismissal fee.

Option 3: If you were cited for driver license expired 6 months or less, expired tag 6 months or less, failure to display a valid driver license, failure to possess a valid registration, no proof of insurance, or driving while license suspended (see FSS 322.34(10)(A)), you may elect to show proof of compliance to the Clerk of Court in the form of a valid driver license, registration, or proof of insurance, whichever is applicable. You may make only one such election per year and no more than three such elections in your life time. You must pay court costs and adjudication will be withheld.

Option 4: If you do not have a commercial driver license, you may be eligible to elect to complete a Florida driver improvement course. You must contact the Clerk of Court to make this election. You may make only one such election per year and no more than five elections in your lifetime. Please visit **www.flhsmv.gov** for a list of approved courses and to determine your eligibility for this election. Adjudication will be withheld and points will not be assessed. You must pay a civil penalty and court costs. This option is not available for certain traffic offenses, including a driver license, tag and registration violations. Completion of the driver improvement course is required if you are cited for running a redlight/traffic control device, even if you do not make this election.

Option 5: You may elect a court hearing by contacting the Clerk of Court. If you request a hearing and the County Judge/Magistrate/Hearing Officer determines that you have committed the offense, the County Judge/Magistrate/Hearing Officer may impose a penalty of up to $500 (or $1000 if a fatality occurred) and/or require completion of a driver improvement course. Points may be assessed. If it is determined that no infraction has been committed, no cost or penalties shall be imposed.

Option 6: If you were cited with a non-criminal violation for operating a motor vehicle in an unsafe condition (FSS 316.610) or not properly equipped (FSS 316.610 or FSS 316.2935) you may have the defect corrected, then contact your local county or city law enforcement agency to have the correction certified below. You must pay the local law enforcement agency $_____ for this service. You may then mail or present this affidavit of compliance along with $_____ to the Clerk of Court within 30 calendar days of the date of the citation. No points will be assessed. This option does not apply to commercial motor vehicles or a transit bus owned by a government entity.

FAULTY EQUIPMENT AFFIDAVIT OF COMPLIANCE
(Law Enforcement use only)

I certify that the defective equipment described herein have been corrected and complies with the requirements of the Florida traffic laws.

Date:_____ ASSIGNED DHSMV AGENCY #: _____

Signed:_____
(Name, Title, ID#)

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MCCC - CASE INTAKE
MAR 29 '22 PM3:57

MAR 29 2022

IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
OF THE STATE OF FLORIDA, IN AND FOR MARION COUNTY

STATE OF FLORIDA CASE NO 2022-CF-001168-A

vs.

HASSAN SHAMARL YOUNG (A) B/M, [redacted]

MCCC - CASE INTAKE
APR 20 '22 AM10:08

INFORMATION

IN THE NAME AND BY THE AUTHORITY OF THE STATE OF FLORIDA:

WILLIAM M GLADSON, State Attorney for the Fifth Judicial Circuit of the State of Florida, in and for Marion County prosecuting for the State of Florida, by and through the undersigned Assistant State Attorney, in the said County, under oath, information makes that, in the County of Marion, and the State of Florida:

COUNT I: DRIVING W/LICENSE CANCELED/SUSPENDED/REVOKED (F3)
322.34(5) and 322.264

HASSAN SHAMARL YOUNG on or about March 28, 2022, did, while his driver's license was canceled, suspended or revoked pursuant to Florida Statute 322.264 (habitual offender), drive a motor vehicle upon the highways of this state, in violation of Florida Statutes 322.34(5) and 322.264

STATE OF FLORIDA, COUNTY OF MARION

Personally appeared before me, WILLIAM M GLADSON, State Attorney for the Fifth Judicial Circuit, State of Florida, in and for Marion County, or his duly designated Assistant State Attorney, who first being sworn, says that the allegations as set forth in the foregoing information are based upon facts that have been sworn to as true, and which if true, would constitute the offense therein charged. Prosecution instituted in good faith and subscribed under oath, certifying he or she has received testimony under oath from the material witness or witnesses of the offense.

ERIC ANDREW BRANDIES
Assistant to William M Gladson State Attorney,
Fifth Judicial Circuit of Florida
Florida Bar No. 1025327

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Sworn to and subscribed before me this 19th day of April, 2022.

Affiant Personally Known to Notary Public

ALAXZANDREA C. OWER
Commission # HH 223586
Expires February 2, 2026

FCIC REFERENCE NUMBERS:
1) DRIVING W/LICENSE CANCELED/SUSPENDED/REVOKED 5405

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STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-
HASSAN SHAMARL YOUNG
Defendant.

UCN: 422022CF001168CFAXXX
Case Number: 22CF001168AX
OBTS#:
4203200772

Judgment

☐ PROBATION VIOLATOR/COMMUNITY CONTROL VIOLATOR
☐ RESENTENCE ☐ RETRIAL

The defendant HASSAN SHAMARL YOUNG being personally before the court represented by NICHOLAS D REIDER, the attorney of record and the state represented by Eric Andrew Brandies and having entered a plea of Nolo-Contendere to the following crime(s):

CNT #	CHARGE		LVL DGR
1	322.34.5	DRIVE WHILE LICENSE REVOKED AS HABITUAL OFFENDER	Felony Third Degree

☐ The PROBATION/COMMUNITY CONTROL previously ordered in this case is revoked.
☐ The PRIOR ADJUDICATION OF GUILT IN THIS CASE IS CONFIRMED and no cause having been shown why the defendant should not be adjudicated guilty.

It is ordered that Adjudication of Guilt be Withheld.

[redacted]

IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT,
IN AND FOR MARION COUNTY, FLORIDA

STATE OF FLORIDA

Case Number: 422022CF001168CFAXXX

vs.

HASSAN SHAMARL YOUNG

CHARGES/COSTS/FEES

The Defendant is hereby ordered to pay the following:

Mandatory Public Defender Fee(s)

$50.00 Pursuant to Section 27.52 Florida Statutes (Public Defender Application Fee)

Fine(s) and Fine Surcharges Ordered Per Count

$250.00 Adjudication Withheld-Circuit Criminal-Pursuant to 775.083 as to Count 1

$12.50 5% Surcharge Crimes Compensation-Withhold-Pursuant to 938.04 as to Count 1

Mandatory Costs per Case in All Cases

$325.00 Felony Court Costs-Pursuant to 938.03 (Crime Compensation Trust Fund), 938.05 (Additional Court Costs), and 775.083(2) (Crime Prevention)

Costs Ordered by Court per Count

$93.00 Circuit Crim Per Count Costs-Withhold-$3.00 per Section 938.01 (Criminal Justice Clearing Trust Fund, $20.00 pursuant to Section 938.06 for Crimestoppers Trust Fund, $2.00 pursuant to Section 938.15 and Marion County Ordinance Sec. 1-10.1 for Criminal Justice Education for Local Government, $3.00 pursuant to Section 938.19 and Marion County Ordinance Sec. 1-10.1 for Teen Court, and $65.00 pursuant to Section 939.185 and Marion County Ordinance Sec. 1-10.3 for Additional Court Costs for County Programs

Discretionary Costs per Case in Specific Types of Cases

$150.00 Costs Of Prosecution-Pursuant to 938.27(8) (Prosecution/Investigation Costs per case)

$100.00 Public Defender Lien-Pursuant to 938.29 for Public Defender Fees as ordered by the Court.

Other

$13.00 Recording Fee-Charge Cost Fee Page Cf-Pursuant to 28.24 and 939.185

DONE AND ORDERED in open court at Ocala, Marion County, Florida on August 26, 2022.

PETER M. BRIGHAM
CIRCUIT JUDGE

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STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-
HASSAN SHAMARL YOUNG
Defendant.

UCN: 422022CF001168CFAXXX
Case Number: 22CF001168AX

Sentence

(As To Count 1)

The defendant, being personally before this court, accompanied by the defendants' attorney of record, NICHOLAS D REIDER and having been ADJ WITHHELD BY JUDGE herein, and the court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why the defendant should not be sentenced as provided by law, and no cause being shown,

(Check applicable provision)

☐ and the court having on 08/26/2022 deferred imposition of sentence until this date 08/26/2022

☐ and the court having previously entered a judgment in this case on _____ now resentences the defendant

☐ and the court having placed the defendant on probation/community control and having subsequently revoked the defendant's probation/community control

IT IS SENTENCE OF THE COURT that:

The Defendant is hereby committed to the custody of the Sheriff of MARION County Florida.

☐ The defendant pay a fine pursuant to section 775.083, Florida Statutes, plus a 5% surcharge pursuant to section 938.04 Florida Statutes.

☐ The defendant is sentenced as a youthful offender in accordance with section 958.04, Florida Statutes.

TO BE IMPRISONED:

For a term of Minimum 0.000 year(s) 0.000 month(s) 0.000 day(s) Maximum 55.000 day(s)

In the event the defendant is ordered to serve additional split sentences, all incarcerations portions shall be satisfied before the defendant begins service to the supervision terms.

COMMUNITY CONTROL/PROBATION:

Community Control
For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)
Probation
For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)

STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-
HASSAN SHAMARL YOUNG
Defendant.

UCN: 422022CF001168CFAXXX
Case Number: 22CF001168AX

Special Provisions
(As To Count 1)

It is further ordered that the defendant shall be allowed a total of 55.000 day(s) credit for such time incarcerated before imposition of this sentence.

CREDIT FOR TIME SERVED IN RESENTENCING AFTER VIOLATION OF PROBATION OR COMMUNITY CONTROL:

☐ It is further ordered that the defendant be allowed ____ days time served between date of arrest as a violator following release from prison to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served and unforfeited gain time previously awarded on:
(Offenses committed before October 1, 1989.)

☐ It is further ordered that the defendant be allowed ____ days time served between date of arrest as a violator following release from prison to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served on:
(Offenses committed between October 1, 1989, and December 31, 1993.)

☐ The Court deems the unforfeited gain time previously awarded on the above case/count forfeited under section 948.06(7), Florida Statutes.

☐ The Court allows unforfeited gain time previously awarded on the above case/count. (Gain time may be subject to forfeiture by the Department of Corrections under section 944.28(1), Florida Statutes.

☐ It is further ordered that the defendant be allowed ____ days time served between date of arrest as a violator following release from prison to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served only pursuant to section 921.0017, Florida Statutes, on:
(Offenses committed on or after January 1, 1994.)

STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-

UCN: 422022CF001168CFAXXX
Case Number: 22CF001168AX

HASSAN SHAMARL YOUNG
Defendant.

Signature Page

In the event the above sentence is to Department of Corrections, the Sheriff of MARION County Florida, is hereby ordered and directed to deliver the defendant to the Department of Corrections at the facility designated by the department together with a copy of this judgment and sentence and any other documents specified by Florida Statute.

The defendant in open court was advised of the right to appeal from this sentence by filing notice of appeal within thirty days from this date with the clerk of this court and the defendant's right to the assistance of counsel in taking the appeal at the expense of the state on showing of indigence.

In imposing the above sentence, the court further orders:
CONCURRENT AS TO EACH COUNT AND 22-CF-1168 AND 22-CF-2539

DONE and ORDERED in open court at Ocala, Marion County, Florida on 08/26/2022

PETER M BRIGHAM, Circuit Judge

Arrest Report

FLORIDA HIGHWAY PATROL
600 S.E. 25TH AVE., OCALA, FL 34471

22CF2539 W

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
7/5/2022 12:42 AM	FHP99ARR850685	FHP22ON0338512 / RCC22IN0499070	RODRIGUEZ GONZALEZ,

Originating Agency ORI	Occur Date Time Range	Jurisdiction
	07/04/2022 23:51:56 -	

OBTS Number	Other Number	Clearance
L20320497		

Location of Occurrence

County	Location Type	Location Description
MARION	PUBLIC PLACE	

Street Number	Street	Apt/Lot/Bldg	City	State	Zip Code
	NW 35TH ST		OCALA	FL	34475

Defendant

First Name	Middle Name	Last Name	Suffix	Race	Sex	Height	Weight	Hair	Eyes
HASSAN	SHAMARL	YOUNG		B	MALE	600			

MNI #	SSN	Date of Birth	Age	ID Type	Drivers License or other ID	State	OCA / Agency ID
		[redacted]	29		[redacted]	FL	

Place of Birth:	

Address
* / 8315 SW 135TH STREET RD , OCALA, FL 34473 /

Arrest

Arrest Date/Time	Arrest Location Type	Arrest Location Description
7/5/2022 12:15:25 AM	PUBLIC PLACE	

Street Number	Street	Apt/Lot/Bldg	County	City	State	Zip Code
	NW 35TH ST		MARION	OCALA	FL	34475

Charge : S

Counts	Charge	Bond Amount	
1	322.34.5	$2000.00	☐ No Bond

Charge Degree	Charge Level
T	FELONY
General Offense Code	Arrest Offense Code
	MOVING TRAFFIC VIOL

Charge Description
DRIVE WHILE LIC SUSP HABITUAL OFFENDER

Is Arrestee Juvenile?	No
Type of Arrest	On-View Arrest (apprehension without a warrant or previous incident report)

EXHIBIT #2
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1444541

MCCC - CASE INTAKE
JUL 6 '22 AM7:03

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
7/5/2022 12:42 AM	FHP99ARR850685	FHP22ON0338512 / RCC22IN0499070	RODRIGUEZ GONZALEZ,

Originating Agency ORI	Occur Date Time Range	Jurisdiction
	07/04/2022 23:51:56 -	

OBTS Number	Other Number	Clearance

Bond Set by Court

Bond Amount	
	☐ No Bond

Bond Type(s)

Probable Cause

On 7/4/2022 I Trooper Rodriguez was patrolling the area of Marion County in my marked Florida Highway Patrol unit FHP0730. At about 11PM I was facing east on Northwest 35th Street near the intersection of Northwest 35th Avenue Road. I was stationary in the left turn lane facing east on Northwest 35th Street. I observed a white in color vehicle traveling north on Northwest 35th Avenue Road. The white vehicle failed to stop at a stop sign at the intersection of Northwest 35th Avenue Road and Northwest 35th Street. I activated the emergency lights and sirens of my marked patrol vehicle and attempted a traffic stop on the white vehicle. The white vehicle was bearing a Florida tag of [redacted] The vehicle was a white Volkswagen Jetta. Once I activated my emergency lights and sirens the vehicle came to a stop in the right lane of Northwest 35th Street. When I approached the vehicle I observed the driver was not wearing a seat belt. I advised the driver I was Trooper Rodriguez with the Florida Highway Patrol and that the reason I pulled him over was for failing to come to a complete a stop at a stop sign. When I asked the driver for his Drivers licence he advised he did not have one. I asked the driver for his full name and date of birth so that I could identify who he was. Driver advised his full name was Hassan Shamarl Young Date of Birth: [redacted] I was able to run the drivers information through FCIC/NCIC. FCIC/NCIC advised Mr. Young did not have a Florida Drivers Licence and all of the following:

04/15/2019 CANC-INDEF DL CANCELLED -F.S. 322.08 (7)
03/08/2022 CANC-INDEF DL CANCELLED -F.S. 322.08 (7)
06/19/2018 REV-180 DAYS DRIVING UNDER THE INFLUENCE A9OQN8E
11/20/2018 REV-60.0 MOS HABITUAL TRAFFIC VIOLATOR
10/24/2018 SUSP-INDEF CRIMINAL-FAIL TO PAY A8MME8E CO:14 CT:1
11/13/2018 SUSP-INDEF FAILED TO PAY TRAFFIC FINE(PENALTY) A47IBAE CO:14 CT:1
04/29/2019 SUSP-INDEF FAILED TO PAY TRAFFIC FINE(PENALTY) A7GBBGE CO:14 CT:1
05/07/2019 SUSP-INDEF FAILED TO PAY TRAFFIC FINE(PENALTY) A84GKIE CO:14 CT:4
10/18/2021 SUSP-INDEF CRIMINAL-FAIL TO PAY A1PX2EP CO:14 CT:1
10/18/2021 SUSP-INDEF CRIMINAL-FAIL TO PAY A47IB9E CO:14 CT:1
10/25/2021 SUSP-INDEF CRIMINAL-FAIL TO PAY A9OQN8E CO:14 CT:1
07/26/2018 FR-SUSP NON-JUDGEMENT SUSPENSION 430277584
11/20/2018 FR-SUSP NON-JUDGEMENT SUSPENSION 630687010

I asked the Florida Highway Patrol dispatch (Jacksonville Regional Communications Center) to run a criminal history on Mr. Young. The criminal history showed Mr. Young has been convicted of two previous DWLSR. Mr. Young is also a Habitual traffic Offender.
Mr. Young was place under arrest at 0015 of 7/5/2022 for Florida Statute 322.34.5.
Mr. Young was transported to the Marion County Jail in my marked Florida Highway Patrol unit

EXHIBIT #2
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MCCC - CASE INTAKE
JUL 6 '22 AM 7:03

Report Date / Time	Report Number	Case Number/Cad Number	Reporting Officer Name
7/5/2022 12:42 AM	FHP99ARR850685	FHP22ON0338512 / RCC22IN0499070	RODRIGUEZ GONZALEZ,

Originating Agency ORI	Occur Date Time Range	Jurisdiction
	07/04/2022 23:51:56 -	

OBTS Number	Other Number	Clearance

FHP0730. Starting and ending mileage of the transport was recorded by the Florida Highway Patrol dispatch (Jacksonville Regional Communications Center). Mr. Young was booked into the Marion County Jail with no issues. All of the above events occurred in Marion County, Florida. All of the events above were recorded by the in car cameras of Florida Highway Patrol unit FHP0730.

Jail Booking Facility

Booking Date/Time	Booking County	Booking Facility	Booking Facility Phone
7/5/2022 12:45 AM	MARION	MARION COUNTY JAIL	(352) 351-8077

Booking Facility Location	Booking Number
700 NW 30TH AVE. OCALA, FLORIDA 34475-5600	

Booking Comments

Court

Court County	Court Location
MARION	110 NW 1ST AVENUE OCALA, FLORIDA 34475

Court	Court Phone	Court Appereance Date / Time	Court Fine
MARION COUNTY COURT	(352) 671-5599		

Comments

MARION COUNTY COURTPOST OFFICE BOX 907OCALA, FLORIDA 34478(352) 671-5599
SEE INSTRUCTIONS ON RIGHT IF NO COURT APPEARANCE REQUIRED PAYABLE ONLINE AT:WWW.MYFLORIDACOUNTY.COM

Officer Name / Rank / ID #	Involvement On Report / Reporting Role	Officer Agency / Org/Unit
RODRIGUEZ GONZALEZ, TPR 4936	REPORTING OFFICER	FLORIDA HIGHWAY PATROL FHPB\JRCC\MARION\COUNTY WIDE

The undersigned certifies and swears that he/she has just and reasonable grounds to believe that the above named Defendant, committed violation(s), of law, on the below date(s) and time(s), as listed in the probable cause associated with this report:

Reporting Officer

Officer Name	Office Rank	Officer ID No
RODRIGUEZ GONZALEZ,	TPR	4936

Officer Agency
FLORIDA HIGHWAY PATROL

Officer Signature

Sworn and subscribed before me, the undersigned authority
This the 5 day of JULY, 2022
DEPUTY OF THE COURT, NOTARY OR LAW ENFORCEMENT

◯ No Bill / Petition ◯ Issue Warrant ◯ Prosecution Approved

Signature of Assistant State Attorney Date

EXHIBIT #2
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MCCC - CASE INTAKE
JUL 6 '22 AM7:04

CASE NUMBER: FHP22ON033851 2

NAME OF INMATE: Young, Hassan **OBTS:** 4/2032042977

Indication of: Alcohol: Drug:	Weapon Seized:	Juvenile Disposition:

Jail Log: (To be completed by booking officer)	Jail Inmate #:

Date Booked: 7-5-22	Time Booked: 237	Booking Officer: 5726	Fingerprinted By: 6364
Photographed By: 6200	Bin Number:	Advised of Rights By:	
Check for Warrants: ☒ NCIC ☒ PCIC ☒ Local	Holds: ☐ Yes ☒ No	Agency of Hold:	
Attorney (if known):	Religion: Muslim	Maritial Status: ☒ S ☐ M ☐ D ☐ Sep	
Telephone call logged: Time AM PM # ( )			
Next Of Kin [redacted]	Relation: Girlfriend	Relation Phone: [redacted]	
Relation Address:			
Bond Date:	Returnable Court Date:	Returnable Court Time:	Release Date:
Release Time: AM/PM	Releasing Officer:	Bond, Charge A:	Charge B:
Charge C:	Charge D:	Charge E:	Bond Type: ☐ ROR ☐ SURETY ☐ CASH
Name Address of Bondsman:			☐ BAIL BOND ☐ CERT ☐ Other

ORIGINAL

MCCC - CASE INTAKE
JUL 6 '22 AM 7:04

EXHIBIT #2
PAGE 16/24

IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
OF THE STATE OF FLORIDA, IN AND FOR MARION COUNTY

STATE OF FLORIDA CASE NO 2022-CF-002539-A

vs.

HASSAN SHAMARL YOUNG (A) H/M, [redacted]

INFORMATION

IN THE NAME AND BY THE AUTHORITY OF THE STATE OF FLORIDA:

WILLIAM M GLADSON, State Attorney for the Fifth Judicial Circuit of the State of Florida, in and for Marion County prosecuting for the State of Florida, by and through the undersigned Assistant State Attorney, in the said County, under oath, information makes that, in the County of Marion, and the State of Florida:

COUNT I: DRIVING W/LICENSE CANCELED/SUSPENDED/REVOKED (F3)
322.34(5) and 322.264

HASSAN SHAMARL YOUNG on or about July 4, 2022, did, while his driver's license was canceled, suspended or revoked pursuant to Florida Statute 322.264 (habitual offender), drive a motor vehicle upon the highways of this state, in violation of Florida Statutes 322.34(5) and 322.264

MCCC - CASE INTAKE
JUL 25 '22 AM 11:35

STATE OF FLORIDA, COUNTY OF MARION

Personally appeared before me, WILLIAM M GLADSON, State Attorney for the Fifth Judicial Circuit, State of Florida, in and for Marion County, or his duly designated Assistant State Attorney, who first being sworn, says that the allegations as set forth in the foregoing information are based upon facts that have been sworn to as true, and which if true, would constitute the offense therein charged. Prosecution instituted in good faith and subscribed under oath, certifying he or she has received testimony under oath from the material witness or witnesses of the offense.

WILLIAM M GLADSON
State Attorney

ERIC ANDREW BRANDIES
Assistant State Attorney
Fifth Judicial Circuit of Florida
Florida Bar No. 1025327

EXHIBIT #2
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Sworn to and subscribed before me this 22 day of July, 2022.

Affiant Personally Known to Notary Public

TRACY STR...
Commission # HH 27...
Expires July 16, 2026

FCIC REFERENCE NUMBERS:
1) DRIVING W/LICENSE CANCELED/SUSPENDED/REVOKED 5405

EXHIBIT #2
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STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-
HASSAN SHAMARL YOUNG
Defendant.

UCN: 422022CF002539CFAXXX
Case Number: 22CF002539AX
OBTS#:
4203204297

Judgment

☐ PROBATION VIOLATOR/COMMUNITY CONTROL VIOLATOR
☐ RESENTENCE ☐ RETRIAL

The defendant HASSAN SHAMARL YOUNG being personally before the court represented by NICHOLAS D REIDER, the attorney of record and the state represented by Eric Andrew Brandies and having entered a plea of Nolo-Contendere to the following crime(s):

CNT #	CHARGE		LVL DGR
1	322.34.5	DRIVE WHILE LICENSE REVOKED AS HABITUAL OFFENDER	Felony Third Degree

☐ The PROBATION/COMMUNITY CONTROL previously ordered in this case is revoked.
☐ The PRIOR ADJUDICATION OF GUILT IN THIS CASE IS CONFIRMED and no cause having been shown why the defendant should not be adjudicated guilty.

It is ordered that Adjudication of Guilt be Withheld.

IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT,
IN AND FOR MARION COUNTY, FLORIDA

STATE OF FLORIDA

Case Number: 422022CF002539CFAXXX

vs.

HASSAN SHAMARL YOUNG

CHARGES/COSTS/FEES

The Defendant is hereby ordered to pay the following:

Mandatory Public Defender Fee(s)

$50.00 Pursuant to Section 27.52 Florida Statutes (Public Defender Application Fee)

Fine(s) and Fine Surcharges Ordered Per Count

$250.00 Adjudication Withheld-Circuit Criminal-Pursuant to 775.083 as to Count 1

$12.50 5% Surcharge Crimes Compensation-Withhold-Pursuant to 938.04 as to Count 1

Mandatory Costs per Case in All Cases

$325.00 Felony Court Costs-Pursuant to 938.03 (Crime Compensation Trust Fund), 938.05 (Additional Court Costs), and 775.083(2) (Crime Prevention)

Costs Ordered by Court per Count

$93.00 Circuit Crim Per Count Costs-Withhold-$3.00 per Section 938.01 (Criminal Justice Clearing Trust Fund, $20.00 pursuant to Section 938.06 for Crimestoppers Trust Fund, $2.00 pursuant to Section 938.15 and Marion County Ordinance Sec. 1-10.1 for Criminal Justice Education for Local Government, $3.00 pursuant to Section 938.19 and Marion County Ordinance Sec. 1-10.1 for Teen Court, and $65.00 pursuant to Section 939.185 and Marion County Ordinance Sec. 1-10.3 for Additional Court Costs for County Programs

Discretionary Costs per Case in Specific Types of Cases

$150.00 Costs Of Prosecution-Pursuant to 938.27(8) (Prosecution/Investigation Costs per case)

$100.00 Public Defender Lien-Pursuant to 938.29 for Public Defender Fees as ordered by the Court.

Other

$13.00 Recording Fee-Charge Cost Fee Page Cf-Pursuant to 28.24 and 939.185

DONE AND ORDERED in open court at Ocala, Marion County, Florida on August 26, 2022.

PETER M. BRIGHAM
CIRCUIT JUDGE

EXHIBIT #2
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STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-
HASSAN SHAMARL YOUNG
Defendant.

UCN: 422022CF002539CFAXXX
Case Number: 22CF002539AX

Sentence
(As To Count 1)

The defendant, being personally before this court, accompanied by the defendants' attorney of record, NICHOLAS D REIDER and having been ADJ WITHHELD BY JUDGE herein, and the court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why the defendant should not be sentenced as provided by law, and no cause being shown,

(Check applicable provision)

☐ and the court having on 08/26/2022 deferred imposition of sentence until this date 08/26/2022

☐ and the court having previously entered a judgment in this case on _____ now resentences the defendant

☐ and the court having placed the defendant on probation/community control and having subsequently revoked the defendant's probation/community control

IT IS SENTENCE OF THE COURT that:

The Defendant is hereby committed to the custody of the Sheriff of MARION County Florida.

☐ The defendant pay a fine pursuant to section 775.083, Florida Statutes, plus a 5% surcharge pursuant to section 938.04 Florida Statutes.

☐ The defendant is sentenced as a youthful offender in accordance with section 958.04, Florida Statutes.

TO BE IMPRISONED:

For a term of Minimum 0.000 year(s) 0.000 month(s) 0.000 day(s) Maximum 53.000 day(s)

In the event the defendant is ordered to serve additional split sentences, all incarcerations portions shall be satisfied before the defendant begins service to the supervision terms.

COMMUNITY CONTROL/PROBATION:

Community Control
For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)
Probation
For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)

STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-
HASSAN SHAMARL YOUNG
Defendant.

UCN: 422022CF002539CFAXXX
Case Number: 22CF002539AX

Special Provisions
(As To Count 1)

It is further ordered that the defendant shall be allowed a total of 53.000 day(s) credit for such time incarcerated before imposition of this sentence.

CREDIT FOR TIME SERVED IN RESENTENCING AFTER VIOLATION OF PROBATION OR COMMUNITY CONTROL:

☐ It is further ordered that the defendant be allowed ____ days time served between date of arrest as a violator following release from prison to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served and unforfeited gain time previously awarded on:
(Offenses committed before October 1, 1989.)

☐ It is further ordered that the defendant be allowed ____ days time served between date of arrest as a violator following release from prison to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served on:
(Offenses committed between October 1, 1989, and December 31, 1993.)

☐ The Court deems the unforfeited gain time previously awarded on the above case/count forfeited under section 948.06(7), Florida Statutes.

☐ The Court allows unforfeited gain time previously awarded on the above case/count. (Gain time may be subject to forfeiture by the Department of Corrections under section 944.28(1), Florida Statutes.

☐ It is further ordered that the defendant be allowed ____ days time served between date of arrest as a violator following release from prison to the date of resentencing. The Department of Corrections shall apply original jail time credit and shall compute and apply credit for time served only pursuant to section 921.0017, Florida Statutes, on:
(Offenses committed on or after January 1, 1994.)

Submit to DNA Test

STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA

STATE OF FLORIDA,
-vs-

UCN: 422022CF002539CFAXXX
Case Number: 22CF002539AX

HASSAN SHAMARL YOUNG
Defendant.

Signature Page

In the event the above sentence is to Department of Corrections, the Sheriff of MARION County Florida, is hereby ordered and directed to deliver the defendant to the Department of Corrections at the facility designated by the department together with a copy of this judgment and sentence and any other documents specified by Florida Statute.

The defendant in open court was advised of the right to appeal from this sentence by filing notice of appeal within thirty days from this date with the clerk of this court and the defendant's right to the assistance of counsel in taking the appeal at the expense of the state on showing of indigence.

In imposing the above sentence, the court further orders:
CONCURRENT AS TO EACH COUNT AND 22-CF-1168 AND 22-CF-2539

DONE and ORDERED in open court at Ocala, Marion County, Florida on 08/26/2022

PETER M BRIGHAM, Circuit Judge

[redacted]

EXHIBIT #2
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Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [redacted]
Date of Birth:
First Name: hassan
Middle Name:
Last Name: young
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

EXHIBIT #4
PAGE 1/7

FLORIDA GAMING CONTROL COMMISSION

JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

December 11, 2023

Mr. Hassan Shamarl Young
4037 Northwest Blichton Road Apt 74d
Ocala, Florida 34475

RE: Application No. 74322, Entity 13827705
1012 - Cardroom Employee Occupational

Dear Mr. Young:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application for the following charges:
  - Marion County, FL – 06/20/2012, 03/28/2022, 07/05/2022

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 04/09/2024, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

TL
Operations Analyst II

Driver License Check

As of Apr 15, 2024, at 1:43 PM driver license number [redacted] **IS SUSPENDED, REVOKED, CANCELED, or DISQUALIFIED** and **EXPIRED.** This license is a Class E. Restrictions are A. Endorsements are (NONE ON RECORD). Motorcycle endorsements are (NONE ON RECORD).

After review of your driver record, it is determined that you are ineligible for remote services. An in-person visit is necessary to assist you with obtaining services.

Please visit online the FLHSMV County Locations to book an in-office appointment.

You are eligible for Driving School Election. Information regarding Driving School Election can be found at the HSMV Home Page – Driver License – Driving Courses.

Your Personal Information in Florida motor vehicle and driver records is blocked in accordance with the Driver Privacy Protection Act.

Your Social Security Number has been verified. Thank you.

COURT SUSPENSION(s) - Court Requirements Not Met				Status: EFFECTIVE
Description	**Effective Date**	**Ticket Number**	**County/State**	**Court Address and Phone Number**
CRIMINAL-FAIL TO PAY	10/24/2018	A8MME8E	MARION	P.O. BOX 907 OCALA (352)671-5604
FAILED TO PAY TRAFFIC FINE(PENALTY)	11/13/2018	A47IBAE	MARION	P.O. BOX 907 OCALA (352)671-5604
FAILED TO PAY TRAFFIC FINE(PENALTY)	4/29/2019	A7GBBGE	MARION	P.O. BOX 907 OCALA (352)671-5604
FAILED TO PAY TRAFFIC FINE(PENALTY)	5/7/2019	A84GKIE	MARION	P.O. BOX 907 OCALA (352)671-5604
CRIMINAL-FAIL TO PAY	10/18/2021	A1PX2EP	MARION	P.O. BOX 907 OCALA (352)671-5604
CRIMINAL-FAIL TO PAY	10/18/2021	A47IB9E	MARION	P.O. BOX 907 OCALA (352)671-5604
CRIMINAL-FAIL TO PAY	10/25/2021	A9OQN8E	MARION	P.O. BOX 907 OCALA (352)671-5604
FAILED TO PAY TRAFFIC FINE(PENALTY)	9/26/2022	AFPQS3E	MARION	P.O. BOX 907 OCALA (352)671-5604
FAILED TO PAY TRAFFIC FINE(PENALTY)	9/26/2022	AFPQS4E	MARION	P.O. BOX 907 OCALA (352)671-5604
FAILED TO PAY TRAFFIC FINE(PENALTY)	12/5/2022	AFRWKIE	ALACHUA	201 EAST UNIVERSITY AVENUE GAINESVILLE (352)374-3609
Click Here for information to resolve these effective court suspensions, court requirements not met.				

Suspensions, Revocations, Cancellations, Disqualifications					
Description	**Effective Date**	**Type**	**County/State**	**Case Number**	**Status**
DL CANCELLED -F.S. 322.08 (7)	4/15/2019	Cancellation	FL	-	EFFECTIVE
DL CANCELLED -F.S. 322.08 (7)	3/8/2022	Cancellation	FL	-	EFFECTIVE
FAIL TO COMPLETE SUBS ABUSE TREATMENT	9/14/2022	Cancellation	FL	-	EFFECTIVE
HABITUAL TRAFFIC OFFENDER	11/20/2018	HTO Revocation	-	-	EFFECTIVE
DRIVING UNDER THE INFLUENCE	6/19/2018	Revocation	MARION	-	EFFECTIVE
Fr HTO	11/20/2018	Fr Suspension	-	630687010	EFFECTIVE
Fr Conviction	7/26/2018	Fr Suspension	-	430277584	EFFECTIVE
Click Here for information to resolve these and other actions.					

Would you like to look up another record?

EXHIBIT #4
PAGE 7/7

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

VR Home > License Search > **License Home**

Licensee | History | Notes | Notes History | Back

License

Fed Tax #	[redacted]	Lic Type	**1012 - Cardroom Employee Occupational**	Expires On	
File #	**15146**	Name	**Young, Hassan Shamarl**	Extended To	
License #		Rank		Renewed On	
Entity #	**13827705**	Lic Status	**Applicant**		

Address

Street # **4726** Street **SOUTHWEST 65TH PLACE**

Line 2

Line 3

City **OCALA** State **FL** Zip **34474**

Routing

Other

1st License Date		Rank Date		Certificate #	
Method	**- -**	Status Date		Certificate Date	
Fee Exempt	**No**	Birth Date	[redacted]	Renewal Sent	

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
No items found.			

Alt Keys

BEST LIC NBR

Get Adobe Reader.

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Joseph Klein, Senior Attorney
Re: Jeffery R. Evans; Case No. 2024-018886
Date: May 15, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks to deny the application of Jeffery R. Evans (the "Applicant") for a Pari-Mutuel General Individual Occupational License (the "Application"). Upon review of the Application, it appears Applicant has been convicted of two felony offenses in Miami-Dade County. The Executive Director of the Commission reviewed the file along with the waiver interview notes and declined to waive the statutory restrictions excluding offenders. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Letter of License Denial.

Pertinent Facts

On January 2, 2024, the Applicant submitted an application for a Pari-Mutuel General Individual Occupational License to the Division. The Division issued a deficiency letter to the Applicant on January 18, 2024, requesting that he provide information, court disposition records, and arrest reports regarding an arrest in Miami-Dade County on September 13, 1994, and a second Miami-Dade County arrest on September 19, 2005.

On February 7, 2024, a second deficiency letter was sent by the Division to the Applicant requesting more information on the foregoing arrests. On March 20, 2024, the Division sent a third deficiency letter to the Applicant, requesting a copy of the arrest report for his September 13, 1994, arrest.

Upon review of the completed Application, it appears that the Applicant has been convicted of the following felony offense in Miami-Dade County, Florida:

- January 15, 1997: Cocaine Possession
- October 12, 2006: Cocaine/Sell/Del/Possession w/Intent/1000 Ft. of School

These felony convictions are disqualifying offenses pursuant to section 550.105(5)(b), Florida Statutes.

The Applicant submitted a request for waiver of the restrictions excluding offenders with disqualifying offenses on January 23, 2024. On April 12, 2024, a Division investigator conducted a waiver interview of the Applicant and then documented the interview in a report submitted to the Executive Director of the Commission for consideration.

On April 25, 2024, the Executive Director, having reviewed the waiver report and all relevant information and documents, declined to waive the restrictions excluding offenders.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

> …[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is

not a capital offense, the restrictions excluding offenders may be waived by the director of the commission.

Staff Recommendation: The Florida Gaming Control Commission may deny the Application or declare the Applicant ineligible for a Pari-Mutuel General Individual Occupational License upon a finding of a disqualifying offense under section 550.105(5), Florida Statutes. Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial to Jeffrey R. Evans in this matter.

ROUTING SLIP
REQUEST FOR WAIVER

RE: EVANS, JEFFERY R. – LIC # 251593
(APPLICANT'S NAME – LICENSE #)

Case No: 2024 01 8886

1022 / Hot Walker
Occupation Code and Job Title

Palm Meadows Training Center
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: JUNE 30, 2024
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 4/17/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: sl 4/18/24 _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

[✓] Yes or [ ] No If yes, in what jurisdiction? unpaid court costs in Florida

Executive Director: LT 4/25/24
(Initial & Date)

[ ] Prepare Waiver or [✓] Prepare File for Commission Review

Comments: _____

Investigative Findings:

September 13, 1994	Hialeah Police Department, Hialeah Florida – Possession of Cocaine and Possession of Drug Paraphernalia – Felonies – Pled Guilty – Adjudication Withheld on January 15, 1997 – Assessed $258.00 in Court fines that remain unpaid.
August 19, 2005	Hialeah Police Department, Hialeah Florida – Cocaine-Sell [within] 1000 Ft. of School and Possession of Cocaine – Felonies – Possession of Cocaine charge was dropped on September 19, 2005 - Pled Guilty to Cocaine-Sell [within] 1000 Ft. of School on October 12, 2006, – Assessed $498.00 in Court fines which have been paid in full.

*Please attach Routing Slip to front of case file

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jhodge**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1022 - Pari-Mutuel General Individual Occupational**			Status	**165 Supervisor Review**	Status Date	**04/17/2024**
Complaint #	**2024018886**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**EVANS, JEFFREY R**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**	☐ Parties	☑ Activities
Form	**WALK - Walk-in**	Priority	**1**	☑ Allegations	☐ Discipline
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**	☑ Violations	☐ Compliance
Security	**STND - Standard**	Incident	**01/23/2024**	☐ Related	☑ Disposition
Region	**SR - Southern Region**	Received	**03/29/2024**	☐ Inspection	
Reference				☐ Costs	
Entered	**04/01/2024**	Entered By	**jhodge**	☐ Time Tracking	Auto Assign
Summary	**326 - PALM MEADOWS TRAINING CENTER**			☐ Attachments	History
Updated	**04/17/2024 11:15:35**	By	**bjones**	☐ Work Notes	Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.

ROUTING SLIP
REQUEST FOR WAIVER

RE: EVANS, JEFFERY R. – LIC # 251593 **Case No: 2024 01 8886**
(APPLICANT'S NAME – LICENSE #)

1022 / Hot Walker **Palm Meadows Training Center**
Occupation Code and Job Title Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: JUNE 30, 2024
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 4/17/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: _____ _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [X] No If yes, in what jurisdiction? _____

Executive Director: _____
(Initial & Date)

[ ] **Prepare Waiver or** [ ] **Prepare File for Commission Review**

Comments: _____

Investigative Findings:

September 13, 1994	**Hialeah Police Department, Hialeah Florida – Possession of Cocaine and Possession of Drug Paraphernalia – Felonies – Pled Guilty – Adjudication Withheld on January 15, 1997 – Assessed $258.00 in Court fines that remain unpaid.**
August 19, 2005	**Hialeah Police Department, Hialeah Florida – Cocaine-Sell [within] 1000 Ft. of School and Possession of Cocaine – Felonies – Possession of Cocaine charge was dropped on September 19, 2005 - Pled Guilty to Cocaine-Sell [within] 1000 Ft. of School on October 12, 2006, – Assessed $498.00 in Court fines which have been paid in full.**

***Please attach Routing Slip to front of case file**

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office: **PMW**	Region: **Southern**	Date of Complaint: **April 1, 2024**	Case Number: **2024 01 8886**

Respondent:	Complainant:
EVANS, JEFFERY R. **501 W 24TH STREET** **HIALEAH, FLORIDA 33010** **MOBILE PHONE: (561) 302-4964**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # / Type:	Profession:	Report Date:
251593 / 1022	**Hot Walker**	**April 12, 2024**

Period of Investigation:	Type of Report:
April 1, 2024, through April 12, 2024	**Final**

Basis of Investigations: This investigation is predicated upon receipt of Jeffery R. **EVANS'** application for a State of Florida PMW General Individual Occupational License dated January 2, 2024, and Waiver Request form dated January 23, 2024 **(Exhibit #1)**.

On January 2, 2024, **EVANS** submitted a PMW General Individual Occupational License application to be licensed as a Hot Walker at the Palm Meadows Training Center Modifier 326, in Boynton Beach, Florida. On his original application, **EVANS** answered "NO" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? Note: that this application was not received by the Division until January 10, 2024 **(Exhibit #1)**.

On January 18, 2024, **EVANS** received a Deficiency Letter from the Division stating he must list information on his application and provide full court disposition records and arrest reports for the following charges: Miami Dade County, Florida Arrest(s) 09/13/1994 and 08/19/2005. Also on February 7, 2024, **EVANS** received a second Deficiency Letter asking for the same information **(Exhibit #4, Pages 3 thru 6).**

On March 2, 2024, **EVANS** received a third Deficiency Letter stating that his application was incomplete and directing him to provide the arrest report for the following charge: Miami Dade County, Florida Arrest(s) 09/13/1994 **(Exhibit #4, Pages 7 & 8)**.

Related Case:

Investigations Specialist II / Date	Investigator Supervisor / Date
Andre' Tribble / April 12, 2024	Julio Minaya / April 15, 2024

Chief of Investigations / Date

Bradford D. Jones / April 17, 2024

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: April 18, 1989	**Arresting Agency:** Hialeah Police Department, FL.				
OFFENSE					
CHARGES		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Burglary	Felony	Unknown	Dropped	N/A
2					
3					
4					

SENTENCE

Additional Information: According to the FDLE report the original charge was turned over to the State Attorney's Office as a not in custody affidavit. The State Attorney's office received the affidavit on October 10, 1989. On October 31, 1989, the State Attorney's office took NO ACTION against **EVANS (Exhibit #3, Pages 3 and 4)**.

Arrest 2					
Date of Arrest: September 13, 1994	**Arresting Agency:** Hialeah Police Department, FL.				
OFFENSE					
CHARGES		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Possession of Cocaine	Felony	Not Guilty	Adj Withheld	01/15/1997
2	Possession of Drug Paraphernal	Felony	Not Guilty	Adj Withheld	01/15/1997
3					
4					

SENTENCE
According to the FDLE and CCIS reports, on October 4, 1994, **EVANS** entered a Pre-Trial Diversion Program **(Exhibit #2, Page 4 & Exhibit #3, Pages 4 thru 6)**.

Additional Information: On January 15, 1997, the court entered a Finding of Guilt / ordered a withhold of adjudication with special conditions, suspended the $258.00 dollar fine along with the entry of sentence. On March 21, 1999, the $258.00 dollar fine was assessed, as of today's date the CCIS report reflects the fine is UNPAID **(Exhibit #2, Pages 2 thru 4)**. According to **EVANS** he paid the fine in January '24, but he does not possess proof of payment.

CRIMINAL HISTORY

Arrest 3					
Date of Arrest: August 19, 2005	**Arresting Agency:** Hialeah Police Department, FL.				
OFFENSE					
CHARGES		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Cocaine-Sell 1000ft of School	Felony	Guilty	Adj/Withheld	10/12/2006
2	Possession of Cocaine	Felony		Dropped	09/19/2005
3					
4					

SENTENCE
Charge #1 sentence is stayed and suspended due to special conditions; **EVANS** was assessed a $498.00 fine that was paid in full **(Exhibit #2, Pages 5 thru 12)**.

Additional Information:

Arrest 4					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
CHARGES		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE

Additional Information:

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?	X	
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type: Hot Walker **(TEMPORARY)**			
Date Licensed: 01/05/2024	Expiration Date: 04/04/2024	License #: 251593	Agency or Jurisdiction: Florida Gaming Control Commission

	YES	NO
Has License ever been suspended or revoked?		X
Was any derogatory information received?		X

Additional Comments: Applicant failed to disclose his criminal history on his original license application.

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		

Additional Comments:

WAIVER INTERVIEW

		YES	NO
Was a Waiver Interview Conducted?		X	
Date of Interview: April 12, 2024	Location of Interview: Telephonic Interview		
		YES	NO
Was the applicant cooperative?		X	
Additional Comments:			

SUMMARY OF INTERVIEW:

During the interview, **EVANS** disclosed information about his 1994 arrest for Possession of Cocaine and Possession of Drug Paraphernalia from Hialeah Florida. **EVANS** stated he and his cousin were "hanging out" and sitting on a green electrical box, located on 24th way in Hialeah Florida, when a Hialeah Police Officer stopped at their location and began questioning them. According to **EVANS**, the officer questioning them noticed a crack pipe on the ground next to him and immediately arrested him. According to **EVANS,** the crack pipe did not belong to him nor to his cousin.

EVANS also disclosed information about his 2005 arrest for Possession of Cocaine within 1000 feet of a school and Possession of Cocaine from Hialeah Florida. **EVANS** stated that he was sitting in his grandmother's yard located directly in front of Johnny Lee Coxton Park in Hialeah Florida, when the Police responded to the yard and placed him under arrest. **EVANS** further stated that although he was not in possession of any drugs, he was arrested and charged with the above offences. **EVANS** concluded by stating that on the day of his arrest, the Hialeah Police Department conducted a "sting" that resulted in the arrests of twelve people for the same charges.

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed No rulings against **EVANS (Exhibit #4)**.

EVANS provided his photo via email **(Exhibit #1)**.

Status: Case closed by Investigations and forwarded to Licensing.

CONTINUATION

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2024 FEB -6 PM 1:21

FLORIDA GAMING
CONTROL COMMISSION

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BY:

DBPR PMW-3180 – Request for Waiver

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

TO: Jeffrey R EVANS
Full Legal Name of Applicant

[redacted]
Date of Birth

[redacted]
Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Division Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☒ A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☐ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☐ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Division Director will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.

2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

x Jeffrey R Evans
Signature of Applicant

1/23/24
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM DBPR PMW-3160 – REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

EXHIBIT #1
PAGE # 2

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2024 JAN 10 PM 1:18

FLORIDA GAMING CONTROL COMMISSION

DBPR PMW-3120 – Individual Occupational License Application

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants Must Submit:

- ☑ Completed Form DBPR PMW-3120 – Print clearly and complete all sections that are not optional in black or blue ink.
- ☐ Additional Pages – If necessary to respond to any application questions.
- ☐ Supporting Legal Documentation – If necessary to respond to background information questions in application.
- ☐ Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.
  - ☐ Pari-Mutuel General Occupational License - $15.00* * does not include fingerprint fee
  - ☐ Pari-Mutuel Professional Occupational License - $80.00*
  - ☐ Cardroom Employee Occupational License - $100.00*
- ☑ Fingerprints – Choose One Option:
  - ☐ Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL920630Z.

    IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.
  - ☑ Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

    IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx for the current fee amount.
  - ☐ Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

    IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:
Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395

EXHIBIT # 1
PAGE # 3

2024 JAN 10 PM 1:18

FLORIDA GAMING CONTROL COMMISSION

JAN 05 2024

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the areas labeled "For Division Use Only".

[redacted] [redacted] ☐ Male ☐ Female

Last Name: EVANS | First: JEFFREY | Middle: R | Suffix:

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☑ No

If yes, list the name or names used:

Race/Ethnicity (optional)
☑ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☑ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address: 8898 Lyons Rd | Email Address (optional):

City: Boynton Beach | State: FL | Zip Code (+4 optional): 3347 | Country, if other than USA:

Primary Phone Number: | Secondary/Cell Phone Number (optional): 561 302 4989

Current Street Address: SAME

City: | State: | Zip Code (+4 optional): | Country, if other than USA:

Type of Occupational License applying for:
☑ Pari-Mutuel General Individual ☐ Pari-Mutuel Professional Individual
☐ Cardroom Employee
Occupation: HOT WALKER

Facility where employed and/or doing business: Palm Meadows 326 Thoroughbred Training Center

Does your position require access to the Cardroom?
☐ Yes ☑ No

Is this your first time applying for a racing/gaming license in Florida?
☐ Yes ☑ No

Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☑ No ☐ Yes, complete the following:
Stable Name, Kennel Name, or Business Name:
Trainer Name (horse or greyhound racing only):

Type of professional license (attach a copy of Florida professional license):

Florida License Number:

FOR DIVISION USE ONLY

Mainframe Code: 1022 | Licensee #: 251593 | File #: 4317 | App #: 199484
Association Code: 326 | Date Received: 01/05/24 | Entered By: KE | License Year: 2024
License Fee: 15.00 | FP Date: 01/05/24 | FP Fee: 37.25 | Total Fee: 52.25
Cat Temp | Waiver Requested | ARCE | Enforcement | Minor

DBPR PMW-3120, Effective September 2020, Rule 61D-5.001, F.A.C.

EXHIBIT # 1
PAGE # 2

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FLORIDA GAMING

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☒ Yes ☐ No	Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?	
☐ Yes ☒ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.	

DATE OF CONVICTION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

☐ Yes ☒ No	Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
☐ Yes ☒ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to ensure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 550.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

[redacted] 1/2/24

Date

DBPR PMW-3120, Effective September 2020, Rule 61D-6.001, F.A.C.

Page 2 of 3

EXHIBIT 1
PAGE # 5

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FLORIDA GAMING
CONTROL COMMISSION

2024 JAN 10 PM 1:18

FLORIDA GAMING

FILED
FEB 02 2024
BY:

SIGN & DATE

SEE COURT PAPERS

X

1/23/24

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
9/93/1994	DADe	FL	Alcohol/Consum	M	Nolle Pros
10/12/2006	DAde	FL	Cocaine Poss	F	ADJ/No Actio

☐ Yes ☒ No — Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

☐ Yes ☒ No — Have you ever been convicted of or had adjudication withheld for any crimes, or pled guilty or nolo contendere to any criminal charge against you? If yes, the court disposition records for all convictions and withholds of adjudication and supervision shall be required to be submitted with your application. If you must list the details in the chart provided below.

☐ Yes ☒ No — Have your application for a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

☐ Yes ☒ No — Is any racing or gaming license you hold currently suspended or subject to other disciplinary action at an earlier time? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to ensure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of processing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

[redacted] — 1/2/24

DBPR PMW-5130, Effective September 2020, Rule 61D-5.001, F.A.C. — Page 3 of 3

Appl. # 19981

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2024 MAR 19 AM 9:53

FLORIDA GAMING

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☐ No	Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
5/20/1994	DAde	FL	Alcohol violation	M	Dismissed
1996	DAde	FL	Cocaine Possession	Felony	Fine
10/06 and 9/2005	Dade	FL	Cocaine Poss. in School Zone	Felony	Susp sent. No Action

☐ Yes ☐ No	Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
☐ Yes ☐ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

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I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

Date 3/14/2023

IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL, CIRCUIT IN AND FOR MIAMI-DADE COUNTY, FLORIDA 388

DIVISION
[X] CRIMINAL
[ ] TRAFFIC
[ ] OTHER

FINDING OF GUILT AND ORDER OF WITHHOLDING ADJUDICATION/SPECIAL CONDITIONS

PLAINTIFF(S)	VS. DEFENDANT(S)
THE STATE OF FLORIDA	JEFFREY EVANS

CASE NUMBER: F05-026696

AKA: Jefrey Evan, Jeffery R Evans, Jeffrey R Evans, Jeffrey Ricardo Evans, Jefry Evans

CLOCK IN

FILED FOR RECORD
2006 OCT 25 AM 8:25
CLERK CIR CT DADE CO FLA

IT APPEARING UNTO THE COURT that the defendant being personally before the court accompanied by his/her attorney,

CASSANDRA F GOODMAN, PD has been found guilty of the charge of

Count	Crime	Degree
1	COCAINE/SELL/DEL/POSN W/INTENT/1000 FT OF SCHOOL	1/F

by the court upon the entry of a guilty plea

and it appearing unto the court, upon a hearing of the matter, that the defendant is not likely to engage in a criminal course of conduct and that the ends of justice and welfare of society do not require that the defendant shall presently suffer the penalty imposed by law, and the Court being fully advised in the premises, it is thereupon

ORDERED AND ADJUDGED that an adjudication of guilt be, and the same is hereby stayed and withheld.

IT IS FURTHER ORDERED AND ADJUDGED that:

the sentence be and hereby is SUSPENDED.

SPECIAL CONDITIONS

Costs

Suspend entry of sentence

IT IS ORDERED THAT the defendant be fingerprinted pursuant to F.S. 921.241(1).

EXHIBIT #2
PAGE #8

Bk 25054 Pg 873 CFN 20061165889 10/30/2006 15:11:08 Pg 1 of 3 Mia-Dade Cty, FL

IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL, CIRCUIT IN AND FOR MIAMI-DADE COUNTY, FLORIDA

DIVISION

[X] CRIMINAL

CHARGES/COSTS/FEES **CASE NUMBER: F05-026696**

PLAINTIFF(S)	VS. DEFENDANT(S)
THE STATE OF FLORIDA	JEFFREY EVANS AKA: Jefrey Evan, Jeffery R Evans, Jeffrey R Evans, Jeffrey Ricardo Evans, Jefry Evans

The Defendant is hereby ordered to pay the following sum indicated:

$50.00	Pursuant to F.S. 938.03(4) (Crimes Compensation Trust Fund).
$3.00	Three dollars as a court cost pursuant to F.S. 938.01 (1) $3.00 (Criminal Justice Trust & Education Funds).
$200.00	Pursuant to 938.05(1) (Local Government Criminal Justice Trust Fund).
$40.00	Pursuant to F.S. 27.52(2) (Public Defender Application Fee).
$3.00	Pursuant to F.S. 938.19 (Teen Courts).
$50.00	Pursuant to F.S. 775.083(2) (Crime Prevention Programs).
$2.00	Two dollars as a court cost pursuant to F.S. 938.15 $2.00 (Criminal Justice Trust & Education Funds).
$65.00	Pursuant to F.S. 939.185(1)(a) (Assessment of Additional Court Costs as adopted by Ordinance 04-116)
$85.00	Pursuant to F.S. 939.185(1)(b) (Surcharge as adopted by Ordinance 05-123)
$498.00 -	TOTAL

OTHER COMMENTS: STAY DUE DATE: 10-13-06

DONE AND ORDERED in Open Court in Miami-Dade County, Florida this 12th day of October, 2006.

Gill S Freeman

JUDGE GILL S FREEMAN

(W=WAIVED/S=SUSPENDED)

REV 10/02 YPO-10/16/06

Clerk's web address: www.miami-dadeclerk.com

EXHIBIT #2
PAGE # 9

Bk 25054 Pg 874 CFN 20061165889 10/30/2006 15:11:08 Pg 2 of 3 Mia-Dade Cty, FL

IN THE CIRCUIT AND COUNTY COURTS OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA

IN AND FOR MIAMI-DADE COUNTY

I, JUAN FERNANDEZ-BARQUIN CLERK CIRCUIT AND COUNTY COURT OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA, IN AND FOR MIAMI-DADE COUNTY, DO HEREBY CERTIFY THAT A DILIGENT EXAMINATION OF THE FELONY, MISDEMEANOR AND NON CRIMINAL VIOLATION FILES AND RECORDS IN MY OFFICE REGARDING:

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FLORIDA PAROLE COMMISSION

NAME: EVANS, JEFFERY R
DATE OF BIRTH: [redacted]
RACE: BLACK SEX: MALE
YEARS RESEARCHED: 1980 - 2023

INDICATES THE FOLLOWING:

CASE NUMBER	CITATION/ ARREST / FILE DATE/ ARST DEPT	CHARGES	DISPOSITION	DISPO DATE
EVANS, JEFFERY R				
B94043394	08/20/1994 HIALEAH	ALCOHOL/CONSUM/STORE	NOLLE PROS - ADMIN	09/13/1994
EVANS, JEFFERY R				
M94023955	05/20/1994 HIALEAH	ALCOHOL VIOLATION	NOLLE PROS	05/20/1994
EVANS, JEFFERY R				
F89039845	10/10/1989 HIALEAH	BURGLARY/UNOCC DWELL	NO ACTION	10/31/1989
EVANS, JEFFREY RICARDO		AKA*		
F89014805	04/18/1989 HIALEAH	BURGLARY/OCC/DWELL	NO ACTION	05/09/1989
EVAN, JEFREY		AKA*		
F94031287	09/20/1995 MIAMI DADE POLICE	COCAINE/POSSESSION	WH ADJ-SUSP ENT SE	01/15/1997
		DRUG PARAPHERNA/POSN	WH ADJ-SUSP ENT SE	01/15/1997
EVANS, JEFFREY		AKA*		
F05026696	08/19/2005 HIALEAH	COCAINE/SELL/SCHOOL	WH ADJ-SUSP ENT SP	10/12/2006
		COCAINE/POSSESSION	NO ACTION	09/19/2005
EVANS, JEFFREY		AKA*		

PAGE: 001

EXHIBIT # 2
PAGE # 11

B01043533	07/22/2001	DISORDERLY INTOX	CONV/CTS/FINE/COST	07/23/2001
	HIALEAH			

EVANS, JEFFREY AKA*

M01020618	04/16/2001	BATTERY	NOLLE PROS	06/21/2001
	HIALEAH			

EVANS, JEFFREY R AKA*

B01017539	03/06/2001	DRINK IN PUBLIC	DISMISSED	07/23/2001
	HIALEAH			

****

PURSUANT TO FLORIDA RULES OF COURT, RULE 2.430, COURT RECORDS THAT ARE NOT PERMANENTLY RECORDED MAY BE UNAVAILABLE, OR DISPOSED OF BY THE CLERK, IN ACCORDANCE WITH THE APPLICABLE RETENTION SCHEDULE REQUIRED BY SAID RULE. (10 YEARS FOR FELONY, MISDEMEANOR AND CRIMINAL TRAFFIC VIOLATIONS IN WHICH THE DEFENDANT WAS ADJUDICATED NOT GUILTY)
PLEASE SEE FLORIDA RULES OF COURT, RULE 2.430, FOR A COMPLETE LISTING OF RECORDS RETENTION REQUIREMENTS.

WITNESS MY HAND AND THE SEAL OF THE COURT AT MIAMI, MIAMI-DADE COUNTY, FLORIDA, THIS 27 DAY OF DECEMBER, 2023.

JUAN FERNANDEZ-BARQUIN, CLERK
CIRCUIT AND COUNTY COURTS
IN AND FOR MIAMI-DADE COUNTY, FLORIDA

BY: _____
DEPUTY CLERK
Deputy Clerk of the Circuit Court
of the Eleventh Judicial Circuit
of Florida, in and for Dade County

PAGE: 002

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FLORIDA ELECTIONS
CONTROL COMMISSION

EXHIBIT # 2
PAGE # 12

Licenses

Licensee Search

Licensee:JEFFREY R EVANS

No Picture Available

Reports on JEFFREY R EVANS

Comprehensive Ruling Report
Drug Ruling Report (Last 5 Years)
Comprehensive Licensee Report

Identification Information

Add a New Identification Record

Date of Birth	Federal ID/SSN	Country	Sex
[redacted]	[redacted]	USA	Unknown

Name Information

Add a New Name Record

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix
Current Legal Name		JEFFREY	R	EVANS	

Address Information

Address Type	Street Address	City	State	Zip Code
Mailing	5109	LOUISVILLE	KY	40241

Phone Information

Phone Number Type	Phone Number
Mobile	5022247992

License Information

Add a New License Record

License Number	License Type	Issued Date	Expiration Date	Licensing Commission
251593	Groom	7/27/2013	6/30/2016	Florida Division of Pari-Mutuel Wagering
	Groom	7/8/2013	10/6/2013	Florida Division of Pari-Mutuel Wagering
	Groom	6/26/2010	6/30/2013	Florida Division of Pari-Mutuel Wagering
	Groom	5/11/2007	6/30/2010	Florida Division of Pari-Mutuel Wagering
	Groom	2/6/2007	6/30/2007	Florida Division of Pari-Mutuel Wagering
	Groom	12/23/2003	6/30/2006	Florida Division of Pari-Mutuel Wagering
	Groom	7/1/2003	6/30/2003	Florida Division of Pari-Mutuel Wagering
*N*1376183	Groom	5/10/2003	12/31/2003	Illinois Racing Board
251593	Groom	3/21/2003	6/30/2003	Florida Division of Pari-Mutuel Wagering
	Unknown	7/2/2002	6/30/2002	Florida Division of Pari-Mutuel Wagering
*N*1211900	Groom	6/4/2002	12/31/2002	New Hampshire Racing Commission
251593	Unknown	3/5/2000	6/30/2002	Florida Division of Pari-Mutuel Wagering
*N*1024599	Miscellaneous/Permittee	3/5/2000	6/30/2002	Florida Division of Pari-Mutuel Wagering
99-1082-0251593	Unknown	12/11/1999	6/30/2002	Florida Division of Pari-Mutuel Wagering
98-1082-0251593	Unknown	7/10/1998	6/30/1999	Florida Division of Pari-Mutuel Wagering

97-1082-0251593	Unknown	1/11/1998	6/30/1998	Florida Division of Pari-Mutuel Wagering
95-1082-0251593	Unknown	6/9/1995	6/30/1996	Florida Division of Pari-Mutuel Wagering
93-1082-0251593	Unknown	12/11/1993	6/30/1994	Florida Division of Pari-Mutuel Wagering
*N*865830	Security	11/10/1993	6/30/1994	Florida Division of Pari-Mutuel Wagering

Fingerprint Information Add a New Fingerprint Record

Processing Commission	Date Taken	Status	Notes	RCI Card Submitted Date
Florida Division of Pari-Mutuel Wagering	12/11/1999	Unknown		
Florida Division of Pari-Mutuel Wagering	12/11/1993	Unknown		

Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End

Applicable Reports

Comprehensive Ruling Report
Comprehensive Licensee Report

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – PMW Occupational License

RE: Evans, Jeffrey R. - 251593 **Case No:** _____
(APPLICANT'S NAME– LICENSE #)

INITIAL APPLICATION RECEIVED:	1/5/2024
COMPLETE APPLICATION RECEIVED:	3/26/2024
90-DAY DEADLINE:	**6/24/2024**

Amy Hall	326 – Palm Meadows	1022 – Hot Walker
Application Processor	**Facility (d/b/a name)**	**Occupation/Job Title**

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review

Conviction

Did the application accurately reflect the Criminal History Record? ☐ Yes ☒ No

☒ Felony: 2 Count(s)
☐ Misdemeanor – Industry Related/Gambling Related/Bookmaking
☐ Animal Cruelty
☐ Forgery, Larceny, Extortion, Conspiracy to Defraud (Cardroom Professions Only)

Comments:

Arrest Date	Location	Charge	Level	Court Case #	Disposition
09/13/1994	Miami Dade County, FL	Cocaine-Possess	F3	131994CF0312870001XX	Adjudication Withheld
08/19/2005	Miami Dade County, FL	Cocaine Sell School	F1	132005CF0266960001XX	Adjudication Withheld

Disposition Unknown

☐ Felony Arrest(s):
☐ Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking
☐ Animal Cruelty

Comments:

Enforcement/Jurisdiction Offenses

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.

Comments:

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Comments:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☑ No ☐ ☐ Approval
Forward to ☑ Investigations ☐ Legal ☐ Director Initials: 3/29/24
Comments:

2024 FEB -6 PM 1:21

FLORIDA GAMING CONTROL COMMISSION

RECEIVED
FEB 02 2024
BY:

DBPR PMW-3195 – Request for Release of Information and Authorization to Release Information

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

PERSONAL INFORMATION					
Social Security Number/Federal Employer ID Number	[redacted]				
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Last Name	First	Middle	Title	Suffix	
EVANS	Jeffrey	R.			
Birth Date (MM/DD/YYYY)	[redacted]				
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Representative's Name Last	First	Middle	Title	Suffix	
Permitholder Name					
Official Capacity					

ATTEST STATEMENT

I, Jeffrey R. Evans (name of applicant/representative), do hereby instruct all law enforcement or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.

JEFFREY RICARDO EVANS
(if individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: X [signature] Date: 2-2-2024

NOTARIZATION

The foregoing application was sworn to and subscribed before me this 2 Day of FEB, 20 2024

by JEFFREY RICARDO EVANS [signature]
Type or print name of applicant — Signature of applicant

who is personally known to me or who has produced the following as identification.

FL DRIVERS LICENSE
Type of identification

[signature]
Signature of person taking acknowledgement
Notary Seal
(Rubber Stamp and Expiration)

TERRI TONI GOLDEN
Notary Public - State of Florida
Commission # HH 328340
My Comm. Expires Feb 17, 2027
Bonded through National Notary Assn.

dbpr Florida Department of Business & Professional Regulation

State of Florida

Department of Business and Professional Regulation

Enforcement Search Listing

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CONTROL COMMISSION
JAN 05 2024

Comprehensive Ruling Report

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2024 JAN 10 PM 1:19
FLORIDA GAMING
CONTROL COMMISSION

Rulings Against: JEFFREY R EVANS

Legal Name: JEFFREY R EVANS
Birth Date:

0 Total Ruling(s) Listed

"Multiple medication violation points and point totals are for advisory and informational purposes only to indicate the existence of regulatory medication violation determinations made by racing regulatory entities in order to notify officials of possible aggravating factors that should be reviewed by officials prior to taking regulatory action. Confirmation of violations should be made directly with the racing regulatory entity responsible."

No Rulings

2024 MAR 28 AM 10:49

FLORIDA GAMING CONTROL COMMISSION

COMPLAINT/ARREST AFFIDAVIT

OBTS Number 0001617873 | ☒ Felony ☒ Misdemeanor ☐ Traffic ☐ Juvenile ☐ Warrant | Jail No. 8094 | Police Case No. 94-057582

IDS No. | Agency Code 07 | Municipal P.O. Det. ID No. 096089 | BSPD Records and ID No. | Court Case No. F94312874

DEFENDANT'S NAME: Last EVAN | First JEFFREY | Middle R | [redacted] | Sex M | Race B | Ethnic | Height 5'5 | Weight 180 | Hair BLK | Eyes BRO

LOCAL ADDRESS: Street 423 West 25 St | City Hia | State FL | Zip 33010 | Phone 888 5340 | Alias

PERMANENT ADDRESS: Street | City | Zip | Phone | Address Source ☐ Verbal ☐ Voter's I.D. ☒ Driver's License ☐ Other

BUSINESS ADDRESS: Street | City | Zip | Phone | Occupation CASHIER | Place of Birth FC

DRIVER'S LICENSE NO. [redacted] | State | Social Security No. [redacted] | Tattoos, Unique Physical Features NV

Weapon Seized? Type ☐Yes ☐No | Arrest Date mo/day/yr 09/13/94 | Arrest Time 1230 ☒ A.M. ☐ P.M. | Arrest Location (include name of business) 5CT West 25 Av

If Def. has Concealed Weapons Permit. PERMIT # W- | For Robbery, Burglary, F/A Viol: Suspected history of drug involvement? ☐ Yes ☐ Unk. | No. Cases Cleared | Influence of Drugs ☒ Yes ☐ No ☐ Unk. | Influence of Alcoh. ☐ Yes ☐ No ☐ Unk. | Citizenship US | Resid. Type: ☐ City ☐ Dade ☐ Florida ☐ Out of State

CO-DEFENDANTS | Last | First | Middle | DOB mo/day/yr | ☐ In Custody ☐ Felony ☐ Juvenile ☐ At Large ☐ Misdemeanor

1. | 2.

CODE — DRUG ACTIVITY: S. Sell, B Buy, T. Traffic, R Smuggle, D. Deliver, E. Use, K. Dispense/ Distribute, Z. Other, M. Manufacture/ Produce/ Cultivate, N. N/A, P. Possess | DRUG TYPE: N. N/A, A. Amphetamine, B. Barbiturate, C. Cocaine, E. Heroin, H Hallucinogen, M. Marijuana, O. Opium/Derv., P. Paraphernalia/ Equipment, S. Synthetic, U. Unknown, Z. Other

CHARGES	Activity	Type	Counts	STATUTE	D.V.	☐ AC ☐ CAPIAS ☐ BW ☐ FW ☐ PWC ☐ FTA	VIOLATION OF SECT.
1. POSS OF COCAINE (Cmbn)	P	C	1	893.13			21-38
2. POSS OF DRUG PARAPHERNA	P	M	1	893.147			
3.							
4.							OF THE CODE OF FLA

The undersigned certifies and swears that he has just and reasonable grounds to believe, and does believe that the above named Defendant

On the 13 day of Sept, 19 94, At 1230 (Time) ☒ A.M. ☐ P.M. 5CT W. 25 Av. (Location, include name of business)

committed the following violation of law: Narrative; (Be specific) MY SELF AND OFFICER A. MURGUIDO OBSERVED THE DEF. ON THE CORNER OF ABOVE LOCATION. DEF. WAS YELLING AT A BLACK FEMALE THAT WAS WALKING BY, AS WE APPROACHED THE DEF. TO INVESTIGATE WE CLEARLY OBSERVED THE DEF. THROW A HOME MADE CRACK ROCK IN THE GRASS. OFC. A. MURGUIDO RECOVERED THE ROCK AND FIELD TESTED IT. (POSITIVE FOR COCAINE) DEF. WAS ARRESTED. POST MIRANDA HE STATED THAT THE ROCK WAS NOT HIS. DEF. TRANSPORTED TO T.C.K.

PAGE 01 OF 01

Hold for Other Agency — Agency ____ Verified by ____

I Swear that the above Statement is correct and true to the best of my knowledge and belief.

E. Colon / A. Murguido

Officer's Name (Print)

Officer's Signature

0930 / 094

Department Name / Court ID Number/Loc. Code

32.02.03-9 Rev. 3/92

☐ HOLD FOR BOND HEARING. DO NOT BOND OUT. Office Must Appear at Bond Hearing.

Sworn to and subscribed before me by the undersigned authority, this 13 day of Sept, 19 94

Deputy of the Court or Notary Public

☐ I understand that should I willfully fail to appear before the court as required by this notice to appear that I may be held in contempt of court and a warrant for my arrest shall be issued. Furthermore, I agree that notice concerning the time, date, and place of all court hearings should be sent to the above address. I agree that it is my responsibility to notify Clerk of the Court (Juveniles notify Family Division Juvenile Section) anytime that my address changes.

☐ You need not appear in court, but must comply with the instructions on the reverse side hereof.

Signature of Defendant / Juvenile and Parent or Guardian

COURT COPY

2024 MAR 28 AM 10:49

FLORIDA GAMING CONTROL COMMISSION

TO BE COMPLETED BY OFFICER FOR A JUVENILE DEFENDANT ONLY:

PARENT'S OR GUARDIAN'S NAME Home Address (City) (State) (Zip) Home Phone

PARENT'S OR GUARDIAN'S BUSINESS (Name) Address (City) (State) (Zip) Business Phone

INFORMATION BELOW DOUBLE LINES TO BE COMPLETED BY THE COURT

WAIVER OF PRELIMINARY HEARING

I have been advised of my right to a Preliminary Hearing in Case No. (s) _____ in which I am the defendant, and I desire to waive and do hereby waive my right to such Preliminary Hearing concerning all of the charges against me in said case(s).

_____
Defendant

CASE NO. _____
ARRAIGNMENT, JUDGMENT, SENTENCE, AND ORDER

Said Defendant was arraigned for trial on _____ and entered a plea of _____ guilty to the charge as set forth herein.

After hearing the evidence and duly considering the same, the Court finds you, the Defendant, _____ guilty of said charge; AND IT IS ORDERED AND ADJUDGED that, you, the Defendant, are _____ guilty as charged of said offense as set forth herein.

IT IS, THEREFORE, the Judgment, Order, and Sentence of the Court that you, the Defendant, be imprisoned in the County Jail of Dade County, Florida, for a term of _____ days, and pay a fine of $ _____ and $ _____, the cost herein: and in default of such payment that you, the Defendant, stand commited to the County Jail of Dade County, Florida, for a term of _____ days.

DONE, ORDERED, AND ADJUDGED in open Court at Dade County, Florida, on _____.

Judge _____
County Court in and for Dade County, Florida

CASE NO. _____
ARRAIGNMENT, JUDGMENT, SENTENCE, AND ORDER

Said Defendant was arraigned for trial on _____ and entered a plea of _____ guilty to the charge as set forth herein

After hearing the evidence and duly considering the same, the Court finds you, the Defendant, _____ guilty of said charge; AND IT IS ORDERED AND ADJUDGED that, you, the Defendant, are _____ guilty as charged of said offense as set forth herein.

IT IS, THEREFORE, the Judgment, Order, and Sentence of the Court that you, the Defendant, be imprisoned in the County Jail of Dade County, Florida, for a term of _____ days, and pay a fine of $ _____ and $ _____ the cost herein: and in default of such payment that you, the Defendant, stand commited to the County Jail of Dade County, Florida, for a term of _____ days

DONE, ORDERED, AND ADJUDGED in open Court at Dade County, Florida on _____.

Judge _____
County Court in and for Dade County, Florida

COUNTY COURT, MAGISTRATE DIVISION, ACTION, AND OTHER ORDERS

CHARGE	ACTION	DATE:
	SEP 13 1995 P/D HA PTS 1:51 Bk 6000 Judge K. F. Nesbitt	

BOND: Amount $ _____ CASH/SURETY: Receipt No. _____

Estreated by _____
JUDGE

_____
DATE

CIRCUIT AND COUNTY COURTS CLERK DADE COUNTY, FLORIDA

2024 MAR 28 AM 10: 50

COMPLAINT/ARREST AFFIDAVIT

FLORIDA GAMING CONTROL COMMISSION

OBTS Number | ☐ Felony ☐ Misdemeanor ☐ Traffic ☐ Juvenile ☐ Warrant | 108818 | Police Case No.

IDS No. | Agency Code: 060 | Municipal P.D. Def. ID No. | MDPD Records and ID No. | Court Case No.

DEFENDANT'S NAME: Last: EVAN, First: JEFREY, Middle: [redacted] | Sex: M | Race: B | Ethnic | Height: 505 | Weight: 180 | Hair: BLK | Eyes: BRO

LOCAL ADDRESS: 423 W. 25 PL. HIALEAH FL. 33010 | Phone | Alias

PERMANENT ADDRESS | Phone | Address Source ☐ Verbal ☐ Voter's I.D. ☐ Driver's License ☐ Other

BUSINESS ADDRESS | Phone | Occupation: UNEMPLOYED | Place of Birth: FL.

DRIVER'S LICENSE NO. | State | Social Security No. | Scars, Tattoos, Unique Physical Features: TATTOO CAS.H. RIGHT ARM

Weapon Seized? ☐Yes ☐No Type | Arrest Date mo/day/yr: 12/10/94 | Arrest Time: ☐ A.M. ☒ P.M. | Arrest Location (include name of business): 7000 NW 41 ST TGK

If Def. has Concealed Weapons Permit. PERMIT # W- | For Robbery, Burglary, F/A Viol: Suspected history of drug involvement? ☐ Yes ☐ Unk. | No. Cases Cleared | Influence of Drugs ☐ Yes ☐ No ☐ Unk. | Influence of Alcoh. ☐ Yes ☐ No ☐ Unk. | Citizenship | Resid. Type: ☒ City ☐ Dade ☐ Florida ☐ Out of State

CO-DEFENDANTS: 1. Last, First, Middle | DOB mo/day/yr | ☐ In Custody ☐ Felony ☐ Juvenile ☐ At Large ☐ Misdemeanor

2. Last, First, Middle | DOB mo/day/yr | ☐ In Custody ☐ Felony ☐ Juvenile ☐ At Large ☐ Misdemeanor

CODE — DRUG ACTIVITY: N. N/A, P. Possess, S. Sell, B. Buy, T. Traffic, R. Smuggle, D. Deliver, E. Use, K. Dispense/Distribute, Z. Other, M. Manufacture/Produce/Cultivate | DRUG TYPE: N. N/A, A. Amphetamine, B. Barbiturate, C. Cocaine, E. Heroin, H. Hallucinogen, M. Marijuana, O. Opium/Derv., S. Synthetic, P. Paraphernalia/Equipment, U. Unknown, Z. Other

CHARGES	Activity	Type	Counts	STATUTE	D.V.	☐ AC ☐ CAPIAS ☐ BW ☐ PW ☐ PWO CTS	VIOLATION OF SECT.
1. A/L POSS COCAINE						F94-31287	
2.							
3.				61			
4.				AC 12-12-94			OF THE CODE OF

The undersigned certifies and swears that he has just and reasonable grounds to believe, and does believe that the above named Defendant

On the 10 day of DEC, 19 94, At 1200 ☐ A.M. ☒ P.M. (Time) 7000 NW 41 ST TGK (Location, include name of business)

committed the following violation of law: Narrative: (Be specific) I RESPONDED TO TGK FRONT LOBBY REF: SOMEONE TURNING HIMSELF IN. RECORDS CHECK REVEALED OPEN A/L WARRANT F94-31287. SUBJECT TAKEN INTO CUSTODY.

* NOTE SUBJECT TURNED HIMSELF IN. *

DC# 94-8655

PAGE 1 OF 1

Hold for Other Agency

Agency _____ Verified by _____

I Swear that the above Statement is correct and true to the best of my knowledge and belief

K. ALDERMAN

Officer's Name (Print)

K. Alderman

Officer's Signature

CTR 3806 060

Department Name | Court ID Number/Loc. Code

32.02.03-9 Rev. 3/92

☐ HOLD FOR FURTHER HEARING. DO NOT RELEASE. Defendant to appear at Bond Hearing

Sworn to and subscribed before me by the undersigned authority this 10 day of DEC 94

Deputy Clerk of the Court or Notary Public

☐ I understand that should I voluntarily leave the agency before the court as required by this notice to appear, I may be held in contempt of court and a warrant for my arrest shall be issued. I further agree that notice concerning the time, date, and place of my court appearance should be sent to the above address. I agree that I will notify the Clerk of the Court (Juveniles notify Family Services Counselor) of any address changes.

☐ You need not appear in court, but you must comply with the instructions on the reverse side hereof.

Signature of Defendant / Juvenile and Parent or Guardian

COURT COPY

2024 MAR 28 AM 10: 50

FLORIDA GAMING CONTROL COMMISSION

TO BE COMPLETED BY OFFICER FOR A JUVENILE DEFENDANT ONLY

PARENT'S OR GUARDIAN'S NAME Home Address (City) (State) (Zip) Home Phone

PARENT'S OR GUARDIAN'S BUSINESS (Name) Address (City) (State) (Zip) Business Phone

INFORMATION BELOW DOUBLE LINES TO BE COMPLETED BY THE COURT

WAIVER OF PRELIMINARY HEARING

I have been advised of my right to a Preliminary Hearing in Case No. (s) _____ in which I am the defendant, and I desire to waive and do hereby waive my right to such Preliminary Hearing concerning all of the charges against me in said case(s).

_____
Defendant

CASE NO. _____
ARRAIGNMENT, JUDGMENT, SENTENCE, AND ORDER

Said Defendant was arraigned for trial on _____ and entered a plea of _____ guilty to the charge as set forth herein.

After hearing the evidence and duly considering the same, the Court finds you, the Defendant, _____ guilty of said charge; AND IT IS ORDERED AND ADJUDGED that, you, the Defendant, are _____ guilty as charged of said offense as set forth herein.

IT IS, THEREFORE, the judgment, Order, and Sentence of the Court that you, the Defendant, be imprisoned in the County Jail of Dade County, Florida, for a term of _____ days, and pay a fine of $ _____ and $ _____ the cost herein: and in default of such payment that you, the Defendant, stand commited to the County Jail of Dade County, Florida, for a term of _____ days.

DONE, ORDERED, AND ADJUDGED in open Court at Dade County, Florida, on _____.

Judge _____
County Court in and for Dade County, Florida

CASE NO. _____
ARRAIGNMENT, JUDGMENT, SENTENCE, AND ORDER

Said Defendant was arraigned for trial on _____ and entered a plea of _____ guilty to the charge as set forth herein.

After hearing the evidence and duly considering the same, the Court finds you, the Defendant, _____ guilty of said charge; AND IT IS ORDERED AND ADJUDGED that, you, the Defendant, are _____ guilty as charged of said offense as set forth herein.

IT IS, THEREFORE, the judgment, Order, and Sentence of the Court that you, the Defendant, be imprisoned in the County Jail of Dade County, Florida, for a term of _____ days, and pay a fine of $ _____ and $ _____ the cost herein: and in default of such payment that you, the Defendant, stand commited to the County Jail of Dade County, Florida, for a term of _____ days.

DONE, ORDERED, AND ADJUDGED in open Court at Dade County, Florida on _____.

Judge _____
County Court in and for Dade County, Florida

COUNTY COURT, MAGISTRATE DIVISION, ACTION, AND OTHER ORDERS

CHARGE	ACTION	DATE

BOND: Amount $ _____ CASH/SURETY: Receipt No. _____

Estreated by _____ _____
JUDGE DATE

CIRCUIT AND COUNTY COURTS
DADE COUNTY, FLORIDA

2024 MAR 28 AM 10: 50

FLORIDA GAMING CONTROL COMMISSION

COMPLAINT/ARREST AFFIDAVIT

OBTS Number | ☐ Felony ☐ Misdemeanor ☐ Traffic ☐ Juvenile ☒ Warrant | Jail No. 3845 | Police Case No 95-18955

IDS No. | Agency Code 04 | Municipal P.D Det ID No | MDPD Records and ID No | Court Case No

DEFENDANT'S NAME Last	First	Middle	DOB mo/day/yr	Sex	Race	Ethnic	Height	Weight	Hair	Eyes
EVANS	JEFFREY	R	[redacted]	M	B		505	180	BLK	BRO

LOCAL ADDRESS Street	City	State	Zip	Phone	Alias
423 W 25 PL	HIALEAH	FL	33010		

PERMANENT ADDRESS Street	City	State	Zip	Phone	Address Source ☒ Verbal ☐ Victim's I.D ☒ Driver's License ☐ Other
S.A.L.					

BUSINESS ADDRESS Street	City	State	Zip	Phone	Occupation	Place of Birth
SELF EMPLOYED					LABORER	MIAMI

DRIVER'S LICENSE NO [redacted] State | Social Security No [redacted] | Scars, Tattoos, Unique Physical Features RT ARM

Weapon Seized? ☐ Yes ☐ No	Arrest Date mo/day/yr 05 08 95	Arrest Time 430 ☐ A.M. ☒ P.M	Arrest Location (include name of business) 423 W 25 PL

If Def. has Concealed Weapons Permit, PERMIT # W-	For Robbery, Burglary, F/A Viol: Suspected history of drug involvement? ☐ Yes ☐ Unk.	No. Cases Cleared 1	Influence of Drugs ☐ Yes ☒ No ☐ Unk.	Influence of Alcoh. ☐ Yes ☒ No ☐ Unk.	Citizenship U.S.	Resid. Type: ☒ City ☐ Dade ☐ Out of Florida ☐ State

CO-DEFENDANTS	Last	First	Middle	DOB mo/day/yr	
1				/ /	☐ In Custody ☐ Felony ☐ Juvenile ☐ At Large ☒ Misdemeanor
2				/ /	☐ In Custody ☐ Felony ☐ Juvenile ☐ At Large ☐ Misdemeanor

CODE: DRUG ACTIVITY N. N/A P. Possess | S. Sell B. Buy T. Traffic | R. Smuggle D. Deliver E. Use | K. Dispense/ Distribute Z. Other | M. Manufacture/ Produce/ Cultivate

DRUG TYPE N. N/A A. Amphetamine | B. Barbiturate C. Cocaine E. Heroin | H. Hallucinogen M. Marijuana O. Opium/Derv. | P. Paraphernalia/ Equipment S. Synthetic | U. Unknown Z. Other

CHARGES	Activity	Type	Counts	STATUTE	D.V.	☐ AC ☐ CAPIAS ☐ BW ☐ FW ☐ PWO ☐ CTS	VIOLATION OF SECT.
1. AC - POSS COCAINE + POSS PARA.	N	M	1	NO BOND		94031287	2-38
2.							
3.			51				
4.				AC 5.10.95			

CLERK, CIRCUIT & COUNTY COURTS DADE COUNTY, FLORIDA CIRCUIT CRIMINAL DIVISION 1995 MAY -9 PM 12:05

FILE YOUR RECORD FOR THE CODE OF HIALEAH

The undersigned certifies and swears that he has just and reasonable grounds to believe, and does believe that the above named Defendant

On the 08 day of MAY, 19 95, At 430 (Time) ☐ A.M. ☒ P.M 423 W 25 PL (Location, including name of business)

committed the following violation of law: Narrative; (Be specific) D CALLED POLICE AND ADVISED HAD A WARRANT. OFFC VERIFIED WARRANT ON COMPUTER. D TRANSPORTED TO T.C.K. WARRANT ESSUED 1-19-95 DC# 952791

PAGE 1 OF 1

Held for Other Agency

Agency _____ Verified by _____

I Swear that the above Statement is correct and true to the best of my knowledge and belief

A. Rodriguez / C. Perez
Officer's Name (Print)

Officer's Signature

HIALEAH
Department Name

0791 (04)
Court ID Number/Loc. Code

0715

32.02.03-9 Rev. 3/92

☐ HOLD FOR BOND HEARING DO NOT BOND OUT (Order to Hold or Must Appear at Bond Hearing)

Sworn to and subscribed before me, the undersigned authority, this _____ day of _____, 19__.

Deputy of the Court or Notary Public

☐ I understand that should I willfully fail to appear before the court as required by this notice to appear that I may be held in contempt of court and a warrant for my arrest shall be issued. Furthermore, I agree that notice concerning the time, date and place of all court hearings should be sent to the above address. I agree that it is my responsibility to notify Clerk of the Court (Juveniles notify Family Division Juvenile Section) anytime my address changes.

☐ You need not appear in court, but must comply with the instructions on the reverse side hereof.

Signature of Defendant / Juvenile and Parent or Guardian

CLERK CIRCUIT & COUNTY COURTS DADE COUNTY, FLORIDA

COURT COPY

2024 MAR 28 AM 10:50

FLORIDA GAMING
CONTROL COMMISSION

TO BE COMPLETED BY OFFICER FOR A JUVENILE DEFENDANT ONLY:

PARENT'S OR GUARDIAN'S NAME | Home Address (City) | (State) | (Zip) | Home Phone

PARENT'S OR GUARDIAN'S BUSINESS (Name) | Address (City) | (State) | (Zip) | Business Phone

INFORMATION BELOW DOUBLE LINES TO BE COMPLETED BY THE COURT

WAIVER OF PRELIMINARY HEARING

I have been advised of my right to a Preliminary Hearing in Case No. (s) _____ in which I am the defendant, and I desire to waive and do hereby waive my right to such Preliminary Hearing concerning all of the charges against me in said case(s).

_____
Defendant

CASE NO. _____
ARRAIGNMENT, JUDGMENT, SENTENCE, AND ORDER

Said Defendant was arraigned for trial on _____ and entered a plea of _____ guilty to the charge as set forth herein.

After hearing the evidence and duly considering the same, the Court finds you, the Defendant, _____ guilty of said charge; AND IT IS ORDERED AND ADJUDGED that, you, the Defendant, are _____ guilty as charged of said offense as set forth herein.

IT IS, THEREFORE; the judgment, Order, and Sentence of the Court that you, the Defendant, be imprisoned in the County Jail of Dade County, Florida, for a term of _____ days, and pay a fine of $ _____ and $ _____ the cost herein: and in default of such payment that you; the Defendant, stand commited to the County Jail of Dade County, Florida. for a term of _____ days.

DONE, ORDERED, AND ADJUDGED in open Court at Dade County, Florida, on _____.

Judge _____
County Court in and for Dade County, Florida

CASE NO. _____
ARRAIGNMENT, JUDGMENT, SENTENCE, AND ORDER

Said Defendant was arraigned for trial on _____ and entered a plea of _____ guilty to the charge as set forth herein

After hearing the evidence and duly considering the same, the Court finds you, the Defendant, _____ guilty of said charge; AND IT IS ORDERED AND ADJUDGED that, you, the Defendant, are _____ guilty as charged of said offense as set forth herein.

IT IS, THEREFORE, the judgment, Order, and Sentence of the Court that you, the Defendant, be imprisoned in the County Jail of Dade County, Florida, for a term of _____ days, and pay a fine of $ _____ and $ _____ the cost herein: and in default of such payment that you, the Defendant, stand commited to the County Jail of Dade County, Florida. for a term of _____ days

DONE, ORDERED, AND ADJUDGED in open Court at Dade County, Florida on _____.

Judge _____
County Court in and for Dade County, Florida

COUNTY COURT, MAGISTRATE DIVISION, ACTION, AND OTHER ORDERS

CHARGE	ACTION	DATE

BOND: Amount $ _____ CASH/SURETY: Receipt No. _____

Estreated by _____ _____
JUDGE DATE

CIRCUIT AND COUNTY COURTS
CLERK
DADE COUNTY, FLORIDA

2024 MAR 28 AM 10: 50

51

FLORIDA GAMING CONTROL COMMISSION

COMPLAINT/ARREST AFFIDAVIT

OBTS Number | ☐ Felony ☐ Misdemeanor ☐ Traffic ☐ Juvenile ☒ Warrant | Jail No. 77633 | Police Case No 478026 R

IDS No. | Agency Code 30 | Municipal P.D. Def. ID No. | MDPD Records and ID No. | Court Case No.

DEFENDANT'S NAME: Last EVANS | First JOFRY | Middle | DOB mo/day/yr [redacted] | Sex B | Race | Ethnic M | Height 55 | Weight 180 | Hair BLK | Eyes BRN

LOCAL ADDRESS: Street 423W 25PL | City MIAMI, | State FL | Zip 33010 | Phone 888-5540 | Alias Evan, Jefrey

PERMANENT ADDRESS: Street | City | State | Zip | Phone | Address Source ☐ Verbal ☐ Voter's I.D. ☐ Driver's License ☐ Other

BUSINESS ADDRESS: Street | City | State | Zip | Phone | Occupation NONE | Place of Birth MIAMI

DRIVER'S LICENSE NO. | State | Social Security No. | Scars, Tattoos, Unique Physical Features SCAR ON NOSE

Weapon Seized? ☐ Yes ☐ No | Type | Arrest Date mo/day/yr 09/29/95 | Arrest Time 6:40 ☐ A.M. ☒ P.M. | Arrest Location (include name of business) 423W 25PL (RESIDENCE)

Dft. has Conc. Weapons Permit. | PERMIT # | No. of Cases Cleared | Influence of Drugs ☐ Yes ☒ No ☐ Unknown | Influence of Alcohol ☐ Yes ☒ No ☐ Unknown | Citizenship US | Residence Type: ☐ Own ☐ Rent ☐ Other | ☐ Resident Alien ☐ Resident of Florida ☐ Resident Out of State

CO-DEFENDANTS

1. Last | First | Middle | DOB mo/day/yr | ☐ In Custody ☐ Felony ☐ Juvenile ☐ At Large ☐ Misdemeanor

2. Last | First | Middle | DOB mo/day/yr | ☐ In Custody ☐ Felony ☐ Juvenile ☐ At Large ☐ Misdemeanor

CODES — DRUG ACTIVITY: N. N/A, P. Possess, S. Sell, B. Buy, T. Traffic, R. Smuggle, D. Deliver, E. Use, K. Dispense/Distribute, Z. Other, M. Manufacture/Produce/Cultivate. DRUG TYPE: N. N/A, A. Amphetamine, B. Barbiturate, C. Cocaine, E. Heroin, H. Hallucinogen, M. Marijuana, O. Opium/Derv., P. Paraphernalia/Equipment, S. Synthetic, U. Unknown, Z. Other

CHARGES	Activity	Type	Counts	STATUTE	D.V.	☐ AC ☐ CAPIAS ☐ BW ☐ FW ☐ PWC CITE	VIOLATION OF SECT.
1. WAR. OPEN / COCAINE POSS	N	N	1	893.13		09-29-95 NO BOND	F94-31287
2. POSS. DRUG PARAPHENALIA	N	N	1	893147		94-31287	
3.							
4.							OF THE CODE OF DADE

The undersigned certifies and swears that he has just and reasonable grounds to believe, and does believe that the above named Defendant

On the 29TH day of SEPT, 19 95, At 6:40 ☐ A.M. ☒ P.M. (Time) 423W. 25PL (RESIDENTIAL) (Location, include name of business)

committed the following violation of law: Narrative: (Be specific) Δ GIVE HIMSELF UP WILLING TO THOSE OFFICERS REF. THE ABOVE OPEN WARRANTS. Δ. TAKEN TRANS. TO T.G.K.

DC# 95 5532

PAGE 1 OF 1

Hold for Other Agency

Agency _____ Verified by _____

I Swear that the above Statement is correct and true to the best of my knowledge and belief.

D. GALLAGHER / M. DUNTARO
Officer's Name (Print)

Officer's Signature

MDPD
Department Name

2281/1349
Court ID Number/Loc. Code

☐ HOLD FOR BOND HEARING. DO NOT BOND OUT. Officer to Appear at Bond Hearing.

Sworn to (or affirmed) before me, the undersigned authority, this 29 day of SEPT 95

Deputy of the Court/Notary Public

☐ I understand that should I willfully fail to appear before the court as required by this notice to appear that I may be held in contempt of court and a warrant for my arrest shall be issued. Furthermore, I agree that notice concerning the time, date, and place of all court hearings should be sent to the above address. I agree that it is my responsibility to notify Clerk of the Court (Juveniles Clerk Family Division) of any time that my address changes.

☐ You need not appear in person. See instructions on the reverse side hereof.

Signature of Defendant / Juvenile and Parent or Guardian

22.02.03-9 Rev. 3/92

COURT COPY

2024 MAR 28 AM 10: 50

FLORIDA GAMING CONTROL COMMISSION

TO BE COMPLETED BY OFFICER FOR A JUVENILE DEFENDANT ONLY:

PARENT'S OR GUARDIAN'S NAME | Home Address (City) | (State) | (Zip) | Home Phone

PARENT'S OR GUARDIAN'S BUSINESS (Name) | Address (City) | (State) | (Zip) | Business Phone

INFORMATION BELOW DOUBLE LINES TO BE COMPLETED BY THE COURT

WAIVER OF PRELIMINARY HEARING

I have been advised of my right to a Preliminary Hearing in Case No. (s)_____ in which I am the defendant, and I desire to waive and do hereby waive my right to such Preliminary Hearing concerning all of the charges against me in said case(s).

_____
Defendant

CASE NO._____
ARRAIGNMENT, JUDGMENT, SENTENCE, AND ORDER

Said Defendant was arraigned for trial on _____ and entered a plea of _____ guilty to the charge as set forth herein.

After hearing the evidence and duly considering the same, the Court finds you, the Defendant, _____ guilty of said charge, AND IT IS ORDERED AND ADJUDGED that, you, the Defendant, are _____ guilty as charged of said offense as set forth herein.

IT IS, THEREFORE, the judgment, Order, and Sentence of the Court that you, the Defendant, be imprisoned in the County Jail of Dade County, Florida, for a term of _____ days, and pay a fine of $_____ and $_____ the cost herein: and in default of such payment that you; the Defendant, stand commited to the County Jail of Dade County, Florida. for a term of _____ days.

DONE, ORDERED, AND ADJUDGED in open Court at Dade County, Florida, on _____.

Judge _____
County Court in and for Dade County, Florida

CASE NO._____
ARRAIGNMENT, JUDGMENT, SENTENCE, AND ORDER

Said Defendant was arraigned for trial on _____ and entered a plea of _____ guilty to the charge as set forth herein

After hearing the evidence and duly considering the same, the Court finds you, the Defendant, _____ guilty of said charge; AND IT IS ORDERED AND ADJUDGED that, you, the Defendant, are _____ guilty as charged of said offense as set forth herein.

IT IS, THEREFORE, the judgment, Order, and Sentence of the Court that you, the Defendant, be imprisoned in the County Jail of Dade County, Florida, for a term of _____ days, and pay a fine of $_____ and $_____ the cost herein: and in default of such payment that you, the Defendant, stand commited to the County Jail of Dade County, Florida. for a term of _____ days

DONE, ORDERED, AND ADJUDGED in open Court at Dade County, Florida on _____.

Judge _____
County Court in and for Dade County, Florida

COUNTY COURT, MAGISTRATE DIVISION, ACTION, AND OTHER ORDERS

CHARGE	ACTION	DATE

BOND: Amount $_____ CASH/SURETY: Receipt No._____

Estreated by _____ JUDGE _____ DATE

2024 MAR 28 AM 9:55

CIRCUIT AND COUNTY COURTS CIVIL IN GOD WE TRUST DADE COUNTY, FLORIDA

2024 MAR 28 AM 10: 49

FLORIDA GAMING CONTROL COMMISSION

COMPLAINT/ARREST AFFIDAVIT

OBTS Number | ☒ Felony ☐ Misdemeanor ☐ Traffic ☐ Juvenile ☒ Warrent | 107391 | Police Case No. 96-57003

IDS No. | Agency Code 04 | Municipal P.D. Def. ID No 0096089 | MDPD Records and ID No. | Court Case No

DEFENDANT'S NAME: Last EVANS, First JEFFERY, Middle RICARDO | DOB mo/day/yr [redacted] | Sex M | Race B | Ethnic | Height 5'08" | Weight 180 | Hair BLK | Eyes BRN

LOCAL ADDRESS: Street 423 W. 25 PL, City HIA, State FL, Zip 33012 | Phone 888-5540 | Alias NONE

PERMANENT ADDRESS: Street, City, State, Zip | Phone | Address Source ☐ Verbal ☐ Voter's I.D. ☐ Driver's License ☒ Other FL I.D.

BUSINESS ADDRESS: Street, City, State, Zip | Phone | Occupation LABORER | Place of Birth MIAMI, FL

DRIVER'S LICENSE NO. [redacted] State | Social Security No. | Scars, Tattoos, Unique Physical Features "CASH" RIGHT SHOULDER / "JEFF" RIGHT LEG

Weapon Seized? Type ☐Yes ☐No | Arrest Date mo/day/yr 12/30/96 | Arrest Time 1020 ☒ A.M. ☐ P.M. | Arrest Location (Include name of business) 423 W. 25 PL RESIDENCE HIA FL

If Def. has Concealed Weapons Permit, PERMIT # W- | For Robbery, Burglary, P/A Viol: Suspected history of drug involvement? ☐ Yes ☒ Link | No. Cases Cleared 1 | Influence of Drugs ☐ Yes ☒ No ☐ Unk. | Influence of Alcoh. ☐ Yes ☒ No ☐ Unk. | Citizenship USA | Resid. ☐ Dade ☐ Rights ☐ Out of State

CO-DEFENDANTS | Last, First, Middle | DOB mo/day/yr | ☐ Felony ☐ Juvenile ☐ Misdemeanor ☐ At Large

1. | | __/__/__

2. | | 12/14/97 | __/__/__

CODE: DRUG ACTIVITY: N. N/A, P. Possess, S. Sell, B. Buy, T. Traffic, P. Smuggle, D. Deliver, U. Use, K. Dispense/Distribute, Z. Other, M. Manufacture/Produce/Cultivate | DRUG TYPE: N. N/A, A. Amphetamine, B. Barbiturate, C. Cocaine, E. Heroin, H. Hallucinogen, M. Marijuana, O. Opium/Derv., S. Synthetic, U. Unknown, Z. Other

CHARGES	Activity	Type	Counts	STATUTE	D.V. ☐ AC ☐ CAP/AB ☐ SW ☐ FW ☐ PVA	CITE/VIOLATION OF SEC/T.
1. AC WARRANT (POSS of COCAINE)				NO BOND	F94031287	21-38
2.						
3.						
4.						OF THE CODE OF HIALEAH

The undersigned certifies and swears that he has just and reasonable grounds to believe, and does believe that the above named Defendant

On the 30 day of DEC., 19 96, At 1020 (Time) ☒ AM ☐ PM. 423 W. 25 PL RESIDENCE (Location, include name of business)

committed the following violation of law: Narrative. (Be specific) THE DEF. CALLED HPD AND ADVISED THAT HE HAD A WARRANT AND WANTED TO CLEAR THE MATTER UP BY TURNING HIMSELF IN. THE UNDERSIGNED RESPONDED TO THE DEF'S HOME AND CHECKED THE DEF. FOR OPEN WARRANTS. COMPUTER CHECK REVEALD THE ABOVE OPEN WARRANT FOR THE DEFENDANTS ARREST. WARRANT ISSUED ON JAN 4, 1996 AC NUMBER F94031287

DC #96 6860

CIRCUIT AND COUNTY COURTS CLERK

PAGE 1 OF 1

Hold for Other Agency

Agency _____ Verified by _____

I Swear that the above Statement is correct and true to the best of my knowledge and belief.

C. DIAZ
Officer's Name (Print)

Officer's Signature

HIALEAH 0704 04
Department Name | Court ID Number/Loc. Code

☐ HOLD FOR BOND HEARING. DO NOT BOND OUT. Defendant to Appear at Bond Hearing.

Sworn to and subscribed before me by the undersigned authority, this 30 day of DECEMBER, 19 96

Deputy of the Court or Notary Public

☐ I understand that I should return to appear before the court as required by the notice to appear (DAQ) I may be held in contempt of court and a warrant for my arrest shall be issued. Furthermore, I agree that notice concerning the time, date, and place of all court hearings should be sent to the above address. I agree that it is my responsibility to notify Clerk of the Court (Juveniles notify Family Division Juvenile Section) anytime that my address changes.

☐ You need not appear in court, but must comply with the instructions on the reverse side hereof

Signature of Defendant / Juvenile and Parent or Guardian

32.02.03-9 Rev. 3/92

COURT COPY

2024 MAR 28 AM 10:49

FLORIDA GAMING
CONTROL COMMISSION

TO BE COMPLETED BY OFFICER FOR A JUVENILE DEFENDANT ONLY:

PARENT'S OR GUARDIAN'S NAME | Home Address (City) | (State) | (Zip) | Home Phone

PARENT'S OR GUARDIAN'S BUSINESS (Name) | Address (City) | (State) | (Zip) | Business Phone

INFORMATION BELOW DOUBLE LINES TO BE COMPLETED BY THE COURT

WAIVER OF PRELIMINARY HEARING

I have been advised of my right to a Preliminary Hearing in Case No. (s) _____ in which I am the defendant, and I desire to waive and do hereby waive my right to such Preliminary Hearing concerning all of the charges against me in said case(s).

_____
Defendant

CASE NO. _____
ARRAIGNMENT, JUDGMENT, SENTENCE, AND ORDER

Said Defendant was arraigned for trial on _____ and entered a plea of _____ guilty to the charge as set forth herein.

After hearing the evidence and duly considering the same, the Court finds you, the Defendant, _____ guilty of said charge; AND IT IS ORDERED AND ADJUDGED that, you, the Defendant, are _____ guilty as charged of said offense as set forth herein.

IT IS, THEREFORE, the judgment, Order, and Sentence of the Court that you, the Defendant, be imprisoned in the County Jail of Dade County, Florida, for a term of _____ days, and pay a fine of $ _____ and $ _____, the cost herein: and in default of such payment that you; the Defendant, stand commited to the County Jail of Dade County, Florida, for a term of _____ days.

DONE, ORDERED, AND ADJUDGED in open Court at Dade County, Florida, on _____.

Judge _____
County Court in and for Dade County, Florida

CASE NO. _____
ARRAIGNMENT, JUDGMENT, SENTENCE, AND ORDER

Said Defendant was arraigned for trial on _____ and entered a plea of _____ guilty to the charge as set forth herein.

After hearing the evidence and duly considering the same, the Court finds you, the Defendant, _____ guilty of said charge: AND IT IS ORDERED AND ADJUDGED that, you, the Defendant, are _____ guilty as charged of said offense as set forth herein.

IT IS, THEREFORE, the judgment, Order, and Sentence of the Court that you, the Defendant, be imprisoned in the County Jail of Dade County, Florida, for a term of _____ days, and pay a fine of $ _____ and $ _____, the cost herein: and in default of such payment that you, the Defendant, stand commited to the County Jail of Dade County, Florida, for a term of _____ days.

DONE, ORDERED, AND ADJUDGED in open Court at Dade County, Florida on _____.

Judge _____
County Court in and for Dade County, Florida

COUNTY COURT, MAGISTRATE DIVISION, ACTION, AND OTHER ORDERS

CHARGE	ACTION	DATE

BOND: Amount $ _____ CASH/SURETY: Receipt No. _____

Estreated by _____
JUDGE

_____
DATE

DEC 31 AM 8:00

CIRCUIT AND COUNTY COURTS
DADE COUNTY, FLORIDA

1. DATE OF SENTENCE MO DY YR	2. PREPARED BY	3. COUNTY	4. SENTENCING JUDGE
1/16/97	☐DC ☒SAO	Dade	Bagley

5. NAME (LAST, FIRST, M.I.)	6. DOB MO DY YR	7. DC# / 8. OBTS#	9. RACE / HISP.	10. GENDER	11.
EVAN, JEFFREY	[redacted]		☐B ☐W ☐OTH / ☐YES ☐NO	☐M ☐F	☒PLEA ☐TRIAL

☐ Check here if this sentencing is for only a revocation of probation or community control.

CODES DC USE ONLY

I. **PRIMARY OFFENSE:** If Qualifier, please check ___A ___S ___C (A=Attempt, S=Solicitation, C=Conspiracy) — **POINTS**

DOCKET#	FELONY DEGREE	F.S. #	OFFENSE LEVEL	OFF. DATE MO DY YR
96-31287	3	893.13	02	

Description: Poss of Coraine

(Level = Pts: 1=4, 2=10, 3=16, 4=22, 5=28, 6=36, 7=42, 8=74, 9=91, 10=116) I. 16

FILED
JAN 15 1997
DeVEAUX

II. **ADDITIONAL OFFENSE(S):** Supplemental page attached ☐

DOCKET#	FEL/MM	F.S. #	OFFENSE LEVEL	QUALIFY A S C			POINTS
96-31287	M		M	☐	☐	☐	1 x 0.2 = 0.2

Description: Poss Drug Paraphernalia

_____ / _____ / _____ / ____ ☐☐☐ ____ x ____ = ____

Description: _____

_____ / _____ / _____ / ____ ☐☐☐ ____ x ____ = ____

Description: _____

(Level = Pts: M=0.2, 1=0.7, 2=1.2, 3=2.4, 4=3.6, 5=5.4, 6=7.2, 7=8.4, 8=9.6, 9=10.8, 10=12.0)

Supplemental page points ____ II. ____

III. **VICTIM INJURY:**

		Number	Total			Number	Total
2ND Degree Murder	120 X	____	= ____	Slight	4 X	____	= ____
Death	60 X	____	= ____	Sex Penetration	40 X	____	= ____
Severe	40 X	____	= ____	Sex Contact	18 X	____	= ____
Moderate	18 X	____	= ____				

III. ____

IV. **PRIOR RECORD:** Supplemental page attached ☐

FEL/MM DEGREE	F.S. #	OFFENSE LEVEL	QUALIFY: A S C	DESCRIPTION	NUM	POINTS
____	_____ /	____	☐☐☐	_____	____ x	= ____
____	_____ /	____	☐☐☐	_____	____ x	= ____
____	_____ /	____	☐☐☐	_____	____ x	= ____
____	_____ /	____	☐☐☐	_____	____ x	= ____
____	_____ /	____	☐☐☐	_____	____ x	= ____

(Level = Pts: M=0.2, 1=0.5, 2=0.8, 3=1.6, 4=2.4, 5=3.6, 6=4.8, 7=5.6, 8=6.4, 9=7.2, 10=8.0)

Supplemental page points ____ IV. ____

V. Line Subtotal 16.2

RECEIVED
1997 MAR 28 AM 10:49
FLORIDA GAMING CONTROL COMMISSION

CIRCUIT AND COUNTY COURTS CLERK GOD WE TRUST DADE COUNTY, FLORIDA

Effective Date: January 1, 1994

DISTRIBUTION:
White (Original) – Clerk
Green – Public Defender
Canary – DC Data
Pink – State Attorney
Gold – DC File

CERTIFIED COPY

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2024 MAR 28 AM 10: 49

FLORIDA GAMING
CONTROL COMMISSION

Page 1 Subtotal _____

V. Legal Status Violation = 4 Points — V. _____

VI. Release Program Violation - 6 Points X Number of Violations (Max 18 Pts) = — VI. _____

VII. Firearm or Destructive Device = 18 Points — VII. _____

VIII. Semi-Automatic Weapon or Machine Gun = 25 Points — VIII. _____

Subtotal Sentence Points. _____

IX. Enhancements (only one multiplier may be used)

Law Enforcement Protection	Drug Trafficking
☐ 1.5 Multiplier ☐ 2.0 Multiplier	☐ 1.5 Multiplier

Enhanced Subtotal Sentence Points IX. _____

TOTAL SENTENCE POINTS 162

NSPS

SENTENCE COMPUTATION

- If total sentence points are less than, or equal to 40, the sentencing court may not impose a state prison sentence. The sentencing court may increase total sentence points that are less than or equal to 40 by up to 15 percent and may impose a state prison sentence if the increased total exceeds 40 points.

_____ x 1.15 = _____
Total Sentence Points — Increased Sentence Points

- If total sentence points are greater than 40 and less than or equal to 52 the decision to incarcerate in a state prison is left to the discretion of the court. If total sentence points are greater than 52 the sentence must be a state prison sentence.
- A state prison sentence is calculated by deducting 28 from total or increased sentence points.

_____ minus 28 = _____
Total Or Increased Sentence Pts. — State Prison Months

- The sentencing court may increase or decrease state prison months by up to 25 percent except where the total sentence points were less than or equal to 40 but have been increased by up to 15 percent to exceed 40 points. Any state prison sentence must exceed 12 months.

_____ (State Prison Months) × x .75 = _____ Minimum State Prison Months
_____ (State Prison Months) × x 1.25 = _____ Maximum State Prison Months

TOTAL SENTENCE IMPOSED

	Years	Months	Days
☐ State Prison			
☐ County Jail			
☐ Community Control			
☐ Probation			

- Please designate the particular type of sentence where an enhanced or mandatory sentence is imposed.

☐ Habitual Felony Offender — ☐ Guidelines Aggravated Departure
☐ Habitual Violent Felony Offender — ☐ Guidelines Mitigated Departure
Mandatory pursuant to: ☐ s.775.087 ☐ s.893.13 ☐ s.893.135

CIRCUIT AND COUNTY COURTS
DADE COUNTY, FLORIDA

OFFENSES OK

80:Da 1 1ZI 1751 1 PG0409

RECEIVED
2024 MAR 28 AM 10:49
FLORIDA GAMING CONTROL COMMISSION

IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT IN AND FOR DADE COUNTY, FLORIDA.

DIVISION	MEMORANDUM OF COSTS	CASE NUMBER
☒ CRIMINAL ☐ JUVENILE		94-31287

THE STATE OF FLORIDA VS.

EVAN, JEFFEY

PLAINTIFF DEFENDANT/RESPONDENT

CLOCK IN

FILED
JAN 15 1997
CLERK

CHARGE: ROSS CACHE

SENTENCE: SES

FINE/COSTS AS ASSESSED		FLORIDA STATUTE NO.	COMMENTS
◆ Fine	$		
◆ Surcharge (5% of Fine)	$	960.25	
◆ Court Cost	$		
◆ Local Criminal Justice Trust	$ 200.00	27.3455	
◆ Crimes Compensation (CCA)	$ 50.00	960.20	
◆ State (LETTF)	$ 3.00	943.25 (3)	
◆ County (LETTF)	$ 2.00	943.25 (13)	
◆ Handicapped / Elderly Trust	$	939.015	
TJAC Total Fine / Costs:	$ 3/258		

CIRCUIT AND COUNTY COURTS SEAL DADE COUNTY, FLORIDA

Harvey Ruvin, Clerk of Courts

By: L. Cy
Deputy Clerk

☐ Restitution (see above sentence and attached order)
☐ Donation / Contribution (see above sentence and attached order)

Information for Defendant / Respondent

If a **Restitution or Donation / Contribution** is required (checked above), please follow the payment process stated on the respective order.

If this is a **Criminal Case** (checked above), payment can be made in person or by mail at the Metro-Dade Flagler Building (Room 1502), 140 West Flagler Street, Miami Fl. 33130 or in person only at Richard E. Gerstein Justice Building (Room 702), 1351 NW 12th Street, Miami, Fl.

If this is a **Juvenile Case** (checked above), payment can be made in person or by mail at the Juvenile Justice Center (Room 1000), 3300 NW 27th Ave., Miami, Fl. 33142.

I understand that I am to pay the above amount and that I am responsible for keeping the receipt. Payment is to be made **by cash** (please do not send cash in mail), **money order, or cashier's check** made payable to the Clerk of Courts. Please indicate your name, above case number, and write "Fine Unit" on your payment.

Defendant's / Respondent's Signature: Jeffrey R Evans Date: 1/15/97

CLK/CT 880 REV. 6/96 White - Court File Yellow - Fine Unit In Accounting Pink - Defendant/Respondent

RECEIVED

2024 MAR 28 AM 10:49

FLORIDA GAMING
CONTROL COMMISSION

IN THE COUNTY COURT, IN AND FOR DADE COUNTY, FLORIDA.

*CASE NO: 94-31287

FILED FOR RECORD
1994 DEC 26 AM 7:47
CLERK, CIRCUIT & COUNTY COURTS
DADE COUNTY, FLA.
CIRCUIT CRIMINAL #94

STATE OF FLORIDA
vs.
EVAN, Jeffrey

PRETRIAL RELEASE ORDER

This cause having come to be heard before me and the Court being advised in the premises, and having reviewed the representation of the Dade County Pretrial Services Release Program,

IT IS HEREBY CONSIDERED AND ORDERED that the Defendant herein is released in the custody of _____, _____ (ADDRESS)
PTS, under the supervision of Dade County Pretrial Services Program.

IT IS FURTHER CONSIDERED AND ORDERED that if the Defendant is no longer eligible for release under the forementioned conditions, or if additional information by the Pretrial services renders the Defendant unacceptable for release under the forementioned conditions, alternate bond is hereby set in the amount of $ NO BOND

DONE AND ORDERED at Miami, Dade County, Florida, this 23 day, of Dec 19 94.

JUDGE COUNTY COURT

THE DADE COUNTY PRETRIAL SERVICES RELEASE PROGRAM HAS INVESTIGATED THE ABOVE NAMED DEFENDANT AND (HAS) (HAS NOT) MADE RECOMMENDATIONS FOR RELEASE. UPON ORDER OF THE COURT, PRETRIAL SERVICES HEREBY ACCEPTS RESPONSIBILITY FOR THE PRESENCE OF THE DEFENDANT AT ALL SUBSEQUENT HEARINGS IN THIS CASE.

MP
PRETRIAL REPRESENTATIVE

I HEREBY CERTIFY THAT I HAVE READ THE ABOVE ORDER AND AGREE TO COMPLY WITH THE INSTRUCTIONS THEREIN CONTAINED.

PTS
PERSON TAKING CUSTODY

X J R Evans
DEFENDANT

ADDRESS: 423 W 25 PL
Hialeah Fla 33010

IDS NO. _____ DOB [redacted]

JLN: 94-108818

CHARLES A. FELTON, DIRECTOR
CORRECTIONS AND REHABILITATION

DADE COUNTY, FLORIDA

BY: _____

CHARGES: Poss Cocaine
Poss para

* This Pretrial Release order may include the cancellation of any criminal traffic Bench Warrant IF YOU, THE DEFENDANT, REPORT WITHIN 72 HOURS AFTER BEING RELEASED FROM JAIL, to the Traffic Clerk Office, Room 124, 1st Floor, Metropolitan Justice Building, 1351 N.W. 12th Street, Miami, Florida. Please bring this copy of the release order, and the yellow copy of your arrest form.

DISTRIBUTION: White Copy-Court Yellow Copy-Pretrial Pink Copy-Defendant Gold-Copy-Jail

134.01-131 1/94

2024 MAR 28 AM 10:49

FLORIDA GAMING
CONTROL COMMISSION

IN THE CIRCUIT COURT OF THE
ELEVENTH JUDICIAL CIRCUIT OF
FLORIDA, IN AND FOR DADE COUNTY
CRIMINAL DIVISION
CASE NO.: 94-31287
JUDGE: Goldstein

THE STATE OF FLORIDA,
Plaintiff,
vs.
JEFREY EVAN,
Defendant.
_____/

WRITTEN PLEA OF NOT GUILTY

CLERK CIRCUIT CRIMINAL DIV. FILED
SEP 19 PM 4:14

THE DEFENDANT, by and through undersigned counsel, hereby files Written Plea of Not Guilty in the above-styled cause. Further the defendant demands trial by jury and requests the Court grant him fifteen (15) days for additional motions attacking the sufficiency of the Information. The defendant waives his right to be present at the arraignment and at any pre-trial conference pursuant to Florida Rule of Criminal Procedure 3.180(a)(2) and (3).

The defendant is scheduled to be arraigned on 10/04/94 .

I HEREBY CERTIFY that a true and correct copy of the foregoing was hand-delivered to the Office of the State Attorney, 1351 N.W. 12th Street, Miami, Florida, this September 16, 1994.

Respectfully submitted,

BENNETT H. BRUMMER
Public Defender
Eleventh Judicial Circuit
of Florida
1351 N.W. 12th Street, Room 800
Miami, Florida 33125

BY: _____
HENRI RAUCH
Assistant Public Defender
Florida Bar No. 339845

COUNTY COURTS CLERK'S OFFICE DADE COUNTY, FLORIDA

RECEIVED

2024 MAR 28 AM 10:49

FLORIDA GAMING
CONTROL COMMISSION

IN THE COUNTY COURT, IN AND FOR DADE COUNTY, FLORIDA.

*CASE NO: FG4-312817

1994 SEP 14 AM 8:09
CLERK CIRCUIT & COUNTY CRTS.
DADE COUNTY, FLA.
CIRCUIT CRIMINAL #4

STATE OF FLORIDA
vs.
Evan, Jeffry

PRETRIAL RELEASE ORDER

This cause having come to be heard before me and the Court being advised in the premises, and having reviewed the representation of the Dade County Pretrial Services Release Program,

IT IS HEREBY CONSIDERED AND ORDERED that the Defendant herein is released in the custody of _____

PTS/TASC (ADDRESS), under the suspervision of Dade County Pretrial Services Program.

IT IS FURTHER CONSIDERED AND ORDERED that if the Defendant is no longer eligible for release under the forementioned conditions, or if additional information by the Pretrial services renders the Defendant unacceptable for release under the forementioned conditions, alternate bond is hereby set in the amount of $ 6,000.

DONE AND ORDERED at Miami, Dade County, Florida, this _____ day, of SEP 13 1994 19____.

JUDGE COUNTY COURT Judge A. F. Nesbitt

THE DADE COUNTY PRETRIAL SERVICES RELEASE PROGRAM HAS INVESTIGATED THE ABOVE NAMED DEFENDANT AND (HAS) (HAS NOT) MADE RECOMMENDATIONS FOR RELEASE. UPON ORDER OF THE COURT, PRETRIAL SERVICES HEREBY ACCEPTS RESPONSIBILITY FOR THE PRESENCE OF THE DEFENDANT AT ALL SUBSEQUENT HEARINGS IN THIS CASE.

PRETRIAL REPRESENTATIVE

I HEREBY CERTIFY THAT I HAVE READ THE ABOVE ORDER AND AGREE TO COMPLY WITH THE INSTRUCTIONS THEREIN CONTAINED.

PTS/TASC
PERSON TAKING CUSTODY

X [signature]
DEFENDANT

ADDRESS: 423 W 25th Pl
Hialeah Fla 33010

IDS NO. _____ DOB [redacted]

JLN: 94-8094

LONNIE R. LAWRENCE, DIRECTOR
CORRECTIONS AND REHABILITATION

DADE COUNTY, FLORIDA

BY: [signature]

CHARGES: Poss of Coc
W/Int Dr & W Drug Para

* This Pretrial Release order may include the cancellation of any criminal traffic Bench Warrant IF YOU, THE DEFENDANT, REPORT WITHIN 72 HOURS AFTER BEING RELEASED FROM JAIL, to the Traffic Clerk Office, Room 124, 1st Floor, Metropolitan Justice Building, 1351 N.W. 12th Street, Miami, Florida. Please bring this copy of the release order, and the yellow copy of your arrest form.

DISTRIBUTION: White Copy-Court Yellow Copy-Pretrial Pink Copy-Defendant Gold-Copy-Jail

134.01-131 Rev. 6/89 METRO-DADE/BA-HAT. MDT.

C&R 373

2024 MAR 28 AM 10:49

FLORIDA GAMING
CONTROL COMMISSION

IN THE CIRCUIT COURT OF THE
ELEVENTH JUDICIAL CIRCUIT OF
FLORIDA, IN AND FOR DADE COUNTY

CRIMINAL DIVISION
CASE NO: 94-31287
JAIL NO: 94-080941
JUDGE:
ARRAIGN: 10/04/94

THE STATE OF FLORIDA,
Plaintiff,
vs.

EVAN, JEFREY
Defendant.
_____/

NOTICE OF DEFENDANT'S INVOCATION OF THE RIGHT TO COUNSEL

FILED
SEP 13 1994
CIRCUIT COURT
DADE COUNTY, FLORIDA

I, JEFREY EVAN, hereby invoke and exercise my right to counsel, pursuant to the Fifth, Six, and Fourteenth Amendments to the United States Constitution, and Article I, Sections 9, 12, and 16, of the Florida Constitution and the case law thereunder. I desire to have my attorney, the Public Defender, present before and during any questioning of, interviewing of, and/or conversation with me by any police official and/or agents thereof, any prosecutor and/or agents thereof, any judicial officer and/or agents thereof. I hereby announce that I desire my attorney to be present before anybody talks to me about any matters relating to this case or any criminal charges pending or contemplated. This notice is not intended to be, and in fact is not, an admission of guilt or criminal liability and should not be interpreted to give rise to any inference of guilt. After being fully advised of my constitutional and statutory rights by my attorney, I am signing this notice upon his advice.

Furthermore, I hereby state that at no time in the future do I or will I waive (i.e., give up) my right to have my attorney present at all times prior to anyone trying to secure from me a waiver of any of my constitutional and statutory rights. the state, and its agents, are hereby placed on notice that this invocation supersedes any prior waivers of the foregoing rights to counsel that I might have given.

I do not wish to be contacted by the media without prior notification to and approval by my attorney.

Notice is hereby given by me and my undersigned attorney that should any governmental official, and/or agent thereof, fail to respect and honor this notice and invocation of rights, the undersigned will litigate and seek sanctions and damages for said violation of constitutional and statutory rights.

Furthermore, I hereby authorize the Public Defender's Office to file a written pleas of not guilty on my behalf pursuant to Florida Rule of Criminal Procedure 3.170(a). I waive my right to be present at any pre-trial conference pursuant to Florida Rule of Criminal Procedure 3.180(3).

I HEREBY CERTIFY that a true and correct copy of the foregoing was served upon the Office of the State Attorney, 1351 N.W. [illegible] Street, Miami, Florida this September 13, 1994.

Defendant

DADE COUNTY

2024 MAR 28 AM 10:49
FLORIDA GAMING CONTROL COMMISSION

IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT
IN AND FOR DADE COUNTY, FLORIDA

Case No. 94-31287
Judge Goldstein

STATE OF FLORIDA v.

JEFREY EVAN

Defendant.

FILED
OCT 4 1994
CLERK

DEFERRED PROSECUTION AGREEMENT AND SPEEDY TRIAL WAIVER

I, understand that I have been tentatively accepted as a participant in the Division 51 Pretrial Diversion Program, and that the charges against me will not be prosecuted so long as I am a program participant in good standing and that my case will not come to trial during that time.

I, understand further that I have a right to have my case brought to trial under Florida Rule of Criminal Procedure 3.191 within one hundred and seventy-five (175) days as provided in that Rule, or within sixty (60) days as provided upon demand.

In order to participate in the Division 51 Pretrial Diversion Program, I do hereby freely and voluntarily waive my right to a speedy trial, pursuant to the Florida Rules of Criminal Procedure, Florida Constitution and United States Constitution. I understand that if I violate the rules of the Program, which have been explained to me and which I have agreed to, that my case will be returned to court for prosecution. I also agree to waive my right to the return of any weapons, electronic weapons or devices or arms seized pursuant to §790.08 Florida Statutes.

OCT 04 1994
Date

Defendant

CERTIFICATION OF ATTORNEY

I hereby certify that I am attorney of record for _____, that I have explained to him his right to a speedy trial under the laws of Florida; that he has freely and understandingly executed the foregoing waiver

OCT 04 1994
Date

Attorney

CIRCUIT AND COUNTY COURTS
CLERK
IN GOD WE TRUST
DADE COUNTY, FLORIDA

2024 MAR 28 AM 10:48
FLORIDA GAMING
CONTROL COMMISSION

☒ IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT IN AND FOR DADE COUNTY, FLORIDA.
☐ IN THE COUNTY COURT IN AND FOR DADE COUNTY, FLORIDA.

388
261

DIVISION	FINDING OF GUILT AND ORDER WITHHOLDING ADJUDICATION AND SPECIAL CONDITIONS		CASE NUMBER
☒ CRIMINAL ☐ TRAFFIC ☐ OTHER			94-31287

THE STATE OF FLORIDA VS. JEFREY R EVAN

CLOCK IN

FILED
DEC 15 1997
CLERK

PLAINTIFF DEFENDANT

SPECIAL CONDITIONS

- ☐ Credit for time served
- ☒ Costs
- ☒ Suspend entry of sentence
- ☐ OTHER

IT APPEARING UNTO THE COURT that the defendant being personally before the court accompanied by his/her attorney, R. BURLESON has been found guilty of the charge of POSSESSION OF COCAINE & USE OR POSSESSION OF DRUG PARAPHERNALIA, AS SET FORTH IN COUNTS 1&2 OF THE INFORMATION

by the court upon the entry of ☐ a guilty plea ☒ a nolo contendere plea
and it appearing unto the court, upon a hearing of the matter, that the defendant is not likely to engage in a criminal course of conduct and that the ends of justice and welfare of society do not require that the defendant shall presently suffer the penalty imposed by law, and the Court being fully advised in the premises, it is thereupon

ORDERED AND ADJUDGED that an adjudication of guilt be, and the same is hereby stayed and withheld,

IT IS FURTHER ORDERED AND ADJUDGED that :

- ☐ the defendant is placed on PROBATION/COMMUNITY CONTROL in a separate order entered herein:
- ☐ the defendant is sentenced to CREDIT FOR TIME SERVED to wit:
- ☒ the sentence be and hereby is SUSPENDED.
- ☐

RECORDED
JAN 28 1997
Clerk of Circuit
& County Courts

IT IS ORDERED THAT the defendant be fingerprinted pursuant to F.S. 921.241(1).

DS 1/16/97

OFFICIAL RECORDS

17511 PG0406

2024 MAR 28 AM 10:30

FLORIDA GAMING CONTROL COMMISSION

CLOSED CASE
JURY — NON-JURY TRIAL — PV HEARINGS

JUDGE/CLK	JB-LE					
DATE	JAN 15 1997					
STATE						
DEFENSE	(SEE PLEA)					
INTERPRETER						
REPORTER						

CLERKS MINUTES JAN 15 1997

✓ ✓ DEFT. SWORN & VOIR DIRED RE PLEA.

O SCORE SHEET SUBMITTED O NOT SUBMITTED.

O DEFT. WAIVES PSI

O GUIDELINES DEPARTURE

RECEIVED
2024 MAR 28 AM 10:51
FLORIDA GAMING CONTROL COMMISSION

JAN 15 1997

CT. COST WAIVED	IMPOSED
LFCJTF (27.3455)	$ 200
CAA (960.20)	$ 50
ST.LETTF (943.25(3))	$ 3
CTY.LETTF (943.25(13))	$ 3
ELDERLY VICT. (939.015)	$ JAC 3/258

CERT. COPY INFO (del/mailed) DATE

ACQUITTED (COURT) (JURY)

CTS. 1,2

FINDING OF GUILT (CT.) JAN 15 1997 (JURY)

CTS. 1,2

ADJ WH JAN 15 1997

ADJ DELINQUENT PURSUANT TO _____.

CTS. 1,2

SENT. JAN 15 1997 S/C/S

TIME SERVED IN DCJ TO WIT: ____ DAYS.

O NO CTS.

_____ (DA/MO) IN DCJ. SENT. TO BEGIN FROM DATE OF INCARCERATION.

STAY OF EXECUTION UNTIL

W/H & _____ (MO/YR) PROB.

W/H & _____ (MO/YR) C.C.

SP. COND.: OF (SENT/PROB./C.C.)

SUC/UNSEC. TERM. OF PROB./C.C.

2024 MAR 28 AM 10:51

FLORIDA GAMING CONTROL COMMISSION

CIRCUIT COURT DADE COUNTY FORWARD FROM INSERT #_____

CRIMINAL DIVISION

THE STATE OF FLORIDA

VS. NO. 94-31287

JUDGE/CLERK	SC / DH		S6 / DL	SG / RMF
DATE	JUN 02 1995	JUN 23 1995	JUL 07 1995	SEP 08 1995
GUILTY				
NOT GUILTY				
WAIVER OF JURY				
STATE	B. Greenwood		do	do
DEFENSE	C. Martinez p.d.		D. Melzer c.d.	do
INTERPRETER				
REPORTER	J. Cowley		do	P Berner

CLERK'S MINUTES	DEFT - ACTION DATE	ORAL NOTICE	CONTINUED TO/FOR	S	D
DEC 31 1996 Deft picked up on oa. Trns'f to Div 8	MAY 26 1995 SG	Jail	6/2/95 re status		
	JUN 02 1995 SG	✓	6/23/95 re prel		
	JUN 23 1995	DNP	7-7-95 do		
	JUL 07 1995 SG	✓	8/22/95 do		
	SEP 08 1995		AC		
	SEP 22 1995	Jail	10-6-95 re Sheriff		
	OCT 06 1995 SG DL		10-27-95 re Prog		
	OCT 27 1995	DNP	11/9/95 do		
	NOV 09 1995	✓	12-14-95 do		
	DEC 14 1995	✓	12-21-95 do		
	DEC 21 1995	DNP	1-4-96 do		
	JAN 04 1996 SG	DNP	A/C		
	DEC 31 1996	Jail	1-14-97 re status		
	JAN 14 1997	JNL	1-15-97 plea		
	JAN 15 1997		Closed		

CIRCUIT AND COUNTY COURTS DADE COUNTY, FLORIDA

CLK/CT388 12/89

B

2024 MAR 28 AM 10: 51

FLORIDA GAMING CONTROL COMMISSION

FORWARD FROM INSERT # _____

CIRCUIT COURT DADE COUNTY

CRIMINAL DIVISION

THE STATE OF FLORIDA

VS. NO. 94-31287

JUDGE/CLERK	SG/RMF	SG/AW	SG/RMF	do
DATE	SEP 22 1995	OCT 06 1995	JAN 04 1996	DEC 31 1996
GUILTY				
NOT GUILTY				
WAIVER OF JURY				
STATE	B Greenwood	do	do	do
DEFENSE	D Melzer BB	do	do	do
INTERPRETER				
REPORTER	D Berner	do	J Collins	D Farar

CLERK'S MINUTES	DEFT - ACTION DATE	ORAL NOTICE	CONTINUED TO/FOR	S	D	C

CIRCUIT AND COUNTY COURTS CLERK DADE COUNTY FLORIDA

CLK/CT388 REV. 05/95

B

2024 MAR 28 AM 10:51
FLORIDA GAMING CONTROL COMMISSION

CLOSED CASE
JURY - NON-JURY TRIAL - PV HEARINGS

JUDGE/CLK						
DATE						
STATE						
DEFENSE						
INTERPRETER						
REPORTER						

CLERKS MINUTES

DEFT. SWORN & VOIR DIRED RE PLEA.

o SCORE SHEET SUBMITTED o NOT SUBMITTED

o DEFT. WAIVES PSI

o GUIDELINES DEPARTURE

CT. COST WAIVED	IMPOSED
LFCJTF (27.3455)	$
CAA (960.20)	$
ST.LETTF (943.25(3))	$
CTY.LETTF (943.25(13))	$
ELDERLY VICT. (939.015)	$

CERT. COPY INFO (del/mailed) DATE

ACQUITTED (COURT) (JURY)

FINDING OF GUILT (CT.) (JURY)

ADJ WH

ADJ DELINQUENT PURSUANT TO _____.

SENT.

TIME SERVED IN DCJ TO WIT : _____ DAYS

o NO CTS.

_____ (DA/MO) IN DCJ. SENT. TO BEGIN FROM DATE OF INCARCERATION.

STAY OF EXECUTION UNTIL

W/H & _____ (MO/YR) PROB.

W/H & _____ (MO/YR) C.C.

SP. COND.: OF (SENT/PROB./C.C.)

SUC/UNSEC. TERM. OF PROB./C.C.

CIRCUIT AND COUNTY COURTS CLERK DADE COUNTY, FLORIDA

2024 MAR 28 AM 10:51

FLORIDA GAMING CONTROL COMMISSION

FORWARD FROM INSERT # _____

CIRCUIT COURT DADE COUNTY

CRIMINAL DIVISION

THE STATE OF FLORIDA

VS. NO. 94-31287

JUDGE/CLERK	JG-LE			
DATE	JAN 15 1997			
GUILTY	NOLO			
NOT GUILTY				
WAIVER OF JURY				
STATE	P. LIU			
DEFENSE	R. BULLESON PD			
INTERPRETER				
REPORTER	D. MUSSO			

CLERK'S MINUTES	DEFT - ACTION DATE	ORAL NOTICE	CONTINUED TO/FOR	S	D	C

CIRCUIT AND COUNTY COURTS CLERK DADE COUNTY, FLORIDA

CLK/CT386 REV. 05/95

B

RECEIVED
2024 MAR 28 AM 10:51
FLORIDA GAMING CONTROL COMMISSION

CLOSED CASE
JURY - NON-JURY TRIAL - PV HEARINGS

JUDGE/CLK						
DATE						
STATE						
DEFENSE						
INTERPRETER						
REPORTER						

CLERKS MINUTES

DEFT. SWORN & VOIR DIRED RE PLEA.

o SCORE SHEET SUBMITTED o NOT SUBMITTED

o DEFT. WAIVES PSI

o GUIDELINES DEPARTURE

CT. COST WAIVED	IMPOSED
LFCJTF (27.3455)	$
CAA (960.20)	$
ST.LETTF (943.25(3))	$
CTY.LETTF (943.25(13))	$
ELDERLY VICT. (939.015)	$

CERT. COPY INFO (del/mailed) DATE

ACQUITTED (COURT) (JURY)

FINDING OF GUILT (CT.) (JURY)

ADJ WH

ADJ DELINQUENT PURSUANT TO _____.

SENT.

TIME SERVED IN DCJ TO WIT : _____ DAYS

o NO CTS.

_____ (DA/MO) IN DCJ. SENT. TO BEGIN FROM DATE OF INCARCERATION.

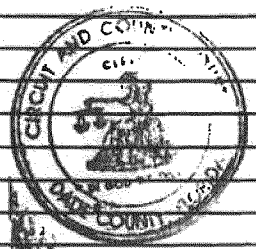
STAY OF EXECUTION UNTIL

W/H & _____ (MO/YR) PROB.

W/H & _____ (MO/YR) C.C.

SP. COND.: OF (SENT/PROB./C.C.)

SUC/UNSEC. TERM. OF PROB./C.C.



F94-31287

IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT
IN AND FOR DADE COUNTY, FLORIDA SPRING TERM, 1994

FILED
OCT 4 1994
CLERK

THE STATE OF FLORIDA v.

JEFREY R EVAN

Defendant.

INFORMATION FOR

1. POSSESSION OF COCAINE
893.13(1)(f) Fel. 3D

2. USE OR POSSESSION OF DRUG PARAPHERNALIA
893.147(1) Misd. 1D

RECEIVED
2024 MAR 28 AM 10:51
FLORIDA GAMING
CONTROL COMMISSION

STATE OF FLORIDA, COUNTY OF MIAMI-DADE
I HEREBY CERTIFY THAT THE FOREGOING IS A TRUE COPY OF THE
ORIGINAL ON FILE IN THIS OFFICE.
JUAN FERNANDEZ-BARQUIN, Clerk
3/18/24
Deputy Clerk

IN THE NAME AND BY THE AUTHORITY OF THE STATE OF FLORIDA:

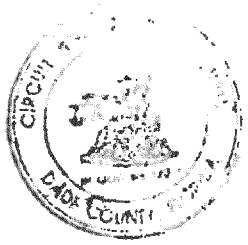
THOMAS H. WESSEL , Assistant State Attorney of the Eleventh Judicial Circuit, on the authority of KATHERINE FERNANDEZ RUNDLE, State Attorney, prosecuting for the State of Florida, in the County of Dade, under oath, information makes that:

THW :su 09/27/94
Circuit Court Direct File
(A) Jail No. 940080941, Bkd. 09/13/1994, CIN 0431888, B/M, DOB: [redacted]
F94031287
Goldstein(F051)

2024 MAR 28 AM 10:51
FLORIDA GAMING
CONTROL COMMISSION

COUNT 1

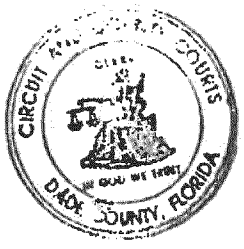
JEFREY R EVAN, on or about SEPTEMBER 13, 1994, in the County and State aforesaid, did unlawfully and feloniously have in the defendant's actual or constructive possession a controlled substance, to wit: COCAINE, in violation of s. 893.13(1)(f), Fla. Stat., contrary to the form of the Statute in such cases made and provided, and against the peace and dignity of the State of Florida.



2024 MAR 28 AM 10:51
FLORIDA GAMING
CONTROL COMMISSION

COUNT 2

And the aforesaid Assistant State Attorney, under oath, further information makes that JEFREY R EVAN, on or about SEPTEMBER 13, 1994, in the County and State aforesaid, did unlawfully use or possess with the intent to use certain drug paraphernalia, to wit: A CRACK PIPE, in order to inject, inhale, or otherwise introduce into the human body a controlled substance as set forth under s. 893.03 Fla. Stat., in violation of s. 893.147(1), Fla. Stat., contrary to the form of the Statute in such cases made and provided, and against the peace and dignity of the State of Florida.



2024 MAR 28 AM 10:51

FLORIDA GAMING
CONTROL COMMISSION

STATE OF FLORIDA, COUNTY OF DADE:

Personally known to me and appeared before me, the Assistant State Attorney of the Eleventh Judicial Circuit of Florida whose signature appears below, being first duly sworn, says that the allegations set forth in this Information are based upon facts which have been sworn to as true by a material witness or witnesses, and which if true, would constitute the offenses therein charged, and that this prosecution is instituted in good faith.

Assistant State Attorney
Florida Bar #00522120
1350 NW 12 Ave., Miami, FL (305) 547-0100

Sworn to and subscribed before me this 3rd day of October, 1994.

By _____
~~Deputy Clerk for Clerk of the Courts, or~~
Notary Public

Lourdes Luis
Notary Public, State of Florida
Commission No. CC 376937
My Commission Expires 05/18/98
1-800-3-NOTARY - Fla. Notary Service & Bonding Co.

CIRCUIT AND COUNTY COURTS
DADE COUNTY, FLORIDA

CIRCUIT CRIMINAL DIVISION
NNC
PRINTED DOCKET - FELONY
CASE ACTION SUMMARY

IN THE CIRCUIT COURT OF THE
ELEVENTH JUDICIAL CIRCUIT OF
FLORIDA IN AND FOR DADE COUNTY

CASE NO: F94031287 IDS: 0333346 CIN: 0431888 POLICE CASE NO: 94057582
STATE CSE: 131994CF0312870001XX FILE LOC: 39 SCANNED BOX#
DEFENDANT: EVAN JEFREY
ADDRESS: 423 WEST 25 PLACE HIALEAH FL 33012

PERSONAL INFORMATION
DOB: [redacted] RACE: B SEX: M
EYES: BRO HEIGHT: 505
HAIR: BLK WEIGHT: 180
JUDGE: YOUNG
SECTION: F008
ATTORNEY: PUBLIC DEFENDER APPOINTMENT ASSIGNED ATTY TYPE: PDEF
WARRANT: ISSUED: ARR-OFCR: 30-033 2381
COURTROOM: LOCATION: FUTURE HEARING: TYPE: OTH HRG:

IMPORTANT DATES
ARREST: 09/20/1995 ARR: 10/04/1994
FILED: 09/13/1994 TRIAL:
INFO: 10/04/1994 CLOSED: 01/15/1997
DAVID BOOKED: 09/13/1994
SPEEDY REQ: 03/07/1995

CHARGES	T	D	DESCRIPTION	DISPOSITION	DATE	PL	TL
893.13(1)(F)	F	3	COCAINE/POSSESSION	WH ADJ-SUSP ENT SENT	01/15/1997	NB	B
893.147(1)	M	1	DRUG PARAPHERNA/POSN	WH ADJ-SUSP ENT SENT	01/15/1997	NB	B

	DATE	PROGRESS OF CASE
	03/21/1999	DESCRIPTION ASSESSED PAID BALANCE LOC CRIM JUS 200.00 0.00 200.00 CRM COMP TR 50.00 0.00 50.00 STATE LETTF 3.00 0.00 3.00 COUNTY LETTF 2.00 0.00 2.00 JUV ASSESSM 3.00 0.00 3.00 TOTAL: 258.00 0.00 258.00 LAST ASSESSED: 01/15/1997 LAST PAYMENT: 00/00/0000
90	01/15/1997	SENTENCING GUIDELINES SCORE SHEET
89	01/15/1997	BOOK 17511 PAGE 408 FINDING OF GUILT/ORDER W/H ADJUDICATION & SPECIAL CONDITIONS COSTS/SUSPEND ENTRY OF SENTENCE
88	01/15/1997	BOOK 17511 PAGE 406 TRIAL PROS. ASSIGNED: LIU, PATRA
86	01/15/1997	CLOSING JUDGE BAGLEY, JERALD
85	01/15/1997	00002 M/ 893.147(1) DRUG PARAPHERNA/POSN NG J DISP/ -MODIFIED/REMOVED
84	01/15/1997	00001 F/ 893.13(1)(F) COCAINE/POSSESSION NG J DISP/ -MODIFIED/REMOVED
83	01/15/1997	MEMORANDUM OF COSTS AMOUNT 258.00
82	01/14/1997	DEFENSE ATTY PDEF/MELZER MOSKONA, DALIT
81	01/14/1997	REPORT RE: PLEA SET FOR 01/15/1997 AT 09:00
80	01/07/1997	COURT NOTICE ISSUED.
78	01/06/1997	REPORT RE: TRIAL DATE SET FOR 01/14/1997 AT 08:00
79	01/01/1997	COURT NOTICE ISSUED.
77	12/31/1996	SECTION CHANGE/ TRANSFER JUDGE GOLDSTEIN, STANLEY M SECTION F051
76	12/31/1996	00002 M/ 893.147(1) DRUG PARAPHERNA/POSN NG J DISP/DEFER DRUG PROGRAM -MODIFIED/REMOVED
75	12/31/1996	00001 F/ 893.13(1)(F) COCAINE/POSSESSION NG J DISP/DEFER DRUG PROGRAM -MODIFIED/REMOVED

Certified Copy
Clerk of the Circuit Court
of the Eleventh Judicial Circuit of
Florida in and for Miami-Dade County
MAR 13 2024

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2024 MAR 28 AM 10:51
FLORIDA GAMING
CONTROL COMMISSION

74	12/31/1996	WRITE-IN
73	12/31/1996	HEARING RECORDED ON 12/31/1996 AT 10:00
		DEFENDANT FAILED DIVERSION PROGRAM

* * * C O N T I N U E D O N N E X T P A G E * * *

RECEIVED
2024 MAR 28 AM 10:52
FLORIDA BOARDING
CONTROL COMMISSION

72	12/30/1996	ALIAS CAPIAS ISSUED: 01/04/1996 SERVED 12/31/1996
71	12/30/1996	BOOKING DATE
70	12/30/1996	ARREST DATE
69	01/17/1996	MEMORANDUM:-
		FROM ALTERNATIVE PRGM
68	01/04/1996	99800 PRETRIAL RELEASE/CUSTODIAN PTC AMT/ 0
		REVOKED 01/04/1996
		POWER/ PTR,DATP
67	12/22/1995	COURT NOTICE ISSUED.
66	12/21/1995	REPORT RE: DRUG PROGRAM
		SET FOR 01/04/1996 AT 10:00
65	12/15/1995	COURT NOTICE ISSUED.
64	12/14/1995	REPORT RE: DRUG PROGRAM
		SET FOR 12/21/1995 AT 10:00
63	11/23/1995	COURT NOTICE ISSUED.
62	11/09/1995	REPORT RE: DRUG PROGRAM
		SET FOR 12/14/0095 AT 10:00
61	10/30/1995	COURT NOTICE ISSUED.
60	10/27/1995	REPORT RE: DRUG PROGRAM
		SET FOR 11/09/0095 AT 10:00
59	10/13/1995	COURT NOTICE ISSUED.
58	10/12/1995	99800 PRETRIAL RELEASE/CUSTODIAN PTC AMT/ 0
		ISSUED 10/06/1995
		POWER/ PTR,DATP
57	10/10/1995	DEFENSE ATTY PDEF/MARTINEZ, CARLOS J
56	10/09/1995	COURT NOTICE ISSUED.
55	10/06/1995	99097 PRETRIAL REL ORDER PTR AMT/ 0
		ISSUED 10/06/1995
		POWER/
54	10/06/1995	REPORT RE: DRUG PROGRAM
		SET FOR 10/27/0095 AT 10:00
53	09/26/1995	REPORT RE: BY SHERIFF
		SET FOR 10/06/1995 AT 09:56
52	09/20/1995	ALIAS CAPIAS ISSUED: 09/08/1995 SERVED 09/21/1995
51	09/20/1995	BOOKING DATE
50	09/20/1995	ARREST DATE
49	09/08/1995	99800 PRETRIAL RELEASE/CUSTODIAN PTC AMT/ 0
		REVOKED 09/08/1995
		POWER/ PTR,DATP
48	08/18/1995	COURT NOTICE ISSUED.
47	08/10/1995	REPORT RE: DRUG PROGRAM
		SET FOR 09/08/1995 AT 10:00
46	08/01/1995	COURT NOTICE ISSUED.
44	06/26/1995	COURT NOTICE ISSUED.
43	06/23/1995	REPORT RE: DRUG PROGRAM
		SET FOR 07/07/0095 AT 10:00
42	06/13/1995	COURT NOTICE ISSUED.
41	06/12/1995	99800 PRETRIAL RELEASE/CUSTODIAN PTC AMT/ 0
		ISSUED 06/02/1995
		POWER/ PTR,DATP
40	06/05/1995	COURT NOTICE ISSUED.
39	06/02/1995	99097 PRETRIAL REL ORDER PTR AMT/ 0
		ISSUED 06/02/1995
		POWER/
38	06/02/1995	REPORT RE: DRUG PROGRAM
		SET FOR 06/23/0095 AT 10:00

* * * CONTINUED ON NEXT PAGE * * *

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FLORIDA PAROLE COMMISSION

37	05/26/1995	REPORT RE: BY SHERIFF
		SET FOR 06/02/1995 AT 09:56
36	05/25/1995	REPORT RE: BY SHERIFF
		SET FOR 05/26/0095 AT 09:56
35	05/10/1995	REPORT RE: BY SHERIFF
		SET FOR 05/25/1995 AT 09:56
34	05/08/1995	ALIAS CAPIAS ISSUED: 01/19/1995 SERVED 05/09/1995
33	05/08/1995	BOOKING DATE
32	05/08/1995	ARREST DATE
31	01/19/1995	99097 PRETRIAL REL ORDER PTR AMT/ 0
		REVOKED 01/19/1995
		POWER/
30	01/19/1995	99097 PRETRIAL REL ORDER PTR AMT/ 0
		ISSUED 09/13/1994
		POWER/
29	01/19/1995	99097 PRETRIAL REL ORDER PTR AMT/ 0
		REVOKED 01/19/1995
		POWER/
28	12/29/1994	COURT NOTICE ISSUED.
27	12/28/1994	DEFENSE ATTY PDEF/PUBLIC DEFENDER APPOINTMENT, AS
26	12/23/1994	99097 PRETRIAL REL ORDER PTR AMT/ 0
		ISSUED 12/23/1994
		POWER/
25	12/23/1994	REPORT RE: DRUG PROGRAM
		SET FOR 01/19/1995 AT 10:00
24	12/12/1994	REPORT RE: BY SHERIFF
		SET FOR 12/23/1994 AT 09:56
23	12/10/1994	ALIAS CAPIAS ISSUED: 12/09/1994 SERVED 12/11/1994
22	12/10/1994	BOOKING DATE
21	12/10/1994	ARREST DATE
20	11/18/1994	COURT NOTICE ISSUED.
19	10/26/1994	REPORT RE: DRUG PROGRAM
		SET FOR 12/09/1994 AT 10:00
17	10/05/1994	COURT NOTICE ISSUED.
18	10/04/1994	DEFERRED PROSECUTION AGREEMENT & SPEEDY TRIAL WAIVER
16	10/04/1994	REPORT RE: DRUG PROGRAM
		SET FOR 10/26/1994 AT 10:00
15	10/04/1994	INFORMATION FILED
14	10/04/1994	
13	09/19/1994	WRITTEN PLEA OF NOT GUILTY
11	09/19/1994	COURT NOTICE ISSUED.
10	09/18/1994	
9	09/18/1994	INTAKE PROS. ASSIGNED: WESSEL, THOMAS
12	09/16/1994	NOTICE OF DEFENDANT'S RIGHT TO COUNSEL
8	09/16/1994	TRIAL PROS. ASSIGNED: GREENWOOD, BLANCA
7	09/15/1994	COURT NOTICE ISSUED.
1	09/14/1994	ARRAIGNMENT HEARING SCHEDULED FOR 10/04/1994 AT 1[illegible]00
6	09/13/1994	99097 PRETRIAL REL ORDER PTR AMT/ 0
		ISSUED 09/13/1994
		POWER/
5	09/13/1994	REPORT RE: DRUG PROGRAM
		SET FOR 09/14/1994 AT 10:00
4	09/13/1994	SECTION CHANGE/ TRANSFER
		JUDGE GERSTEN, CAROL R SECTION F008
3	09/13/1994	FIRST APPEARANCE/BOND HEARING -
2	09/13/1994	ATTORNEY TYPE AT BOND HEARING PUBLIC DEFENDER

* * * E N D O F R E P O R T * * *

RECEIVED
2024 MAR 28 AM 10: 52
FLORIDA GAMING CONTROL COMMISSION

IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT IN AND FOR MIAMI-DADE COUNTY, FLORIDA 386

DIVISION
[X] CRIMINAL
[ ] TRAFFIC
[ ] OTHER

FINDING OF GUILT AND ORDER OF WITHHOLDING ADJUDICATION/SPECIAL CONDITIONS

FEB 02 2024
BY:

PLAINTIFF(S)	VS. DEFENDANT(S)
THE STATE OF FLORIDA	JEFFREY EVANS

CASE NUMBER: F05-026696

AKA: Jefrey Evan, Jeffery R Evans, Jeffrey R Evans, Jeffrey Ricardo Evans, Jefry Evans

CLOCK IN
FILED FOR RECORD
2006 OCT 26 AM 8:23

IT APPEARING UNTO THE COURT that the defendant being personally before the court accompanied by his/her attorney, CASSANDRA F GOODMAN, PD has been found guilty of the charge of

Count	Crime	Degree
1	COCAINE/SELL/DEL/POSN W/INTENT/1000 FT OF SCHOOL	1/F

by the court upon the entry of a guilty plea
and it appearing unto the court, upon a hearing of the matter, that the defendant is not likely to engage in a criminal course of conduct and that the ends of justice and welfare of society do not require that the defendant shall presently suffer the penalty imposed by law, and the Court being fully advised in the premises, it is thereupon
ORDERED AND ADJUDGED that an adjudication of guilt be, and the same is hereby stayed and withheld.
IT IS FURTHER ORDERED AND ADJUDGED that:
the sentence be and hereby is SUSPENDED.
SPECIAL CONDITIONS
Costs
Suspend entry of sentence
IT IS ORDERED THAT the defendant be fingerprinted pursuant to F.S. 921.241(1).

RECEIVED
2024 FEB -6 PM 1:22
FLORIDA GAMING CONTROL COMMISSION

YFO-10/16/06
REV 10/02
Clerk's web address: www.miami-dadeclerk.com

k 25054 Pg 873 CFN 20061165889 10/30/2006 15:11:08 Pg 1 of 3 Mia-Dade Cty, Fl

IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT IN AND FOR MIAMI-DADE COUNTY, FLORIDA

DIVISION

[X] CRIMINAL

CHARGES/COSTS/FEES

CASE NUMBER: F05-026696

PLAINTIFF(S)	VS. DEFENDANT(S)
THE STATE OF FLORIDA	JEFFREY EVANS AKA: Jefrey Evan, Jeffery R Evans, Jeffrey R Evans, Jeffrey Ricardo Evans, Jefry Evans

The Defendant is hereby ordered to pay the following sum indicated:

$50.00	Pursuant to F.S. 938.03(4) (Crimes Compensation Trust Fund).
$3.00	Three dollars as a court cost pursuant to F.S. 938.01 (1) $3.00 (Criminal Justice Trust & Education Funds).
$200.00	Pursuant to 938.05(1) (Local Government Criminal Justice Trust Fund).
$40.00	Pursuant to F.S. 27.52(2) (Public Defender Application Fee).
$3.00	Pursuant to F.S. 938.19 (Teen Courts).
$50.00	Pursuant to F.S. 775.083(2) (Crime Prevention Programs).
$2.00	Two dollars as a court cost pursuant to F.S. 938.15 $2.00 (Criminal Justice Trust & Education Funds).
$65.00	Pursuant to F.S. 939.185(1)(a) (Assessment of Additional Court Costs as adopted by Ordinance 04-116)
$85.00	Pursuant to F.S. 939.185(1)(b) (Surcharge as adopted by Ordinance 05-123)
$498.00 -	TOTAL

OTHER COMMENTS: STAY DUE DATE: 10-13-06

DONE AND ORDERED in Open Court in Miami-Dade County, Florida this 12th day of October, 2006.

Gill S Freeman

JUDGE GILL S FREEMAN

FEB 0 2 2024

BY:.....

RECEIVED
2024 FEB -6 PM 1:22
FLORIDA GAMING CONTROL COMMISSION

(W=WAIVED/S=SUSPENDED)

REV 10/02 YPO-10/16/06

Clerk's web address: www.miami-dadeclerk.com

k 25054 Pg 874 CFN 20061165889 10/30/2006 15:11:08 Pg 2 of 3 Mia-Dade Cty, Fl

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

January 18, 2024

Mr. Jeffrey R Evans
8898 Lyons Road
Boynton Beach, Florida 33472

RE: Application No. 199981, Entity 251593
1022 - PMW General Individual Occupational

Dear Mr. Evans:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section, and provide court disposition records and arrest reports. **Please initial and date any changes made to your application.**

  You must list the information on your application, **and** provide both the full court disposition records and arrest reports for the following charges:
  - Miami Dade County, Florida Arrest(s) – 09/13/1994 and 08/19/2005

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 05/18/2024, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 04/04/2024.

EXHIBIT #4
PAGE #4

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

AH
Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

February 7, 2024

Mr. Jeffrey R. Evans
8898 Lyons Road
Boynton Beach, Florida 33472

RE: Application No. 199981, Entity 251593
1022 - PMW General Individual Occupational

Dear Mr. Evans:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section, and provide court disposition records and arrest reports. **Please initial and date any changes made to your application.**

  You must provide the arrest reports for the following charges:
  - Miami Dade County, Florida Arrest(s) – 09/13/1994 and 08/19/2005

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section, and provide court disposition records and arrest reports. **Please initial and date any changes made to your application.**

  You must list the arrest information on your application:
  - Miami Dade County, Florida Arrest(s) – 09/13/1994

  Note: the charge that was disclosed for the 09/13/1994 conviction does not match our records. Please disclose both charges associated with this arrest.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 04/04/2024, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 05/18/2024.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Thank you,

AH
Operations Analyst II

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

March 20, 2024

Mr. Jeffrey R Evans
8898 Lyons Road
Boynton Beach, Florida 33472

RE: Application No. 199981, Entity 251593
1022 - PMW General Individual Occupational

Dear Mr. Evans:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: You must provide the **arrest report** for the following charges:
  - Miami Dade County, Florida Arrest(s) – 09/13/1994

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 05/18/2024, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 04/04/2024.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Thank you,

AH
Operations Review Specialist

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Joseph Klein, Senior Attorney
Re: Takiria Nakoya Antionette Brown; Case No. 2024-018945
Date: May 16, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks to deny the application of Takiria Nakoya Antionette Brown (the "Applicant") for a Cardroom Employee Occupational License (the "Application"). The Applicant submitted a completed application for a Cardroom Employee Occupational License.

Upon review of the application, it appears Applicant has been convicted of three misdemeanor offenses in Palm Beach County, Florida. The Executive Director of the Commission reviewed the file along with the waiver interview notes and declined to waive the statutory restrictions excluding offenders. Therefore, the Division recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial.

Pertinent Facts

On February 20, 2024, the Applicant submitted an application for a Cardroom Employee Occupational License to the Division. The Division issued a deficiency letter to the Applicant on March 7, 2024, requesting that she provide information relating to an arrest in Palm Beach County on March 1, 2018. The Applicant submitted a request for a waiver of the restrictions excluding offenders with disqualifying offenses on March 15, 2024.

Upon review of the completed Application, it appears that the Applicant has been convicted of the following misdemeanor offenses in Palm Beach County, Florida:

- December 6, 2022: Petit Theft
- March 23, 2018: Petit Theft
- March 23, 2018: Resisting Merchant

These misdemeanor convictions are disqualifying offenses pursuant to section 849.086(6)(g), Florida Statutes.

A Division investigator conducted a waiver interview of the Applicant on April 9, 2024, and documented the interview in a report submitted to the Executive Director of the Commission for consideration. On April 25, 2024, the Executive Director, having reviewed the waiver report and all relevant information and documents, declined to waive the restrictions excluding offenders.

Relevant Law

Section 550.105(5)(c), Florida Statutes, provides that:

> The commission may deny, declare ineligible, or revoke any occupational license if the applicant for such license has been convicted of a felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in s. 849.25, or involves cruelty to animals. If the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission.

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Section 849.086(6)(g), Florida Statutes, provides that:

> [t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term

> "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Staff Recommendation: The Florida Gaming Control Commission may deny the Application or declare the Applicant ineligible for a Cardroom Employee Occupational License upon a finding of a disqualifying offense pursuant to section 849.086(6)(g), Florida Statutes. Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial to Takiria Nakoya Antionette Brown in this matter.

ROUTING SLIP
REQUEST FOR WAIVER

RE: BROWN, TAKIRIA N. A. – LIC # 13917722
(APPLICANT'S NAME – LICENSE #)

Case No: 2024 01 8945

1012 / Poker Cashier
Occupation Code and Job Title

Palm Beach Kennel Club
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: JUNE 30, 2024
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 4/11/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: glicks 4/18/24
(Initial & Date)

(Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [X] No If yes, in what jurisdiction? _____

Executive Director: LS 4/25/24
(Initial & Date)

[ ] Prepare Waiver or [√] Prepare File for Commission Review

Comments: _____

Investigative Findings:

February 22, 2018	Palm Beach County Sheriff's Office, Florida – Petit Theft and Resisting Merchant – Misdemeanor – Pled Guilty – Adjudicated Guilty on March 23, 2018 – Sentenced to 23 days in County Jail – Assessed $901.00 in Court fines which remain unpaid.
November 11, 2019	Palm Beach County Sheriff's Office, Florida – Giving False Name Upon Arrest – Misdemeanor – Pled Guilty – Adjudicated Guilty on November 25, 2019 – Sentenced to 2 months' in County Jail with 16 days credit for time served. - Final Judgement in the amount of $1,189.00 for related fines remains unpaid.
December 5, 2022	Palm Beach County Sheriff's Office, Florida – Petit Theft – Misdemeanor – Pled Guilty – Adjudicated Guilty on December 6, 2022 – Sentenced to 20 days in County Jail – Assessed $373.00 in Court fines which remain unpaid.

***Please attach Routing Slip to front of case file**

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **icampbell**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1012 - Cardroom Employee Occupational**			Status	**90 Closed**	Status Date	**04/11/2024**
Complaint #	**2024018945**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**BROWN, TAKIRIA NAKOYA ANTIONETTE**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**LIC - Licensee**	Security Level	**1**
Form	**WALK - Walk-in**	Priority	**1**
Class'n	**IIIB - Waivers**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**03/15/2024**
Region	**SR - Southern Region**	Received	**03/22/2024**
Reference			
Entered	**04/01/2024**	Entered By	**jhodge**
Summary	**149 - INVESTMENT CORP OF PALM BEACH**		
Updated	**04/12/2024 08:43:35**	By	**icampbell**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☑ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
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☐ Work Notes Print Report

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ROUTING SLIP
REQUEST FOR WAIVER

RE: BROWN, TAKIRIA N. A. – LIC # 13917722 **Case No: 2024 01 8945**
(APPLICANT'S NAME – LICENSE #)

1012 / Poker Cashier **Palm Beach Kennel Club**
Occupation Code and Job Title **Facility (d/b/a name)**

90-DAY RESPONSE DEADLINE: JUNE 30, 2024
(DATE)

Investigations Section: Reviewed by Bradford D. Jones 4/11/2024
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: _____ _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

[ ] Yes or [X] No If yes, in what jurisdiction? _____

Executive Director: _____
(Initial & Date)

[ ] Prepare Waiver or [ ] Prepare File for Commission Review

Comments: _____

Investigative Findings:

February 22, 2018	**Palm Beach County Sheriff's Office, Florida – Petit Theft and Resisting Merchant – Misdemeanor – Pled Guilty – Adjudicated Guilty on March 23, 2018 – Sentenced to 23 days in County Jail – Assessed $901.00 in Court fines which remain unpaid.**
November 11, 2019	**Palm Beach County Sheriff's Office, Florida – Giving False Name Upon Arrest – Misdemeanor – Pled Guilty – Adjudicated Guilty on November 25, 2019 – Sentenced to 2 months' in County Jail with 16 days credit for time served. - Final Judgement in the amount of $1,189.00 for related fines remains unpaid.**
December 5, 2022	**Palm Beach County Sheriff's Office, Florida – Petit Theft – Misdemeanor – Pled Guilty – Adjudicated Guilty on December 6, 2022 – Sentenced to 20 days in County Jail – Assessed $373.00 in Court fines which remain unpaid.**

***Please attach Routing Slip to front of case file**

Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 754.288.1055 • Fax: 754.315.8380

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

WAIVER INVESTIGATIVE REPORT

Office: **PMW**	Region: **Southern**	Date of Complaint: **April 1, 2024**	Case Number: **2024 01 8945**

Respondent:	Complainant:
BROWN, TAKIRIA NAKOYA ANTIONETTE **1588 WEST 17TH ST** **RIVIERA BEACH, FLORIDA 33404** **MOBILE PHONE: (561) 460-9014**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FLORIDA 33309**

License # / Type:	Profession:	Report Date:
13917722 / 1012	Poker Cashier	April 09, 2024

Period of Investigation:	Type of Report:
April 1, 2024, through April 9, 2024	**Final**

Basis of Investigations: This investigation is predicated upon receipt of Takiria Nakoya Antionette **BROWN'S** application for a State of Florida PMW Cardroom Employee Occupational License dated February 20, 2024, and Waiver Request form dated March 15, 2024 **(Exhibit #1).**

On February 20, 2024, **BROWN** submitted a PMW Cardroom Employee Occupational License application to be licensed as a Poker Cashier at the Palm Beach Kennel Club Modifier 149, in Palm Beach, Florida. On her original application, **BROWN** answered "NO" to the question, "Have you ever been convicted of or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, under the laws of the United States? Although the applicant answered "no", she did list the following arrests: 03/23/2018 Petit Theft, Misdemeanor

arrest, 11/25/2019 Battery Misdemeanor arrest, 11/17/2022 Driving Under the Influence arrest and a 12/05/2022 Petit Theft Misdemeanor arrest. All of the arrests occurred in Palm Beach County, Florida **(Exhibit #1).**

On March 7, 2024, **BROWN** received a Deficiency Letter from the Division stating she must provide the arrest information for the following charge: Palm Beach County, Florida Arrest(s) - 03/01/2018 **(Exhibit #4, Page 2).**

Related Case:

Investigations	Investigator Supervisor / Date
Specialist II / Date Andre' Tribble / April 09, 2024	Julio Minaya / April 10, 2024

Chief of Investigations / Date

Bradford D. Jones / April 11, 2024

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: February 22, 2018	**Arresting Agency:** Palm Beach County Sheriff's Office				
OFFENSE					
CHARGES		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Petit Theft	Misdemeanor	Guilty	Guilty	03/23/2018
2	Resisting Merchant	Misdemeanor	Guilty	Guilty	03/23/2018
3	Battery	Misdemeanor	Guilty	Guilty	03/23/2018
4					

SENTENCE
23 Days in County Jail and $901.00 dollars in Fine Assessment **(Exhibit #2, Page 1).**

Additional Information: According to the Palm Beach County Clerk of Courts docket the $901.00 dollars in fines is currently unpaid and has been sent to a collection agency. **(Exhibit #2, Page 2)**

Arrest 2					
Date of Arrest: November 11, 2019	**Arresting Agency:** Palm Beach County Sheriff's Office				
OFFENSE					
CHARGES		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Giving False Name Upon Arrest	Misdemeanor	Guilty	Guilty	11/25/2019
2					
3					
4					

SENTENCE
2 Months in County Jail with 16 Days Credit Time Served and $1,471.00 dollars in Fine Assessment **(Exhibit #2, Pages 3 thru 6).**

Additional Information: According to the Palm Beach County Clerk of Courts docket on 11/21/22 a Final Judgement in the amount of $1,189.00 for outstanding unpaid fines for this case was entered against the applicant. The applicant also owes an outstanding payment of $975.00 in connection with an unrelated 8/16/18 Battery conviction and $373.00 for fines related to a 12/06/22 Petit Theft conviction. Total fines outstanding = $3,438.00.

CRIMINAL HISTORY

Arrest 3					
Date of Arrest: December 5, 2022	**Arresting Agency:** Palm Beach Sheriff's Office				
OFFENSE					
CHARGES		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1	Petit Theft	Misdemeanor	Guilty	Guilty	12/06/2022
2					
3					
4					

SENTENCE
20 Days in County Jail and a Fine Assessment of $373.00 Dollars **(Exhibit #2, Pages 7 thru 8).**

Additional Information: According to the Office Palm Beach County Clerk of Courts docket, the $373.00 is currently unpaid. On May 15, 2023, the fine amount was sent to a collection agency.

Arrest 4					
Date of Arrest:	**Arresting Agency:**				
OFFENSE					
CHARGES		**CLASSIFICATION**	**PLEA**	**DISPOSITION**	**CONVICTION DATE**
1					
2					
3					
4					

SENTENCE
Additional Information:

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?		X
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

2. License Type:			
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

3. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

4. License Type:			
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:

	YES	NO
Has License ever been suspended or revoked?		
Was any derogatory information received?		
Additional Comments:		

WAIVER INTERVIEW

		YES	NO
Was a Waiver Interview Conducted?		X	
Date of Interview: April 09, 2024	Location of Interview: Telephonic Interview		
		YES	NO
Was the applicant cooperative?		X	
Additional Comments:			

SUMMARY OF INTERVIEW:

During the interview, **BROWN** disclosed information about her 2018 Petit Theft and Resisting Merchant arrest in Palm Beach County, Florida. According to **BROWN,** she and a group of friends entered a Beall's outlet store in Boynton Beach, Florida. **BROWN** stated once inside the store she took a shirt off the rack and placed it in her crossbody purse and proceeded to walk out the store without paying for the item. **BROWN** concluded by stating once she exited the store, loss prevention employees apprehended the group and detained them until the Police arrived and issued her a Notice to Appear in court for the above charges. I asked **BROWN** about the unpaid fine of $901.00 dollars, **BROWN** stated she was able to negotiate a payment plan with the collection agency, however, **BROWN** could not provide any paperwork to corroborate her statement.

BROWN also disclosed details of her 2019 arrest regarding her, Giving a False Name Upon Arrest or Detention by Law Enforcement in Palm Beach, Florida. According to **BROWN**, she was leaving a party with a group of friends in her vehicle. As she was driving, the police conducted a traffic stop of her vehicle. Once stopped, the Police asked all occupants to exit the vehicle. **BROWN** stated that a Police Officer then searched her purse and found two identification cards, one was her sister's, and the other was hers. When asked by the Officer which identification card belonged to hers, **BROWN** stated that she gave the Officer a sarcastic answer and was subsequently arrested for the above charges.

BROWN also disclosed information about her 2022 arrest for Petit Theft in Palm Beach, Florida. **BROWN** stated she was homeless and living in a shelter. Because she needed a clean shirt, **BROWN** says that she entered a Macy's department store in the Boynton Beach Mall, and once inside she removed a shirt and placed it in her purse. **BROWN** concluded by stating when she exited the store loss prevention personnel apprehended her and detained her until the police arrived. **BROWN** stated she was arrested for the above charge. When asked about the unpaid fine of $373.00 dollars, **BROWN** stated she was able to negotiate a payment plan with the collection agency, however, **BROWN** could not provide any paperwork to corroborate her statement.

CONTINUATION

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed No rulings against **BROWN (Exhibit #4).**

A check of Florida Department of Highway Safety and Motor Vehicle records show that BROWN does not have a Florida Driver's License and her Florida Identification Card has three (3) Suspensions for five (5) different dates (EXHIBIT #4).

BROWN provided her photo via email **(Exhibit #1).**

Case closed by Investigations and forwarded to licensing for review.

CONTINUATION

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FGCC PMW-3180 – Request for Waiver

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

TO Lakeith Brown
Full Legal Name of Applicant

[redacted] [redacted]
Individual's Social Security Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Executive Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

☐ A conviction in this state, in any other state, or under the laws of the United States, of a felony or the offense of [illegible] involving fraud, trafficking in, conspiracy to traffic in, importation into this state, sale, distribution, or purchase with intent to import, sell, or distribute a controlled substance or a conviction involving a crime of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Barred, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

☐ New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

☑ A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. *After an investigation is conducted, the Florida Gaming Control Commission will either grant or deny this request for waiver. You will be notified of the decision by mail at the address provided on your application.*

UNTIL YOU ARE LICENSED:

1) [illegible]

LB
Signature of Applicant

Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM FGCC PMW-3180 ... INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SUBMIT A SET OF ... INVESTIGATIONS.

FGCC PMW-3180, Effective 09-01-2020, Rule 75-5.001, F.A.C.

EXHIBIT # 2
PAGE # 2

2024 FEB 27 PM 12:08

FLORIDA GAMING CONTROL COMMISSION

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number	Birth Date (MM/DD/YYYY)	Gender
[redacted]	[redacted]	☐ Male ☑ Female

Last Name	First	Middle	Suffix
Brown	Takiria	Nakoya Antionette	

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☒ No

If yes, list the name or names used: _____

Race/Ethnicity (optional)
☒ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☐ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address	Email Address (optional)
1588 West 17th St	takiriabrown19@gmail.com

City	State	Zip Code (+4 optional)	Country, if other than USA
Riviera Beach	FL	33404	

Primary Phone Number	Secondary/Cell Phone Number (optional)
(561) 460-9014	

Current Street Address: Same as above

City	State	Zip Code (+4 optional)	Country, if other than USA

Type of Occupational License applying for:
☐ Pari-Mutuel General Individual ☐ Pari-Mutuel Professional Individual
☑ Cardroom Employee

Occupation: Poker Cashier

Facility where employed and/or doing business:
PALM BEACH KENNEL CLUB
1111 N CONGRESS AVE.
WEST PALM BEACH, FL 33409

Does your position require access to the Cardroom? ☑ Yes ☐ No

Is this your first time applying for a racing/gaming license in Florida? ☐ Yes ☐ No

Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? ☐ Yes ☑ No

Do you own or lease animals intended for racing in Florida? ☑ No ☐ Yes, complete the following:

Stable Name, Kennel Name, or Business Name: _____

Trainer Name (horse or greyhound racing only): _____

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):	Florida License Number

FOR DIVISION USE ONLY

License Code 1012 License # 3917722 File # 15283 App # 74723

Association Code 149 Date Received 2/23/24 Entered By JR License Year 2024

License Fee 100 FP Date 2/23/24 FP Fee 37.25 Total Fee 137.25

Off Temp Waiver Requested ARCI Enforcement Minor

DBPR PMW-3120, Effective September 2020, Rule 61D-5.001, F.A.C.

RECEIVED FEB 23 2024

Page 2 of 3

1020 Open

EXHIBIT # 1
PAGE # 3

2024 FEB 27 PM 12:08
FLORIDA GAMING
CONTROL COMMISSION

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants Must Submit:

- ☐ Completed Form DBPR PMW-3120 – Print clearly and complete all sections that are not optional in black or blue ink.
- ☐ Additional Pages – If necessary to respond to any application questions.
- ☐ Supporting Legal Documentation – If necessary to respond to background information questions in application.
- ☐ Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.
  - ☐ Pari-Mutuel General Occupational License - $15.00* * does not include fingerprint fee
  - ☐ Pari-Mutuel Professional Occupational License - $80.00*
  - ☐ Cardroom Employee Occupational License - $100.00*
- ☐ Fingerprints – Choose One Option:
  - ☐ Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL920630Z.

    IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.
  - ☐ Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

    IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx for the current fee amount.
  - ☐ Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

    IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:
Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395

EXHIBIT # 1
PAGE # 4

2024 FEB 27 PM 12:08

FLORIDA GAMING CONTROL

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☒ No — Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

☒ Yes ☐ No — Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
03/23/2018	Palm Beach	Florida	-PETIT THEFT -RESISTING W/ARM -BATTERY	Misdemeanor	28 days
11/25/2019	Palm Beach	Florida	-BATTERY	Misdemeanor	120 days
11/17/2022	Palm Beach	Florida	-DUI	Misdemeanor	60 days
12/05/2022	Palm Beach	Florida	-PETIT THEFT	Misdemeanor	

☐ Yes ☒ No — Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

☐ Yes ☒ No — Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

02/20/2024
Date

EXHIBIT # 1
PAGE # 5

WEDNESDAY, NOVEMBER 16, 2022 05:48:37 AM

PALM BEACH COUNTY SHERIFF'S OFFICE BOOKING FACE SHEET

NAME
BROWN, TAKIRIA NAKOYAANTIONETTE

JACKET # 0496173
BOOKING # 2022029737

ALIAS NAMES

OVER 8 NAMES: ☐

22CF9206

BROWN, TAKIRIA NAKOYAANTOINETTE

INCARCERATION DATE/TIME
11/15/2022 22:40:15

BOOKING LOCATION
MDC INTAKE

PRISONER TYPE
LOCAL CHARGES

BOOKING BY
35725



DOB [redacted]

RACE/SEX
B/F

HAIR COLOR
BLK

EYE COLOR
BRO

AGE AT BOOKING [redacted]

HEIGHT
4 FT 11 IN

WEIGHT
100

SSN
YES

ADDRESS
118 NW 14TH ST

CITY
BOYNTON BEACH

STATE
FL

ZIP CODE
33435

COUNTRY OF ORIGIN
USA

ID #
20221115073

NCIC

AFIS
2018007132

DOC #

ALIEN #

INCIDENT #
22013241

OBTS #
5003482248

CASE #

ARREST ADDRESS
MACY'S, 801 N CONGRESS AVE

CITY
BOYNTON BEACH

STATE
FL

ZIP CODE
12345

ARREST DATE
11/15/2022

ARREST TIME
20:17

BOOKING DATE
11/16/2022

BOOKING TIME
03:24

WARRANT #

COURT DIVISION X

CURRENT BOND
$0.00

IN CUSTODY BOOKING ☐

ARRESTING OFFICER
CARDENAS

ARRESTING AGENCY
34-BOYNTON BEACH

TRANSPORT OFFICER
DUNNING

TRANSPORT AGENCY
34-BOYNTON BEACH

CASE TYPE
FELONY

HOLDS
NO

NOTE

STATUTE	COUNT	DESCRIPTION	CASE FLAG OWN RECOG	VOFC	BOND TYPE	CURRENT BOND
812.014 2E (MF)	1	LARC - PETIT THEFT MERCH FARM TRANSIT $100 LT $750		☐	O.R.	$0.00
*	0	RETAIL THEFT				$0.00
901.36 1 (MF)	1	FRAUD-IMPERSON - FALSE ID GIVEN TO LEO		☐	O.R.	$0.00
893.13 6A (FT)	1	DRUGS-POSSESS - CNTRL SUB WO PRESCRIPTION		☐	INITIAL	$3,000.00
*	0	POSSESSION OF HEROIN				$0.00

FILED
2022 NOV 16 AM 6:07
CLERK

SCANNED
NOV 16 2022

EXHIBIT # 2
PAGE # 9

EXHIBIT #

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Numbe[redacted]
Date of Birth:
First Name: Takiria
Middle Name:
Last Name: Brown
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State

EXHIBIT # 4
PAGE # 1

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

March 7, 2024

Ms. Takiria Nakoya Antionette Brown
1588 West 17th Street
Riviera Beach, Florida 33404

RE: Application No. 74723, Entity 13917722
1012 - Cardroom Employee Occupational

Dear Ms. Brown:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: You must provide the arrest report for the following charge:
  - Palm Beach County, Florida Arrest(s) – 03/01/2018

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 07/06/2024, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – PMW Occupational License

RE: **BROWN, TAKIRIA NAKOYA ANTIONETTE - 13917722** **Case No:** _____

(APPLICANT'S NAME-- LICENSE #)

INITIAL APPLICATION RECEIVED:	2/23/2024
COMPLETE APPLICATION RECEIVED:	3/7/2024
90-DAY DEADLINE:	**6/5/2024**

Amy Hall	149 – Palm Beach Kennel Club	1012 - Cashier
Application Processor	**Facility (d/b/a name)**	**Occupation/Job Title**

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review

Conviction

Did the application accurately reflect the Criminal History Record? ☒ Yes ☐ No

- ☐ Felony: Count(s)
- ☐ Misdemeanor – Industry Related/Gambling Related/Bookmaking
- ☐ Animal Cruelty
- ☒ Forgery, Larceny, Extortion, Conspiracy to Defraud (Cardroom Professions Only)

Comments:

Arrest Date	Location	Charge	Level	Court Case #	Disposition
03/01/2018	Palm Beach County, FL	Petit Theft	M1	502018MM002189AXXXSB	Guilty
03/01/2018	Palm Beach County, FL	Resisting Merchant	M1	502018MM002189AXXXSB	Guilty
11/15/2022	Palm Beach County, FL	Petit Theft	M1	502022MM009911AXXXSB	Guilty

Disposition Unknown

- ☐ Felony Arrest(s) :
- ☐ Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking
- ☐ Animal Cruelty

Comments:

Enforcement/Jurisdiction Offenses

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.

Comments:

Related Licensees

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Comments:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☑ No ☐ ☐ Approval

Forward to ☑ Investigations ☐ Legal ☐ Director Initials: 3/22/24

Comments:

EXHIBIT # 4
PAGE # 3

Driver License Check

As of Apr 11, 2024, at 11:58 AM driver license number [redacted] is currently **CANCELED, SUSPENDED, REVOKED, DISQUALIFIED, OR WITHDRAWAL.** This is a result of the following infractions shown on your driving record. Please note that this is not an official driving record. For information on how to obtain an official driving record, click here. All information provided is based on what we have received from courts and law enforcement agencies. **Review all items and requirements listed below before paying reinstatement fees.** This license is a Class I. Restrictions are (NONE ON RECORD). Endorsements are (NONE ON RECORD). Motorcycle endorsements are (NONE ON RECORD).

After review of your driver record, it is determined that you are eligible to obtain service via FLHSMV's self-service portal.

Please visit www.GoRenew.com to request a replacement online.

Your Personal Information in Florida motor vehicle and driver records is blocked in accordance with the Driver Privacy Protection Act.

Your Social Security Number has been verified. Thank you.

COURT SUSPENSION(s) - Court Requirements Not Met				**Status: EFFECTIVE**
Description	**Effective Date**	**Ticket Number**	**County/State**	**Court Address and Phone Number**
CRIMINAL-FAIL TO PAY	2/8/2021	A2GCZ0P	PALM BEACH	205 NORTH DIXIE RM 2.2300 WEST PALM BCH (561)355-2994
Click Here for information to resolve these effective court suspensions, court requirements not met.				

Suspensions, Revocations, Cancellations, Disqualifications					
Description	**Effective Date**	**Type**	**County/State**	**Case Number**	**Status**
DRIVING UNDER THE INFLUENCE	11/25/2019	Revocation	PALM BEACH	-	EFFECTIVE
REFUSE SUBMIT BREATH/URINE/BLOOD TEST	11/10/2019	Suspension	PALM BEACH	-	EFFECTIVE
Fr Conviction	1/6/2020	Fr Suspension	-	431897138	EFFECTIVE
Click Here for information to resolve these and other actions.					

Suspension - Failed to Pay Court Obligations			**Status: EFFECTIVE**
Description	**Effective Date**	**Warrant**	**Court Address and Phone**
FAIL TO PAY CT FINANCIAL OBLIGATION	12/5/2018	502018MM002189AXXXSB	205 NORTH DIXIE RM 2.2300 WEST PALM BCH (561)355-2994
Click Here for information to resolve these effective actions.			

Would you like to look up another record?

1.024

EXHIBIT #4
PAGE #4

ARREST REPORT

11/10/2022

04961123 22CF9206 1532

ARREST / NOTICE TO APPEAR
Juvenile Referral Report

☐ Check if Supplement is Attached

1. Arrest 2. N.T.A. 3. Request for Warrant 4. Request for Capias

Juvenile: 1 — Y

ADMINISTRATIVE

OBTS Number:

Agency ORI Number: FL0500300 | Agency Name: BOYNTON BEACH POLICE DEPARTMENT | Agency Report Number (N.T.A.'s only): 34-22-011324

Charge Type: Check as many as apply. ☐ 1. Felony ☐ 2. Traffic Felony ☐ 3. Misdemeanor ☐ 4. Traffic Misdemeanor ☐ 5. Ordinance ☐ 6. Other

Weapon Seized: Enter Type:

Multiple Clearance Indicator:

Location of Arrest (including Name of Business): Macy's, 801 N Congress Ave, Boynton Beach, FL

Location of Offense (Business Name, Address): Macy's, 801 N Congress Ave, Boynton Beach, FL

Date of Arrest	Time of Arrest	Booking Date	Booking Time	Jail Date	Jail Time	Location of Vehicle
11/15/22	2017					

DEFENDANT

Name (Last, First, Middle): Brown, Takiria, Nakoya Antionette

Alias (Name, DOB, Soc. Sec. #, Etc.):

Race (W - White, B - Black, I - American Indian, O - Oriental/Asian)	Sex	Date of Birth	Height	Weight	Eye Color	Hair Color	Complexion	Build
B	F	[redacted]	4'11"	100	Brn	Blk	Dark	Med

Scars, Marks, Tatoos, Unique Physical Features (Location, Type, Description):

Marital Status: | Religion: | Indication of: Alcohol Influence Y ☐ N ☐ Unk ☐ / Drug Influence Y ☐ N ☐ Unk ☐

Local Address (Street, Apt. Number): (City): (State): (Zip): | Phone: ( ) | Residence Type: 1. City 2. County 3. Florida 4. Out of State: 1

Permanent Address (Street, Apt. Number): 118 NW 14th St | (City): Boynton Beach | (State): FL | (Zip): 33435 | Phone: (561) 460-9014 | Address Source: D

Business Address (Name, Street): (City): (State): (Zip): | Phone: ( ) | Occupation: Unemployed

DL Number, State: [redacted] | [redacted] | INS Number: | Place of Birth (City, State): Boynton Beach, FL | Citizenship: USA

CO-DEF

[redacted]

JUVENILE

☐ Parent ☐ Legal Custodian ☐ Other: Name (Last): (First): (Middle): | Residence Phone: ( )

Address (Street, Apt. Number): (City): (State): (Zip): | Business Phone: ( )

Notified by: (Name): | Date: | Time: | Juvenile Disposition: 1. Handled/Processed within Dept. and Released. 2. TOT HRS/DYS 3. Incarcerated

Released To: (Name): | Relationship: | Date: | Time:

The above address was provided by ☐ defendant and / or ☐ defendant's parents. The child and / or parent was told to keep the Juvenile Court Clerk's Office (Phone 355-2526) informed of any change of address. ☐ Yes, by: (Name) ☐ No (Reason)

School Attended: | Grade:

Property Crime? ☐ Yes ☐ No | Description of Property: | Value of Property:

CODE

Drug Activity: N. N/A P. Possess S. Sell B. Buy T. Traffic R. Smuggle D. Deliver E. Use K. Dispense/ Distribute M. Manufacture/ Produce/ Cultivate Z. Other

Drug Type: N. N/A A. Amphetamine B. Barbiturate C. Cocaine E. Heroin H. Hallucinogen M. Marijuana O. Opium/Deriv P. Paraphernalia/ Equipment S. Synthetic U. Unknown Z. Other

CHARGE

Charge Description	Counts	Domestic Violence	Statute Violation Number	Violation of ORD #	Drug Activity	Drug Type	Amount / Unit	Offense #	Warrant / Capias Number	Bond
Retail Theft	1	☐Y ☐N	812.014(2)(e)		N	N	N/A	22-013241		OR
Providing False Name	1	☐Y ☐N	901.36(1)		N	N	N/A	22-013241		OR
Possession of Heroin	1	☐Y ☐N	893.13(6)(a)		N	N	N/A	22-013241		$3,000
VOP Warrant	1	☐Y ☐N	948.06(2)(a)		N	N	N/A	22-013241	50-2019-19-012479	NO BOND

NOTICE TO APPEAR

Location (Court, Room Number, Address): **South County Courthouse - 200 W. Atlantic Avenue, Delray Beach, FL 33444**

Court Date and Time: Month | Day | Year | Time | A.M. | P.M.

I AGREE TO APPEAR AT THE TIME AND PLACE DESIGNATED TO ANSWER THE OFFENSE CHARGED OR TO PAY THE FINE SUBSCRIBED. I UNDERSTAND THAT SHOULD I WILLFULLY FAIL TO APPEAR BEFORE THE COURT AS REQUIRED BY THIS NOTICE TO APPEAR, THAT I MAY BE HELD IN CONTEMPT OF COURT AND A WARRANT FOR MY ARREST SHALL BE ISSUED

Signature of Defendant (or Juvenile and Parent/Custodian): | Date Signed:

ADMIN

HC Date other Agency: NO DETAINER | Signature of Arresting Officer: X | Name Verification (Print): SUMMONED

☐ Dangerous ☐ Suicidal ☐ Resisted Arrest ☐ Other:

Intake Deputy: S/S HACK 3680 | Pouch # | Name of Arresting Officer (Print): Cardenas | I.D. # 988

Transporting Officer: Dowling | I.D. # 52 | Agency: BBPD

(PRINT) NOV 16 2022

Witness here if subject signed with an "X"

PAGE 1 OF 1

BBPD #0148 REV. 09/07 DISTRIBUTION: WHITE - COURT COPY GREEN - STATE ATTORNEY YELLOW - AGENCY PINK - JAIL GOLD - DEFENDANT (N.T.A.'s ONLY)

DOWNS

ARREST 11/15/22

OBTS Number	PROBABLE CAUSE AFFIDAVIT	1 Arrest 2 NTA 3 Request for Warrant 4 Request for Capias	1	Juvenile	N

Agency ORI Number	Agency Name	Agency Report Number
FL0500300	BOYNTON BEACH POLICE DEPT.	34-22-013241

Charge Type Check all that Apply: ☐ 1 Felony ☐ 2 Traffic Felony ☐ 3 Misdemeanor ☐ 4 Traffic Misdemeanor ☐ 5 Ordinance ☐ 6 Other

Special Notes:

Name (Last, First, Middle)	Alias	Race	Sex	Date of Birth
Brown, Takiria, Nakoya Antionette		B	F	[redacted]

Charge Description	Charge Description
Retail Theft	Possession of Heroin
Providing False Name	

Victim's Name (Last, First, Middle)	Race	Sex	Date of Birth
Macy's			

Local Address (Street, Apt Number)	(City)	(State)	(Zip)	Phone	Address Source

Business Address (Name, Street)	(City)	(State)	(Zip)	Phone	Occupation
801 N Congress Ave	Boynton Beach	FL	33436		

The undersigned certifies and swears that he/she has just and reasonable grounds to believe, and does believe that the above named Defendant committed the following violation of law. The Person taken into custody..

☑ Committed the below acts in my presence. ☑ Was observed by Ofc Rivera Who told Ofc Cardenas That he/she saw the arrested person commit the below acts.

☐ Confessed to Admitting the below facts ☑ Was found to have committed the below acts, resulting from my (described) investigation.

On The 15th Day Of November 20 22 At 19:45 ☐ A.M. ☑ P.M.

On Tuesday, November 15th, 2022, at approximately 19:45 hours, Directed Patrol Shift Officers received a call from Ulta Management reference suspicious persons in the store possible getting ready to steal. The persons were described as a B/M with braids, and a B/F wearing a Gray Dress.

Upon arrival in the area of Ulta located at 363 North Congress Avenue, DPS Officers took tactical positioning around the store and observed the B/M and B/F matching the description exit the store. Ulta Management advised that they did not take anything. Officers kept visual of the couple who entered Marshall's which is just South of Ulta. After a few minutes, the couple exited the store and got into a 2014 White Toyota Corolla (FL Tag: [redacted]. The vehicle then exited the plaza Westbound onto Winchester Boulevard failing to come to a complete stop at the stop sign. A records check of the tag revealed it was registered to B/M [redacted] who had a suspended license as of 07/19/2016. The vehicle then went into the area of the Boynton Beach Mall located at 801 North Congress Avenue and parked at Macy's. The B/M and B/F exited the vehicle and walked into Macy's together.

Officer C.Rivera then made contact with Loss Prevention Officer Michael Nyary inside his office. Officer C.Rivera then kept visual of the subjects via surveillance video. The subjects were carrying an American Eagle bag and Macy's bag which they did not enter the store with. The B/M and B/F were then observed in the area of the fragrances at which time the male proceeded to select a fragrance off the counter and conceal it in his White Macy's bag. The subjects then exited the store together, making no attempts to pay for the merchandise.

Upon exiting the store, DPS Officers detained B/M [redacted] and B/F Takiria Brown (DOB: [redacted]. Denson had (1) Chanel fragrance inside the White Macy's bag along with a t-shirt. Denson was able to provide a receipt for the t-shirt but not for the fragrance. Brown had (2) fragrances inside the American Eagle bag which had Macy's stickers/tags on it. Inside Brown's purse was (1) Black shorts and a (1) bracelet with Macy's stickers/tags on them which she was not able to provide a receipt for any of the merchandise.

While working in concert, Brown and Denson concealed a total of 5 items for a total value of $487.00 pretax; Nyary provided an itemized receipt.

The foregoing instrument was sworn to or affirmed and subscribed before me

Notary Public, Clerk of Court, Officer (F.S.S. 117.10)

11/15/2022
Date

(Signature of Arresting / Investigative Officer)

Cardenas
(Print name of Arresting/Investigative Officer)

11/15/2022
Date

Page

SCANNED

NOV 16 2022

OBTS Number	PROBABLE CAUSE AFFIDAVIT	1 Arrest 2 NTA 3 Request for Warrant 4 Request for Capias	1	Juvenile	N

Agency ORI Number	Agency Name	Agency Report Number
FL0500300	BOYNTON BEACH POLICE DEPT.	34-22-013241

Charge Type Check all that Apply: ☑ 1 Felony ☑ 3 Misdemeanor ☐ 5 Ordinance ☐ 2 Traffic Felony ☐ 4 Traffic Misdemeanor ☐ 6 Other

Special Notes:

Name (Last, First, Middle)	Alias	Race	Sex	Date of Birth
Brown, Takiria, Nakoya Antionette		B	F	[redacted]

Charge Description	Charge Description
Retail Theft	Possession of Heroin
Charge Description	Charge Description
Providing False Name	

Victim's Name (Last, First, Middle)	Race	Sex	Date of Birth
Macy's			

Local Address (Street, Apt Number)	(City)	(State)	(Zip)	Phone	Address Source

Business Address (Name, Street)	(City)	(State)	(Zip)	Phone	Occupation
801 N Congress Ave	Boynton Beach	FL	33436		

The undersigned certifies and swears that he/she has just and reasonable grounds to believe, and does believe that the above named Defendant committed the following violation of law. The Person taken into custody..

☑ Committed the below acts in my presence. ☑ Was observed by Ofc Rivera Who told Ofc Cardenas That he/she saw the arrested person commit the below acts.

☐ Confessed to Admitting the below facts ☑ Was found to have committed the below acts, resulting from my (described) investigation.

On The 15th Day of November 20 22 At 19:45 ☐ A.M. ☑ P.M.

It should be noted that upon being detained, Brown initially gave the name "Nakiya Brown" with a date of birth of [redacted]A records check of Brown revealed she had an active warrant out of Palm Beach County for Violation of Probation which was confirmed through BBPD Records.

A search incident to arrest of Brown's purse I discovered a clear plastic baggy which contained 5 clear capsules containing a Brown powder substance. Based on my knowledge, training, and experience, I identified the Brown powedered substance as Heroin. The 5 capsules had a Net Weight of 1.8 grams. Due to the dangers of Heroin/Fentanyl, it was not field tested. Also in Brown's purse, were multiple small 1 x 1 clear empty ziplock baggies which I know are commonly used to package narcotics/illicit drugs.

Based on the above facts and circumstances, I find probable cause to arrest and charge Takiria Brown with Retail Theft pursuant to FSS 812.014.2E, Providing a False Name pursuant to FSS 901.36, and Possession of Heroin pursuant to FSS 893.13.6A.

It should be noted that this incident was captured on Body Worn Camera.

The foregoing instrument was sworn to or affirmed and subscribed before me

Notary Public, Clerk of Court, Officer (F.S.S. 117.10)

11/15/2022
Date

(Signature of Arresting / Investigative Officer)

Cardenas
(Print name of Arresting/Investigative Officer)

11/15/2022
Date

Page

SCANNED
NOV 16 2022

PALM BEACH COUNTY
SHERIFF'S OFFICE
Florida State Statute Exemption Sheet

Palm Beach County Sheriff's Office – Arrests Only

	X	Florida State Statute	Description	Page Number(s)
L/E Exemptions	☐	119.071(2)(d)	Surveillance techniques, procedures and personnel; inventory of law enforcement resources, policies or plans pertaining to mobilization deployment or tactical operations.	
	☐	943.053, 943.0525	NCIC/FCIC/FBI and in-state FDLE/DOC.	
	☐	119.071(4)(c)	Undercover personnel.	
	☐	119.071(2)(f)	Confidential informants (CIs).	
	☐	119.071(2)(e)	Confession.	
Public Info. Exemptions	☐	985.04(1)	Juvenile offender records.	
	☐	119.071(h)(i)	Assets of a crime victim.	
	☐	395.3025(7)(a), 456.057(7)(a)	Medical information.	
	☐	394.4615(7)	Mental health information.	
	☐	119.071(4)(d)(2)(a)	Home address, telephone, Social Security number, date of birth, or photos of active/former LE personnel, spouses, and children.	
Florida Rules of Judicial Administration 2.420 (Rule of 23)	☒	(iii) 119.0714(1)(i)-(j), (2)(a)-(e)	Social Security, bank account, charge, debit, and credit card numbers.	2
	☐	(viii) 394.4615(7)	Clinical records under the Baker Act.	
	☐	(xii) 741.30(3)(b)	The victim's address in a domestic violence action on petitioner's request.	
	☐	(xiii) 119.071(2)(h), 119.0714(1)(h)	Protected information regarding victims of child abuse or sexual offenses.	
	☐			
	☐			
	☐			
	☐			
	☐			
Other	☐		Other:	
	☐		Other:	

REVIEW COMPLETED BY

Booking Number: 2022029737	**Date:** 11/16/2022
	Specialist Name/ID: Pinkneya/7796

SCANNED
NOV 16 2022

ARREST REPORT

11/10/2019

PALM BEACH COUNTY SHERIFF'S OFFICE BOOKING FACE SHEET

NAME	JACKET #	BOOKING #
BROWN, TAKIRIA NAKOYAANTIONETTE	0496173	2019036344 PAGE: 1 OF 1

ALIAS NAMES	OVER 8 NAMES: ☐
BROWN, TAKIRIA NAKOYAANTOINETTE	

2019MM012479AMB

INCARCERATION DATE/TIME	BOOKING LOCATION
11/10/2019 05:06:52	MDC INTAKE
PRISONER TYPE: LOCAL CHARGES	BOOKING BY: 8034



DOB	RACE/SEX	HAIR COLOR	EYE COLOR
[redacted]	B/F	BLK	BRO
AGE AT BOOKING: [redacted]	HEIGHT: 4 FT 11 IN	WEIGHT: 90	SSN: YES

ADDRESS	CITY	STATE	ZIP CODE	COUNTRY OF ORIGIN
118 NW 14TH ST	BOYNTON BEACH	FL	33426	USA

ID #	NCIC	AFIS	DOC #
[redacted]		2018007132	
ALIEN #	INCIDENT # 19135962	OBTS # 5003445973	CASE #

ARREST ADDRESS	CITY	STATE	ZIP CODE
4474 SOUTH MILITARY TRAIL	LAKE WORTH	FL	33463

ARREST DATE	ARREST TIME	BOOKING DATE	BOOKING TIME
11/10/2019	03:35	11/10/2019	09:19

WARRANT #	COURT DIVISION	CURRENT BOND	IN CUSTODY BOOKING
	C	$0.00	☐

ARRESTING OFFICER	ARRESTING AGENCY
INV. J. SCHAEFER	01-PBSO
TRANSPORT OFFICER: INV. J. SCHAEFER	TRANSPORT AGENCY: 01-PBSO
CASE TYPE: MISDEMEANOR	HOLDS: NO

NOTE

CASE FLAG: OWN RECOG

STATUTE	COUNT	DESCRIPTION	VOFC	BOND TYPE	CURRENT BOND
316.193 1 (MS)	1	DUI-UNLAW BLD ALCH - DUI ALCOHOL OR DRUGS	☐	O.R.	$0.00
322.03 1 (MS)	1	MOVING TRAFFIC VIOL - OPERATE MOTOR VEHICLE WO VALID LICENSE	☐	O.R.	$0.00
901.36 1 (MF)	1	FRAUD-IMPERSON - FALSE ID GIVEN TO LEO	☐	O.R.	$0.00
901.36 1 (MF)	1	FRAUD-IMPERSON - FALSE ID GIVEN TO LEO	☐	O.R.	$0.00
901.36 1 (MF)	1	FRAUD-IMPERSON - FALSE ID GIVEN TO LEO	☐	O.R.	$0.00
901.36 1 (MF)	1	FRAUD-IMPERSON - FALSE ID GIVEN TO LEO	☐	O.R.	$0.00
901.36 1 (MF)	1	FRAUD-IMPERSON - FALSE ID GIVEN TO LEO	☐	O.R.	$0.00
322.34 2 (MS)	1	MOVING TRAFFIC VIOL - KNOWINGLY DRIVE WHILE LIC SUSPENDED REVOKED	☐	O.R.	$0.00

2019 NOV 11 AM 8:

ARREST 11/10/19

ARREST / NOTICE TO APPEAR
Juvenile Referral Report

2019MM012479AMB

1. Arrest 2. N.T.A. 3. Request for Warrant 4. Request for Capias | Juvenile: 1 | N

ADMINISTRATIVE

OBTS Number:

Agency ORI Number: FLO 500000

Agency Name: PALM BEACH COUNTY SHERIFF'S OFFICE

Agency Report Number (N.T.A.'s only): 06- 19-135962

Charge Type: Check as many as apply. ☐ 1. Felony ☐ 2. Traffic Felony ☒ 3. Misdemeanor ☒ 4. Traffic Misdemeanor ☐ 5. Ordinance ☐ 6. Other

Weapon Seized / Type: 2 | 1. Yes 2. No NONE

Multiple Clearance Indicator: 0406

Location of Arrest (including Name of Business): 4474 SOUTH MILITARY TRAIL LAKE WORTH, FL 33463

Location of Offense (Business Name, Address): 4474 SOUTH MILITARY TRAIL LAKE WORTH, FL 33463

Date of Arrest	Time of Arrest	Booking Date	Booking Time	Jail Date	Jail Time	Location of Vehicle
11/10/2019	03:35					GARDENS TOWING

DEFENDANT

Name (Last, First, Middle): Brown Takiria Nakoya Antionette

Alias (Name, DOB, Soc. Sec. #, Etc.):

Race	Sex	Date of Birth	Height	Weight	Eye Color	Hair Color	Complexion	Build
W - White I - American Indian B - Black O - Oriental/Asian: B	F	[redacted]	4'11"	90	BRW	BLK	MED	THIN

Scars, Marks, Tatoos, Unique Physcal Features (Location, Type, Description): UK

Marital Status: Single | Religion: NONE | Indication of: Alcohol Influence ☐ Y ☐ N ☐ Unk. Drug Influence ☐ Y ☐ N ☐ Unk.

Local Address (Street, Apt. Number): 118 NW 14th STREET (City): Boynton Beach (State): FL (Zip): 33426 | Phone: (561) UK | Residence Type: 1. City 2. County 3. Florida 4. Out of State: 2

Permanent Address (Street, Apt. Number) (City) (State) (Zip) | Phone ( ) | Address Source: FLORIDA DRIVER LICENSE

Business Address (Name, Street) (City) (State) (Zip) | Phone ( ) | Occupation: UK

D/L Number, State: [redacted] | Soc. Sec. Number: [redacted] | INS Number | Place of Birth (City, State): WPB, FL | Citizenship: US

CO-DEF

Co-Defendant Name (Last, First, Middle) | Race | Sex | Date of Birth | ☐ 1. Arrested ☐ 2. At Large | ☐ 3. Felony ☐ 4. Misdemeanor ☐ 5. Juvenile

Co-Defendant Name (Last, First, Middle) | Race | Sex | Date of Birth | ☐ 1. Arrested ☐ 2. At Large | ☐ 3. Felony ☐ 4. Misdemeanor ☐ 5. Juvenile

JUVENILE

☐ Parent ☐ Legal Custodian ☐ Other:

Address (Street, Apt. Number) (City) (State) (Zip) | Residence Phone ( ) | Business Phone ( )

Notified by: (Name) | Date | Time | Juvenile Disposition: 1. Handled/ processed within Dept. and Released. 2. TOT HRS / DYS 3. Incarcerated

Released To: (Name) | Relationship | Date | Time

The above address provided by ☐ defendant and / or ☐ defendant's parents The child and / or parent was told to keep the Juvenile Court Clerk (Phone 355-2526) informed of any change of address. ☐ Yes, by: (Name) ☐ No: (Reason)

School Attended | Grade

Property Crime? ☐ Yes ☐ No | Description of Property | Value of Property

CODE

Drug Activity: N. N/A P. Possess | S. Sell B. Buy T. Traffic | R. Smuggle D. Deliver E. Use | K. Dispense/ Distribute | M. Manufacture/ Produce/ Cultivate | Z. Other

Drug Type: N. N/A A. Amphetamine | B. Barbiturate C. Cocaine E. Heroin | H. Hallucinogen M. Marijuana O. Opium/Deriv. | P. Paraphernalia/ Equipment S. Synthetics | U. Unknown Z. Other

CHARGE

Charge Description	Counts	Domestic Violence	Statute Violation Number	Violation of ORD #	Drug Activity	Drug Type	Amount / Unit	Offense #	Warrant / Capias Number	Bond
DRIVING UNDER THE INFLUENCE	1	☐Y ☒N	316.193(1)		N	N	NONE	19-135962		
NO VALID DRIVER LICENSE (NEVER ISSUED)	1	☐Y ☒N	322.03(1)		N	N	NONE	19-135962		
GIVING FALSE NAME TO LEO	1	☐Y ☒N	901.36(1)		N	N	NONE	19-135962		
VIOLATION OF PROBATION	1	☐Y ☒N	948.06		N	N	NONE	19-135962	18 003401 MMAXX	

NOTICE TO APPEAR

CRIMINAL JUSTICE COMPLEX / 3228 GUN CLUB ROAD, WPB, FL 33406

Court Date and Time: Month DECEMBER Day 5th Year 2019 Time 08:30 AM X PM

I AGREE TO APPEAR AT THE TIME AND PLACE DESIGNATED TO ANSWER THE OFFENSE CHARGED OR TO PAY THE FINE SUBSCRIBED. I UNDERSTAND THAT SHOULD I WILLFULLY FAIL TO APPEAR BEFORE THE COURT AS REQUIRED BY THIS NOTICE TO APPEAR, THAT I MAY BE HELD IN CONTEMPT OF COURT AND A WARRANT FOR MY ARREST SHALL BE ISSUED

REFUSED
Signature of Defendant (or Juvenile and Parent /Custodian)

11/10/2019
Date Signed

ADMIN

HOLD for other Agency Name:

☐ Dangerous ☐ Resisted Arrest ☒ Suicidal ☐ Other:

Intake Deputy I.D. # Pouch #

Signature of Arresting Officer: X Inv. J. Schaefer #8777

Name of Arresting Officer (Print): INV. J. SCHAEFER I.D. # 8777

Transporting Officer: INV. J. SCHAEFER ID # 8777 Agency: PBSO

Name Verification (Printed by Arrestee) (PRINT)

Witness here if subject signed with an "X"

PAGE 1 OF 2

DISTRIBUTION: WHITE - COURT COPY GREEN - STATE ATTORNEY YELLOW - AGENCY PINK - AGENCY GOLD - DEFENDANT (N.T.A.'s ONLY)

PBSO 8148 REV. 6/97

0496173

58

ARREST / NOTICE TO APPEAR
Juvenile Referral Report

OBTS Number

1. Arrest 2. N.T.A. 3. Request for Warrant 4. Request for Capias | 1 | Juvenile | N

ADMINISTRATIVE

Agency ORI Number: FLO 500000

Agency Name: PALM BEACH COUNTY SHERIFF'S OFFICE

Agency Report Number (N.T.A.'s only): 06- 19-135962

Charge Type: Check as many as apply. [ ] 1. Felony [ ] 2. Traffic Felony [X] 3. Misdemeanor [X] 4. Traffic Misdemeanor [ ] 5. Ordinance [ ] 6. Other

Weapon Seized / Type: 2 | 1. Yes 2. No NONE

Multiple Clearance Indicator: 06

Location of Arrest (Including Name of Business): 4474 SOUTH MILITARY TRAIL LAKE WORTH, FL 33463

Location of Offense (Business Name, Address): 4474 SOUTH MILITARY TRAIL LAKE WORTH, FL 33463

Date of Arrest	Time of Arrest	Booking Date	Booking Time	Jail Date	Jail Time	Location of Vehicle
11/10/2019	03:35					GARDENS TOWING

DEFENDANT

Name (Last, First, Middle): Brown Takiria Nakoya Antionette

Alias (Name, DOB, Soc. Sec. #, Etc.)

Race	Sex	Date of Birth	Height	Weight	Eye Color	Hair Color	Complexion	Build
W - White I - American Indian B - Black O- Oriental/Asian: B	F	[redacted]	4'11"	90	BRW	BLK	MED	THIN

Scars, Marks, Tatoos, Unique Physical Features (Location, Type, Description): UK

Marital Status: Single | Religion: NONE | Indication of: Alcohol Influence [ ] Y [ ] N [ ] Unk; Drug Influence [ ] [ ] [ ]

Local Address (Street, Apt. Number) (City) (State) (Zip): 118 NW 14th STREET Boynton Beach, FL 33426

Phone: (561) UK

Residence Type: 1. City 2. County 3. Florida 4. Out of State: 2

Permanent Address (Street, Apt. Number) (City) (State) (Zip):

Phone: ( )

Address Source: FLORIDA DRIVER LICENSE

Business Address (Name, Street) (City) (State) (Zip):

Phone: ( )

Occupation: UK

DL Number/State: [redacted]

Soc. Sec. Number: [redacted]

INS Number:

Place of Birth (City, State): WPB, FL

Citizenship: US

CO-DEF

Co-Defendant Name (Last, First, Middle) | Race | Sex | Date of Birth | [ ] 1. Arrested [ ] 2. At Large | [ ] 3. Felony [ ] 4. Misdemeanor [ ] 5. Juvenile

Co-Defendant Name (Last, First, Middle) | Race | Sex | Date of Birth | [ ] 1. Arrested [ ] 2. At Large | [ ] 3. Felony [ ] 4. Misdemeanor [ ] 5. Juvenile

JUVENILE

[ ] Parent [ ] Legal Custodian [ ] Other:

Residence Phone: ( )

Address (Street, Apt. Number) (City) (State) (Zip)

Business Phone: ( )

Notified by: (Name) | Date | Time | Juvenile Disposition: 1. Handled/ processed within Dept. and Released. 2. TOT HRS / DYS 3. Incarcerated

Released To: (Name) | Relationship | Date | Time

The above address provided by [ ] defendant and / or [ ] defendant's parents The child and / or parent was told to keep the Juvenile Court Clerk (Phone 355-2528) informed of any change of address. [ ] Yes, by: (Name) [ ] No: (Reason)

School Attended | Grade

Property Crime? [ ] Yes [ ] No | Description of Property | Value of Property

CODE

Drug Activity: N. N/A P. Possess S. Sell B. Buy T. Traffic R. Smuggle D. Deliver E. Use K. Dispense/ Distribute M. Manufacture/ Produce/ Cultivate Z. Other

Drug Type: N. N/A A. Amphetamine B. Barbiturate C. Cocaine E. Heroin H. Hallucinogen M. Marijuana O. Opium/Derv. P. Paraphernalia/ Equipment S. Synthetics U. Unknown Z. Other

CHARGE

Charge Description	Counts	Domestic Violence	Statute Violation Number	Violation of ORD #
GIVING FALSE NAME TO LEO	4	[ ] Y [X] N	901.36(1)	

Drug Activity	Drug Type	Amount / Unit	Offense #	Warrant / Capias Number	Bond
N	N	NONE	19-135962		

CHARGE

Charge Description	Counts	Domestic Violence	Statute Violation Number	Violation of ORD #
DWLS with KNOWLEDGE	1	[ ] Y [X] N	322.34(2)	

Drug Activity	Drug Type	Amount / Unit	Offense #	Warrant / Capias Number	Bond
N	N	NONE	19-135962		

CHARGE

Charge Description	Counts	Domestic Violence	Statute Violation Number	Violation of ORD #
		[ ] Y [ ] N		

Drug Activity	Drug Type	Amount / Unit	Offense #	Warrant / Capias Number	Bond

CHARGE

Charge Description	Counts	Domestic Violence	Statute Violation Number	Violation of ORD #
		[ ] Y [ ] N		

Drug Activity	Drug Type	Amount / Unit	Offense #	Warrant / Capias Number	Bond

NOTICE TO APPEAR

Location (Court, Room Number, Address)

CRIMINAL JUSTICE COMPLEX / 3228 GUN CLUB ROAD, WPB, FL 33406

Court Date and Time: Month DECEMBER Day 5th Year 2019 Time 08:30 AM X PM

I AGREE TO APPEAR AT THE TIME AND PLACE DESIGNATED TO ANSWER THE OFFENSE CHARGED OR TO PAY THE FINE SUBSCRIBED. I UNDERSTAND THAT SHOULD I WILLFULLY FAIL TO APPEAR BEFORE THE COURT AS REQUIRED BY THIS NOTICE TO APPEAR, THAT I MAY BE HELD IN CONTEMPT OF COURT AND A WARRANT FOR MY ARREST SHALL BE ISSUED

REFUSED

Signature of Defendant (or Juvenile and Parent /Custodian)

11/10/2019

Date Signed

ADMIN

HOLD for other Agency Name:

[ ] Dangerous [ ] Resisted Arrest [ ] Suicidal [ ] Other:

Intake Deputy | I.D. # | Pouch #

Signature of Arresting Officer: X INV. Schaefer #8777

Name of Arresting Officer (Print): INV. J. SCHAEFER I.D. # 8777

Transporting Officer: INV. J. SCHAEFER ID # 8777 Agency PBSO

Name Verification (Printed by Arrestee) (PRINT)

Witness here if subject signed with an "X"

PAGE 2 OF 2

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PBSO 8148 REV. 8/97

OBTS Number

PROBABLE CAUSE AFFIDAVIT

1. Arrest 3. Request For Warrant
2. N.T.A. 4. Request For Copies

1 Juvenile N

Agency ORI Number	Agency Name	Agency Report Number
FLO 5 0 0 0 0 0	PALM BEACH COUNTY SHERIFF'S OFFICE	06 19-135962

Charge Type: Check as many as apply
☐ 1. Felony ☐ 2. Traffic Felony ☒ 3. Misdemeanor ☐ 4. Traffic Misdemeanor ☐ 5. Ordinance ☐ 6. Other

Special Notes: Supplemental PC

Defendant Name (Last, First, Middle)	Race	Sex	Date of Birth
Brown, Takiria	B	F	[redacted]

Charge	Charge
Driving Under the Influence	
Charge	Charge

Victim Name (Last, First, Middle)	Race	Sex	Date of Birth
STATE OF FLORIDA			

Local Address (Street, Apt. Number)	City	State	Zip	Phone	Address Source

Business Address (Street, Apt. Number)	City	State	Zip	Phone	Occupation
					GOVERNMENT

The undersign swears that he/she has just and reasonable grounds to believe, and does believe that the above named Defendant committed the following violation of law.
The person taken into custody...

☐ committed the below acts in my presence.

☐ confessed to admitting to the below facts.

☐ was observed by _____ who told _____ that he/she saw the arrested person commit the below acts.

☒ was found to have committed the below acts, resulting from (described) investigation.

On the 10 day of November 20 19 at 0239 ☒ AM ☐ PM

On November 10, 2019 at approximately 0239 I was dispatched to the area of Purdy ln and Military trail for a bolo of a possible impaired driver driving a black jeep SUV. PBSO dispatch advised the vehicle was now traveling south on Military Trail towards Lake Worth Rd. While at the intersection of Lake Worth Rd and Military Trail, I observed a black Jeep stopped in the middle of the intersection facing southbound on a green light. The vehicle began driving forward continuing south. I got behind the vehicle and observed it to be a Black Jeep bearing Florida tag RIP CYNT. I activated my overhead emergency lights to conduct a lawful traffic stop. The vehicle made a U-turn striking the curb then pulled into the parkinglot of 4474 S Military Trail. I walked up to the driver side of the vehicle where I observed a black female, later identified as Takiria Brown, wearing a green camo dress. While asking for her proper documentation, I detected the odor of an unknown alcoholic beverage emitting from her. As I continued speaking to Brown, I observed Brown slurring her words.

Inv. Schaefer #8777 arrived on scene and the remainder of this investigation was turned over to him. This concludes my involvment.

The foregoing instrument was sworn to and affirmed before me this 10 day of November 20 19, by:

Inv. Schaefer #8777
Name of Notary Public / Clerk of Court / Officer (F.S.S. 117.00)

Inv. Schaefer #8777
Signature of Notary Public / Clerk of Court / Officer (F.S.S. 117.00)

D/S Foster 12413
Name of Arresting/Investigating Officer

D/S
Signature of Arresting/Investigating Officer

PROBABLE CAUSE AFFIDAVIT

OBTS Number:

1. Arrest 2. N.T.A. / Request for Warrant / Request for Copies: 1

Juvenile: N

ADMIN

Agency ORI Number: FLO 500000

Agency Name: PALM BEACH COUNTY SHERIFF'S OFFICE

Agency Report Number: 06- 19-135962

Charge Type: Check as many as apply. 1. Felony 2. Traffic Felony 3. Misdemeanor 4. Traffic Misdemeanor 5. Ordinance 6. Other

Special Notes:

DEF

Name (Last, First, Middle): Brown, Takiria, Nakova Antionette

Alias:

Race: B | Sex: F | Date of Birth: [redacted]

CHARGES

Charge Description: GIVING FALSE NAME TO LEO 901.36(1)

Charge Description:

Charge Description:

Charge Description:

VICTIM

Victim's Name (Last, First, Middle): STATE OF FLORIDA, ,

Race | Sex | Date of Birth

Local Address (Street, Apt. Number) (City) (State) (zip): , | Phone ( ) | Address Source

Business Address (Name, Street) (City) (State) (zip) | Phone ( ) | Occupation: GOVERNMENT

The undersigned certifies and swears that he/she has just and reasonable grounds to believe, and does believe that the above named Defendant committed the following violation of law. The Person taken into custody

- ☒ committed the below acts in my presence.
- ☐ confessed to _____ admitting to the below facts.
- ☐ was observed by _____ who told _____ that he/she saw the arrested person commit the below acts.
- ☒ was found to have commited the below acts, resulting from my (described) investigation.

On the 10th day of NOVEMBER 20 19 at 02:51 ☐ A.M. ☒ P.M. (Specifically include facts constituting cause for arrest.)

PROBABLE CAUSE STATEMENT

On the above date and listed time while conducting a DUI investigation I made contact with a B/F who identified herself with a Florida driver license and confirmed it verbally as "BRASHAYLA ALYSA EGGLESTON". The B/F continued identifying herself as "BRASHAYLA" throughout my investigation. After the B/F was taken into custody for DUI, a search of her vehicle incident to a towing inventory I located a Florida Identification Card with the photo likeness of the female in custody. The ID showed a name of "TAKIRIA NAROYA ANTIONETTE BROWN".

To confirm the real identity of the female, a unit with a "RAPID ID" instrument was requested to respond to the scene. With the fingerprints of the female being taken, a "hit" returned the name of "BROWN".

Therefore, I find additional probable cause for the arrest of Brown who did, after having been arrested or lawfully detained by a law enforcement officer, give a false name, or otherwise falsely identify herself in any way, to the law enforcement officer contrary to Florida Statute 901.36(1), a 1st Degree Misdemeanor.

ADMINISTRATIVE

STATE OF FLORIDA
COUNTY OF PALM BEACH

INV. J Schaefer #8777 INV. J. SCHAEFER
(Signature of Arresting/Investigative Officer)

The foregoing instrument was sworn to or affirmed and subscribed before me this 10th day of NOVEMBER 20 19 by INV. J. SCHAEFER

(Print name of Arresting/Investigative Officer) who is personally known to me or has produced identification. Type of identification produced PERSONALLY KNOWN LEO

Notary Public, Clerk of Court, Officer (F.S.S. 117.10)

JOSHUA BELL
MY COMMISSION #GG346008
EXPIRES: JUN 18, 2023
Bonded through 1st State Insurance

PAGE 1 OF 1

PBSO 69004 REV. 04/01 DISTRIBUTION: WHITE - COURT COPY GREEN - STATE ATTORNEY YELLOW - AGENCY PINK - AGENCY

PROBABLE CAUSE AFFIDAVIT

OBTS Number:

1. Arrest 2. N.T.A. — Request for Warrant — Request for Copies: 1 — Juvenile: N

ADMIN

Agency ORI Number: FLO 500000

Agency Name: PALM BEACH COUNTY SHERIFF'S OFFICE

Agency Report Number: 06- 19-135962

Charge Type: Check as many as apply. 1. Felony 2. Traffic Felony 3. Misdemeanor 4. Traffic Misdemeanor 5. Ordinance 6. Other

Special Notes:

DEF

Name (Last, First, Middle)	Alias	Race	Sex	Date of Birth
Brown, Takiria, Nakoya Antionette		B	F	[redacted]

CHARGES

Charge Description	Charge Description
GIVING FALSE NAME TO LEO 901.36(1)	
Charge Description	Charge Description

VICTIM

Victim's Name (Last, First, Middle)	Race	Sex	Date of Birth
STATE OF FLORIDA, ,			

Local Address (Street, Apt. Number)	(City)	(State)	(zip)	Phone	Address Source
,				( )	
Business Address (Name, Street)	**(City)**	**(State)**	**(zip)**	**Phone**	**Occupation**
				( )	GOVERNMENT

PROBABLE CAUSE STATEMENT

The undersigned certifies and swears that he/she has just and reasonable grounds to believe, and does believe that the above named Defendant committed the following violation of law.
The Person taken into custody

- [x] committed the below acts in my presence.
- [ ] confessed to _____ admitting to the below facts.
- [ ] was observed by _____ who told _____ that he/she saw the arrested person commit the below acts.
- [x] was found to have commited the below acts, resulting from my (described) investigation.

On the 10th day of NOVEMBER 20 19 at 02:51 [ ] A.M. [x] P.M. (Specifically include facts constituting cause for arrest.)

On the above date and listed time while conducting a DUI investigation I made contact with a B/F who identified herself with a Florida driver license and confirmed it verbally as "BRASHAYLA ALYSA EGGLESTON". The B/F continued identifying herself as "BRASHAYLA" throughout my investigation. After the B/F was taken into custody for DUI, a search of her vehicle incident to a towing inventory I located a Florida Identification Card with the photo likeness of the female in custody. The ID showed a name of "TAKIRIA NAROYA ANTIONETTE BROWN".

To confirm the real identity of the female, a unit with a "RAPID ID" instrument was requested to respond to the scene. With the fingerprints of the female being taken, a "hit" returned the name of "BROWN".

Therefore, I find additional probable cause for the arrest of Brown who did, after having been arrested or lawfully detained by a law enforcement officer, give a false name, or otherwise falsely identify herself in any way, to the law enforcement officer contrary to Florida Statute 901.36(1), a 1st Degree Misdemeanor.

ADMINISTRATIVE

STATE OF FLORIDA
COUNTY OF PALM BEACH

INV. J. Schaefer #8777 INV. J. SCHAEFER
(Signature of Arresting/Investigative Officer)

The foregoing instrument was sworn to or affirmed and subscribed before me this 10th day of NOVEMBER 20 19 by INV. J. SCHAEFER

(Print name of Arresting/Investigative Officer) who is personally known to me and/or produced identification. Type of identification produced PERSONALLY KNOWN LEO

Notary Public, Clerk of Court, Officer (F.S.S. 117.10)

JOSHUA BELL
MY COMMISSION #GG346008
EXPIRES: JUN 16, 2023
Bonded through 1st State Insurance

PAGE 1 OF 1

PBSO #0004 REV. 04/01 DISTRIBUTION: WHITE - COURT COPY GREEN - STATE ATTORNEY YELLOW - AGENCY PINK - AGENCY

D.U.I. PROBABLE CAUSE AFFIDAVIT

ON THE 10th DAY OF NOVEMBER 20 19, AT 02:51 AM ✓ PM

SUBJECT: Brown Takiria Nakoya Antionette CASE NUMBER: 19-135962

AGENCY: PALM BEACH COUNTY SHERIFF'S OFFICE ARRESTING OFFICER: INV. J. SCHAEFER

PERSONAL CONTACT

DRIVING PATTERN: ACTUAL PHYSICAL CONTROL (PHYSICAL EVIDENCE OR STATEMENTS PUTTING DEF. BEHIND WHEEL OF VEHICLE)

On 11/10/2019 at approximately 03:00hrs, I was called to the scene of a traffic stop at 4474 South Military Trail which is located in unincorporated Lake Worth, Palm Beach County, Florida. I arrived at the scene at approximately 03:07hrs. D/S Austin Foster #12413 relayed to me, and completed a written signed sworn supplemental Probable Cause Affidavit, that had stopped the defendant's vehicle, a 2017 black Jeep Cherokee bearing Florida tag [redacted] because of a BOLO issued by dispatch about a possible impaired driver. D/S Foster noticed that the defendant had articulable indicators of impairment, so he called for a DUI unit to conduct a possible DUI investigation. D/S Foster identified the defendant, to me, as the driver and sole occupant of the vehicle, at the time of the stop.

OBSERVATION OF DRIVER:

Upon making contact with the driver who was later identified by her Florida Identification Card as "TAKIRIA NAKOYA ANTIONETTE BROWN", I immediately detected an obvious and strong odor of an unknown alcoholic beverage and an odor which I recognized from my training and experience as suspected marijuana emanating from her person and face area. This odor intensified as I spoke to Brown. Brown had glassy, glazed, and blood shot eyes. Brown's speech was quick tongued and difficult to understand. Brown had an unsteady gait while walking to my patrol vehicle and had difficulty following directions given to her. Brown was wearing a camo dress and black shoes.

DRIVER'S STATEMENTS:

Pre-Miranda: Brown made numerous profanity laced tirades and was extremely belligerent. See BAT video. Brown refused after Implied Consent and refused to participate in Q&A.

ODORS:

A strong and obvious odor of an unknown alcoholic beverage and marijuana were emanating from her person and face area which intensified as I spoke to Brown.

GENERAL OBSERVATIONS

SPEECH: **Brown's speech was quick tongued and difficult to understand.**

ATTITUDE: **annoyed, argumentative, belligerent, insulting**

CLOTHING: **camo dress and black shoes**

MEDICAL/OTHER: **see BAT REPORT**

TATE OF FLORIDA
:OUNTY OF PALM BEACH

INV. J. SCHAEFER Inv. JSchaefer #8777
ignature of Arresting/Investigative Officer)

e foregoing instrument was sworn to or affirmed and subscribed before me this 10th day of NOVEMBER 20 19 by INV. J. SCHAEFER

rint name of Arresting/Investigative Officer), who is personally known to me and/or produced identification. Type of identification produced PERSONALLY KNOWN LEO

otary Public, Clerk of Court, Officer (F.S.S 117.10)

JOSHUA BELL
MY COMMISSION #GG346008
EXPIRES: JUN 18, 2023
Bonded through 1st State Insurance

SUBJECT: Brown Takiria CASE NUMBER 19-135962

ROADSIDE TASKS

HORIZONTAL GAZE NYSTAGMUS:

- ☐ LT EYE-LACK OF SMOOTH PURSUIT
- ☐ RT EYE-LACK OF SMOOTH PURSUIT
- ☐ LT EYE-DISTINCT & SUSTAINED NYSTAGMUS AT MAX. DEVIATION
- ☐ RT EYE-DISTINCT & SUSTAINED NYSTAGMUS AT MAX. DEVIATION
- ☐ LT- EYE-ONSET OF NYSTAGMUS PRIOR TO 45 DEGREES
- ☐ RT- EYE-ONSET OF NYSTAGMUS PRIOR TO 45 DEGREES

Other Observations:

WALK & TURN:

Brown refused SFST's and talked over me as I attempted to explain her Taylor Warnings.

ONE LEG STAND:

FINGER TO NOSE:

ROMBERG ALPHABET:

BREATH TEST RESULTS: 1) REFUSED | 2) REFUSED | 3) | 4)

STATE OF FLORIDA
COUNTY OF PALM BEACH

INV. J. SCHAEFER Inv. JSchaefer #5777
(Signature of Arresting/Investigative Officer)

The foregoing instrument was sworn to or affirmed and subscribed before me this 10th day of NOVEMBER 20 19 by INV. J. SCHAEFER

(Print name of Arresting/Investigative Officer), who is personally known to me and/or produced identification. Type of identification produced PERSONALLY KNOWN LEO

Notary Public, Clerk of Court, Officer (F.S.S 117.10)

JOSHUA BELL
MY COMMISSION #GG346006
EXPIRES: JUN 18, 2023
Bonded through 1st State Insurance

D.U.I. PROBABLE CAUSE AFFIDAVIT

ON THE 10th DAY OF NOVEMBER 20 19 AT 02:51 AM ✓ PM

SUBJECT: Brown Takiria Nakoya Antionette CASE NUMBER: 19-135962

AGENCY: PALM BEACH COUNTY SHERIFF'S OFFICE ARRESTING OFFICER: INV. J. SCHAEFER

PERSONAL CONTACT

DRIVING PATTERN: ACTUAL PHYSICAL CONTROL (PHYSICAL EVIDENCE OR STATEMENTS PUTTING DEF. BEHIND WHEEL OF VEHICLE)

On 11/10/2019 at approximately 03:00hrs, I was called to the scene of a traffic stop at 4474 South Military Trail which is located in unincorporated Lake Worth, Palm Beach County, Florida. I arrived at the scene at approximately 03:07hrs. D/S Austin Foster #12413 relayed to me, and completed a written signed sworn supplemental Probable Cause Affidavit, that had stopped the defendant's vehicle, a 2017 black Jeep Cherokee bearing Florida tag [redacted] because of a BOLO issued by dispatch about a possible impaired driver. D/S Foster noticed that the defendant had articulable indicators of impairment, so he called for a DUI unit to conduct a possible DUI investigation. D/S Foster identified the defendant, to me, as the driver and sole occupant of the vehicle, at the time of the stop.

OBSERVATION OF DRIVER:

Upon making contact with the driver who was later identified by her Florida Identification Card as "TAKIRIA NAKOYA ANTIONETTE BROWN", I immediately detected an obvious and strong odor of an unknown alcoholic beverage and an odor which I recognized from my training and experience as suspected marijuana emanating from her person and face area. This odor intensified as I spoke to Brown. Brown had glassy, glazed, and blood shot eyes. Brown's speech was quick tongued and difficult to understand. Brown had an unsteady gait while walking to my patrol vehicle and had difficulty following directions given to her. Brown was wearing a camo dress and black shoes.

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Pre-Miranda: Brown made numerous profanity laced tirades and was extremely belligerent. See BAT video. Brown refused after Implied Consent and refused to participate in Q&A.

ODORS:

A strong and obvious odor of an unknown alcoholic beverage and marijuana were emanating from her person and face area which intensified as I spoke to Brown.

GENERAL OBSERVATIONS

SPEECH: **Brown's speech was quick tongued and difficult to understand.**

ATTITUDE: **annoyed, argumentative, belligerent, insulting**

CLOTHING: **camo dress and black shoes**

MEDICAL/OTHER: **see BAT REPORT**

STATE OF FLORIDA
COUNTY OF PALM BEACH

INV. J. SCHAEFER Inv. JSchaefer #8777
(Signature of Arresting/Investigative Officer)

The foregoing instrument was sworn to or affirmed and subscribed before me this 10th day of NOVEMBER 20 19 by INV. J. SCHAEFER

(Print name of Arresting/Investigative Officer), who is personally known to me and/or produced identification. Type of identification produced PERSONALLY KNOWN LEO

Notary Public, Clerk of Court, Officer (F.S.S 117.10)

JOSHUA BELL
MY COMMISSION #GG346008
EXPIRES: JUN 18, 2023
Bonded through 1st State Insurance

SUBJECT: Brown Takiria CASE NUMBER 19-135962

ROADSIDE TASKS

HORIZONTAL GAZE NYSTAGMUS:

- ☐ LT EYE-LACK OF SMOOTH PURSUIT
- ☐ RT EYE-LACK OF SMOOTH PURSUIT
- ☐ LT EYE-DISTINCT & SUSTAINED NYSTAGMUS AT MAX. DEVIATION
- ☐ RT EYE-DISTINCT & SUSTAINED NYSTAGMUS AT MAX. DEVIATION
- ☐ LT- EYE-ONSET OF NYSTAGMUS PRIOR TO 45 DEGREES
- ☐ RT- EYE-ONSET OF NYSTAGMUS PRIOR TO 45 DEGREES

Other Observations:

WALK & TURN:

Brown refused SFST's and talked over me as I attempted to explain her Taylor Warnings.

ONE LEG STAND:

FINGER TO NOSE:

ROMBERG ALPHABET:

BREATH TEST RESULTS: | 1) REFUSED | 2) REFUSED | 3) | 4) |

STATE OF FLORIDA
COUNTY OF PALM BEACH

INV. J. SCHAEFER Inv. JSchaefer #3777
(Signature of Arresting/Investigative Officer)

The foregoing instrument was sworn to or affirmed and subscribed before me this 10th day of NOVEMBER 2019 by INV. J. SCHAEFER

(Print name of Arresting/Investigative Officer), who is personally known to me and/or produced identification. Type of identification produced PERSONALLY KNOWN LEO

Notary Public, Clerk of Court, Officer (F.S.S 117.10)

JOSHUA BELL
MY COMMISSION #GG346008
EXPIRES: JUN 18, 2023
Bonded through 1st State Insurance

FLORIDA UNIFORM TRAFFIC CITATION

In the court designated below the undersigned certifies that he/she has ju
and reasonable grounds to believe and does believe that on:

Citation #: ACKB6QE

County: PALM BEACH
City:
Date/Time: Sun 11/10/2019 04:43 AM
County Code: 06
City Code: 00/MB
Agency Type: SO

VIOLATOR

First Name: TAKIRIA
Middle: NAKOYA ANTIONET
Last: BROWN
DOB: [redacted]
Address: 118 NW 14TH ST
City: BOYNTON BEACH
State: FL
Zip: 33426
Telephone: [redacted]
Race: B
Sex: F
Hgt: 411
DL #: [redacted]
DL State: FL
Lic. Expires: 20
CDL: N
Ethnicity: NH
Class: ID
Diff. Addr. on DL

REGISTRATION

Yr. Veh: 2017
Veh. Tag: [redacted]
Color: BLK
Trailer Tag:
Make: JEEP
Yr. Tag Expires: 20
State: F
Style: UT
Comm. Mtr. Veh.: N
Plac. Haz. Mat: N
>= 16 Passengers: N
Motorcycle: N

LOCATION

Upon a Public Street or Highway or Other Location Namely:
4474 S MILITARY TRAIL.

Located Ft. Miles N Of Node

VIOLATION

Did unlawfully commit the following Offense, in violation of State Statute,
LICENSE - NO VALID DL. 322.03(1)

Speed - Enhanced Penalty Zone: N
Unlawful Speed: Posted Speed:
Crash: N Prop. Dam.: N Prop. Dam. Amt.: Aggressive Driv:
Injury: N Ser. Injury: N Fatal: N Red Light/Stop Sign:
Companion Citation Number(s): A2GCZ9P
Driving Under the Influence of Alcoholic Beverages, Chemical, or Controlled Substances, Driving/Actual Physical Control While Impaired, or Driving/Actual Physical Control with Unlawful Blood/Urine Alcohol Level Bal.: REFUS

COURT INFORMATION

Criminal Violation, Court Required
PALM BEACH COUNTY COURTHOUSE CRIMINAL JUSTICE COMPLEX
3228 GUN CLUB ROAD
WEST PALM BEACH, FL 33406
Court Date: 12/05/20
Court Time: 8:30 AM
Civil Penalty: TO BE S

Arrest Delivered To: COUNTY JAIL
On: 11/10/2019

SIGNATURE

I AGREE AND PROMISE TO COMPLY AND ANSWER TO THE CHARGES AND INSTRUCTIONS SPECIFIED IN THIS CITATION. WILLFUL REFUSAL TO ACCEPT AND SIGN THE CITATION MAY RESULT IN ARREST. I UNDERSTAND MY SIGNATURE IS NOT AN ADMISSION OF GUILT OR WAIVER OF RIGHTS. IF YOU NEED REASONABLE FACILITY ACCOMMODATIONS TO COMPLY WITH THIS CITATION, CONTACT THE CLERK OF THE COURT.

Signature of Defendant: x REFUSED

Signature of Officer: Inv. J Schaefer #8777

☒ I CERTIFY THIS CITATION WAS DELIVERED TO THE PERSON CITED ABOVE
Officer name: INV. J. SCHAEFER
Officer ID: 8777
Case number: 19-135962
Troop/Unit: DUI/MB
Misc: DUI
Agency Name: PALM BEACH SHERIFF'S OFFICE
Agency #:

FLORIDA UNIFORM TRAFFIC CITATIOI

In the court designated below the undersigned certifies that he/she has ju and reasonable grounds to believe and does believe that on:

Citation #: ACKB6PE

County: PALM BEACH
City:
Date/Time: Sun 11/10/2019 04:43 AM

County Code: 06
City Code: 00/MB
Agency Type: SO

VIOLATOR

First Name: TAKIRIA
Middle: NAKOYA ANTIONE
Last: BROWN
DOB: [redacted]
Address: 118 NW 14TH ST
City: BOYNTON BEACH
State: FL
Zip: 33426
Telephone:
Race: B
Sex: F
Hgt: 411
DL #: [redacted]
DL State: FL
Lic. Expires: 2
CDL: N
Ethnicity: NH
Class: ID
Diff. Addr. on DI

REGISTRATION

Yr. Veh: 2017
Veh. Tag [redacted]
Color: BLK
Trailer Tag:
Make: JEEP
Yr. Tag Expires: 20
State:
Style: UT
Comm. Mtr. Veh.: N
Plac. Haz. Mat: N
>= 16 Passengers: N
Motorcycle: N

LOCATION

Upon a Public Street or Highway or Other Location Namely:
4474 S MILITARY TRAIL

Located
FL
Miles N
Of Node

VIOLATION

Did unlawfully commit the following Offense, in violation of State Statute,
LICENSE - DRIVING UNDER SUSPENSION WITH KNOWLEDGE
322.34(2)
DATE SUSPENDED: 12/06/2018
REASON:FTP COURT FIN OBL
Speed - Enhanced Penalty Zone: N
Unlawful Speed:
Posted Speed:
Crash: N
Prop. Dam.: N
Prop. Dam. Amt.:
Aggressive Driv
Injury: N
Ser. Injury: N
Fatal: N
Red Light/Stop Sign
Companion Citation Number(s): A2GC20P
Driving Under the Influence of Alcoholic Beverages, Chemical, or Controlled Substances, Driving/Actual Physical Control While Impaired, or Driving/Actual Physical Control with Unlawful Blood/Urine Alcohol Level Bal.: REFU

COURT INFORMATION

Criminal Violation, Court Required
PALM BEACH COUNTY COURTHOUSE CRIMINAL JUSTICE COMPLE
3228 GUN CLUB ROAD
WEST PALM BEACH, FL 33406
Court Date: 12/06/2
Court Time: 8:30 AI
Civil Penalty: TO BE

Arrest Delivered To: COUNTY JAIL
On: 11/10/2019

SIGNATURE

I AGREE AND PROMISE TO COMPLY AND ANSWER TO THE CHARGES AND INSTRUCTIONS SPECIFIED IN THIS CITATION. WILLFUL REFUSAL TO ACCEPT AND SIGN THE CITATION MAY RESULT IN ARREST. I UNDERSTAND MY SIGNATURE IS NOT AN ADMISSION OF GUILT OR WAIVER OF RIGHTS. IF YOU NEED REASONABLE FACILITY ACCOMMODATIONS TO COMPLY WITH THIS CITATION, CONTACT THE CLERK OF THE COURT.

Signature of Defendant: X REFUSED

Signature of Officer: Inv. Schaefer #8777

☒ I CERTIFY THIS CITATION WAS DELIVERED TO THE PERSON CITED ABOV
Officer name: INV. J. SCHAEFER
Officer ID: 8777
Case number: 19-135962
Troop/Unit: DUI/MB
Misc: DUI
Agency Name: PALM BEACH SHERIFF'S OFFICE
Agency #:

PALM BEACH COUNTY
SHERIFF'S OFFICE
Florida State Statute Exemption Sheet

Palm Beach County Sheriff's Office – Arrests Only

	X	Florida State Statute	Description	Page Number(s)
L/E Exemptions	☐	119.071(2)(d)	Surveillance techniques, procedures and personnel; inventory of law enforcement resources, policies or plans pertaining to mobilization deployment or tactical operations.	
	☐	943.053, 943.0525	NCIC/FCIC/FBI and in-state FDLE/DOC.	
	☐	119.071(4)(c)	Undercover personnel.	
	☐	119.071(2)(f)	Confidential informants (CIs).	
	☐	119.071(2)(e)	Confession.	
Public Info. Exemptions	☐	985.04(1)	Juvenile offender records.	
	☐	119.071(h)(i)	Assets of a crime victim.	
	☐	395.3025(7)(a), 456.057(7)(a)	Medical information.	
	☐	394.4615(7)	Mental health information.	
	☐	119.071(4)(d)(2)(a)	Home address, telephone, Social Security number, date of birth, or photos of active/former LE personnel, spouses, and children.	
Florida Rules of Judicial Administration 2.420 (Rule of 23)	☒	(iii) 119.0714(1)(i)-(j), (2)(a)-(e)	Social Security, bank account, charge, debit, and credit card numbers.	2,3
	☐	(viii) 394.4615(7)	Clinical records under the Baker Act.	
	☐	(xii) 741.30(3)(b)	The victim's address in a domestic violence action on petitioner's request.	
	☐	(xiii) 119.071(2)(h), 119.0714(1)(h)	Protected information regarding victims of child abuse or sexual offenses.	
	☐			
	☐			
	☐			
	☐			
	☐			
Other	☐		Other:	
	☐		Other:	

REVIEW COMPLETED BY

Booking Number: 2019036344	Date: 11/11/2019
	Specialist Name/ID: AM/31562

ARREST REPORT

02/15/2018

2018 MM00 189AXX4SB

6 of 6

ARREST / NOTICE TO APPEAR
Juvenile Referral Report

OBTS Number:

1. Arrest 3. Request For Warrant
2. N.T.A. 4. Request For Capias

2 | Juvenile: N

Agency ORI Number: FLO 5 0 0 0 0 0

Agency Name: PALM BEACH COUNTY SHERIFF'S OFFICE

Agency Report Number: 06 18-039200

Charge Type: Check as many as apply — 1. Felony; 2. Traffic Felony; 3. Misdemeanor; 4. Traffic Misdemeanor; 5. Ordinance; 6. Other

If Weapon Seized — Enter Type:

Multiple Clearance Indicator:

Location of Arrest (Including Name of Business): Beall's Outlet, 9866 S Military Trail Boynton Beach, FL 33436

Location of Offense (Including Name of Business): Beall's Outlet, 9866 S Military Trail Boynton Beach, FL 33436

Date of Arrest	Time of Arrest	Booking Date	Booking Time	Jail Date	Jail Time	Location of Vehicle
Feb 15, 2018	1557					

Name (Last, First, Middle): Brown, Ta'Kiria Nakoya Antionett

Alias (Name, DOB, Soc. Sec. #, Etc.):

Race (W - White, B - Black, I - American Indian, O - Oriental/Asian)	Sex	Date of Birth	Height	Weight	Eye Color	Hair Color	Complexion	Build
B	F	[redacted]	4-10	90	Brown	Black	Dark	Small

Scars, Marks, Tattoos, Unique Physical Features (Location, Type, Description):

Marital Status: Single

Religion:

Indication of: Alcohol Influence (Y ☒ N ☒ Unk ☐); Drug Influence

Local Address (Street, Apt. Number): 42 NW 12th Ave City: Boynton Beach, FL 33435 State: Zip:

Phone: 561 572-1739

Residence Type: 1. City 2. County 3. Florida 4. Out of State: 2

Permanent Address (Street, Apt. Number): City: State: Zip: Phone: Address Source:

Business Address (Street, Apt. Number): City: State: Zip: Phone: Occupation:

DL Number, State: [redacted] Social Security: [redacted] INS Number:

Place of Birth: Boynton Beach, FL 33436 Citizenship: U.S.A.

Co-Defendant Name (Last, First, Middle): Race: Sex: Date of Birth: 1. Arrested 2. At Large; 3. Felony 4. Misdemeanor 5. Juvenile

Co-Defendant Name (Last, First, Middle): Race: Sex: Date of Birth: 1. Arrested 2. At Large; 3. Felony 4. Misdemeanor 5. Juvenile

Parent / Legal Guardian / Other — Name (Last, First, Middle): Phone:

Address (Street, Apt. No.): City: State: Zip: Business Phone:

Notified By (Name): Date: Time: Juvenile Disposition: 1. Handled/Processed within Dept. and Released

Released To (Name): Relationship: Date: Time:

The above address was provided by ☐ defendant and/or ☐ defendant's parents. The child and/or parent was told to keep the Juvenile Court Clerk's Office (Phone 561 355-2536) informed of any address change. ☐ Yes, by: (Name) ☐ No: (Reason)

School Attended: Grade:

Property Crime? ☐ Yes ☐ No

Description of Property: Value of Property:

Drug Activity: N. N/A; P. Possess; S. Sell; B. Buy; T. Traffic; R. Smuggle; D. Deliver; E. Use; K. Dispense/Distribute; M. Manufacture/Produce/Cultivate; Z. Other

Drug Type: N. N/A; A. Amphetamine; B. Barbiturate; C. Cocaine; E. Heroin; H. Hallucinogen; M. Marijuana; P. Paraphernalia/Equipment; U. Unknown; Z. Other

Charge Description	Counts	Domestic Violence	Statute Violation Number	Violation of ORD. #
Retail Theft	1	☐Y ☐N	812.015(1)(d)	

Drug Activity	Drug Type	Amount/Unit	Offense #	Warrant/Capias Number	Bond
			18-033119		

Charge Description	Counts	Domestic Violence	Statute Violation Number	Violation of ORD. #
Resisted Merchant	1	☐Y ☐N	812.015(6)	

Drug Activity	Drug Type	Amount/Unit	Offense #	Warrant/Capias Number	Bond

Charge Description	Counts	Domestic Violence	Statute Violation Number	Violation of ORD. #
Battery	1	☐Y ☐N	784.03(1)	

Drug Activity	Drug Type	Amount/Unit	Offense #	Warrant/Capias Number	Bond

Charge Description	Counts	Domestic Violence	Statute Violation Number	Violation of ORD. #
		☐Y ☐N		

Drug Activity	Drug Type	Amount/Unit	Offense #	Warrant/Capias Number	Bond

Location (Court, Address, Room Number): South County Courthouse, 200 W Atlantic Ave., Delray Beach, FL 3344

Court Date and Time: Month: March Day: 22 Year: 2018 Time: 8:30 AM ☒ PM ☐

I AGREE TO APPEAR AT THE ABOVE TIME AND PLACE DESIGNATED TO ANSWER THE OFFENSE CHARGED OR TO PAY THE FINE SUBSCRIBED. I UNDERSTAND THAT I SHOULD WILLFULLY FAIL TO APPEAR BEFORE THE COURT AS REQUIRED BY THIS NOTICE TO APPEAR, THAT I MAY HELD IN CONTEMPT OF COURT AND A WARRANT FOR MY ARREST SHALL BE ISSUED.

Signature of Defendant (or Juvenile and Parent/Custodian): Date Signed:

HOLD for Other Agency — Name:

☐ Dangerous ☐ Resisted Arrest ☐ Suicidal ☐ Other

Signature of Arresting Officer:

Name of Arresting Officer: D/S J. Bernal ID #: 5908

Intake Deputy: ID #: Pouch #:

Transporting Officer: ID #: Agency:

Name Verification (Printed by Arrestee) (PRINT):

Witness here if subject signed with an "X"

Page 1 of

ARREST 2/15/18 SR

CHJ

OBTS Number

PROBABLE CAUSE AFFIDAVIT

1. Arrest 3. Request For Warrant
2. N.T.A. 4. Request For Copies

2

Juvenile

Agency ORI Number	Agency Name	Agency Report Number
FLO 5 0 0 0 0 0	PALM BEACH COUNTY SHERIFF'S OFFICE	06 18-039200

Charge Type: Check as many as apply
☐ 1. Felony ☐ 2. Traffic Felony ☒ 3. Misdemeanor ☐ 4. Traffic Misdemeanor ☐ 5. Ordinance ☐ 6. Other

Special Notes

Defendant Name (Last, First, Middle)	Race	Sex	Date of Birth
Brown Ta'Kiria Nakoya Antionett	B	F	[redacted]

Charge	Charge
Retail Theft	Resisted Merchant
Battery	

Victim Name (Last, First, Middle)	Race	Sex	Date of Birth
Bealls Outlet			

Local Address (Street, Apt. Number)	City	State	Zip	Phone	Address Source

Business Address (Street, Apt. Number)	City	State	Zip	Phone	Occupation
9866 S Military Trail	Boynton Beach, FL 33436			561 733-9664	

The undersign swears that he/she has just and reasonable grounds to believe, and does believe that the above named Defendant committed the following violation of law.
The person taken into custody...

☐ committed the below acts in my presence.

☐ confessed to _____ admitting to the below facts.

☐ was observed by _____ who told _____ that he/she saw the arrested person commit the below acts.

☒ was found to have committed the below acts, resulting from (described) investigation.

On the 15th day of February 20 18 at 3:30 ☒AM ☒PM

Two Bealls Outlet loss prevention agents, [redacted] (via direct floor observation) and J[redacted] (via store surveillance video), observed the defendant enter Bealls Outlet.

The defendant proceeded to shop and she selected two pairs of sandals valued at $12.79, a bra valued at $4.99, a woven shirt valued at $.7.99, a knit shirt valued at $6.99, a junior shorts valued at $12.99 and a Junior Bas knit valued at $3.99. The defendant proceeded to the fitting room and concealed two items in her purse. The remaining items were concealed also in her purse out on the floor of the store. The defendant then proceeded to the front of the store bypassed all point of sale registers and failed to render payment for the concealed merchandise.

[redacted] approached the defendant, verbally identified herself and attempted to apprehend her. The defendant resisted and became belligerent [redacted] trainer [redacted] then tried apprehend the defendant by physically subduing her arms. She resisted and bit [redacted] on his left arm.

Based on above facts I have probable cause to believe that the defendant:

1. did take possession of or carry away merchandise all with the intent to deprive the merchant of possession, use, benefit, or full retail value of the merchandise contrary to Florida Statutes 812.015(1) (d).

2. did resist the reasonable efforts of a merchant's employee, or agent, to recover the property. Property, which the merchant's employee had probable cause to believe that she had concealed or removed from its place of display or elsewhere while committing or after committing theft of property, contrary to Florida Statute 812.015(6).

3. did intentionally cause bodily harm to victim, [redacted] contrary to Florida Statute 784.03(1).

The foregoing instrument was sworn to and affirmed before me this 20th day of February 20 18, by:

D/S J. Reid 7710
Name of Notary Public / Clerk of Court / Officer (F.S.S. 117.00)

D/S J. Reid 7710
Signature of Notary Public / Clerk of Court / Officer (F.S.S. 117.00)

D/S J. Bernal 5908
Name of Arresting/Investigating Officer

Signature of Arresting/Investigating Officer

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Joseph Klein, Senior Attorney
Re: Frank Pistocchi, Jr.; Case No. 2024-021318
Date: May 15, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks to deny the application of Frank Pistocchi, Jr. (the "Applicant") for a Slot Machine/Cardroom/Pari-Mutuel Individual Combination Occupational License (the "Application"). The Applicant submitted a completed application for a Slot Machine/Cardroom/Pari-Mutuel Individual Combination Occupational License on March 21, 2024. Upon review of the Application, it appears Applicant has been convicted of a felony offense, in Broward County. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Letter of License Denial.

Pertinent Facts

On March 21, 2024, the Applicant submitted an application for a Slot Machine/Cardroom/Pari-Mutuel Individual Combination Occupational License to the Division. Upon review of the completed Application, it appears that on October 10, 2013, the Applicant was convicted of the following third-degree felony offense in Broward County, Florida:

- Grand Theft Motor Vehicle

The Applicant did not apply for a waiver from the statutory restrictions excluding applicants with disqualifying offenses from slot machine, cardroom, and pari-mutuel licensure. Furthermore, section 551.107(6), Florida Statutes, does not authorize the Executive Director to waive the statutory restrictions excluding applicants with disqualifying offenses for slot machine licensure.

This felony conviction is a disqualifying offense pursuant to sections 550.105(5)(b), 551.107(6)(a), and 849.086(6)(g), Florida Statutes.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

> ". . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense."

Section 849.086(6)(f), Florida Statutes, provides that the "provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses."

Section 849.086(6)(g), Florida Statutes, provides that:

> [t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Staff Recommendation: The Florida Gaming Control Commission may deny the Application or declare the Applicant ineligible for a Slot Machine/Cardroom/Pari-Mutuel Individual Combination License upon a finding of a disqualifying offense pursuant to sections 550.105, 551.107, and 849.086(6)(g). Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial to Frank Pistocchi, Jr. in this matter.

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – Slot Machine Occupational License

RE: PISTOCCHI, FRANK JR- 1118796 **Case No:** 2024021318
(APPLICANT'S NAME– LICENSE #)

INITIAL APPLICATION RECEIVED:	3/21/2024
COMPLETE APPLICATION RECEIVED:	3/21/2024
90-DAY DEADLINE:	**6/19/2024**

Jonathan Dye	**274 - Dania Jai-Alai**	**1055-SECR - Security**
Application Processor	**Facility (d/b/a name)**	**Occupation/Job Title**

Pursuant to Section 551.107(6)(c) Conviction is defined as being found guilty, with or without adjudication of guilt, as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere. Any misdemeanor conviction to include fraud and theft which are defined for purposes of slot machine gaming licensure as involving dishonesty, misrepresentation, deceit, and lack of truthful conduct in transaction with another.

Licensing Section Review

Did the application accurately reflect the Criminal History Record? ☒ Yes ☐ No

Conviction

- ☒ Felony: 1 Count(s)
- ☐ Misdemeanor – Gambling Related/Bookmaking
- ☐ Two or more misdemeanor convictions that involve theft, fraud, or burglary
- ☒ Forgery, Larceny, Theft, Extortion, Conspiracy to Defraud (Slot Combo Professions Only)

Comments:

Arrest Date	Location	Charge	Level	Disposition
11/24/2012	Broward County, Florida	Grand Theft Motor Vehicle; **Case# 12017145CF10A**	F-3	Adj. Withheld

Disposition Unknown

- ☐ Felony Arrest(s) :
- ☐ Misdemeanor Arrest – Gambling Related/Bookmaking
- ☐ Two or more misdemeanor arrests/counts that involve theft, fraud, or burglary

Comments:

Arrest Date	Location	Charge	Level	Disposition

Enforcement/Jurisdiction Offenses

- ☐ Currently under Suspension, Declared Ineligible, Revoked, Denied, Ejected, Unpaid Fine, in this or any other state gaming commission, governmental department, agency, or other authority exercising regulatory jurisdiction over the gaming of another state or jurisdiction.

Comments:

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Comments:

Applicant has also applied for a 1022 license and didn't provide a waiver/release of information.

Licensing Administrator Review				
Disposition Confirmation				
Disqualifying Convictions/Arrests Confirmed?	Yes ☑	No ☐	☑ **Approval**	
Forward to ☐ **Investigations**	☑ **Legal**	☐ **Director**	Initials:	
Comments:				

Slot applicant w/ felony Adj W/H
- no waiver currently available
-SR 4/12/24

Applicant has also applied for a 1022 license and didn't provide a waiver/release of information.

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **jdye**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination**			Status	**10 Initial Review**	Status Date	**04/11/2024**
Complaint #	**2024021318**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**PISTOCCHI, FRANK JR**	Responsible	**jdye1 - DYE, JONATHAN**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**CHIS - Criminal History**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**04/11/2024**
Region	**SR - Southern Region**	Received	**04/11/2024**
Reference			
Entered	**04/11/2024**	Entered By	**jdye1**
Summary	**Application and rap sheet reviewed. Applicant's criminal history resulted in felony conviction(s) or an industry related offense. (274 – Dania)**		
Updated	**04/11/2024 11:11:03**	By	**jdye1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.

RECEIVED

MAR 21 2024

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3410 – Slot Machine Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number [redacted]

Birth Date (MM/DD/YYYY) [redacted]

Gender ☒ Male ☐ Female

Last Name	First	Middle	Suffix
Pistocchi	Frank		Jr

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☒ No

If yes, list the name or names used:

Race/Ethnicity (check only one):
☐ Black or African American
☒ White or Caucasian
☐ Asian or Pacific Islander
☐ Hispanic/Latino
☐ Native American or Alaskan Native
☐ Other

Are you a United States citizen? ☒ Yes ☐ No
If no, provide the name of the country of which you are a citizen:

Current Mailing Address: 16541 Blatt Blvd Apt 106

Email Address (optional): frankpjr1968@yahoo.com

City	State	Zip Code (+4 optional)	Country
Weston	FL	33326	USA

Primary Phone Number: 407-412-3645

Secondary/Cell Phone Number (optional):

Current Street Address: 16541 Blatt Blvd Apt 106

City	State	Zip Code (+4 optional)	Country
Weston	FL	33326	USA

Type of Slot Machine Occupational License applying for:
☐ General Individual ☐ Professional Individual
☒ Slot Machine/Cardroom/PMW Combination

Job title(s)*: Security Agent

*Applicants for a Combo license should disclose all job titles

Is this your first time applying for a racing/gaming license in Florida?
☐ Yes ☒ No

Facility where employed and/or doing business: Dania Entertainment Center

Employer name: Dania Entertainment Center

FOR DIVISION USE ONLY

License Code	1055	License #	1118796	File #	12577	App #	43997
Association Code	274	Date Received	03/21/2024	Entered By	YR	License Year	23/24
License Fee	$100.00	FP Date	03/21/2024	FP Fee	0	Total Fee	$100.00

Waiver Requested (Combo Only)

ARCI ✓

Enforcement ✓

RECEIVED

MAR 2 1 2024

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3410 – Slot Machine Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number [redacted]

Birth Date (MM/DD/YYYY) [redacted]

Gender ☒ Male ☐ Female

Last Name	First	Middle	Suffix
Pistocchi	Frank		Jr

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☒ No

If yes, list the name or names used:

Race/Ethnicity (check only one):
☐ Black or African American
☒ White or Caucasian
☐ Asian or Pacific Islander
☐ Hispanic/Latino
☐ Native American or Alaskan Native
☐ Other

Are you a United States citizen? ☒ Yes ☐ No
If no, provide the name of the country of which you are a citizen:

Current Mailing Address: 16541 Blatt Blvd Apt 106
Email Address (optional): frankpjr1968@yahoo.com

City	State	Zip Code (+4 optional)	Country
Weston	FL	33326	USA

Primary Phone Number: 407-412-3645
Secondary/Cell Phone Number (optional):

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City	State	Zip Code (+4 optional)	Country
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☐ General Individual ☐ Professional Individual
☒ Slot Machine/Cardroom/PMW Combination

Job title(s)*: Security Agent

*Applicants for a Combo license should disclose all job titles

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Facility where employed and/or doing business: Dania Entertainment Center

Employer name: Dania Entertainment Center

FOR DIVISION USE ONLY

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License Fee	$ 100.00	FP Date	03/21/2024	FP Fee	0	Total Fee	$ 100.00

Waiver Requested (Combo Only)

ARCI ✓

Enforcement ✓

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

☒ Yes
☐ No

Have you previously worked for a gaming-related employer?
If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving
Dania Casino	301 E Dania Beach Blvd Dania 33004	12/2023 - 2/2024	Broadcast Technician & Benny Bueno	Jai Alai ended
Dania Casino	301 E Dania Beach Blvd Dania 33004	01/1986 - 01/1999	Mutuel Clerk & Randy Macarella	New Job

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes
☒ No

Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No
		☐ Yes ☐ No

☐ Yes
☒ No

Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☒ No	Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
11/24/12	Brevard	FL	Grand Theft 1st	Felony	Adj. withheld / Probation
11/24/12	Brevard	FL	License Suspended w/o Knowledge	N/A	Dismissed

☐ Yes ☒ No	Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?
☐ Yes ☒ No	Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42. United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging the FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Frank Pistocchi Jr

Print Legal Name (First Middle Last)

[redacted]

Birth Date (MM/DD/YYYY)

[redacted]

Social Security Number

Dania Entertainment Center

Name of Employer

Frank Pistocchi Jr.

Signature of Applicant

03/21/2024

Date





State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2001527426 **Incident date:** 06/11/1997 **Status:** 90 - Closed

Lic Type: 1022 **Disposition:**

Case Type: Complaint

Responsible:

Complainant: DIVISION OF PARI-MUTUEL WAGERING

N/A,

Respondent: PISTOCCHI, FRANK

710 NW 7 AVENUE, DANIA, FL 33004

Summary: Association: Tallahassee Central Office Section 550.0425(2)FLORIDA STAUTES AND RULE 61D-2.005 SOLD PARI-MUTUEL TICKET TO MINOR. IF NOT PAID WITH 30 DAYS HIS LICENSE WILL BE BE SUSPENDED

Compliance:

Code	Description	Respondent
FINE	Fine Payment	PISTOCCHI, FRANK

LICENSES

RCI

Licensee Search

Licensee:FRANK PISTOCCHI

No Picture Available

Reports on FRANK PISTOCCHI

Comprehensive Ruling Report
Drug Ruling Report (Last 5 Years)
Comprehensive Licensee Report

Identification Information

Add a New Identification Record

Date of Birth	Federal ID/SSN	Country	Sex
[redacted]	[redacted]		

Name Information

Add a New Name Record

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix
Current Legal Name		FRANK		PISTOCCHI	

Address Information

Address Type	Street Address	City	State	Zip Code

Phone Information

Phone Number Type	Phone Number

License Information

Add a New License Record

License Number	License Type	Issued Date	Expiration Date	Licensing Commission
1118796	Mutuel Employee	1/3/2011	6/30/2011	Florida Division of Pari-Mutuel Wagering
	Mutuel Employee	5/16/2006	6/30/2007	Florida Division of Pari-Mutuel Wagering
04751	Mutuel Employee	7/10/2001	6/30/2001	Maryland Racing Commission
33917	Mutuel Employee	9/9/1999	6/30/1999	Maryland Racing Commission
1118796	Other/OTB/Casino	6/26/1999	6/30/2000	Florida Division of Pari-Mutuel Wagering
99-1084-1118796	Unknown	6/26/1999	6/30/2000	Florida Division of Pari-Mutuel Wagering
*N*1049927	Miscellaneous/Permittee	6/26/1999	6/30/2000	Florida Division of Pari-Mutuel Wagering
98-1084-1118796	Unknown	6/21/1998	6/30/1999	Florida Division of Pari-Mutuel Wagering
97-1084-1118796	Unknown	7/11/1997	6/30/1998	Florida Division of Pari-Mutuel Wagering
91-1003-1118796	Unknown	7/12/1991	6/30/1992	Florida Division of Pari-Mutuel Wagering

Fingerprint Information

Add a New Fingerprint Record

Processing Commission	Date Taken	Status	Notes	RCI Card Submitted Date
Florida Division of Pari-Mutuel Wagering	7/11/1997	Unknown		

Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End

Applicable Reports
Comprehensive Ruling Report
Comprehensive Licensee Report

State of Florida

Department of Business and Professional Regulation

Chronology Report

Case #: 2001506340 **Incident date:** **Status:** 90 - Closed

Lic Type: 1022 **Disposition:** Final Order

Case Type: Complaint

Responsible: mstrong - STRONG, MACK

Complainant: DIVISION OF PARI-MUTUEL WAGERING

N/A,

Respondent: PISTOCCHI, FRANK

710 NW 7 AVENUE, DANIA, FL 33004

Involved Party:

Name	Type	Description
KIRSCH, PAUL	DATR	Division Attorney

Summary: Fine due within 30 days of date of FO. If fine not paid within 30 days, license suspended until fine is paid. History11/01/99: Date Ac Served from [] to [12/4/1998]
History12/02/99: Date Ac Signed from [] to [7/29/1998]
History01/28/00: Final Order Da
Dania Jai-Alai (Aragon Group)
SSN [redacted] DOB [redacted]

Chronology:

Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
07/29/1998	A	10	105	Administrative Complaint Issued	mstrong	PISTOCCHI, FRANK
01/07/2000	A	79	367	Final Order (FO) Issued	mstrong	PISTOCCHI, FRANK
12/04/1998	A	10	100	Administrative Complaint Served	mstrong	PISTOCCHI, FRANK

Allegation:

Code	Description

	Code	Description	
Allegation:	VIOL	Violating Chapter 550 F.S.	
	C346	F.S. 550.0425(02)	
Violation:	**Code**	**Description**	**Respondent**
	C346	F.S. 550.0425(02)	PISTOCCHI, FRANK
	VIOL	61D-2.005	PISTOCCHI, FRANK
Discipline:	**Code**	**Description**	**Respondent**
	FINE	Fine	PISTOCCHI, FRANK
Compliance:	**Code**	**Description**	**Respondent**
	FINE	PMW Fine	PISTOCCHI, FRANK



Is your court hearing being held via Zoom? Learn more about Remote Court Hearings by Zoom (/GeneralInformation/Miscellaneous#RemoteHearingsbyZoom)

Case Detail - Public

Print

State of Florida Vs. Pistocchi, Frank

Broward County Case Number: 12017145CF10A
State Reporting Number: 062012CF017145A88810
Court Type: Felony
Case Type: 3rd Degree Felony
Filing Date: 11/25/2012
Case Status: Disposed - Probation
Court Location: Central Courthouse
Judge ID / Name: Rothschild - FG, Michael I.`
Magistrate Id / Name: N/A
BCCN: 0817298

- Party(ies) Total: 2

Party Type	Party Name	Address	Attorneys / Address ★ Denotes Lead Attorney
Defendant	**Pistocchi, Frank** **Gender:** Male **Race:** White **Complexion:** Light **Height:** 5'8" **Weight:** 250 **Eye:** Blue **Hair:** Brown **DOB:** [redacted] **Birth State:** NY	98 SW 5th AVE Dania Beach, FL 33004	★ *Moss, Shadae* *Public Defender* *Bar ID: 100570* *8400 NW 36th St* *Ste 260* *Miami, FL 33166* ***Status: Removed 10/10/2013*** *Cipau, Johanna Christina* *Public Defender* *Bar ID: 89319* *KELLER LANDSBERG PA* *500 E Broward Blvd* *Ste 1400* *Fort Lauderdale, FL 33394-3024* ***Status: Removed 10/04/2013*** *Public Defender* *Public Defender* *Bar ID: 88888888* ***Status: Removed 11/29/2012***
State	**State of Florida**		

− Charge(s)

Total: 2

Offense Date	Charge	Degree	Detail
11/24/2012	1	(F3)	**Grand Theft (Motor Vehicle)** Date Filed: 11/24/2012 Current Statute: (F3)812.014(1)(a) Filing Type: SAO Information Filing Agency: Fort Lauderdale PD Original Statute: (F3)812.014(1)(a)-Grand Theft (Motor Vehicle)
11/24/2012	2	(0)	**License Suspended W/O Knowledge** Date Filed: 11/24/2012 Current Statute: (0)322.34(1) Filing Type: Citation Filing Agency: Fort Lauderdale PD Original Statute: (0)322.34(1)-License Suspended W/O Knowledge Citation Number: 7570-HBD **Vehicle Information**

Warrant(s)

Total: 0

There is no Warrant information available for this case.

Bond(s)

Total: 0

There is no Bond information available for this case.

Arrest(s)

Total: 1

Arrest Date	Charge	Arrest Number	Detail
11/24/2012	1	FL12008822	**Arrest** Name At Arrest: Pistocchi, Frank Offense: Grand Theft (Motor Vehicle) Degree: (F3) Bond Amount: $1000.00 Bond Type: Not Applicable Statute: 812.014(1)(a) OBTS Number: 0608189670

Disposition(s)

Total: 2

Offense Date	Charge	Disposition(s)
11/24/2012	1	**Plea(s)** **10/10/2013 Amended Plea** (Reason: Change of Plea,) Change of Plea-Nolo Contendere **01/14/2013 Plea** *Arraignment Not Guilty-Non-Jury* **Disposition(s)** **10/10/2013 Disposition** Adjudication Withheld **Sentence(s)** **10/10/2013 (2) Probation / Community Control** (Judicial Officer(s): Gold, Marc H) Provisions: Provisions (Waive COS) Special Provisions(Defendant Sentenced Under Sentencing Guidelines) Comment (Total Amount Imposed $812.00) State Probation (12 Month(s), Start Date: 10/10/2013, End Date: 10/10/2014) Restitution ($, Restitution Ordered - Reserves on Amount)

Offense Date	Charge	Disposition(s)
11/24/2012	2	**Plea(s)** **01/14/2013 Plea** Arraignment Not Guilty-Non-Jury **Disposition(s)** **10/10/2013 Disposition** Dismissed

Collection(s)

Total: 1

Charge	Citation Number	Total Imposed Amount	Total Amount Paid	Current Amount Due	Last Payment Date	Last Payment Amount	Due Date
001		$962.00	$862.00	$100.00	09/11/2014	$862.00	

Event(s) & Document(s)

Total: 39

Date	Description	Additional Text	View / Pages
12/02/2014	**Returned Collection Mail**		/1
11/10/2014	**Felony Letter - 30 Days Past Due**	$100.00	
10/27/2014	**File Letter**	From FDOC -- Termination Of Supervision	/1
10/28/2013	**Court Disposition with AC Costs Converted to Civil Lien**	Signed 101013/Bk #50280 Pgs 695-697/$65/Cnt	
10/14/2013	**File Order Of Probation**	signed 101013	
10/10/2013	**Hearing Held / Change Of Plea**	(f) / cr B. Dockstader(apex) /nolo	
10/10/2013	**File Acknowledgement -Waiver Of Rights**		
10/10/2013	**File Sentencing Guidelines**		
10/10/2013	**File Adjudication Withheld**		
10/10/2013	**File Fingerprint Form**		

Date	Description	Additional Text	View / Pages
10/04/2013	**File Amended Notice Of Asst Public Defender Assign**	Shadae Ayawta Miller, Esq.	
08/02/2013	**File States Praecipe**	(7)	
07/03/2013	**Calendar Call/Held**	(i) / B. Dockstader /	
07/03/2013	**File Defense Waiver Of Speedy Trial**	verbal	
07/03/2013	**File Order Granting Def Motion For Continuance**	verbal	
05/14/2013	**Defense Notice of Taking Depositions**	(1)	
05/09/2013	**Defense Notice of Taking Depositions**	(1)	
03/14/2013	**File Order Granting Def Motion For Continuance**	signed 031413/ccall 070313@9a	
02/01/2013	**File States Discovery Submission**		
02/01/2013	**File State Demand For Notice Of Alibi**		
01/16/2013	**File States Notice Pursuant to Rule 3.220(4)**		
01/15/2013	**File Defense Notice Of Change Of Address**		
01/14/2013	**Arraignment Held**	cr M Valente (i)/ng/ccall 031413@9	
01/14/2013	**Defendant Allowed 15 Days to File Motions**		
01/03/2013	**Court Date Notice**	DEF/SAO/PD/CommRelease	
12/31/2012	**Court Date Notice Returned**		
12/20/2012	**Court Date Notice**	Deft, PD, Comm Rel, SAO	
12/18/2012	**File States Information**		
11/30/2012	**Notice of Public Defender Fees**	$50.00	
11/29/2012	**File Defense Demand For Discovery**		
11/29/2012	**File Deft Mot Disclose Impeaching Info/Inducements**		

Date	Description	Additional Text	View / Pages
11/29/2012	**File Notice/Assistant PD Assignment**		
11/28/2012	**Order**	signed 112512/PTR Supervision	
11/27/2012	**Release Status**	FL12008822	
11/27/2012	**File Def Affidavit Indigency/Declared Indigent**		
11/27/2012	**Review of Motor Vehicles - Property Records**		
11/26/2012	**File Notice Of Def Invocation Of Right To Counsel**		
11/25/2012	**Hrg Held / Magistrate Findings/Order - Probable Cause Found**	PTR pending verification. Ct.1 increased to 1,000.	
11/25/2012	**Case Created**		

Hearing(s)

Total: 3

Date	Description	Additional Text
10/10/2013	**Calendar Call**	Hearing Time: 9:00 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900
07/03/2013	**Calendar Call**	Hearing Time: 9:00 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900 *per AGREED ORDER signed 031313*
01/14/2013	**Arraignment**	Hearing Time: 9:00 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900 *01/07/2013 Reset by Court to 01/14/2013 - Rescheduled*

Related Case(s)

Total: 0

Brenda D. Forman

Clerk of Court

Broward County
17th Judicial Circuit

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GLOSSARY OF TERMS (HTTPS://WWW.BROWARDCLERK.ORG//WEB2/CASESEARCHECA/GLOSSARY/) »

Main Courthouse Location

201 SE 6th Street

Fort Lauderdale
Florida, US 33301
Phone: (954) 831-6565

PURSUANT TO 119.12(2), F.S.

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3 1 OF 2

...ary /Filing Clerk

CIRCUIT COURT DISPOSITION ORDER IN AND FOR BROWARD COUNT . , FLORIDA

Case Number 12017145CF10A Arrest Number FL12008822 BCCN # 0817298

State of Florida VS Pistocchi, Frank AKA

Judge Carlos S. Rebollo Cash bond / Return to depositor / Surety bond / IC

Cash bond number(s)

Charges 1 Grand Theft (Motor Vehicle)
2 License Suspended W/O Knowledge

INSTR # 111889682
OR BK 50280 Pages 595 - 597
RECORDED 10/24/13 08:30:17 AM
BROWARD COUNTY COMMISSION
DEPUTY CLERK 3405
#3, 3 Pages

( )REMANDED ( ) REMAIN IC ( ) UNTIL PICKED UP BY OR
BED AVAILABLE AT

( ) Arraignment ( ) Change of Plea ( ) Guilty ( ) No Contest ( ) PSI/PDR ( ) Sentencing / Re-Sentencing
( ) Trial by Jury ( ) Trial by Court ( ) First VOP/VOCC ( ) Final VOP/VOCC ( )Admits Allegations
( ) Convicted by Jury/Court ( ) Acquitted by Jury/Court ( ) Dismissed ( ) Speedy
( ) Discharged ( ) Nolle Prosequi ( ) Found Incompetent/Committed to Child/Family Services
( ) Adj. Guilty ( ) Adj. Withheld ( ) Adj. Delinquent
( ) Committed to DJJ/Level ( ) Sentence Withheld ( ) Previous Sentence Vacated
( ) PSI Ordered
Adj. and Sentence deferred to

Type of probation / Community Control:
( ) Youthful Offender ( ) Drug Offender ( ) Sexual Offender ( ) Habitual Offender ( ) Mental Health ( ) County
PROBATION/COMM. CONTROL: ( ) Revoked ( ) Reinstated ( ) Modified ( ) Terminated
Extended ( ) All previous special conditions apply
WARRANT: ( ) Dismissed ( ) Withdrawn ( ) Served in open court

2013 OCT 28 PM 2:53
RECEIVED
CLERK CIRCUIT COURT
BROWARD COUNTY, FL
FELONY

SENTENCE: (PROBATION / COMM. CONTROL)

COUNT(S): 1
12 ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control ( ) Followed by
( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
( ) each count concurrent/consecutive ( ) Concurrent ( ) Consecutive to case number
COUNT(S):
( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control ( ) Followed by
( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
( ) each count concurrent/consecutive ( ) Concurrent ( ) Consecutive to case number

SENTENCE: (INCARCERATION)

COUNT(S): ( ) One year plus one day ( ) ( ) Years ( ) Months ( ) Days
( ) BCJ ( ) FSP, w/credit for days T/S
( ) Followed by ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
( ) Each count concurrent/consecutive ( ) Concurrent/consecutive ( ) To case number
( ) Any other sentence ( ) Work release ( ) Prison sentence suspended
COUNT(S): ( ) One year plus one day ( ) ( )Years ( ) Months ( ) Days
( ) BCJ ( ) FSP, w/credit for days T/S
( ) Followed by ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
( ) Each count concurrent/consecutive ( ) Concurrent/consecutive ( ) To case number
( ) Any other sentence ( ) Work release ( ) Prison sentence suspended

JUDGE

DEPUTY CLERK **DATE** 10-10-13

FILE COPY-WHITE DEFENDANT'S COPY-BLUE SHERIFF'S COPY-YELLOW PROBATION'S COPY-PINK DEFENSE ATTORNEY'S COPY-GOLD

3

State of Florida VS Pistocchi, F. (Number 12017145CF10A

SPECIAL CONDITIONS OF PRISON SENTENCE:

( ) Habitual Violent Offender mandatory minimum _____ years Ct(s) _____
( ) Violent Career Criminal mandatory minimum _____ years Ct(s) _____
( ) Prison Release Reoffender mandatory minimum _____ years Ct(s) _____
( ) Firearm mandatory minimum _____ years Ct(s) _____
( ) Other mandatory minimum _____
( ) Habitual Offender Ct(s) _____ ( ) Youthful Offender ( ) Sexual Predator/Offender ( ) Boot Camp
( ) To be given credit for all time previously served in prison, to be calculated by Department of Corrections

SPECIAL CONDITIONS OF PROBATION:

( ) _____ days BCJ w/credit for _____ days T/S ( ) Electronic Monitor
( ) SAP 30 days ( ) AA/NA 30 days ( ) AFTER CARE 30 days (out of custody) ( ) LIFE SKILLS 30 days
( ) Upon successful completion of drug program jail sentence shall be terminated.

( ) _____ hours of Community Service
( ) $ _____ COS waived/ imposed
( ) Anger Management Program
( ) Blood draw per F.S. 943.325 - 2 samples for conviction of sexual assaults; lewd or indecent acts; homicides (782.04) aggravated battery; home invasion robbery or carjacking
( ) Curfew _____
( ) Drug / Alcohol evaluation and treatment recommended
( ) Forfeit weapon / firearm
( ) Make Donation of $_____ to _____
( ) May transfer probation to _____
( ) May travel _____ for work purposes
( ) No contact with minor children without adult supervision
( ) No contact directly or indirectly with victim(s) or victim's family or others listed
( ) No driving without valid driver's license
( ) No drugs or alcohol
( ) Enter and successfully complete _____
  ( ) BARC
  ( ) IRT ( ) followed by _____
  ( ) HOUSE OF HOPE/STEPPING STONES
  ( ) SPECTRUM
  ( ) FOLLOWED BY _____
( ) Other _____

( ) Drug Ct Monitoring/Hearing set_____
( ) Obtain GED or High School diploma
( ) Psychological/Psychiatric evaluation and treatment necessary
( ) Random drug/alcohol testing
( ) 2 year Driver's License Suspension
( ) Restitution ordered $_____ amount reserved
( ) Restitution converted to a civil lien
( ) Upon successful completion of jail sent. probation to automatically terminate
( ) Pay investigative costs:
  ( ) Crime Lab _____
  ( ) Expert Witnesses_____
  ( ) Supp. Cost of Prosecution _____
  ( ) Toxicology _____
  ( ) Law Enforcement Agency
  Agency #1_____$_____
  Agency #2_____$_____

( ) $225 Trust Fund
( ) $50 VC
( ) $5 Assessment each count
( ) $50 SN1 Per Felony count
( ) $100 Cost of prosecution
( ) $50 PD application fee waived / imposed
( ) $ _____ fine plus $ _____ surcharge count(s) _____
( ) $ _____ Court Costs misd count(s) _____
( ) Pay balance of previously imposed costs
( ) Balance of court costs and fees converted to a civil lien

( ) $100 OTF count(s) _____
( ) $20 CSTF count(s) 1
( ) $2 T.C. each count
( ) $65 AC each count
( ) $10 Article V Assessment
( ) $ 300 PD fee imposed
( ) Waive all court costs
( ) Other

( ) $201 DVC count(s) _____
( ) $151 RCP count(s) _____
( ) $151 CAM count(s) _____
( ) $20 SN1 Per Misd count
( ) $30 CFF count(s) _____
( ) PD fee converted to civil lien
( ) Extradition costs $_____

JUDGE _____
DEPUTY CLERK _____ DATE 10/10/13

File Copy-White Defendant's Copy-Blue Sheriff's Copy-Yellow Probation's Copy Pink Defense Attorney's Copy-Gold revised 9/30/10

11-26-12 09:30 8255

FORT LAUDERDALE POLICE DEPARTMENT
OFFENSE INCIDENT REPORT

EVENT DATA

Reported: Day: Sat | Date: 11-24-12 | Time (mil): 0333 | Related Report Number(s):

Incident Type: 1. Felony 2. Traffic Felony 3. Misdemeanor 4. Traffic Misdemeanor 5. Ordinance 9. Other

Incident: From Day: Sat | Date: 11-24-12 | Time (mil): 0333 | To Day: Sat | Date: 11-24-12 | Time (mil): 0333

Type: 1 | Description: Auto Theft (Rec) OJ | A-Attempted C-Committed: C | Special ENF: | Force Used: Yes **No**

Hate Crime: Y N X | Crime Against Elderly: Y N X | Gang Related: Y N X

Incident Location (Street, Apt. Number): 800 SW 15 Ave | City: Ft Lauderdale, FL 33312 | Zip: | Zone: 307

Business Name/Area Identifier: | Called In: X Yes No By: 13218 ORI | Forced Entry: 0. N/A 1. Yes 2. No: 0 | Occupancy: 0. N/A 1. Occupied 2. Unoccupied 3. Abandoned: 0

Location Type: 01. Residence Single 02. Apartment/Condo 03. Residence-Other 04. Hotel/Motel 05. Convenience Store 06. Gas Station 07. Liquor Store 08. Bar/Nightclub 09. Supermarket 10. Dept./Discount Store 11. Specialty Store 12. Drug Store/Hospital 13. Bank/Financial Inst. 14. Commercial/Office Bldg. 15. Industrial/Mfg. 16. Storage 17. Gov't/Public Bldg. 18. School/University 19. Jail/Prison 20. Religious Bldg. 21. Airport 22. Bus/Rail Terminal 23. Construction Site 24. Other Structure 25. Parking Lot/Garage 26. Highway/Roadway 27. Park/Woodlands/Field 28. Lake/Waterway 29. Motor Vehicle 30. Other Mobile 99. Other: 26

Offenses: 2 | # Victims: 0 | # Offenders: 1 | # Arrests: 0 | # Adult Arrests: 0

Type Weapon: 00. N/A 01. Handgun 02. Rifle 03. Shotgun 04. Firearm 05. Knife/Cutting Instrument 06. Blunt Object 07. Hands/Feet/Fist 08. Poison 09. Explosives 10. Fire/Incendiary 11. Threat/Intimidation 12. Simulated Weapon 13. Drugs 88. Unknown 99. Other: 00

CODES

VW Code: V-Victim W-Witness C-Reporting Person | P-Proprietor Z-Other

Victim Type: 0. N/A 1. Juvenile 2. L.E. Officer 3. Adult 4. Business 5. Government 6. Church 9. Other

Race: N-N/A W-White B-Black I-American Indian O-Oriental/Asian U-Unknown

Sex: N-N/A M-Male F-Female U-Unknown

Residence Type: 0. N/A 1. City 2. County 3. Florida 4. Out-of-State

Residence Status: 0. N/A 1. Full Year 2. Part Year 3. Non-Resident

Extent of Injury: 0. None 1. Minor 2. Serious 3. Fatal

Injury Type: 00. N/A 01. Gunshot 02. Stabbed 03. Laceration 04. Unconscious 05. Poss. Broken Bones 06. Poss. Internal Injury 07. Loss of Teeth 08. Burns 09. Abrasions/Bruises 99. Other

Victim Relationship to Offender: 00. N/A 01. Undetermined 02. Stranger 03. Spouse 04. Ex-Spouse 05. Co-Habitant 06. Parent 07. Brother/Sister 08. Child 09. Step-Parent 10. Step-Child 11. In-Law 12. Other Family 13. Student 14. Teacher 15. Child of Boy/Girl Friend 16. Boy/Girl Friend 17. Friend 18. Neighbor 19. Sitter/Day Care 20. Employee 21. Employer 22. Landlord/Tenant 23. Acquaintance 99. Other Known

VICTIM/WITNESS

V/W Code: Z | # 1 | V-Type: 4 | Name (Last, First, Middle): Enterprise Leasing | Residence Phone:

Address (Street, Apt. Number) (City, State, Zip): 6929 N Lakewood Ave # 100 Tulsa, OK | Business Phone: 954-922-2311

Other Contact Info. (Time Available, Interpreter, etc.):

Race | Sex | Date of Birth or Age | If Victim Type 1, 2 or 3 | Res. Type | Res. Status | Extent of Injury | Injury Type(s) | Relationship | Domestic Violence: Yes X No

VICTIM/WITNESS

V/W Code | # | V-Type | Name (Last, First, Middle) | Residence Phone

Address (Street, Apt. Number) (City, State, Zip) | Business Phone

Other Contact Info. (Time Available, Interpreter, etc.)

Race | Sex | Date of Birth or Age | If Victim Type 1, 2 or 3 | Res. Type | Res. Status | Extent of Injury | Injury Type(s) | Relationship | Domestic Violence: Yes X No

SUSPECT

Suspect Code: S-Suspect A-Arrestee E-Escapee Z-Other | Code: A | # 1 | Juvenile: N | Name (Last, First, Middle): Pistocchi, Frank

Maiden Name | Nickname/Street Name | Place of Birth: NY | Residence Phone

Last Known Address (Street, Apt. Number) (City, State, Zip): 3309 SW 175 Ave Miramar FL 33029 | Business Phone

Occupation: Unk | Employer/School - Address | Social Sec

Driver's License State/Number: [redacted] | Immigration and Naturalization Number | Other I.D. Number | FL/OBTS Number (Arrested) | FCIC/NCIC: X Yes No

Clothing (Describe) | Scars/Marks/Tattoos (Location/Describe)

Race: W | Sex: M | Date of Birth or Age: [redacted] | Height: 5-08 | Weight: 250 | Hair Color: Brn | Eye Color: Brn | Other

SUSPECT INFO: UNKNOWN

Hair Length/Type	Hair Style	Complexion	Build	Teeth	Facial Hair	Speech	Voice	Appearance	Unique I.D.
#1: 03 #2:	#1: 02 #2:	#1: 05 #2:	#1: 03 #2:	#1: 01 #2:	#1: 10 #2:	#1: 99 #2:	#1: 06 #2:	#1: 08 #2:	#1: 01 #2:
01. Unk. 02. Bald 03. Short 04. Collar 06. Shoulder 06. Long 07. Coarse 08. Fine 09. Thick 10. Thinning 11. Receding 99. Other	01. Unk. 02. Afro/Nat. 03. Braided 04. Punk 05. Greasy 06. Crew Cut 07. Ponytail 08. Processed 09. Straight 10. Wavy/Curly 11. Wig 12. Plaited 99. Other	01. Unk. 02. Acne 03. Dark 04. Freckled 05. Light 06. Medium 07. Pocked 08. Ruddy 09. Tanned 99. Other	01. Unk. 02. Thin 03. Medium 04. Large 05. Muscular 99. Other	01. Unk. 02. Missing 03. Rotten 04. Gold 05. Jeweled 06. Large 99. Other	01.Unk. 02. Clean Shaven 03. Full Beard 04. Fu Manchu 05. Goatee 06. Lower Lip 07. Mustache 08. Nose/Fuzz 09. Sideburns 10. Unshaven 99. Other	01. Unk. 02. Accent 03. Lisps 04. Mumbles 05. Offensive 06. Whisper 07. Rapid 08. Slow 09. Stutters 10. Talkative 11. Profane 99. Other	01. Unk. 02. Disguised 03. High Pitch 04. Loud 05. Low Pitch 06. Medium 07. Monotone 08. Nasal 09. Pleasant 10. Raspy 11. Soft 99. Other	01. Unk. 02. Dirty 03. Disguise 04. Flashy 05. Military 06. Unkempt 07. Unusual Odor 08. Well Groomed 09. Pres. Glasses 10. Sun Glasses 99. Other	01. Unk. 02. Prosthsis 03. Birth Mark 04. Tattoo(s) 05. Scars 06. Earrings 07. Phy. Imp. 08. Transvestite

1. Offense 2. Arrest | 0 Juvenile | 1. Original 2. Supplement

O.R. # 12-132919

ADMINISTRATIVE

Officer(s) Reporting: Sgt J. Pinto-Gonzalez | I.D. Number(s): 1265 | Unit: D-37 | Date: 11-24-12

Officer Reviewing (Approving): Sgt R. Fortunato | I.D. Number: 1371 | Routed To | Referred To | Assigned To | By | Date: 11/25/12

Case Status | Clearance Type: 1. Arrest 2. Exceptional 3. Unfounded | A-Adult J-Juvenile | Date Cleared | Arrest Number | Number Arrested: 1

Exception Type: 1. Extradition Declined 2. Arrest on Primary Offense Secondary Offense Without Prosecution 3. Death of Offender 4. V/W Refused to Cooperate 5. Prosecution Declined 6. Juvenile/No Custody | OBTS Number | Page 1 of Pages 3

FORM Z-496 Rev. 2/99

O.R. # **12-132919**

SUSPECT

Suspect Code (S-Suspect, A-Arrested, E-Escapee, Z-Other)	Code	#	Juvenile	Name (Last, First, Middle)

Maiden Name	Nickname/Street Name	Place of Birth	Residence Phone

Last Known Address (Street, Apt Number) (City, State, Zip)	Business Phone

Occupation	Employer/School - Address	Social Security Number

Driver's License State/Number	Immigration and Naturalization Number	Other I.D. Number	FL/OBTS Number (Arrested)	FCIC/NCIC
				☒ Yes ☐ No

Clothing (Describe)	Scars/Marks/Tattoos (Location/Describe)

Race	Sex	Date of Birth or Age	Height	Weight	Eye Color	Hair Color	Other

CODES

Activity: P-Posses, S-Sell, B-Buy, T-Traffic, R-Smuggle, D-Deliver, E-Use, K-Dispense/Distribute, M-Manufacture/Produce/Cultivate, Z-Other

Type: A-Amphetamine, B-Barbiturate, C-Cocaine, E-Heroine, H-Hallucinogen, M-Marijuana, O-Opium/Derivative, P-Paraphernalia/Equipment, S-Synthetic, U-Unknown, Z-Other

Unit: 1. Gram, 2. Milligram, 3. Kilogram, 4. Ounce, 5. Pound, 6. Ton, 7. Liter, 8. Milliter, 9. Dose Unit/Item

DRUGS

Activity	Type	Description	Quantity	Unit	Estimated Street Value
Activity	Type	Description	Quantity	Unit	Estimated Street Value
Activity	Type	Description	Quantity	Unit	Estimated Street Value

NARRATIVE/CONTINUATION

NARRATIVE

After leaving an off-duty detail, I observed the listed vehicle traveling westbound on Davie Blvd from SW 4 Ave. A routine records check of the vehicle, by tag, revealed that it had been reported stolen to BSO on 11-14-12 under case # DN12-11-00998. According to the NCIC/FCIC hit, the vehicle was an overdue rental (since 10-08-12) and A1/PISTOCCHI was listed as the suspect. I radioed for assistance.

While waiting for units to arrive, I stayed behind the vehicle. The vehicle eventually stopped/parked at an apartment complex at the listed location. A felony traffic stop was conducted and the driver, who was identified as PISTOCCHI (via FL D/L) was detained. Minutes later, I read PISTOCCHI his Miranda warnings and he freely talked to me. PISTOCCHI admitted renting the vehicle and keeping it longer than he was supposed to. PISTOCCHI stated he had financial troubles therefore the reason he kept the car without paying for it. PISTOCCHI remained cooperative during the investigation and understood why he was arrested.

A computer check of PISTOCCHI revealed a (2) time suspended FL License (for Child Support). PISTOCCHI denied knowing his license was suspended. PISTOCHI was charged with Grand Theft-Auto and cited for DWLS. PISTOCCHI's license was seized. The vehicle had its keys, had no missing components or appear to have any new body damage. The vehicle was towed by Westway for safekeeping. The vehicle was removed from NCIC/FCIC by Opr# 13218. PISTOCCHI was TOT FLPD Jail by OFC SANNER.

PROPERTY ☒ NONE INVOLVED ☐ STOLEN-LOST ☐ EVIDENCE RECEIPT ☐ TO BE FORWARDED

OFFICER AFFIDAVIT:

I swear or affirm that I have prepared this report, and it is correct and true to the best of my knowledge.

Authoring Officer (Signature) 1265

Printed Name/CCN J. Pinto - Gonzalez - 1265

The forgoing instrument was acknowledged before me this 25 day of Nov, 2012, by J. Pinto Gonzalez (authoring officer), who is known to me or has produced _____ as identification, and who did take an oath.

NOTARY/WITNESSING OFFICER SIGNATURE/CCN 1371

FORT LAUDERDALE POLICE DEPARTMENT
VEHICLE REPORT

1. Original
2. Supplement [1]

O.R. # **12-132919**

ADM

Original Date Reported: **11-24-12**

Case Reference: **Auto Theft (Rec) OJ**

Related Report Numbers:

CODES

Person Code: V-Victim, S-Suspect, M-Missing, A-Arrestee, E-Escapee, R-Recovered Missing, Z-Other

Status Code: 1. Stolen, 2. Recovered, 3. Stolen and Recovered, 4. Suspicious, 5. Impounded, 6. Abandoned, 7. Fail Return, 8. Seized, 9. Other

Damage Code: 0. N/A, 1. Arson, 2. Criminal Mischief, 3. During Other Offense, 4. Stripped Theft From, 9. Other

Type: 1. Auto, 2. Truck/Van, 3. Motorcycle, 4. Camper/RV, 5. Bus, 6. Trailer, 7. Boat, 8. Aircraft, 9. Other

Recovery Location: 1. Family Residence, 2. Apt. Complex, 3. Housing Project, 4. Commercial/Industrial, 5. Park/Playground, 6. Shopping Mall, 7. Woods, 8. Water, 9. Other

Recovery Code: Stolen/Recovered: 1. Local/Local, 2. Local/Other, 3. Other/Local

VEHICLE/VESSEL

Pers. Code	#	Veh. #	Status	Damage	Type	Year	Make	Model	Style
Z	1	1	5	0	1	12	Mazda	6	4dr

Tag, Reg./Doc. #: [redacted] Reg. State: FL Reg. Year: 13 Decal #: Tag Type: PC

VIN/Hull/FAA: [redacted] Estimated Value:

Person Code: ☐ 1. Window Closed ☐ 2. Locked ■ 3. Keys in Ignition Insurance Company: Lien Holder:

Color (Top/Bottom): Gray Description (Identifying Characteristics, Noticeable Damage, Interior Color, etc.):

Vessel Name: Length: Hull Material: Propulsion: Boat Type:

Recovery Address/Geographic Indicator: 800 SW 15 Ave Date Recovered: 11-24-12 Value Recovered:

Recovery Loc.: 2 Recovery Code: 3 Original Reporting Agency: BSO Report Number: DN12-11-00998 Hold Y-Yes N-No: N Reason/Authority:

Method of Theft: ☐ 0. N/A ■ 1. Keys ☐ 2. Tow Truck ☐ 3. Hot Wire ☐ 4. Steering Column ☐ 5. Ignition Punch ☐ 8. Unknown

Components Stripped: ■ 0. N/A ☐ 1. VIN Plate ☐ 2. Tires/Wheels ☐ 3. Radio/CB ☐ 4. Battery ☐ 5. Interior ☐ 6. Transmission ☐ 7. Engine Parts ☐ 8. Major Body Parts ☐ 9. Tag/Decal Stolen ☐ 10. Other-Specify

Towed By: Westway Storage Location: Westway FCIC/NCIC: ☒ Yes ☐ No

---

ADM

Original Date Reported: Case Reference:

CODES

Person Code: V-Victim, S-Suspect, M-Missing, A-Arrestee, E-Escapee, R-Recovered Missing, Z-Other

Status Code: 1. Stolen, 2. Recovered, 3. Stolen and Recovered, 4. Suspicious, 5. Impounded, 6. Abandoned, 7. Fail Return, 8. Seized, 9. Other

Damage Code: 0. N/A, 1. Arson, 2. Criminal Mischief, 3. During Other Offense, 4. Stripped Theft From, 9. Other

Type: 1. Auto, 2. Truck/Van, 3. Motorcycle, 4. Camper/RV, 5. Bus, 6. Trailer, 7. Boat, 8. Aircraft, 9. Other

Recovery Location: 1. Family Residence, 2. Apt. Complex, 3. Housing Project, 4. Commercial/Industrial, 5. Park/Playground, 6. Shopping Mall, 7. Woods, 8. Water, 9. Other

VEHICLE/VESSEL – OTHER THAN AUTO THEFT

Pers. Code	#	Veh. #	Status	Damage	Type	Year	Make	Model	Style

Tag, Reg./Doc. #: Reg. State: Reg. Year: Decal #: Tag Type:

VIN/Hull/FAA: Estimated Value:

Person Code: ☐ 1. Window Closed ☐ 2. Locked ☐ 3. Keys in Ignition Insurance Company: Lien Holder:

Color (Top/Bottom): Description (Identifying Characteristics, Noticeable Damage, Interior Color, etc.):

Vessel Name: Length: Hull Material: Propulsion: Boat Type:

Recovery Address/Geographic Indicator: Date Recovered: Value Recovered:

Original Reporting Agency: Report Number: Hold Y-Yes N-No: Reason/Authority:

Towed By: Storage Location: FCIC/NCIC: ☐ Yes ☐ No

ADMINISTRATIVE

Officer(s) Reporting: Sgt J. Pinto-Gonzalez I.D. Number(s): 1265 Unit: D-37 Date: 11-24-12

Officer Reviewing (If Applicable): I.D. Number: Routed To: Referred To: Assigned To: By: Date:

FORM Z-499 Rev. 2/88 (Back)

12-19-12 16:31 8400

FORT LAUDERDALE POLICE DEPARTMENT
PERSON(S) REPORT

PH8307 Juvenile [N] 1. Original 2. Supplement [2]

O.R. # **12-132919**

ADM

Original Date Reported: **11/24/12**

Case Reference: **Auto Theft (Recovery OJ)**

Related Report Numbers:

CODES

V/W Code: V-Victim, W-Witness, C-Reporting Person, P-Proprietor, Z-Other

Victim Type: 0. N/A, 1. Juvenile, 2. L.E. Officer, 3. Adult, 4. Business, 5. Government, 6. Church, 8. Other

Race: N-N/A, W-White, B-Black, I-American Indian, O-Oriental/Asian, U-Unknown

Sex: N-N/A, M-Male, F-Female, U-Unknown

Residence Type: 0. N/A, 1. City, 2. County, 3. Florida, 4. Out-of-State

Residence Status: 0. N/A, 1. Full Year, 2. Part Year, 3. Non-Resident

Extent of Injury: 0. None, 1. Minor, 2. Serious, 3. Fatal

Injury Type: 00. N/A, 01. Gunshot, 02. Stabbed, 03. Laceration, 04. Unconscious, 05. Poss. Broken Bones, 06. Poss. Internal Injury, 07. Loss of Teeth, 08. Burns, 09. Abrasions/Bruises, 99. Other

Victim Relationship to Offender: 00. N/A, 01. Undetermined, 02. Stranger, 03. Spouse, 04. Ex-Spouse, 05. Co-Habitant, 06. Parent, 07. Brother/Sister, 08. Child, 09. Step-Parent, 10. Step-Child, 11. In-Law, 12. Other Family, 13. Student, 14. Teacher, 15. Child of Boy/Girl Friend, 16. Boy/Girl Friend, 17. Friend, 18. Neighbor, 19. Sitter/Day Care, 20. Employee, 21. Employer, 22. Landlord/Tenant, 23. Acquaintance, 99. Other

VICTIM/WITNESS

V/W Code	#	V-Type	Name (Last, First, Middle)	Residence Phone
V	1	4	Enterprise Leasing	954-922-2311

Address (Street, Apt. Number): 801 S. Federal Hwy. Dania Bch. FL

Business Phone:

Other Contact Info. (Time Available, Interpreter, etc.):

Race	Sex	Date of Birth or Age	If Victim Type 1, 2 or 3	Res. Type	Res. Status	Extent of Injury	Injury Type(s)	Relationship	Domestic Violence
									☐ Yes ☐ No

VICTIM/WITNESS

[redacted]

SUSPECT

Offense Indicator: 1. #1 2. #2 3. Both

Subject Code: S-Suspect, E-Escapee, R-Recovered, Z-Other, A-Arrestee, M-Missing

Code | # | Juvenile | Name (Last, First, Middle)

Maiden Name | Nickname/Street Name | Place of Birth | Residence Phone

Last Known Address (Street, Apt. Number) | Business Phone

Occupation | Employer/School | Social Security Number

Driver's License State/Number | Immigration and Naturalization Number | Other I.D. Number | FL/OBTS Number (Arrested) | FCIC/NCIC ☐ Yes ☐ No

Clothing (Describe) | Scars/Marks/Tattoos (Location/Describe)

Race	Sex	Date of Birth or Age	Height	Weight	Eye Color	Hair Color	Hair Length	Hair Style

Complexion	Build	Facial Hair	Teeth	Speech	Voice	Appearance	Unique I.D.	Other

SUSPECT OR MISSING PERSON

Offense Indicator: 1. #1 3. Both 2. #2

Subject Code: S-Suspect, E-Escapee, R-Recovered, Z-Other, A-Arrestee, M-Missing

Code | # | Juvenile | Name (Last, First, Middle)

Maiden Name | Nickname/Street Name | Place of Birth | Residence Phone

Last Known Address (Street, Apt. Number) | Business Phone

Occupation | Employer/School | Social Security Number

Driver's License State/Number | Immigration and Naturalization Number | Other I.D. Number | FL/OBTS Number (Arrested) | FCIC/NCIC ☐ Yes ☐ No

Clothing (Describe) | Scars/Marks/Tattoos (Location/Describe)

Race	Sex	Date of Birth or Age	Height	Weight	Eye Color	Hair Color	Hair Length	Hair Style

Complexion	Build	Facial Hair	Teeth	Speech	Voice	Appearance	Unique I.D.	Other

MISSING PERSON

Incident Type: 1. Runaway, 2. Parental, 3. Involuntary, 4. Disabled, 5. Endangered, 6. Disaster Victim, 7. Voluntary Adult, 8. Unknown

Foul Play Suspected? 1. Yes 2. No

Missing Before? 1. Yes 2. No 8. Unknown

Fingerprints Available? 1. Yes 2. No 8. Unknown

Photo Available? 1. Yes 2. No 8. Unknown

Dental Record Available? 1. Yes 2. No 8. Unknown

MCIC Form Provided? 1. Yes 2. No

Date Last Seen | Time Last Seen | Location Last Seen (Address, City, St.) | Accompanied By

Mental/Physical Condition | Medication Required/Type | Doctor/Dentist (Name, Phone Number)

Property Carried | ID Type Number | ID Type Number

Probable Destination | Name/Address | Transportation Mode

Recovery Information: 0. N/A, 1. Voluntary, 2. Located-Not Returned, 3. Hospitalized, 4. HRS Custody, 5. Law Enforcement Custody, 6. Returned to Parent, 7. Deceased, 9. Other

ADMINISTRATIVE

Officer(s) Reporting: **Detective Thomas Capano** | I.D. Number(s): **1415** | Unit: **K33** | Date: **12/17/12**

Officer Reviewing (If Applicable) | I.D. Number | Routed To | Referred To | Assigned To | By | Date

Sgt. Galzonka 1398 12/10/12

Case Status: **Closed** | Clearance Type: 1. Arrest 2. Exceptional 3. Unfounded **1** | A-Adult J-Juvenile **A** | Date Cleared: **11/24/12** | Arrest Number | Number Arrested **1**

Exception Type: 1. Extradition Declined 2. Arrest on Primary Offense Secondary Offense Without Prosecution 3. Death of Offender 4. V/W Refused to Cooperate 5. Prosecution Declined 6. Juvenile/No Custody

OBTS Number | Page 1 of 1

FORM Z-497 Rev. 06/09

12-132919

SUPPLEMENTAL REPORT 8336

Fort Lauderdale Police Department OCA *12132919*

THE INFORMATION BELOW IS CONFIDENTIAL - FOR USE BY AUTHORIZED PERSONNEL ONLY

Investigator: *Capano, Thomas M 1415*
Contact:
Supervisor: *Ogden, Darren G 1332*

Date / Time: *12/17/2012 09:03:30* *Monday*
Reference: *FOLLOW-UP*

Victim: Enterprise Leasing (Trismarc Saunders)
Arrestee: Frank Pistocchi

On 11/24/2012 Sgt. Pinto-Gonzalez made contact with Pistocchi, who was operating a 4dr Mazda. A records check of this vehicle revealed that this vehicle ([redacted] is an overdue rental vehicle that is owned by Enterprise Leasing.

Sgt. Pinto-Gonzalez read Pistocchi his right under MIRANDA, which Pistocchi waived. Pistocchi stated that he is aware that he`s kept this listed vehicle longer than he should have. He also acknowledged that he did so without paying for the additional time on the rental.

Pistocchi was charged with Grand Theft and DWLS.

A victim statement was taken from Saunders who is acting on behalf of the victim Enterprise Leasing. This statement was uploaded into the FLPD Foray system and then forwarded to NetTranscripts for transcription.

This completes my involvement with this incident.

12132919

Under penalty of perjury, I declare that I have read the following document and the facts stated therein are true to the best of my knowledge.

Reporting Officer signed: Detective Thomas Capano

The preceding instrument was acknowledged before me Supervisor: Sgt. Darren Odgen on today`s date: December 17, 2012

BROWARD COUNTY ARREST# 12-8822 — ARREST FORM — OBTS#

Filing Agency	Offense Report	Local ID#	FDLE	FBI	SS#
FLPD	12-132919				[redacted]

Defendant's Last Name	First	Middle	SUF	Alias/Street Name	Citizenship
PISTOCCHI	FRANK				

Race	Sex	Hgt	Wgt	Hair	Eyes	Comp	Age	DOB	Birth Place
W	M	5-08	250	BRO	BLU	LGT	44	[redacted]	NY

Permanent Address: 9309 SW 175 Ave Miramar, FL 33029 — Scars, marks, TT

Residence Type: (1) City (2) County (3) Florida (4) Out of State — Local Address — Place of Employment — Length

How long defendant in Broward County:	Breathalyzer by /CCN	Reading	Place of arrest	Date/time arrested	Arresting Officer(s) CCN
	—	—	800 SW 15 AVE	11/24/12 0341 hrs	PINTO - 1265

Officer injured. Y/N	Unit	Zone	Beat	Shift	Trans. Unit	PMD. Y/N	Transporting Officer/CCN	Pick-up Time	Time Arrived/ BSO
N	1265	307	26	III	9446	N	Sanner - 1561		

TYPE / ACTIVITY: N, N

Type: N-N/A, A-Amphetamine, B-Barbiturate, C-Cocaine, E-Heroin, H-Hallucinogen, M-Marijuana, O-Opium, P-Paraphernalia/Equipment, S-Synthetic, U-Unknown, Z-Other

Activity: N-N/A, P-Possess, S-Sell, B-Buy, T-Traffic, A-Smuggle, D-Deliver, E-Use, M-Manufacture/Produce/Cultivate, K-Dispense/Distribute, Z-Other

Indication of	Y	N	UK
Alcohol Influence	☐	☒	☐
Drug Influence	☐	☒	☐

Attach Defendant's Photo

Defendant's Vehicle Make: Mazda Type: 4dr Year: 12 Color: Gry VIN#

Vehicle Towed to Westway Tag: [redacted] Other Identifiers or remarks: MX-6

Name of victim(s) (if corporation, exact legal name and state of incorporation): ENTERPRISE LEASING (EAN HOLDINGS, LLC)

Count#	Offenses Charged	WC# / Citation #, (if applicable)	FS or Capias/Warrant #*
1	GRAND THEFT - AUTO		812.014 (2)(c)6
2	DRIVING WHILE LICENSE SUSPENDED	7570 - HBD	322.34 (5)

Probable Cause Affidavit

Before me this date personally appeared JOSE PINTO Gonzalez who being first duly sworn deposes and says that on 24 day of NOV, (year) 2012, at 800 SW 15 AVE (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

I OBSERVED DEF DRIVING THE LISTED VEHICLE. A ROUTINE RECORDS CHECK OF THIS VEHICLE BY TAG, REVEALED IT TO HAVE BEEN REPORTED STOLEN TO BSO ON 11-14-12 UNDER CASE # DN 12-11-00998. THE VEHICLE IS AN OVERDUE RENTAL AND THE DEF IS THE LISTED SUSPECT ON THE NCIC/FCIC HIT. A FELONY TRAFFIC STOP WAS CONDUCTED AND THE DEF DETAINED. THE DEFS IDENTITY WAS VERIFIED BY HIS FL LICENSE. I READ THE DEF HIS MIRANDA WARNINGS. THE DEF ADMITTED KEEPING THE CAR FROM "ENTERPRISE" LONGER THAN HE WAS SUPPOSED TO. DEF LICENSE IS ALSO SUSPENDED. DEF TOT FLPD JAIL.

I swear the above statement is correct and true to the best of my knowledge and belief.

Officer/Affiant's Signature — Sgt J. PINTO-GONZALEZ - 1265, Officer's Name/CCN — PTL, Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 24 day of NOV, 2012 (year), by J. PINTO - Gonzalez (name and title), who is personally known to me or has produced FLPD ID as identification

Notary Public, Deputy Clerk of the Court, or Assistant State Attorney — OFC 1561, Title/Rank and CCN

OFC M. SANNER
Print, Type or Stamp Commissioned Name of Notary Public — (SEAL)

29/54/57/ed/lorie
WC/ 115574

Seventeenth Judicial Circuit
Broward County
State of Florida

Orig - Court
2nd - State Attorney
3rd - Filing
4th - Issuing Agency

FIRST APPEARANCE/ARREST FORM

REDACTED

BSO DB#2 (Revised 05/00) (SHOULD ADDITIONAL SPACE BE NEEDED, USE THE PROBABLE CAUSE AFFIDAVIT CONTINUATION (BSO DB#2a)

COURT COPY

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

February 16, 2024

RECEIVED
MAR 21 2024

Mr. Frank Pistocchi Jr
16541 Blatt Blvd. Apt # 106
Weston, Florida 33326

RE: Application No. 199332, Entity 1118796
1022 - PMW General Individual Occupational

Dear Mr. Pistocchi:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- You must provide an arrest report for the following charges:
  - Broward County, FL – 11/24/2012

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 04/18/2024, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 03/05/2024.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

TL
Operations Analyst II

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Joseph Klein, Senior Attorney
Re: Frank Pistocchi, Jr.; Case No. 2024-021334
Date: May 15, 2024

Executive Summary

The Division of Pari-Mutuel Wagering (the "Division") seeks to deny the application of Frank Pistocchi, Jr. (the "Applicant") for a Pari-Mutuel General Individual Occupational License (the "Application"). Upon review of the Application, it appears Applicant has been convicted of a felony offense, in Broward County. The Applicant did not submit a request for waiver of the restrictions excluding offenders with disqualifying offenses. Therefore, the Division recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial.

Pertinent Facts

On December 5, 2023, the Applicant submitted an application for a Pari-Mutuel General Individual Occupational License to the Division. The Division issued a deficiency letter to the Applicant on December 20, 2023, requesting that he provide information and court disposition records regarding an arrest on November 24, 2012, in Broward County. On February 16, 2024, a second deficiency letter was sent by the Division requesting a copy of the November 24th arrest report.

Upon review of the completed Application, it appears that on October 10, 2013, the Applicant was convicted of the following third-degree felony offense in Broward County, Florida:

- Grand Theft Motor Vehicle

This felony conviction is a disqualifying offense pursuant to section 550.105(5)(b), Florida Statutes. The Applicant did not submit a request for waiver of the restrictions excluding offenders with disqualifying offenses.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that:

> . . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Section 550.105(5)(d), Florida Statutes, provides that:

> …the term "convicted" means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term "conviction" shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.

Staff Recommendation: The Florida Gaming Control Commission may deny the Application or declare the Applicant ineligible for a Pari-Mutuel General Individual Occupational License upon a finding of a disqualifying offense under section 550.105(5), Florida Statutes. Accordingly, the Division of Pari-Mutuel Wagering recommends that the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial to Frank Pistocchi, Jr. in this matter.

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering

Licensing Administrator Review – PMW Occupational License

RE: PISTOCCHI, FRANK JR- 1118796 **Case No:** 2024021334
(APPLICANT'S NAME– LICENSE #)

INITIAL APPLICATION RECEIVED:	12/6/2023
COMPLETE APPLICATION RECEIVED:	3/21/2024
90-DAY DEADLINE:	**6/19/2024**

Jonathan Dye	**274 - Dania Jai-Alai**	**1022- MAIN - Maintenance**
Application Processor	**Facility (d/b/a name)**	**Occupation/Job Title**

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review

Conviction

Did the application accurately reflect the Criminal History Record? ☐Yes ☒No

☒ Felony: 1 Count(s)
☐ Misdemeanor – Industry Related/Gambling Related/Bookmaking
☐ Animal Cruelty
☐ Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only)

Comments:

Arrest Date	Location	Charge	Level	Court Case #	Disposition
11/24/2012	Broward County, Florida	Grand Theft Motor Vehicle;	F-3	**Case# 12017145CF10A**	Adj. Withheld

Disposition Unknown

☐ Felony Arrest(s) :
☐ Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking
☐ Animal Cruelty

Comments:

Enforcement/Jurisdiction Offenses

☐ Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.

Comments:

Related Licenses

Check VR License Relations to Determine if Applicant is Related to a Business.

Is the individual applicant related to a business? Yes ☐ No ☒ **If yes, complete sections below.**

Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes ☐ No ☐	
Date Deficiency Letter Issued:	Initials:

Comments:

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes ☑ No ☐ ☐ **Approval**
Forward to ☐ **Investigations** ☑ **Legal** ☐ **Director** Initials: SR 4/12/24
Comments:

Applicant also applied for a 1055 license which has been sent with this one to legal.

Didn't request a waiver as of 4/12/24.
SR

Lic Type **1022 - Pari-Mutuel General Individual Occupational** Status **10 Initial Review** Status Date **04/11/2024**

Complaint # **2024021334** Case Type **CMP - Complaint** Disposition Disposition Date

Docket# Respondent **PISTOCCHI, FRANK JR** Responsible **jdye1 - DYE, JONATHAN** **Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source **INTN - Internal** Security Level **1**

Form **INTR - Internal** Priority

Class'n **CHIS - Criminal History** Complexity **R - Regular**

Security **STND - Standard** Incident **04/11/2024**

Region **SR - Southern Region** Received **04/11/2024**

Reference

Entered **04/11/2024** Entered By **jdye1**

Summary **Application and rap sheet reviewed. Applicant's criminal history resulted in felony conviction(s) or an industry related offense. (274 – Dania)**

Updated **04/11/2024 11:38:44** By **jdye1**

Parties | Activities | Allegations | Discipline | Violations | Compliance | Related | Disposition | Inspection | Costs | Time Tracking | Auto Assign | Attachments | History | Work Notes | Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.

2023 DEC -8 AM 10: 14

FLORIDA GAMING CONTROL COMMISSION

RECEIVED DEC 06 2023

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 – Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION

Social Security Number [redacted] Birth Date (MM/DD/YYYY) Gender ☒ Male ☐ Female

Last Name: Pistocchi First: Frank Middle: Suffix: JR.

Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? ☐ Yes ☒ No

If yes, list the name or names used:

Race/Ethnicity (optional)
☐ Black or African American ☐ Asian or Pacific Islander ☐ Native American or Alaskan Native
☒ White or Caucasian ☐ Hispanic/Latino ☐ Other

Current Mailing Address: 1917 Fillmore St
Email Address (optional): FrankPJ51968@Yahoo.com
City: Hollywood State: FL Zip Code (+4 optional): 33020 Country, if other than USA:
Primary Phone Number: 407 4123645
Secondary/Cell Phone Number (optional):
Current Street Address: 1917 Fillmore St.
City: Hollywood State: FL Zip Code (+4 optional): 33020 Country, if other than USA:

Type of Occupational License applying for:
☒ Pari-Mutuel General Individual ☐ Pari-Mutuel Professional Individual
☐ Cardroom Employee

Occupation: Broadcast Technician

Facility where employed and/or doing business: Dania Entertainment Center LLC

Does your position require access to the Cardroom? ☐ Yes ☒ No

Is this your first time applying for a racing/gaming license in Florida? ☐ Yes ☒ No

Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? ☐ Yes ☒ No

Do you own or lease animals intended for racing in Florida? ☒ No ☐ Yes, complete the following:

Stable Name, Kennel Name, or Business Name

Trainer Name (horse or greyhound racing only)

TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY

Type of professional license (attach a copy of Florida professional license):

Florida License Number

FOR DIVISION USE ONLY

License Code 1022 License # 1118796 File # 17141 App # 198720
Association Code 274 Date Received 12/6/2023 Entered By F.F. License Year 23/26
License Fee $15.00 FP Date 12/6/2023 FP Fee $37.25 Total Fee $52.25
Off Temp Waiver Requested ARCI Enforcement Minor

2023 DEC -8 AM 10: 14

FLORIDA GAMING CONTROL COMMISSION

RECEIVED DEC 0 6 2023

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☒ No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

☐ Yes ☒ No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

☐ Yes ☒ No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

☐ Yes ☒ No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

12/05/23
Date

2024 FEB 15 AM 11:17

FLORIDA GAMING CONTROL COMMISSION

RECEIVED
FEB 15 2024

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

☐ Yes ☒ No	Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
☒ Yes ☐ No	Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
10/10/2013	Broward	Florida	Grand Theft Auto	Felony	1 yr Probation

☐ Yes ☒ No	Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
☐ Yes ☒ No	Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to $1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

2/15/24
Date



2023 DEC -8 AM 10: 14

FLORIDA PUBLIC SERVICE COMMISSION

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2023 DEC -8 AM 10: 14

FLORIDA GAMING CONTROL COMMISSION

Licensees

Licensee Search

Page 1 of 1. 1 match.

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
[redacted]	PISTOCCHI	FRANK		[redacted]	None	[redacted]		

2023 DEC -8 AM 10: 14

FLORIDA GAMING CONTROL COMMISSION

Search Criteria | Results

Complaint

Board: 100 - Division of Pari-Mutuel Wagering
Lic Type: 1022 - PMW General Individual Occupational
Case Type:
Assigned To:
Incident From:
Complaint #:
Reference:
Status: 025 - Inv. Complete - Administrative; 027 - Inv. Complete - Civil Citation; 05 - VO Submittal
Incident To:
Key Phrase in Summary:

Find | New | Clear | Back

Respondent

Fed Tax #: [redacted] PISTOCCHI, FRANK
File #: 17141 General Individual Occupational
License #: 1118796 Lic Expired
Entity #: 1118796 Expires 06/30/2007
Key Name: PISTOCCHI,FRANK

Street #:
Street:
City:
Postal/Zip:
State:
County:

○ Complainant Key Name
○ Involved Party Key Name

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FLORIDA GAMING CONTROL COMMISSION

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update
Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: fferrufino

VR Home > **Complaint Search**

System Messages

- File # not found

Search Criteria | Results

Find | New | Clear | Back

Complaint

Board: 100 - Division of Pari-Mutuel Wagering
Complaint #:
Lic Type: 1032 - PMW/CR Combo General
Reference:
Case Type:
Status: 025 - Inv. Complete - Administrative; 027 - Inv. Complete - Civil Citation; 05 - VO Submittal
Assigned To:
Incident From:
Incident To:
Key Phrase in Summary:

Respondent

Fed Tax #: [redacted] **PISTOCCHI, FRANK**
Street #:
File #: 66346634 **Cardroom Employee General**
Street:
License #: 1118796 **Lic Expired**
City:
Entity #: 1118796 **Expires 06/30/2011**
Postal/Zip:
Key Name: PISTOCCHI,FRANK
State:
County:

Complainant Key Name:

Involved Party Key Name:

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State of Florida

Department of Business and Professional Regulation

Enforcement Search Listing

"(NO enforcement findings)"

2023 DEC -8 AM 10: 14

FLORIDA
CONTROL COMMISSION

December 20, 2023

Mr. Frank Pistocchi Jr
1917 Fillmore Street
Hollywood, Florida 33020

RE: Application No. 199332, Entity 1118796
1022 - PMW General Individual Occupational

Dear Mr. Pistocchi:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

  You must list the information on your application and provide the court disposition records for the following charges:
  - Broward County, FL – 11/24/2012

  Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 04/18/2024, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming

Control Commission Rule. Please note that your Temporary License will expire on: 03/05/2024.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

TL
Operations Analyst II

Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

February 16, 2024

Mr. Frank Pistocchi Jr
16541 Blatt Blvd. Apt # 106
Weston, Florida 33326

RE: Application No. 199332, Entity 1118796
1022 - PMW General Individual Occupational

Dear Mr. Pistocchi:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- You must provide an arrest report for the following charges:
  - Broward County, FL – 11/24/2012

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 04/18/2024, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule. Please note that your Temporary License will expire on: 03/05/2024.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Additionally, if needed, any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

Thank you,

TL
Operations Analyst II



Is your court hearing being held via Zoom? Learn more about Remote Court Hearings by Zoom (/GeneralInformation/Miscellaneous#RemoteHearingsbyZoom)

Case Detail - Public

Print

State of Florida Vs. Pistocchi, Frank

Broward County Case Number: 12017145CF10A
State Reporting Number: 062012CF017145A88810
Court Type: Felony
Case Type: 3rd Degree Felony
Filing Date: 11/25/2012
Case Status: Disposed - Probation
Court Location: Central Courthouse
Judge ID / Name: Rothschild - FG, Michael I.`
Magistrate Id / Name: N/A
BCCN: 0817298

- Party(ies) Total: 2

Party Type	Party Name	Address	Attorneys / Address ★ Denotes Lead Attorney
Defendant	**Pistocchi, Frank** **Gender:** Male **Race:** White **Complexion:** Light **Height:** 5'8" **Weight:** 250 **Eye:** Blue **Hair:** Brown **DOB:** [redacted] **Birth State:** NY	98 SW 5th AVE Dania Beach, FL 33004	★ *Moss, Shadae* *Public Defender* *Bar ID: 100570* *8400 NW 36th St* *Ste 260* *Miami, FL 33166* ***Status: Removed 10/10/2013*** *Cipau, Johanna Christina* *Public Defender* *Bar ID: 89319* *KELLER LANDSBERG PA* *500 E Broward Blvd* *Ste 1400* *Fort Lauderdale, FL 33394-3024* ***Status: Removed 10/04/2013*** *Public Defender* *Public Defender* *Bar ID: 88888888* ***Status: Removed 11/29/2012***
State	**State of Florida**		

− Charge(s)

Total: 2

Offense Date	Charge	Degree	Detail
11/24/2012	1	(F3)	**Grand Theft (Motor Vehicle)** Date Filed: 11/24/2012 Current Statute: (F3)812.014(1)(a) Filing Type: SAO Information Filing Agency: Fort Lauderdale PD Original Statute: (F3)812.014(1)(a)-Grand Theft (Motor Vehicle)
11/24/2012	2	(0)	**License Suspended W/O Knowledge** Date Filed: 11/24/2012 Current Statute: (0)322.34(1) Filing Type: Citation Filing Agency: Fort Lauderdale PD Original Statute: (0)322.34(1)-License Suspended W/O Knowledge Citation Number: 7570-HBD **Vehicle Information**

Warrant(s)

Total: 0

There is no Warrant information available for this case.

Bond(s)

Total: 0

There is no Bond information available for this case.

Arrest(s)

Total: 1

Arrest Date	Charge	Arrest Number	Detail
11/24/2012	1	FL12008822	**Arrest** Name At Arrest: Pistocchi, Frank Offense: Grand Theft (Motor Vehicle) Degree: (F3) Bond Amount: $1000.00 Bond Type: Not Applicable Statute: 812.014(1)(a) OBTS Number: 0608189670

Disposition(s)

Total: 2

Offense Date	Charge	Disposition(s)
11/24/2012	1	**Plea(s)** **10/10/2013 Amended Plea** (Reason: Change of Plea,) Change of Plea-Nolo Contendere **01/14/2013 Plea** *Arraignment Not Guilty-Non-Jury* **Disposition(s)** **10/10/2013 Disposition** Adjudication Withheld **Sentence(s)** **10/10/2013 (2) Probation / Community Control** (Judicial Officer(s): Gold, Marc H) Provisions: Provisions (Waive COS) Special Provisions(Defendant Sentenced Under Sentencing Guidelines) Comment (Total Amount Imposed $812.00) State Probation (12 Month(s), Start Date: 10/10/2013, End Date: 10/10/2014) Restitution ($, Restitution Ordered - Reserves on Amount)

Offense Date	Charge	Disposition(s)
11/24/2012	2	**Plea(s)** **01/14/2013 Plea** Arraignment Not Guilty-Non-Jury **Disposition(s)** **10/10/2013 Disposition** Dismissed

Collection(s)

Total: 1

Charge	Citation Number	Total Imposed Amount	Total Amount Paid	Current Amount Due	Last Payment Date	Last Payment Amount	Due Date
001		$962.00	$862.00	$100.00	09/11/2014	$862.00	

Event(s) & Document(s)

Total: 39

Date	Description	Additional Text	View / Pages
12/02/2014	**Returned Collection Mail**		/1
11/10/2014	**Felony Letter - 30 Days Past Due**	$100.00	
10/27/2014	**File Letter**	From FDOC -- Termination Of Supervision	/1
10/28/2013	**Court Disposition with AC Costs Converted to Civil Lien**	Signed 101013/Bk #50280 Pgs 695-697/$65/Cnt	
10/14/2013	**File Order Of Probation**	signed 101013	
10/10/2013	**Hearing Held / Change Of Plea**	(f) / cr B. Dockstader(apex) /nolo	
10/10/2013	**File Acknowledgement -Waiver Of Rights**		
10/10/2013	**File Sentencing Guidelines**		
10/10/2013	**File Adjudication Withheld**		
10/10/2013	**File Fingerprint Form**		

Date	Description	Additional Text	View / Pages
10/04/2013	**File Amended Notice Of Asst Public Defender Assign**	Shadae Ayawta Miller, Esq.	
08/02/2013	**File States Praecipe**	(7)	
07/03/2013	**Calendar Call/Held**	(i) / B. Dockstader /	
07/03/2013	**File Defense Waiver Of Speedy Trial**	verbal	
07/03/2013	**File Order Granting Def Motion For Continuance**	verbal	
05/14/2013	**Defense Notice of Taking Depositions**	(1)	
05/09/2013	**Defense Notice of Taking Depositions**	(1)	
03/14/2013	**File Order Granting Def Motion For Continuance**	signed 031413/ccall 070313@9a	
02/01/2013	**File States Discovery Submission**		
02/01/2013	**File State Demand For Notice Of Alibi**		
01/16/2013	**File States Notice Pursuant to Rule 3.220(4)**		
01/15/2013	**File Defense Notice Of Change Of Address**		
01/14/2013	**Arraignment Held**	cr M Valente (i)/ng/ccall 031413@9	
01/14/2013	**Defendant Allowed 15 Days to File Motions**		
01/03/2013	**Court Date Notice**	DEF/SAO/PD/CommRelease	
12/31/2012	**Court Date Notice Returned**		
12/20/2012	**Court Date Notice**	Deft, PD, Comm Rel, SAO	
12/18/2012	**File States Information**		
11/30/2012	**Notice of Public Defender Fees**	$50.00	
11/29/2012	**File Defense Demand For Discovery**		
11/29/2012	**File Deft Mot Disclose Impeaching Info/Inducements**		

Date	Description	Additional Text	View / Pages
11/29/2012	**File Notice/Assistant PD Assignment**		
11/28/2012	**Order**	signed 112512/PTR Supervision	
11/27/2012	**Release Status**	FL12008822	
11/27/2012	**File Def Affidavit Indigency/Declared Indigent**		
11/27/2012	**Review of Motor Vehicles - Property Records**		
11/26/2012	**File Notice Of Def Invocation Of Right To Counsel**		
11/25/2012	**Hrg Held / Magistrate Findings/Order - Probable Cause Found**	PTR pending verification. Ct.1 increased to 1,000.	
11/25/2012	**Case Created**		

Hearing(s)

Total: 3

Date	Description	Additional Text
10/10/2013	**Calendar Call**	Hearing Time: 9:00 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900
07/03/2013	**Calendar Call**	Hearing Time: 9:00 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900 *per AGREED ORDER signed 031313*
01/14/2013	**Arraignment**	Hearing Time: 9:00 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900 *01/07/2013 Reset by Court to 01/14/2013 - Rescheduled*

Related Case(s)

Total: 0

Brenda D. Forman

Clerk of Court

Broward County
17th Judicial Circuit

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(https://www.facebook.com/browardclerkofcourts/)

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(HTTPS://WWW.BROWARDCLERK.ORG//WEB2/CASESEARCHECA/FREQUENTQUESTIONS/)

GLOSSARY OF TERMS (HTTPS://WWW.BROWARDCLERK.ORG//WEB2/CASESEARCHECA/GLOSSARY/) »

Main Courthouse Location

201 SE 6th Street

Fort Lauderdale
Florida, US 33301
Phone: (954) 831-6565

PURSUANT TO 119.12(2), F.S.

PUBLIC ACCESS TO JUDICIAL RECORDS (/GENERALINFORMATION/MISCELLANEOUS#JUDICIALRECORDRULE) >
PURSUANT TO RULE 2.420

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3 CC Pg 1 OF 2

...ry /Filing Clerk

CIRCUIT COURT DISPOSITION ORDER IN AND FOR BROWARD COUNT . , FLORIDA

Case Number 12017145CF10A Arrest Number PL12008822 BCCN # 0817298

State of Florida VS Pistocchi, Frank AKA

Judge Carlos S. Rebollo Cash bond / Return to depositor / Surety bond / IC

Cash bond number(s)

Charges 1 Grand Theft (Motor Vehicle)
2 License Suspended W/O Knowledge

INSTR # 111689682
OR BK 50280 Pages 595 - 597
RECORDED 10/24/13 09:09:17 AM
BROWARD COUNTY COMMISSION
DEPUTY CLERK 3466
#2, 3 Pages

( )REMANDED ( ) REMAIN IC ( ) UNTIL PICKED UP BY OR
BED AVAILABLE AT

( ) Arraignment ( ) Change of Plea ( ) Guilty ( ) No Contest ( ) PSI/PDR ( ) Sentencing / Re-Sentencing
( ) Trial by Jury ( ) Trial by Court ( ) First VOP/VOCC ( ) Final VOP/VOCC ( ) Admits Allegations
( ) Convicted by Jury/Court ( ) Acquitted by Jury/Court ( ) Dismissed II ( ) Speedy
( ) Discharged ( ) Nolle Prosequi I ( ) Found Incompetent/Committed to Child/Family Services
( ) Adj. Guilty ( ) Adj. Withheld I ( ) Adj. Delinquent
( ) Committed to DJJ/Level ( ) Sentence Withheld ( ) Previous Sentence Vacated
( ) PSI Ordered
Adj. and Sentence deferred to

Type of probation / Community Control:
( ) Youthful Offender ( ) Drug Offender ( ) Sexual Offender ( ) Habitual Offender ( ) Mental Health ( ) County
PROBATION/COMM. CONTROL: ( ) Revoked ( ) Reinstated ( ) Modified ( ) Terminated
Extended ( ) All previous special conditions apply
WARRANT: ( ) Dismissed ( ) Withdrawn ( ) Served in open court

RECEIVED
CRIMINAL CIRCUIT COURT
BROWARD COUNTY, FL
2013 OCT 28 PM 2:53
FELONY

SENTENCE: *(PROBATION / COMM. CONTROL)*

COUNT(S): I
12 ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control ( ) Followed by
( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
( ) each count concurrent/consecutive ( ) Concurrent ( ) Consecutive to case number

COUNT(S):
( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control ( ) Followed by
( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
( ) each count concurrent/consecutive ( ) Concurrent ( ) Consecutive to case number

SENTENCE: *(INCARCERATION)*

COUNT(S): ( ) One year plus one day ( ) ( ) Years ( ) Months ( ) Days
( ) BCJ ( ) FSP, w/credit for days T/S
( ) Followed by ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
( ) Each count concurrent/consecutive ( ) Concurrent/consecutive ( ) To case number
( ) Any other sentence ( ) Work release ( ) Prison sentence suspended

COUNT(S): ( ) One year plus one day ( ) ( )Years ( ) Months ( ) Days
( ) BCJ ( ) FSP, w/credit for days T/S
( ) Followed by ( ) Years ( ) Months ( ) Days ( ) Probation ( ) Community Control
( ) Each count concurrent/consecutive ( ) Concurrent/consecutive ( ) To case number
( ) Any other sentence ( ) Work release ( ) Prison sentence suspended

JUDGE

DEPUTY CLERK DATE 10-10-13

FILE COPY-WHITE DEFENDANT'S COPY-BLUE SHERIFF'S COPY-YELLOW PROBATION'S COPY-PINK DEFENSE ATTORNEY'S COPY-GOLD

3

State of Florida VS Pistocchi, F. Number 12017145CF104A

SPECIAL CONDITIONS OF PRISON SENTENCE:

( ) Habitual Violent Offender mandatory minimum _____ years Ct(s) _____
( ) Violent Career Criminal mandatory minimum _____ years Ct(s) _____
( ) Prison Release Reoffender mandatory minimum _____ years Ct(s) _____
( ) Firearm mandatory minimum _____ years Ct(s) _____
( ) Other mandatory minimum _____
( ) Habitual Offender Ct(s) _____ ( ) Youthful Offender ( ) Sexual Predator/Offender ( ) Boot Camp
( ) To be given credit for all time previously served in prison, to be calculated by Department of Corrections

SPECIAL CONDITIONS OF PROBATION:

( ) _____ days BCJ w/credit for _____ days T/S ( ) Electronic Monitor
( ) SAP 30 days ( ) AA/NA 30 days ( ) AFTER CARE 30 days (out of custody) ( ) LIFE SKILLS 30 days
( ) Upon successful completion of drug program jail sentence shall be terminated.

( ) _____ hours of Community Service
( ) $ _____ COS waived/ imposed
( ) Anger Management Program
( ) Blood draw per F.S. 943.325 - 2 samples for conviction of sexual assaults; lewd or indecent acts; homicides (782.04) aggravated battery; home invasion robbery or carjacking
( ) Curfew _____
( ) Drug / Alcohol evaluation and treatment recommended
( ) Forfeit weapon / firearm
( ) Make Donation of $_____ to _____
( ) May transfer probation to _____
( ) May travel _____ for work purposes
( ) No contact with minor children without adult supervision
( ) No contact directly or indirectly with victim(s) or victim's family or others listed
( ) No driving without valid driver's license
( ) No drugs or alcohol
( ) Enter and successfully complete _____
  ( ) BARC
  ( ) IRT ( ) followed by _____
  ( ) HOUSE OF HOPE/STEPPING STONES
  ( ) SPECTRUM
  ( ) FOLLOWED BY _____
( ) Other _____

( ) Drug Ct Monitoring/Hearing set_____
( ) Obtain GED or High School diploma
( ) Psychological/Psychiatric evaluation and treatment necessary
( ) Random drug/alcohol testing
( ) 2 year Driver's License Suspension
( ) Restitution ordered $_____ amount reserved
( ) Restitution converted to a civil lien
( ) Upon successful completion of jail sent. probation to automatically terminate
( ) Pay investigative costs:
  ( ) Crime Lab _____
  ( ) Expert Witnesses_____
  ( ) Supp. Cost of Prosecution _____
  ( ) Toxicology _____
  ( ) Law Enforcement Agency
  Agency #1_____$_____
  Agency #2_____$_____

( ) $225 Trust Fund
( ) $50 VC
( ) $5 Assessment each count
( ) $50 ea/ Per Felony count
( ) $100 Cost of prosecution
( ) $50 PD application fee waived/ imposed
( ) $_____ fine plus $_____ surcharge count(s) _____
( ) $_____ Court Costs misd count(s) _____
( ) Pay balance of previously imposed costs
( ) Balance of court costs and fees converted to a civil lien

( ) $100 OTF count(s)_____
( ) $20 CSTF count(s)_____
( ) $2 T.C. each count
( ) $65 AC each count
( ) $10 Article V Assessment
( ) $ 300 PD fee imposed
( ) Waive all court costs
( ) Other

( ) $201 DVC count(s)_____
( ) $151 RCP count(s)_____
( ) $151 CAM count(s)_____
( ) $20 SN1 Per Misd count
( ) $30 CFF count(s)_____
( ) PD fee converted to civil lien
( ) Extradition costs $_____

JUDGE _____
DEPUTY CLERK _____ DATE 10-10-13

File Copy-White Defendant's Copy-Blue Sheriff's Copy-Yellow Probation's Copy-Pink Defense Attorney's Copy-Gold revised 9/30/10

11-26-12 09:30 8255

FORT LAUDERDALE POLICE DEPARTMENT
OFFENSE INCIDENT REPORT

EVENT DATA

Reported Day: Sat | Date: 11-24-12 | Time (mil): 0333 | Related Report Number(s):

Incident Type: 1. Felony 2. Traffic Felony 3. Misdemeanor 4. Traffic Misdemeanor 5. Ordinance 6. Other

Incident: From Day: Sat Date: 11-24-12 Time (mil): 0333 To Day: Sat Date: 11-24-12 Time (mil): 0333

Type: 1 Description: Auto Theft (Rec) OJ | A-Attempted C-Committed: C | Special BIP | Force Used: Yes **No**

Hate Crime: V ☐ N ☒ | Crime Against Elderly: Y ☐ N ☒ | Gang Related: Y ☐ N ☒

Incident Location (Street, Apt. Number): 800 SW 15 Ave | City: Ft Lauderdale, FL | Zip: 33312 | Zone: 307

Business Name/Area Identifier | Called In: ☒ Yes ☐ No By: 13218 OCN | Forced Entry: 0. N/A 1. Yes 2. No: 0 | Occupancy: 0. N/A 1. Occupied 2. Unoccupied 3. Abandoned: 0

Location Type: 01. Residence Single 02. Apartment/Condo 03. Residence-Other 04. Hotel/Motel 05. Convenience Store 06. Gas Station 07. Liquor Store 08. Bar/Nightclub 09. Supermarket 10. Dept./Discount Store 11. Specialty Store 12. Drug Store/Hospital 13. Bank/Financial Inst. 14. Commercial/Office Bldg. 15. Industrial/Mfg. 16. Storage 17. Gov't/Public Bldg. 18. School/University 19. Jail/Prison 20. Religious Bldg. 21. Airport 22. Bus/Rail Terminal 23. Construction Site 24. Other Structure 25. Parking Lot/Garage 26. Highway/Roadway 27. Park/Woodlands/Field 28. Lake/Waterway 29. Motor Vehicle 30. Other Mobile 99. Other: 26

Offenses: 2 | # Victims: 0 | # Offenders: 1 | # Arrestees: 0 | # Victim Injuries: 0 | Type Weapon: 00. N/A 01. Handgun 02. Rifle 03. Shotgun 04. Firearm 05. Knife/Cutting Instrument 06. Blunt Object 07. Hands/Fists/Feet 08. Poison 09. Explosives 10. Fire/Incendiary 11. Threat/Intimidation 12. Simulated Weapon 13. Drugs 88. Unknown 99. Other: 00

CODES

V/W Code: V-Victim W-Witness C-Reporting Person P-Proprietor Z-Other

Victim Type: 0. N/A 1. Juvenile 2. L.E. Officer 3. Adult 4. Business 5. Government 6. Church 9. Other

Race: W-NHA White B-Black I-American Indian O-Oriental/Asian U-Unknown

Sex: M-Male F-Female U-Unknown

Residence Type: 0. N/A 1. City 2. County 3. Florida 4. Out-of-State

Residence Status: 0. N/A 1. Full Year 2. Part Year 3. Non-Resident

Extent of Injury: 0. None 1. Minor 2. Serious 3. Fatal

Injury Type: 00. N/A 01. Gunshot 02. Stabbed 03. Laceration 04. Unconscious 05. Poss. Broken Bones 06. Poss. Internal Injury 07. Loss of Teeth 08. Burns 09. Abrasions/Bruises 99. Other

Victim Relationship to Offender: 00. N/A 01. Undetermined 02. Stranger 03. Spouse 04. Ex-Spouse 05. Co-Habitant 06. Parent 07. Brother/Sister 08. Child 09. Step-Parent 10. Step-Child 11. In-Law 12. Other Family 13. Student 14. Teacher 15. Child of Boy/Girl Friend 16. Boy/Girl Friend 17. Friend 18. Neighbor 19. Sitter/Day Care 20. Employee 21. Employer 22. Landlord/Tenant 23. Acquaintance 99. Other Known

VICTIM/WITNESS

V/W Code: Z | #: 1 | V-Type: 4 | Name (Last, First, Middle): Enterprise Leasing | Residence Phone:

Address (Street, Apt. Number) (City, State, Zip): 6929 N Lakewood Ave # 100 Tulsa, OK | Business Phone: 954-922-2311

Other Contact Info. (Time Available, Interpreter, etc.)

Race | Sex | Date of Birth or Age | If Victim Type 1, 2 or 3 | Res. Type | Res. Status | Extent of Injury | Injury Type(s) | Relationship | Domestic Violence: Yes ☐ No ☒

VICTIM/WITNESS

V/W Code | # | V-Type | Name (Last, First, Middle) | Residence Phone

Address (Street, Apt. Number) (City, State, Zip) | Business Phone

Other Contact Info. (Time Available, Interpreter, etc.)

Race | Sex | Date of Birth or Age | If Victim Type 1, 2 or 3 | Res. Type | Res. Status | Extent of Injury | Injury Type(s) | Relationship | Domestic Violence: Yes ☐ No ☐

SUSPECT

Suspect Code: S-Suspect A-Arrestee B-Escapee Z-Other | Code: A | #: 1 | Juvenile: N | Name (Last, First, Middle): Pistocchi, Frank

Maiden Name | Nickname/Street Name | Place of Birth: NY | Residence Phone

Last Known Address (Street, Apt. Number) (City, State, Zip): 3309 SW 175 Ave Miramar FL 33029 | Business Phone

Occupation: Unk | Employer/School - Address | Social Sec

[redacted] | Immigration and Naturalization Number | Other I.D. Number | FLSID/FB Number (Arrested) | FCIC/NCIC: ☒ Yes ☐ No

Clothing (Describe) | Scars/Marks/Tattoos (Location/Describe)

Race: W | Sex: M | Date of Birth or Age: [redacted] | Height: 5-08 | Weight: 250 | Build: Brn | Complexion: Brn | Other

SUSPECT INFO: UNKNOWN ☐

Hair Length/Type	Hair Style	Complexion	Build	Teeth	Facial Hair	Speech	Voice	Appearance	Unique I.D.
#1 03 #2	#1 02 #2	#1 06 #2	#1 03 #2	#1 01 #2	#1 10 #2	#1 99 #2	#1 06 #2	#1 08 #2	#1 01 #2

Hair Length/Type: 01. Unk. 02. Bald 03. Short 04. Collar 05. Shoulder 06. Long 07. Coarse 08. Fine 09. Thick 10. Thinning 11. Receding 99. Other

Hair Style: 01. Unk. 02. Afro/Nat. 03. Braided 04. Pank 05. Greasy 06. Crew Cut 07. Ponytail 08. Processed 09. Straight 10. Wavy/Curly 11. Wig 12. Plaited 99. Other

Complexion: 01. Unk. 02. Acne 03. Dark 04. Freckled 05. Light 06. Medium 07. Pocked 08. Ruddy 09. Tanned 99. Other

Build: 01. Unk. 02. Thin 03. Medium 04. Large 05. Muscular 99. Other

Teeth: 01. Unk. 02. Missing 03. Rotten 04. Gold 05. Jeweled 06. Large 99. Other

Facial Hair: 01.Unk. 02. Clean Shaven 03. Full Beard 04. Fu Manchu 05. Goatee 06. Lower Lip 07. Mustache 08. None/Fuzz 09. Sideburns 10. Unshaven 99. Other

Speech: 01. Unk. 02. Accent 03. Lisps 04. Mumbles 05. Offensive 06. Whisper 07. Rapid 08. Slow 09. Studies 10. Talkative 11. Profane 99. Other

Voice: 01. Unk. 02. Disguised 03. High Pitch 04. Loud 05. Low Pitch 06. Medium 07. Monotone 08. Nasal 09. Pleasant 10. Raspy 11. Soft 99. Other

Appearance: 01. Unk. 02. Dirty 03. Disguise 04. Flashy 05. Military 06. Unkempt 07. Unusual Odor 08. Well Groomed 09. Pres. Glasses 10. Sun Glasses 99. Other

Unique I.D.: 01. Unk. 02. Prosthesis 03. Birth Mark 04. Tattoo(s) 05. Scars 06. Earrings 07. Phy. Imp. 08. Frames/Ills

1. Offense 2. Arrest | Juvenile: 0 | 1. Original 2. Supplement: 1

O.R. # 12-132919

ADMINISTRATIVE

Officer(s) Reporting: Sgt J. Pinto-Gonzalez | I.D. Number(s): 1265 | Unit: D-37 | Date: 11-24-12

Officer Reviewing & Approving: Sgt R. Fornwald | I.D. Number: 1371 | Routed To: 3 Det Mgrs | Referred To | Assigned To | By | Date: 11/25/12

Case Status | Clearance Type: 1. Arrest 2. Exceptional 3. Unfounded | A-Adult J-Juvenile | Date Cleared | Arrest Number | Number Arrested: 1

Exception Type: 1. Extradition Declined 2. Arrest on Primary Offense Without Prosecution 3. Death of Offender 4. V/W Refused to Cooperate 5. Prosecution Declined 6. Juvenile/No Custody | OBTS Number | Page 1 of 3

FORM Z-496 Rev. 2/99

O.R. # **12-132919**

SUSPECT

Suspect Code (S-Suspect, A-Arrestee, E-Escapee, Z-Other)	Code	#	Juvenile	Name (Last, First, Middle)

Maiden Name	Nickname/Street Name	Place of Birth	Residence Phone

Last Known Address (Street, Apt. Number) (City, State, Zip)	Business Phone

Occupation	Employer/School - Address	Social Security Number

Driver's License State/Number	Immigration and Naturalization Number	Other I.D. Number	FL/OBTS Number (Arrested)	FCIC/NCIC
				☒ Yes ☐ No

Clothing (Describe)	Scars/Marks/Tattoos (Location/Describe)

Race	Sex	Date of Birth or Age	Height	Weight	Eye Color	Hair Color	Other

CODES

Activity: P-Posses, S-Sell, B-Buy, T-Traffic, R-Smuggle, D-Deliver, E-Use, K-Dispense/Distribute, M-Manufacture/Produce/Cultivate, Z-Other

Type: A-Amphetamine, B-Barbituate, C-Cocaine, E-Heroine, H-Hallucinogen, M-Marijuana, O-Opium/Derivative, P-Paraphernalia/Equipment, S-Synthetic, U-Unknown, Z-Other

Unit: 1. Gram, 2. Milligram, 3. Kilogram, 4. Ounce, 5. Pound, 6. Ton, 7. Liter, 8. Milliliter, 9. Dose Unit/Item

DRUGS

Activity	Type	Description	Quantity	Unit	Estimated Street Value
Activity	Type	Description	Quantity	Unit	Estimated Street Value
Activity	Type	Description	Quantity	Unit	Estimated Street Value

NARRATIVE/CONTINUATION

NARRATIVE

After leaving an off-duty detail, I observed the listed vehicle traveling westbound on Davie Blvd from SW 4 Ave. A routine records check of the vehicle, by tag, revealed that it had been reported stolen to BSO on 11-14-12 under case # DN12-11-00998. According to the NCIC/FCIC hit, the vehicle was an overdue rental (since 10-08-12) and A1/PISTOCCHI was listed as the suspect. I radioed for assistance.

While waiting for units to arrive, I stayed behind the vehicle. The vehicle eventually stopped/parked at an apartment complex at the listed location. A felony traffic stop was conducted and the driver, who was identified as PISTOCCHI (via FL D/L) was detained. Minutes later, I read PISTOCCHI his Miranda warnings and he freely talked to me. PISTOCCHI admitted renting the vehicle and keeping it longer than he was supposed to. PISTOCCHI stated he had financial troubles therefore the reason he kept the car without paying for it. PISTOCCHI remained cooperative during the investigation and understood why he was arrested.

A computer check of PISTOCCHI revealed a (2) time suspended FL License (for Child Support). PISTOCCHI denied knowing his license was suspended. PISTOCHI was charged with Grand Theft-Auto and cited for DWLS. PISTOCCHI's license was seized. The vehicle had its keys, had no missing components or appear to have any new body damage. The vehicle was towed by Westway for safekeeping. The vehicle was removed from NCIC/FCIC by Opr# 13218. PISTOCCHI was TOT FLPD Jail by OFC SANNER.

PROPERTY	☒ NONE INVOLVED	☐ STOLEN-LOST	☐ EVIDENCE RECEIPT	☐ TO BE FORWARDED

OFFICER AFFIDAVIT:

I swear or affirm that I have prepared this report, and it is correct and true to the best of my knowledge.

Authoring Officer (Signature) [signature] 1265

Printed Name/CCN J. Pinto-Gonzalez-1265

The forgoing instrument was acknowledged before me this 25 day of Nov, 2012, by J. Pinto Gonzalez (authoring officer), who is known to me or has produced _____ as identification, and who did take an oath.

NOTARY/WITNESSING OFFICER SIGNATURE/CCN [signature] 1371

FORT LAUDERDALE POLICE DEPARTMENT
VEHICLE REPORT

1. Original
2. Supplement [1]

O.R. # **12-132919**

ADM: Original Date Reported: **11-24-12** | Case Reference: **Auto Theft (Rec) OJ** | Related Report Numbers:

CODES:
Person Code: V-Victim, S-Suspect, M-Missing, A-Arrestee, E-Escapee, R-Recovered Missing, Z-Other
Status Code: 1. Stolen, 2. Recovered, 3. Stolen and Recovered, 4. Suspicious, 5. Impounded, 6. Abandoned, 7. Felt Return, 8. Seized, 9. Other
Damage Code: 0. N/A, 1. Arson, 2. Criminal Mischief, 3. During Other Offense, 4. Stripped Theft From, 9. Other
Type: 1. Auto, 2. Truck/Van, 3. Motorcycle, 4. Camper/RV, 5. Bus, 6. Trailer, 7. Boat, 8. Aircraft, 9. Other
Recovery Location: 1. Family Residence, 2. Apt. Complex, 3. Housing Project, 4. Commercial/Industrial, 5. Park/Playground, 6. Shopping Mall, 7. Woods, 8. Water, 9. Other
Recovery Code: Stolen/Recovered 1. Local/Local, 2. Local/Other, 3. Other/Local

VEHICLE/VESSEL

Pers. Code	#	Veh. #	Status	Damage	Type	Year	Make	Model	Style
Z	1	1	5	0	1	12	Mazda	6	4dr

Tag Reg./Doc. #: [redacted] | Reg. State: FL | Reg. Year: 13 | Decal #: | Tag Type: PC

VIN/Hull/FAA: [redacted] | Estimated Value:

Condition: ☐ 1. Window Closed ☐ 2. Locked ■ 3. Keys in Ignition | Insurance Company: | Lien Holder:

Color (Top/Bottom): Gray | Description (Identifying Characteristics, Noticeable Damage, Interior Color, etc.):

Vessel Name: | Length: | Hull Material: | Propulsion: | Boat Type:

Recovery Address/Geographic Indicator: 800 SW 15 Ave | Date Recovered: 11-24-12 | Value Recovered:

Recovery Loc.: 2 | Recovery Code: 3 | Original Reporting Agency: BSO | Report Number: DN12-11-00998 | Hold Y-Yes N-No: N | Reason/Authority:

Method of Theft: ☐ 0. N/A ☐ 1. Keys ☐ 2. Tow Truck ☐ 3. Hot Wire ☐ 4. Steering Column ☐ 5. Ignition Punch ☐ 6. Unknown

Components Stripped: ■ 0. N/A ☐ 1. VIN Plate ☐ 2. Tires/Wheels ☐ 3. Radio/CB ☐ 4. Battery ☐ 5. Interior ☐ 6. Transmission ☐ 7. Engine Parts ☐ 8. Major Body Parts ☐ 9. Tag/Decal Stolen ☐ 10. Other-Specify

Towed By: Westway | Storage Location: Westway | FCIC/NCIC: ☒ Yes ☐ No

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ADM: Original Date Reported: | Case Reference:

CODES:
Person Code: V-Victim, S-Suspect, M-Missing, A-Arrestee, E-Escapee, R-Recovered Missing, Z-Other
Status Code: 1. Stolen, 2. Recovered, 3. Stolen and Recovered, 4. Suspicious, 5. Impounded, 6. Abandoned, 7. Felt Return, 8. Seized, 9. Other
Damage Code: 0. N/A, 1. Arson, 2. Criminal Mischief, 3. During Other Offense, 4. Stripped Theft From, 9. Other
Type: 1. Auto, 2. Truck/Van, 3. Motorcycle, 4. Camper/RV, 5. Bus, 6. Trailer, 7. Boat, 8. Aircraft, 9. Other
Recovery Location: 1. Family Residence, 2. Apt. Complex, 3. Housing Project, 4. Commercial/Industrial, 5. Park/Playground, 6. Shopping Mall, 7. Woods, 8. Water, 9. Other

VEHICLE/VESSEL – OTHER THAN AUTO THEFT

Pers. Code	#	Veh. #	Status	Damage	Type	Year	Make	Model	Style

Tag Reg./Doc. #: | Reg. State: | Reg. Year: | Decal #: | Tag Type:

VIN/Hull/FAA: | Estimated Value:

Person Code: ☐ 1. Window Closed ☐ 2. Locked ☐ 3. Keys in Ignition | Insurance Company: | Lien Holder:

Color (Top/Bottom): | Description (Identifying Characteristics, Noticeable Damage, Interior Color, etc.):

Vessel Name: | Length: | Hull Material: | Propulsion: | Boat Type:

Recovery Address/Geographic Indicator: | Date Recovered: | Value Recovered:

Original Reporting Agency: | Report Number: | Hold Y-Yes N-No: | Reason/Authority:

Towed By: | Storage Location: | FCIC/NCIC: ☐ Yes ☐ No

ADMINISTRATIVE

Officer(s) Reporting: Sgt J. Pinto-Gonzalez | I.D. Number(s): 1265 | Unit: D-37 | Date: 11-24-12

Officer Reviewing (If Applicable): | I.D. Number: | Routed To: | Referred To: | Assigned To: | By: | Date:

FORM Z-499 Rev. 2/88 (Back)

12-19-12 16:31 8400

PH8307 Juvenile N 1. Original 2. Supplement 2

FORT LAUDERDALE POLICE DEPARTMENT
PERSON(S) REPORT

O.R. # **12-132919**

ADM

Original Date Reported: **11/24/12** | Case Reference: **Auto Theft (Recovery OJ)** | Related Report Numbers:

CODES

V/W Code: V-Victim, W-Witness, C-Reporting Person, P-Proprietor, Z-Other

Victim Type: 0. N/A, 1. Juvenile, 2. L.E. Officer, 3. Adult, 4. Business, 5. Government, 6. Church, 7. Other

Race: N-N/A, W-White, B-Black, I-American Indian, O-Oriental/Asian, U-Unknown

Sex: N-N/A, M-Male, F-Female, U-Unknown

Residence Type: 0. N/A, 1. City, 2. County, 3. Florida, 4. Out-of-State

Residence Status: 0. N/A, 1. Full Year, 2. Part Year, 3. Non-Resident

Extent of Injury: 0. None, 1. Minor, 2. Serious, 3. Fatal

Injury Type: 00. N/A, 01. Gunshot, 02. Stabbed, 03. Laceration, 04. Unconscious, 06. Poss. Broken Bones, 08. Poss. Internal Injury, 07. Loss of Teeth, 08. Burns, 09. Abrasions/Bruises, 99. Other

Victim Relationship to Offender: 00. N/A, 01. Undetermined, 02. Stranger, 03. Spouse, 04. Ex-Spouse, 05. Co-Habitant, 06. Parent, 07. Brother/Sister, 08. Child, 09. Step-Parent, 10. Step-Child, 11. In-Law, 12. Other Family, 13. Student, 14. Teacher, 15. Child of Boy/Girl Friend, 16. Boy/Girl Friend, 17. Friend, 18. Neighbor, 19. Sitter/Day Care, 20. Employee, 21. Employer, 22. Landlord/Tenant, 23. Acquaintance, 99. Other

VICTIM/WITNESS

V/W Code	#	V-Type
V	1	4

Name (Last, First, Middle): **Enterprise Leasing**

Residence Phone: **954-922-2311**

Address (Street, Apt. Number): **801 S. Federal Hwy. Dania Bch. FL**

Business Phone:

Other Contact Info. (Time Available, Interpreter, etc.)

Race	Sex	Date of Birth or Age	If Victim Type 1, 2 or 3	Res. Type	Res. Status	Extent of Injury	Injury Type(s)	Relationship	Domestic Violence
									☐ Yes ☐ No

VICTIM/WITNESS

[redacted]

SUSPECT

Suspect Code: S-Suspect, A-Arrestee, E-Escapee, M-Missing, R-Recovered, Z-Other

Code | # | Juvenile | Name (Last, First, Middle)

Maiden Name | Nickname/Street Name | Place of Birth | Residence Phone

Last Known Address (Street, Apt. Number) | Business Phone

Occupation | Employer/School | Social Security Number

Driver's License State/Number | Immigration and Naturalization Number | Other I.D. Number | FL/OSTS Number (Arrested) | FCIC/NCIC ☐ Yes ☐ No

Clothing (Describe) | Scars/Marks/Tattoos (Location/Describe)

Race	Sex	Date of Birth or Age	Height	Weight	Eye Color	Hair Color	Hair Length	Hair Style

Complexion	Build	Facial Hair	Teeth	Speech	Voice	Appearance	Unique I.D.	Other

SUSPECT OR MISSING PERSON

Offense Indicator: 1. #1, 2. #2, 3. Both

Suspect Code: S-Suspect, A-Arrestee, E-Escapee, M-Missing, R-Recovered, Z-Other

Code | # | Juvenile | Name (Last, First, Middle)

Maiden Name | Nickname/Street Name | Place of Birth | Residence Phone

Last Known Address (Street, Apt. Number) | Business Phone

Occupation | Employer/School | Social Security Number

Driver's License State/Number | Immigration and Naturalization Number | Other I.D. Number | FL/OSTS Number (Arrested) | FCIC/NCIC ☐ Yes ☐ No

Clothing (Describe) | Scars/Marks/Tattoos (Location/Describe)

Race	Sex	Date of Birth or Age	Height	Weight	Eye Color	Hair Color	Hair Length	Hair Style

Complexion	Build	Facial Hair	Teeth	Speech	Voice	Appearance	Unique I.D.	Other

MISSING PERSON

Incident Type: 1. Runaway, 2. Parental, 3. Involuntary, 4. Disabled, 5. Endangered, 6. Disaster Victim, 7. Voluntary Adult, 8. Unknown

Foul Play Suspected? 1. Yes 2. No

Missing Before? 1. Yes 2. No 8. Unknown

Fingerprints Available? 1. Yes 2. No 8. Unknown

Photo Available? 1. Yes 2. No 8. Unknown

Dental Record Available? 1. Yes 2. No 8. Unknown

MCIC Form Provided? 1. Yes 2. No

Date Last Seen | Time Last Seen | Location Last Seen (Address, City, St.) | Accompanied By

Mental/Physical Condition | Medication Required/Type | Doctor/Dentist (Name, Phone Number)

Property Carried | ID Type Number | ID Type Number

Probable Destination | Name/Address | Transportation Mode

Recovery Information: 0. N/A, 1. Voluntary, 2. Located-Not Returned, 3. Hospitalized, 4. HRS Custody, 5. Law Enforcement Custody, 6. Returned to Parent, 7. Deceased, 9. Other

ADMINISTRATIVE

Officer(s) Reporting: **Detective Thomas Capano** | I.D. Number(s): **1415** | Unit: **K33** | Date: **12/17/12**

Officer Reviewing (If Applicable): Sgt. Graziano | I.D. Number: 1398 | Routed To | Referred To | Assigned To | By | Date: 12/18/12

Case Status: **Closed**

Clearance Type: 1. Arrest, 2. Exceptional, 3. Unfounded: **1**

A-Adult, J-Juvenile: **A**

Date Cleared: **11/24/12**

Arrest Number | Number Arrested: **1**

Exception Type: 1. Extradition Declined, 2. Arrest on Primary Offense Secondary Offense Without Prosecution, 3. Death of Offender, 4. V/W Refused to Cooperate, 5. Prosecution Declined, 6. Juvenile/No Custody

OSTS Number | Page 1 of 1

FORM Z-497 Rev. 06/09

12-132919

SUPPLEMENTAL REPORT 8336

Fort Lauderdale Police Department OCA *12132919*

THE INFORMATION BELOW IS CONFIDENTIAL - FOR USE BY AUTHORIZED PERSONNEL ONLY

Investigator: *Capano, Thomas M 1415*
Contact:
Supervisor: *Ogden, Darren G 1332*

Date / Time: *12/17/2012 09:03:30* *Monday*
Reference: *FOLLOW-UP*

Victim: Enterprise Leasing (Trismarc Saunders)
Arrestee: Frank Pistocchi

On 11/24/2012 Sgt. Pinto-Gonzalez made contact with Pistocchi, who was operating a 4dr Mazda. A records check of this vehicle revealed that this vehicle [redacted] is an overdue rental vehicle that is owned by Enterprise Leasing.

Sgt. Pinto-Gonzalez read Pistocchi his right under MIRANDA, which Pistocchi waived. Pistocchi stated that he is aware that he`s kept this listed vehicle longer than he should have. He also acknowledged that he did so without paying for the additional time on the rental.

Pistocchi was charged with Grand Theft and DWLS.

A victim statement was taken from Saunders who is acting on behalf of the victim Enterprise Leasing. This statement was uploaded into the FLPD Foray system and then forwarded to NetTranscripts for transcription.

This completes my involvement with this incident.

R 132919

Under penalty of perjury, I declare that I have read the following document and the facts stated therein are true to the best of my knowledge.

Reporting Officer signed: Detective Thomas Capano

The preceding instrument was acknowledged before me Supervisor: Sgt. Darren Odgen on today`s date: December 17, 2012

BROWARD COUNTY ARREST#: 12-8822 — OBTS# — ☒ ARREST FORM

Filing Agency	Offense Report	Local ID#	FDLE	FBI	SS#
FLPD	12-132919				[redacted]

Defendant's Last Name: PISTOCCHI — First: FRANK — Middle — SUF — Alias/Street Name — Citizenship

Race	Sex	Hgt	Wgt	Hair	Eyes	Comp	Age	DOB	Birth Place
W	M	5-08	250	BRO	BLU	LGT	44	[redacted]	NY

Permanent Address: 9309 SW 175 Ave Miramar, FL 33029 — Scars, marks, TT

Residence Type: (1) City (2) County (3) Florida (4) Out of State — Local Address — Place of Employment — Length

How long defendant in Broward County: — Breathalyzer by /CCN — Reading — Place of arrest: 800 SW 15 Ave — Date/time arrested: 11/24/12 0341 hrs — Arresting Officer(s) CCN: PINTO-1265

Officer injured: YO NO — Unit: 1265 — Zone: 307 — Beat: 26 — Shift: III — Trans. Unit: 9446 — PMD: YO NO — Transporting Officer/CCN: Sanner-1561 — Pick-up Time — Time Arrived/ BSO

TYPE / ACTIVITY: N, N

Type: N-N/A, A-Amphetamine, B-Barbiturate, C-Cocaine, E-Heroin, H-Hallucinogen, M-Marijuana, O-Opium, P-Paraphernalia/ Equipment, S-Synthetic, U-Unknown, Z-Other

Activity: N-N/A, P-Possess, S-Sell, B-Buy, T-Traffic, A-Smuggle, D-Deliver, E-Use, M-Manufacture/ Produce/Cultivate, K-Dispense/ Distribute, Z-Other

Indication of: Alcohol Influence Y N UK; Drug Influence Y N UK

Attach Defendant's Photo

Defendant's Vehicle Make: Mazda Type: 4dr Year: 12 Color: Gry VIN: — Vehicle Towed to: Westway — Tag: [redacted] — Other Identifiers or remarks: MX-6

Name of victim(s) (if corporation, exact legal name and state of incorporation): ENTERPRISE LEASING (EAN HOLDINGS, LLC)

Count#	Offense(s) Charged	WCS / Citation #, (if applicable)	FS or Capias/Warrant #
1	GRAND THEFT - AUTO		812.014 (2)(c)6
2	DRIVING WHILE LICENSE SUSPENDED	7570-HBD	322.34 (5) & WS

Probable Cause Affidavit

Before me this date personally appeared Jose Pinto Gonzalez who being first duly sworn deposes and says that on 24 day of Nov, (year) 2012 at 800 SW 15 Ave (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

I OBSERVED DEF DRIVING THE LISTED VEHICLE. A ROUTINE RECORDS CHECK OF THIS VEHICLE BY TAG REVEALED IT TO HAVE BEEN REPORTED STOLEN TO BSO ON 11-14-12 UNDER CASE # DN 12-00998. THIS VEHICLE IS AN OVERNIGHT RENTAL AND THE DEF IS THE LISTED SUSPECT ON THE NCIC/FCIC HIT. A FELONY TRAFFIC STOP WAS CONDUCTED AND THE DEF DETAINED. THE DEFS IDENTITY WAS VERIFIED BY HIS FL LICENSE. I READ THE DEF HIS MIRANDA WARNINGS. THE DEF ADMITTED KEEPING THE CAR FROM "ENTERPRISE" LONGER THAN HE WAS SUPPOSED TO. DEF LICENSE IS ALSO SUSPENDED. DEF TOT FLPD JAIL.

I swear the above statement is correct and true to the best of my knowledge and belief.

Officer/Affiant's Signature — Sgt J. Pinto-Gonzalez-1265 Officer's Name/CCN — PTL Officer's Division

STATE OF FLORIDA
COUNTY OF BROWARD

Sworn to (or affirmed) and subscribed before me this 24 day of Nov 2012 (year), by J. Pinto-Gonzalez (name and title), who is personally known to me or has produced FLPD ID as identification

Notary Public, Deputy Clerk of the Court, or Assistant State Attorney — OFC 1561 Title/Rank and CCN

OFC M. SANNER
Print, Type or Stamp Commissioned Name of Notary Public — (SEAL)

12/54/cd/10726 WC/ 11507

Orig - Court
2nd - State Attorney
3rd - Filing

Seventeenth Judicial Circuit
Broward County
State of Florida

FIRST APPEARANCE/ARREST FORM

REDACTED

BSO DB42 (Revised 05/00) (SHOULD ADDITIONAL SPACE BE NEEDED, USE THE PROBABLE CAUSE AFFIDAVIT CONTINUATION (BSO DB42a)

COURT COPY

7. Discussion of final order pursuant to request from respondent

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Edward Gueits, Case Number 2024-009508; Final Order
Date: May 14, 2024

Executive Summary

The Division of Pari-Mutuel Wagering seeks the permanent exclusion of Edward Gueits ("Respondent") from all pari-mutuel and slot facilities in the state of Florida. Respondent was properly served with an Administrative Complaint and an Election of Rights form. Respondent submitted an executed Election of Rights form indicating that he does not dispute the allegations of material fact in the Administrative Complaint and waives his right to any form of hearing. Respondent requests that a final order be entered imposing a penalty in this case. Therefore, the Florida Gaming Control Commission should enter a final order excluding Respondent from all pari-mutuel and slot facilities in the state of Florida.

Background

On January 10, 2023, Respondent was a patron at PPI, Inc. d/b/a Harrah's Pompano Beach.[1]. Subsequently, Respondent was ejected and permanently excluded from the facility.[2] The reason for the ejection is included as Exhibit 1 of the Administrative Complaint.

Based on Respondent's ejection from Harrah's Pompano Beach, the Division filed an administrative complaint, seeking his exclusion from all pari-mutuel and slot machine facilities in the state of Florida. Respondent received the complaint alongside an Election of Rights form, which he executed and sent back to the Division on May 3, 2024. Respondent did not dispute the allegations contained within the administrative complaint, waived his right to any form of hearing, and requested that the Commission enter a final order imposing a penalty and fine.

[1] Harrah's Pompano Beach is operated by a permitholder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the state of Florida.

[2] Pari-mutuel permitholders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.

Analysis
Florida law allows for the exclusion of Respondent from all pari-mutuel and slot machine facilities in the state.

Section 550.0251(6), Florida Statutes, provides that "[t]he Commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state." Further, Section 551.112 provides that "[t]he Commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state."

Therefore, Respondent's ejection from Harrah's Pompano Beach – which is both a pari-mutuel facility and slot machine licensee in this state – subjects him to exclusion from all pari-mutuel and slot machine facilities in this state.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order permanently excluding Edward Gueits from all pari-mutuel and slot machine facilities in this state.

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: **4/11/2024**
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2024-009508

EDWARD GUEITS,

Respondent.
_____/

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Edward Gueits ("Respondent"), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel, slot machine, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.

2. At all times material hereto, Respondent's address was reported as 4020 Galt Ocean Drive, Apt. 706 Fort Lauderdale, Florida 33308.

3. At all times material hereto, PPI, Inc. d/b/a Harrah's Pompano Beach was a facility operated by a permitholder authorized by the Commission to conduct pari-mutuel wagering, cardroom operations, and slot operations in the state of Florida.

4. On or about January 10, 2024, Respondent was a patron of Harrah's Pompano Beach.

5. On or about January 10, 2024, Respondent was permanently excluded from Harrah's Pompano Beach.

6. Respondent was permanently excluded for the reasons alleged in Exhibit 1.

7. Section 550.0251(6), Florida Statutes, provides in relevant part:

> In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis added).

8. Section 551.112, Florida Statutes, provides:

> In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis added).

9. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida under sections 550.0251(6) and 551.112, Florida Statutes based on his permanent exclusion from Harrah's Pompano Beach on or about January 10, 2024.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida, in accordance with sections 550.0251(6) and 551.112, along with any other remedy provided by chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2024-009508 is signed this 11th day of April 2024.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: +1 (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

EXHIBIT 1

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1098 - Unlicensed Complaints**			Status	**90 Closed**	Status Date	**03/22/2024**
Complaint #	**2024009508**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**GUEITS, EDWARD**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**IV-E - Other**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**01/11/2024**
Region	**SR - Southern Region**	Received	**02/14/2024**
Reference	**551.112**		
Entered	**02/16/2024**	Entered By	**cstubbs1**

Summary: **430 - PPI, INC - Exclusions of certain persons. On Thursday, January 11, 2024, Security Administration, Wanda Ortiz informed, Security Director John Keenan, that a male patron identified as Edward Gueits, advised he was at the facility on January 10, 2024, and believed he lost his firearm. Gueits was informed that bringing his firearm on property was prohibited and that the firearm was seized by Broward Sherriff Office (BSO) deputies. Subsequently, Security informed Gueits that due to his actions, he was Permanently Excluded from property. It should be noted that Gueits was observed consuming alcohol while carrying his firearm prior to him dropping it on the slot gaming floor.**

Updated **03/25/2024 15:00:11** By **cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**02/14/2024**	**2024 00 9508**

Respondent:	Complainant:
GUEITS, EDWARD **4020 GALT OCEAN DR. #706** **FORT LAUDERDALE, FL.**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FL. 33309** **954-202-3900**

License # and Type:	Profession:	Report Date:
N/A	**Patron**	**March 20, 2024**

Period of Investigation:	Type of Report:
February 14, 2024 – March 15, 2024	**FINAL**

VIOLATION(S)/ TITLE(S) FSS 51.112 Exclusions of certain persons.—In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the division may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state.

DESCRIPTION: On Thursday, January 11, 2024, Harrah's Pompano Beach Security Administrative Assistant, Wanda Ortiz informed Security Director, John Keenan, that a male patron identified as Edward **GUEITS** approached her and advised that he was at the facility the day before and believed he lost his firearm. **GUEITS** was informed by Security Director Keenan that bringing a firearm on property was prohibited and that the firearm was seized by Broward Sheriff's Office (BSO) Deputies. Subsequently, Security informed **GUEITS** that due to his actions, he was Permanently Excluded from the property. Additionally, surveillance video revealed that **GUEITS** was consuming alcohol while carrying his firearm prior to him dropping it on the slot gaming floor.

Related Case(s):

Investigator / Date : March 20, 2024	Investigator Supervisor / Date: March 22, 2024
Lisa Vila	Tyrell Smith

Chief of Investigations / Date

Bradford D. Jones / March 22, 2024

CONTINUATION

Upon reviewing the January Exclusion Report submitted by Harrah's on February 6, 2024, I met with Security Administrative Assistant, Wanda Ortiz on February 8, 2024, at Harrah's Casino. When asked about the incident that occurred on January 10, 2024, Ortiz advised that a male patron responded to the Security office on January 11, 2024. He identified himself as Edward **GUEITS** and advised he was at the facility on January 10, 2024, and lost his firearm.

Ortiz explained to **GUEITS** that the firearm was located on the slot gaming floor and Broward Sherriff Office (BSO) Deputies were called. She further explained that due to the no weapons policy at the facility, the responding Deputies seized the firearm. Subsequently, **GUEITS** was informed that bringing the firearm was not permitted and he was advised the House Rules were posted at the entrance. Security Director, John Keenan informed **GUEITS** that due to his actions, he was being Permanently Excluded from property. **GUEITS** refused to sign the Harrah's Notice of Exclusion, and stated he had a permit to carry the firearm. He then left the facility.

An Exclusion Letter was mailed to the last known address for **GUEITS,** which was obtained from his player's club account **(EXHIBIT# 4).**

On February 9, 2024, I submitted an Open Case Request to Investigations Supervisor Tyrell Smith for review.

On February 23, 2024, I received a copy of the Surveillance Video from Surveillance Operator M. Diaz, depicting coverage of the incident. The Surveillance footage was uploaded in the FGCC Investigations SharePoint file and marked as evidence. The Property Receipt is assigned **(EXHIBIT #2).**

Prior to entering the footage into evidence, I viewed it inside the Harrah's Surveillance Office. The events were corroborated by the written Surveillance Report, which was included in the Security Incident Report #20240003493 **(EXHIBIT# 1 pg. 1-2).**

The surveillance coverage shows that at 5:34 P.M., **GUEITS** was on the gaming floor, and walked over to slot machine (P-18-01). As he is sitting down, the firearm is observed falling from the back of his pants onto the floor underneath the machine. The firearm remained out of view until it was discovered by the cleaning staff at approximately 8:00 P.M.

On February 29, 2024, I requested and received a copy of Broward Sheriff's Office, report # 11-2401-003034 **(EXHIBIT #3).** The report indicates that **GUEITS** responded to the BSO Pompano Beach office to retrieve his firearm. He produced a copy of his bill of sale and concealed weapons permit. **GUEITS** was directed to contact the BSO Main Evidence Unit for further assistance.

On March 4, 2024, I met with Security Director, Keenan who identified the location of the posted House Rule signs at the entrance. The sign clearly indicated that weapons are prohibited at the facility **(EXHIBIT# 1 pg. 3).**

I made several attempts to contact **GUEITS** on February 29,2024, and March 6,2024. Both contact numbers he provided to BSO were called with negative results and his voicemail was full.

Status: Case closed by Investigations and forwarded to Legal for review.

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5. VERSA printout……...1-1

Incident File Summary Report

Incident File #IN20240003493

Incident File # :	IN20240003493	**Record Creation Details**	
Date/Time Occurred:	1/10/2024 8:06:00 PM	Department:	Security
Day of Week Occurred:	Wednesday	Owner:	800762621
Date/Time Created:	1/10/2024 9:26:03 PM	Operator ID:	800762621
Date/Time Closed:		Operator Name:	
Closed By:		Personnel ID:	

Location of Incident:

Property:	Harrah's Pompano Beach
Location:	05 Gaming Floor
Sublocation:	

Details of Incident:

Daily Log #:	DL20240062655
Incident Type:	~Informational
Specific:	Law Enforcement
Category:	Assistance Provided
Incident Status:	Open
Synopsis:	S-6 Dorreme responded to a call for a firearm found on the floor at P18-01. Firearm was retrieved and brought to dispatch and put in a secure area. BSO was called at 20:10 and arrived to retrieve the weapon at 20:25. BSO safely confiscated the weapon and left property at 20:40 with the firearm.
Checklist:	
Narrative:	

Created On	Created By	Modified On	Modified By
1/10/2024 9:26:05 PM	800762621	1/11/2024 8:01:27 AM	800766944

On Wednesday, January 10, 2024, at 2006 hours, Surveillance notified Senior Security Officer II Dorreme that a weapon was on floor near P-18-01. Dorreme responded. Surveillance was notified for coverage and review.

Upon arrival, Dorreme met with Housekeeping Supervisor Felipe Vecci-Rocha ([redacted] who stated that Casino Cleaner I Felipe Millan ([redacted] was cleaning the area (Gaming Terrace) when he noticed a firearm on the floor next to Slot Machine P-18-01. Vecci-Rocha stated that Millan advised him, and he notified Security. Dorreme arrived on the scene and took possession of the firearm with gloves on and secured it in Security Dispatch. Broward Sheriff Office was notified at 2010 hours. Broward Sheriff Deputy Prachtkaov badge# 20253 arrived on property at 2025 hours via the North Entrance. Dorreme escorted BSO to Security Dispatch where he took possession of the firearm and provide case# 11-2401-003034.

Guest was later identified through CMS system as Edward Gueits (Winet# [redacted] by Casino Operations Shift Manager Angelica Dudley ([redacted]).

Surveillance coverage shows at 1734 hours, a Caucasian male sat down at P-18-01 when the firearm fell of the left side of his waist.

EXHIBIT # (
PAGE # 1

For the purpose of this report, all times are approximate.

Reporting Party:	Supervisor:

Created On	Created By	Modified On	Modified By
1/11/2024 9:58:13 AM	800766944	1/12/2024 8:34:11 PM	800762881

Supplemental: On Thursday, January 11, 2024, at 0834 hours, Security Administration Wanda Ortiz informed Security Dispatch that a Security Supervisor was needed to the North Entrance for guest assistance. Security Director John Keenan, Security Manager Juan Colchado, and Security Supervisor Jackson Charles responded. Surveillance was notified for coverage.

Upon arrival, Colchado spoke with a male patron identified as Edward Gueits ([redacted] years old, Winet# [redacted]). Gueits informed Colchado that he was in the Casino yesterday and believed he lost his firearm. Gueits further stated that he had the firearm on his waist when he lost it. Colchado informed Gueits that bringing his firearm on property is prohibited and that the firearm was returned to Broward Sherriff Office (BSO). Colchado further informed Gueits that due to his action, he is Permanently Excluded from property. Colchado then provided Gueits with the BSO case number. Gueist exited out of the North Entrance. No further information at this time.

For the purpose of this report, all times are approximately.

Executive Brief:

EXHIBIT # 1
PAGE # 2

Reporting Party:

Supervisor:



East entrance Harrah's Pompano Beach

EXHIBIT # 5
PAGE #

State of Florida
Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
Investigations Section

PROPERTY RECEIPT

Complaint # 2024009503 Date _____ Lab # _____

Item No.	Quantity	Description
1	1	1 Jump Drive Surv. Evidence Video

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.	I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.
Signature	Signature – Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature _____ Date _____

Received by: _____ Date: _____
Received by: _____ Date: _____
Received by: _____ Date: _____

EXHIBIT # 2
PAGE # 1

Copies for: File, Transmittal, Laboratory, Property Receipt

Form DBPR 11-75 (Revised 01/04)

INCIDENT/INVESTIGATION REPORT

Agency Name: *Broward County Sheriff's Office*					Case#: *11-2401-003034*
ORI: *0060700*					Date / Time Reported: *01/10/2024 20:09 Wed*
Location of Incident: *1800 SW 3RD ST, Pompano Beach FL 33069*	Gang Relat: NO	Premise Type: *Gambling*	Zone/Tract: 1109		Last Known Secure: *01/10/2024 17:35 Wed*
					At Found: *01/10/2024 20:09 Wed*

INCIDENT DATA

#1	Crime Incident(s): *Found Property FOUN* (Com)	Weapon / Tools: *Personal Weapons*			Activity: *N*
		Entry	Exit	Security	
#2	Crime Incident ( )	Weapon / Tools			Activity
		Entry	Exit	Security	
#3	Crime Incident ( )	Weapon / Tools			Activity
		Entry	Exit	Security	

MO

VICTIM

# of Victims: *0* Type: Injury: Domestic: NO

V1	Victim/Business Name (Last, First, Middle)	Victim of Crime #	DOB / Age	Race	Sex	Relationship To Offender	Resident Status	Military Branch/Status

Home Address	Email	Home Phone
Employer Name/Address	Business Phone	Mobile Phone

VYR	Make	Model	Style	Color	Lic/Lis	VIN

OTHERS INVOLVED

CODES: V- Victim (Denote V2, V3) WI = Witness IO = Involved Other RP = Reporting Person (if other than victim)

Type: INDIVIDUAL (NOT A LE OFFICER) Injury:

[redacted]

PROPERTY

1 = None 2 = Burned 3 = Counterfeit / Forged 4 = Damaged / Vandalized 5 = Recovered 6 = Seized 7 = Stolen 8 = Unknown ("OJ" = Recovered for Other Jurisdiction)

VI #	Code	Status Frm/To	Value	OJ	QTY	Property Description	Make/Model	Serial Number
	13	FOUN	[redacted]		1	HANDGUN	9MM/SPRINGFIELD ARY/Xd	[redacted]
	59	FOUN			1	GUN MAGASINE	9MM/	
	59	FOUN			6	9MM ROUNDS	9MM/	

Officer/ID#: *PRACHTAKOV, L. T. (3455) (20253)*

Invest ID#: *PRACHTAKOV, L. T. (3455) (20253)* Supervisor: *WILLIAMS, C. (3455) (14920)*

Status: Complainant Signature | Case Status: *Inactive* *01/11/2024* | Case Disposition:

EXHIBIT # 3
PAGE # 1

Incident Report Additional Name List

Broward County Sheriff's Office

OCA: *11-2401-003034*

Additional Name List

	Name Code/#	Name (Last, First, Middle)	Victim of Crime #	DOB	Age	Race	Sex
1)	*IO* *2*	*GUEITS, EDWARD*		[redacted]	[redacted]	*W*	*M*
	Address	*4020 GALT OCEAN DR Apt. 706, FORT LAUDERDALE, FL 3*		**H:** [redacted]			
	Empl/Addr			**B:** - -			
				Mobile #: - -			

EXHIBIT # 3
PAGE # 2

INCIDENT/INVESTIGATION REPORT

Broward County Sheriff's Office

Case # *11-2401-003034*

Status Codes L = Lost S = Stolen R = Recovered D = Damaged Z = Seized B = Burned C = Counterfeit / Forged F = Found

	UCR	Status	Quantity	Type Measure	Suspected Type	Up to 3 types of activity
DRUGS						

Assisting Officers

Suspect Hate / Bias Motivated: *UNKNOWN*

INCIDENT/INVESTIGATION REPORT

Narr. (cont.) OCA: 11-2401-003034 *Broward County Sheriff's Office*

NARRATIVE

Zone 1109

Date/Time
01-10-2024/2009

Found Property

EXHIBIT # 3
PAGE # 3

On Wednesday, January 10, 2024, at approximately 2012 hours I was dispatched to Harrah's casino located at 1800 SW 3rd St, Pompano Beach, Broward County, FL in reference to a found property incident that just occurred. Upon arrival I contacted the reporting person, Tracy Dorreme, senior security officer at the casino, who advised the following:

At approximately 2005 hours a casino employee, Felipe Millin, cleaning crew member, found a handgun laying on casino's floor. The handgun was found in casino's Gaming Terrace area, near the slot machine P18-01. Millin did not touch the handgun and notified casino's security department immediately. 911 Caller

911 Caller

Sec/Fire Sys Plan

I collected the handgun, 9mm black and silver Springfield Armory, model XD and made the weapon safe. The handgun had one magazine inserted, containing five 9mm rounds. There was also one 9mm round in the chamber. Total of six 9mm rounds collected.

Sec/Fire Sys Plan

Criminal History

I completed property receipt, form SL-15, ODROP entry, and submitted the handgun, handgun magazine, and 6 live rounds 9mm into evidence. My Body Worn Camera (BWC) was in use. Sec/Fire Sys Plan picture of the weapon was submitted to evidence.com. No further information is currently available.

EXHIBIT # 3
PAGE # 4

Incident Report Related Property List

Broward County Sheriff's Office

OCA: *11-2401-003034*

1

Property Description	Make	Model	Caliber
HANDGUN	**SPRINGFIELD ARY**	**XD**	**9MM**

Color	Serial No.	Value	Qty	Unit	Jurisdiction
Black/Silver	[redacted]	[redacted]	**1.000**		**Locally**

Status	Date	NIC #	State #	Local #	OAN
Found	**01/10/2024**				

Name (Last, First, Middle)	DOB	Age	Race	Sex
*** No name ***				

Notes

2

Property Description	Make	Model	Caliber
GUN MAGASINE			**9MM**

Color	Serial No.	Value	Qty	Unit	Jurisdiction
Silver/Black		[redacted]	**1.000**		**Locally**

Status	Date	NIC #	State #	Local #	OAN
Found	**01/10/2024**				

Name (Last, First, Middle)	DOB	Age	Race	Sex
*** No name ***				

Notes

3

Property Description	Make	Model	Caliber
9MM ROUNDS			**9MM**

Color	Serial No.	Value	Qty	Unit	Jurisdiction
		[redacted]	**6.000**		**Locally**

Status	Date	NIC #	State #	Local #	OAN
Found	**01/10/2024**				

Name (Last, First, Middle)	DOB	Age	Race	Sex
*** No name ***				

Notes

EXHIBIT # 3
PAGE # 5

Broward County Sheriff's Office OCA: **112401003034**

THE INFORMATION BELOW IS CONFIDENTIAL - FOR USE BY AUTHORIZED PERSONNEL ONLY

Case Status: *INACTIVE* **Case Mng Status:** *INACTIVE* **Occured:** *01/10/2024*

Offense: *FOUND PROPERTY*

Investigator: *WESOLOWSKI, S. C. (9386)* **Date / Time:** *01/11/2024 13:34:26, Thursday*

Supervisor: *WILLIAMS, C. (14920)* **Supervisor Review Date / Time:** *01/11/2024 15:15:59, Thursday*

Contact: **Reference:** *Follow Up*

On Thursday, January 11, 2024 at approx 0915 hrs Edward Gueits came into the lobby of the district office, 100 SW 3rd St, Pompano Beach Fl 33060 to pick up his firearm.

Edward Gueits advised on Wednesday evening, January 10, 2024, he was at the casino (1800 SW 3rd St) and during his time there his Springfield 9mm (Serial # [redacted] handgun had fallen (without his knowledge) from his concealed hip holster.

Later after Mr. Gueits arrived home he realized that he no longer had his firearm and ultimately contacted the casino. He was advised that a firearm was located and it was turned over to a Pompano Beach District Deputy. The casino personnel gave him this case number 11-2401-003034 and told him to go into the district office and pick up his firearm.

I advised him that I could not release the firearm to him and gave him our main Evidence phone number and a date to call for further information once the firearm was transported to that unit.

Upon checking with the District Evidence Technician CSA Garcia the firearm that was brought in under this case number is the same make, model and serial number that Edward Gueits provided to me this morning.

Mr. Gueits also advised that he has the original bill of sale along with the original case that the weapon came in from the factory when he purchased it.

Note: He did show me his concealed weapons permit.

EXHIBIT # 3
PAGE # 6

Redaction Date: 2/29/2024 2:35:59 PM

Redaction Log

Total Number of Redactions in Document: 6

Redaction Reasons by Page

Page	Reason	Description	Occurrences
4	911 Caller	ID of caller to "911" - §365.171(12)	2
4	Sec/Fire Sys Plan	Security or Fire safety system plan - §119.071(3)	3
4	Criminal History	Criminal History Data (FCIC/NCIC) - §943.0525; FAC 11C-6.004	1

EXHIBIT #3
PAGE # 7

Harrah's
POMPANO BEACH

NOTICE OF EXCLUSION

To: Edwards Gueits Date of Birth [redacted]

SSN: _____ DL#: _____ DL State: ____ DL expire: _____

Height: 5'7 Weight: 240 Race: Caucasian

Hair Color: Gray Eye Color: Hazel Gender: Male

You have been excluded for a specific period of time per the Management of Harrah's Pompano Beach.

On this 10th day of January, 2024, you are hereby ordered to leave the property of Harrah's Pompano Beach. You are further advised that you are not to enter or remain at any time on property. Prior written consent must be obtained from the General Manager in order to be allowed back onto the property.

Your removal and denial of access complies with state applicable laws and is not founded on race, creed, color or national origin.

You are further advised that if in the future you knowingly enter or retain in or upon the grounds or facilities of Harrah's Pompano Beach, you will be considered a trespasser and may be subject to arrest.

Reason for Exclusion: Management Decision

Address: 4020 Galt Ocean DR Apt 706
Fort Lauderdale, FL 33308

Incident Report Number: IN20240003493

Caesars Rewards #: [redacted]

Length of Exclusion:

30 Days 90 Days 1 Year 3 Years Permanent

Other: _____

Acknowledged by: _____
(Customer Signature)

Issued by: 8C0748492

Witness: _____

EXHIBIT # 4
PAGE # 1

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1098 - Unlicensed Complaints**			Status	**20 Under Investigation**	Status Date	**02/16/2024**
Complaint #	**2024009508**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**GUEITS, EDWARD**	Responsible	**lvila - VILA, LISA**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**IV-E - Other**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**01/11/2024**
Region	**SR - Southern Region**	Received	**02/14/2024**
Reference	**551.112**		
Entered	**02/16/2024**	Entered By	**cstubbs1**

Summary: **430 - PPI, INC - Exclusions of certain persons. On Thursday, January 11, 2024, Security Administration, Wanda Ortiz informed, Security Director John Keenan, that a male patron identified as Edward Gueits, advised he was at the facility on January 10, 2024, and believed he lost his firearm. Gueits was informed that bringing his firearm on property was prohibited and that the firearm was seized by Broward Sherriff Office (BSO) deputies. Subsequently, Security informed Gueits that due to his actions, he was Permanently Excluded from property. It should be noted that Gueits was observed consuming alcohol while carrying his firearm prior to him dropping it on the slot gaming floor.**

Updated **02/16/2024 09:45:02** By **cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

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EXHIBIT # 5
PAGE # 1

[redacted]

nagement decision	IN20240003493	1401897842	Gueits		Edward	[redacted]

[redacted]

EXHIBIT 1

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1098 - Unlicensed Complaints**			Status	**90 Closed**	Status Date	**03/22/2024**
Complaint #	**2024009508**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
Docket#		Respondent	**GUEITS, EDWARD**	Responsible	**bjones - JONES, BRADFORD**		**Private Case**

Complaint | Respondent | Complainant | Addt'l Info

Source	**INTN - Internal**	Security Level	**1**
Form	**INTR - Internal**	Priority	
Class'n	**IV-E - Other**	Complexity	**R - Regular**
Security	**STND - Standard**	Incident	**01/11/2024**
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Summary: **430 - PPI, INC - Exclusions of certain persons. On Thursday, January 11, 2024, Security Administration, Wanda Ortiz informed, Security Director John Keenan, that a male patron identified as Edward Gueits, advised he was at the facility on January 10, 2024, and believed he lost his firearm. Gueits was informed that bringing his firearm on property was prohibited and that the firearm was seized by Broward Sherriff Office (BSO) deputies. Subsequently, Security informed Gueits that due to his actions, he was Permanently Excluded from property. It should be noted that Gueits was observed consuming alcohol while carrying his firearm prior to him dropping it on the slot gaming floor.**

Updated **03/25/2024 15:00:11** By **cstubbs1**

☐ Parties ☑ Activities
☑ Allegations ☐ Discipline
☐ Violations ☐ Compliance
☐ Related ☑ Disposition
☐ Inspection
☐ Costs
☐ Time Tracking Auto Assign
☐ Attachments History
☐ Work Notes Print Report

Change | Save | OK | Cancel | Back

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Florida Gaming Control Commission

Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Phone: 954.202.3900 • Fax: 954.202.3930

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office:	Region:	Date of Complaint:	Case Number:
PMW	**SOUTHERN**	**02/14/2024**	**2024 00 9508**

Respondent:	Complainant:
GUEITS, EDWARD **4020 GALT OCEAN DR. #706** **FORT LAUDERDALE, FL.**	**DIVISION OF PARI-MUTUEL WAGERING** **OFFICE OF INVESTIGATIONS** **1400 W. COMMERCIAL BLVD. SUITE 165** **FT. LAUDERDALE, FL. 33309** **954-202-3900**

License # and Type:	Profession:	Report Date:
N/A	**Patron**	**March 20, 2024**

Period of Investigation:	Type of Report:
February 14, 2024 – March 15, 2024	**FINAL**

VIOLATION(S)/ TITLE(S) FSS 51.112 Exclusions of certain persons.—In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the division may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state.

DESCRIPTION: On Thursday, January 11, 2024, Harrah's Pompano Beach Security Administrative Assistant, Wanda Ortiz informed Security Director, John Keenan, that a male patron identified as Edward **GUEITS** approached her and advised that he was at the facility the day before and believed he lost his firearm. **GUEITS** was informed by Security Director Keenan that bringing a firearm on property was prohibited and that the firearm was seized by Broward Sheriff's Office (BSO) Deputies. Subsequently, Security informed **GUEITS** that due to his actions, he was Permanently Excluded from the property. Additionally, surveillance video revealed that **GUEITS** was consuming alcohol while carrying his firearm prior to him dropping it on the slot gaming floor.

Related Case(s):

Investigator / Date : March 20, 2024	Investigator Supervisor / Date: March 22, 2024
Lisa Vila	Tyrell Smith

Chief of Investigations / Date

Bradford D. Jones / March 22, 2024

CONTINUATION

Upon reviewing the January Exclusion Report submitted by Harrah's on February 6, 2024, I met with Security Administrative Assistant, Wanda Ortiz on February 8, 2024, at Harrah's Casino. When asked about the incident that occurred on January 10, 2024, Ortiz advised that a male patron responded to the Security office on January 11, 2024. He identified himself as Edward **GUEITS** and advised he was at the facility on January 10, 2024, and lost his firearm.

Ortiz explained to **GUEITS** that the firearm was located on the slot gaming floor and Broward Sherriff Office (BSO) Deputies were called. She further explained that due to the no weapons policy at the facility, the responding Deputies seized the firearm. Subsequently, **GUEITS** was informed that bringing the firearm was not permitted and he was advised the House Rules were posted at the entrance. Security Director, John Keenan informed **GUEITS** that due to his actions, he was being Permanently Excluded from property. **GUEITS** refused to sign the Harrah's Notice of Exclusion, and stated he had a permit to carry the firearm. He then left the facility.

An Exclusion Letter was mailed to the last known address for **GUEITS,** which was obtained from his player's club account **(EXHIBIT# 4).**

On February 9, 2024, I submitted an Open Case Request to Investigations Supervisor Tyrell Smith for review.

On February 23, 2024, I received a copy of the Surveillance Video from Surveillance Operator M. Diaz, depicting coverage of the incident. The Surveillance footage was uploaded in the FGCC Investigations SharePoint file and marked as evidence. The Property Receipt is assigned **(EXHIBIT #2).**

Prior to entering the footage into evidence, I viewed it inside the Harrah's Surveillance Office. The events were corroborated by the written Surveillance Report, which was included in the Security Incident Report #20240003493 **(EXHIBIT# 1 pg. 1-2).**

The surveillance coverage shows that at 5:34 P.M., **GUEITS** was on the gaming floor, and walked over to slot machine (P-18-01). As he is sitting down, the firearm is observed falling from the back of his pants onto the floor underneath the machine. The firearm remained out of view until it was discovered by the cleaning staff at approximately 8:00 P.M.

On February 29, 2024, I requested and received a copy of Broward Sheriff's Office, report # 11-2401-003034 **(EXHIBIT #3).** The report indicates that **GUEITS** responded to the BSO Pompano Beach office to retrieve his firearm. He produced a copy of his bill of sale and concealed weapons permit. **GUEITS** was directed to contact the BSO Main Evidence Unit for further assistance.

On March 4, 2024, I met with Security Director, Keenan who identified the location of the posted House Rule signs at the entrance. The sign clearly indicated that weapons are prohibited at the facility **(EXHIBIT# 1 pg. 3).**

I made several attempts to contact **GUEITS** on February 29,2024, and March 6,2024. Both contact numbers he provided to BSO were called with negative results and his voicemail was full.

Status: Case closed by Investigations and forwarded to Legal for review.

TABLE OF CONTENTS

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II. INVESTIGATIVE REPORT ……..

III. EXHIBITS

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2. Copy of Property receipt. Surveillance video……1-1
3. BSO police report. Case # 11-2401-003034……..…….....1-7
4. Harrah's Notice of Exclusion……....1-1
5. VERSA printout……...1-1

Incident File Summary Report

Incident File #IN20240003493

Incident File # :	IN20240003493	**Record Creation Details**	
Date/Time Occurred:	1/10/2024 8:06:00 PM	Department:	Security
Day of Week Occurred:	Wednesday	Owner:	800762621
Date/Time Created:	1/10/2024 9:26:03 PM	Operator ID:	800762621
Date/Time Closed:		Operator Name:	
Closed By:		Personnel ID:	

Location of Incident:

Property:	Harrah's Pompano Beach
Location:	05 Gaming Floor
Sublocation:	

Details of Incident:

Daily Log #:	DL20240062655
Incident Type:	~Informational
Specific:	Law Enforcement
Category:	Assistance Provided
Incident Status:	Open
Synopsis:	S-6 Dorreme responded to a call for a firearm found on the floor at P18-01. Firearm was retrieved and brought to dispatch and put in a secure area. BSO was called at 20:10 and arrived to retrieve the weapon at 20:25. BSO safely confiscated the weapon and left property at 20:40 with the firearm.
Checklist:	
Narrative:	

Created On	Created By	Modified On	Modified By
1/10/2024 9:26:05 PM	800762621	1/11/2024 8:01:27 AM	800766944

On Wednesday, January 10, 2024, at 2006 hours, Surveillance notified Senior Security Officer II Dorreme that a weapon was on floor near P-18-01. Dorreme responded. Surveillance was notified for coverage and review.

Upon arrival, Dorreme met with Housekeeping Supervisor Felipe Vecci-Rocha ([redacted] who stated that Casino Cleaner I Felipe Millan ([redacted] was cleaning the area (Gaming Terrace) when he noticed a firearm on the floor next to Slot Machine P-18-01. Vecci-Rocha stated that Millan advised him, and he notified Security. Dorreme arrived on the scene and took possession of the firearm with gloves on and secured it in Security Dispatch. Broward Sheriff Office was notified at 2010 hours. Broward Sheriff Deputy Prachtkaov badge# 20253 arrived on property at 2025 hours via the North Entrance. Dorreme escorted BSO to Security Dispatch where he took possession of the firearm and provide case# 11-2401-003034.

Guest was later identified through CMS system as Edward Gueits (Winet# [redacted] by Casino Operations Shift Manager Angelica Dudley ([redacted]).

Surveillance coverage shows at 1734 hours, a Caucasian male sat down at P-18-01 when the firearm fell of the left side of his waist.

EXHIBIT # (
PAGE # 1

For the purpose of this report, all times are approximate.

Reporting Party:	Supervisor:

Created On	Created By	Modified On	Modified By
1/11/2024 9:58:13 AM	800766944	1/12/2024 8:34:11 PM	800762881

Supplemental: On Thursday, January 11, 2024, at 0834 hours, Security Administration Wanda Ortiz informed Security Dispatch that a Security Supervisor was needed to the North Entrance for guest assistance. Security Director John Keenan, Security Manager Juan Colchado, and Security Supervisor Jackson Charles responded. Surveillance was notified for coverage.

Upon arrival, Colchado spoke with a male patron identified as Edward Gueits ([redacted] years old, Winet# [redacted]). Gueits informed Colchado that he was in the Casino yesterday and believed he lost his firearm. Gueits further stated that he had the firearm on his waist when he lost it. Colchado informed Gueits that bringing his firearm on property is prohibited and that the firearm was returned to Broward Sherriff Office (BSO). Colchado further informed Gueits that due to his action, he is Permanently Excluded from property. Colchado then provided Gueits with the BSO case number. Gueist exited out of the North Entrance. No further information at this time.

For the purpose of this report, all times are approximately.

Executive Brief:

EXHIBIT # 1
PAGE # 2

Reporting Party:

Supervisor:



East entrance Harrah's Pompano Beach

EXHIBIT # 5
PAGE #

State of Florida
Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
Investigations Section

PROPERTY RECEIPT

Complaint # 2024009503 Date _____ Lab # _____

Item No.	Quantity	Description
1	1	1 Jump Drive Surv. Evidence Video

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.	I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.
Signature	Signature – Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature _____ Date _____

Received by: _____ Date: _____
Received by: _____ Date: _____
Received by: _____ Date: _____

EXHIBIT # 2
PAGE # 1

Copies for: File, Transmittal, Laboratory, Property Receipt

Form DBPR 11-75 (Revised 01/04)

INCIDENT/INVESTIGATION REPORT

Agency Name: *Broward County Sheriff's Office*					Case#: *11-2401-003034*
ORI: *0060700*					Date / Time Reported: *01/10/2024 20:09 Wed*
Location of Incident: *1800 SW 3RD ST, Pompano Beach FL 33069*	Gang Relat: NO	Premise Type: *Gambling*	Zone/Tract: 1109		Last Known Secure: *01/10/2024 17:35 Wed*
					At Found: *01/10/2024 20:09 Wed*

INCIDENT DATA

#1	Crime Incident(s): *Found Property FOUN* (Com)	Weapon / Tools: *Personal Weapons*			Activity: *N*
		Entry	Exit	Security	
#2	Crime Incident ( )	Weapon / Tools			Activity
		Entry	Exit	Security	
#3	Crime Incident ( )	Weapon / Tools			Activity
		Entry	Exit	Security	

MO

VICTIM

# of Victims: *0* Type: Injury: Domestic: NO

V1	Victim/Business Name (Last, First, Middle)	Victim of Crime #	DOB / Age	Race	Sex	Relationship To Offender	Resident Status	Military Branch/Status

Home Address	Email	Home Phone
Employer Name/Address	Business Phone	Mobile Phone

VYR	Make	Model	Style	Color	Lic/Lis	VIN

OTHERS INVOLVED

CODES: V- Victim (Denote V2, V3) WI = Witness IO = Involved Other RP = Reporting Person (if other than victim)

Type: INDIVIDUAL (NOT A LE OFFICER) Injury:

[redacted]

PROPERTY

1 = None 2 = Burned 3 = Counterfeit / Forged 4 = Damaged / Vandalized 5 = Recovered 6 = Seized 7 = Stolen 8 = Unknown ("OJ" = Recovered for Other Jurisdiction)

VI #	Code	Status Frm/To	Value	OJ	QTY	Property Description	Make/Model	Serial Number
	13	FOUN	[redacted]		1	HANDGUN	9MM/SPRINGFIELD ARY/Xd	[redacted]
	59	FOUN			1	GUN MAGASINE	9MM/	
	59	FOUN			6	9MM ROUNDS	9MM/	

Officer/ID#: *PRACHTAKOV, L. T. (3455) (20253)*

Invest ID#: *PRACHTAKOV, L. T. (3455) (20253)* Supervisor: *WILLIAMS, C. (3455) (14920)*

Status: Complainant Signature | Case Status: *Inactive* *01/11/2024* | Case Disposition:

EXHIBIT # 3
PAGE # 1

Incident Report Additional Name List

Broward County Sheriff's Office

OCA: *11-2401-003034*

Additional Name List

	Name Code/#	Name (Last, First, Middle)	Victim of Crime #	DOB	Age	Race	Sex
1)	*IO* *2*	*GUEITS, EDWARD*		[redacted]	[redacted]	*W*	*M*
	Address	*4020 GALT OCEAN DR Apt. 706, FORT LAUDERDALE, FL 3*		**H:** [redacted]			
	Empl/Addr			**B:** - -			
				Mobile #: - -			

EXHIBIT # 3
PAGE # 2

INCIDENT/INVESTIGATION REPORT

Broward County Sheriff's Office

Case # *11-2401-003034*

Status Codes L = Lost S = Stolen R = Recovered D = Damaged Z = Seized B = Burned C = Counterfeit / Forged F = Found

	UCR	Status	Quantity	Type Measure	Suspected Type	Up to 3 types of activity
DRUGS						

Assisting Officers

Suspect Hate / Bias Motivated: *UNKNOWN*

INCIDENT/INVESTIGATION REPORT

Narr. (cont.) OCA: 11-2401-003034 *Broward County Sheriff's Office*

NARRATIVE

Zone 1109

Date/Time
01-10-2024/2009

Found Property

EXHIBIT # 3
PAGE # 3

On Wednesday, January 10, 2024, at approximately 2012 hours I was dispatched to Harrah's casino located at 1800 SW 3rd St, Pompano Beach, Broward County, FL in reference to a found property incident that just occurred. Upon arrival I contacted the reporting person, Tracy Dorreme, senior security officer at the casino, who advised the following:

At approximately 2005 hours a casino employee, Felipe Millin, cleaning crew member, found a handgun laying on casino's floor. The handgun was found in casino's Gaming Terrace area, near the slot machine P18-01. Millin did not touch the handgun and notified casino's security department immediately. 911 Caller

911 Caller

Sec/Fire Sys Plan

I collected the handgun, 9mm black and silver Springfield Armory, model XD and made the weapon safe. The handgun had one magazine inserted, containing five 9mm rounds. There was also one 9mm round in the chamber. Total of six 9mm rounds collected.

Sec/Fire Sys Plan

Criminal History

I completed property receipt, form SL-15, ODROP entry, and submitted the handgun, handgun magazine, and 6 live rounds 9mm into evidence. My Body Worn Camera (BWC) was in use. Sec/Fire Sys Plan picture of the weapon was submitted to evidence.com. No further information is currently available.

EXHIBIT # 3
PAGE # 4

Incident Report Related Property List

Broward County Sheriff's Office

OCA: *11-2401-003034*

1

Property Description	Make	Model	Caliber
HANDGUN	**SPRINGFIELD ARY**	**XD**	**9MM**

Color	Serial No.	Value	Qty	Unit	Jurisdiction
Black/Silver	[redacted]	[redacted]	**1.000**		**Locally**

Status	Date	NIC #	State #	Local #	OAN
Found	**01/10/2024**				

Name (Last, First, Middle)	DOB	Age	Race	Sex
*** No name ***				

Notes

2

Property Description	Make	Model	Caliber
GUN MAGASINE			**9MM**

Color	Serial No.	Value	Qty	Unit	Jurisdiction
Silver/Black		[redacted]	**1.000**		**Locally**

Status	Date	NIC #	State #	Local #	OAN
Found	**01/10/2024**				

Name (Last, First, Middle)	DOB	Age	Race	Sex
*** No name ***				

Notes

3

Property Description	Make	Model	Caliber
9MM ROUNDS			**9MM**

Color	Serial No.	Value	Qty	Unit	Jurisdiction
		[redacted]	**6.000**		**Locally**

Status	Date	NIC #	State #	Local #	OAN
Found	**01/10/2024**				

Name (Last, First, Middle)	DOB	Age	Race	Sex
*** No name ***				

Notes

EXHIBIT # 3
PAGE # 5

Broward County Sheriff's Office OCA: **112401003034**

THE INFORMATION BELOW IS CONFIDENTIAL - FOR USE BY AUTHORIZED PERSONNEL ONLY

Case Status: *INACTIVE* **Case Mng Status:** *INACTIVE* **Occured:** *01/10/2024*

Offense: *FOUND PROPERTY*

Investigator: *WESOLOWSKI, S. C. (9386)* **Date / Time:** *01/11/2024 13:34:26, Thursday*

Supervisor: *WILLIAMS, C. (14920)* **Supervisor Review Date / Time:** *01/11/2024 15:15:59, Thursday*

Contact: **Reference:** *Follow Up*

On Thursday, January 11, 2024 at approx 0915 hrs Edward Gueits came into the lobby of the district office, 100 SW 3rd St, Pompano Beach Fl 33060 to pick up his firearm.

Edward Gueits advised on Wednesday evening, January 10, 2024, he was at the casino (1800 SW 3rd St) and during his time there his Springfield 9mm (Serial # [redacted] handgun had fallen (without his knowledge) from his concealed hip holster.

Later after Mr. Gueits arrived home he realized that he no longer had his firearm and ultimately contacted the casino. He was advised that a firearm was located and it was turned over to a Pompano Beach District Deputy. The casino personnel gave him this case number 11-2401-003034 and told him to go into the district office and pick up his firearm.

I advised him that I could not release the firearm to him and gave him our main Evidence phone number and a date to call for further information once the firearm was transported to that unit.

Upon checking with the District Evidence Technician CSA Garcia the firearm that was brought in under this case number is the same make, model and serial number that Edward Gueits provided to me this morning.

Mr. Gueits also advised that he has the original bill of sale along with the original case that the weapon came in from the factory when he purchased it.

Note: He did show me his concealed weapons permit.

EXHIBIT # 3
PAGE # 6

Redaction Date: 2/29/2024 2:35:59 PM

Redaction Log

Total Number of Redactions in Document: 6

Redaction Reasons by Page

Page	Reason	Description	Occurrences
4	911 Caller	ID of caller to "911" - §365.171(12)	2
4	Sec/Fire Sys Plan	Security or Fire safety system plan - §119.071(3)	3
4	Criminal History	Criminal History Data (FCIC/NCIC) - §943.0525; FAC 11C-6.004	1

EXHIBIT #3
PAGE # 7

Harrah's
POMPANO BEACH

NOTICE OF EXCLUSION

To: Edwards Gueits Date of Birth [redacted]

SSN: _____ DL#: _____ DL State: ____ DL expire: _____

Height: 5'7 Weight: 240 Race: Caucasian

Hair Color: Gray Eye Color: Hazel Gender: Male

You have been excluded for a specific period of time per the Management of Harrah's Pompano Beach.

On this 10th day of January, 2024, you are hereby ordered to leave the property of Harrah's Pompano Beach. You are further advised that you are not to enter or remain at any time on property. Prior written consent must be obtained from the General Manager in order to be allowed back onto the property.

Your removal and denial of access complies with state applicable laws and is not founded on race, creed, color or national origin.

You are further advised that if in the future you knowingly enter or retain in or upon the grounds or facilities of Harrah's Pompano Beach, you will be considered a trespasser and may be subject to arrest.

Reason for Exclusion: Management Decision

Address: 4020 Galt Ocean DR Apt 706
Fort Lauderdale, FL 33308

Incident Report Number: IN20240003493

Caesars Rewards #: [redacted]

Length of Exclusion:

30 Days 90 Days 1 Year 3 Years Permanent

Other: _____

Acknowledged by: _____
(Customer Signature)

Issued by: 8C0748492

Witness: _____

EXHIBIT # 4
PAGE # 1

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update | Public Case Info

Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	**1098 - Unlicensed Complaints**			Status	**20 Under Investigation**	Status Date	**02/16/2024**
Complaint #	**2024009508**	Case Type	**CMP - Complaint**	Disposition		Disposition Date	
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Complaint | Respondent | Complainant | Addt'l Info

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Form	**INTR - Internal**	Priority	
Class'n	**IV-E - Other**	Complexity	**R - Regular**
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Updated **02/16/2024 09:45:02** By **cstubbs1**

☐ Parties ☑ Activities
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EXHIBIT # 5
PAGE # 1

[redacted]

nagement decision	IN20240003493	1401897842	Gueits		Edward	[redacted]

[redacted]

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 5/03/2024
File Number:
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

ELECTION OF RIGHTS

FGCC v. GUEITS, EDWARD **CASE NO.: 2024-009508**

PLEASE CHECK ONLY ONE OF THE THREE OPTIONS.

Option (1) ☐ **I do not dispute the allegations** of material fact in the Administrative Complaint. I wish to submit oral and written evidence in mitigation at a hearing pursuant to Section 120.57(2), Florida Statutes ("informal hearing") and that this oral and written evidence be considered before any penalty and fines are imposed.

Option (2) ☐ **I do dispute the allegations** of material fact in the Administrative Complaint. This is a petition for a hearing involving disputed material facts pursuant to Sections 120.569(2)(a) and 120.57(1), Florida Statutes, ("formal hearing") before an Administrative Law Judge of the Division of Administrative Hearings. **I specifically dispute the following paragraphs in the Administrative Complaint** (attach extra pages or write on the back if needed):

_____

_____

In addition to the above election for formal hearing, if you wish to enter into settlement negotiations, check the box below:

☐ Section 120.569(2)(a), Florida Statutes, requires the Department to send this case to the Division of Administrative Hearings (DOAH) for a formal hearing within 15 days after receiving your Election of Rights. I am interested in settling this case and waive the 15-day requirement in order to enter into settlement negotiations with the Department.

Option (3) ☒ **I do not dispute the allegations** of material fact in the Administrative Complaint and **waive my right** to any form of hearing. I request that a Final Order imposing a penalty and fines be entered in this case. The Final Order will be placed on the next available Florida Gaming Control Commission Meeting. Respondent is not required to attend, but may check the Florida Gaming Control Commission website at www.fgcc.fl.gov for the meeting materials, agenda, and contact information.

THIS IS A **LEGALLY BINDING DOCUMENT**. SEEK LEGAL ADVICE BEFORE SIGNING IF YOU DO NOT FULLY UNDERSTAND THE TERMS.

Edward Gueits
PRINTED NAME

4020 Gulf Ocean Dr
Street Address

Ft. Lauderdale Florida 33306
City State Zip

516-721-8735
Telephone Number Facsimile Number (if any)

livingueits@Yahoo.com
E-mail

SIGNATURE

ATTORNEY OR QUALIFIED REPRESENTATIVE

Street Address (where service shall be made)

City State Zip

Telephone Number Facsimile Number (if any)

E-mail

THE ELECTION OF RIGHTS FORM AND ANY ATTACHMENTS SHOULD BE RECEIVED BY THE COMMISSION WITHIN 21 DAYS AND SENT TO:

Office of the General Counsel
Florida Gaming Control Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Attention: Ebonie N. Lanier, Administrative Assistant III
Telephone: (850) 794-8072 Fax: (850) 563-8709
Email: Ebonie.Lanier@flgaming.gov

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

EXPLANATION OF THE ELECTION OF RIGHTS

Please read the Administrative Complaint before choosing one of the three options. The Election of Rights is a **legally binding** document. Consult an attorney or call the Commission's attorney named in the cover letter if you do not understand your options.

You can only select **ONE** of the options numbered (1), (2) and (3) on the Election of Rights form. You must sign the form. The form must be **received** by the Commission at the address at the bottom of the form within 21 days after the date **you receive** the complaint.

The three options are:

1. Check option (1) if you **do not dispute** any material fact alleged in the Administrative Complaint. You will be given a hearing pursuant to Section 120.57(2), Florida Statutes ("informal hearing") and have the opportunity to present written and oral evidence in mitigation at the informal hearing.

2. Check option (2) if you **do dispute** any material fact alleged in the Administrative Complaint. This is considered a petition for an evidentiary administrative hearing ("formal hearing") pursuant to Sections 120.569(2)(a) and 120.57(1), Florida Statutes, and requires the Department to send this case to the Division of Administrative Hearings (DOAH) within 15 days of your completed petition requesting a formal hearing. This election is made by checking the box marked (2) on the Election of Rights. Pursuant to Rule 28-106.201, F.A.C., you must also state which material fact(s) alleged in the Administrative Complaint you dispute. Please use the space provided on form, and attach any additional pages or use the back of the form if needed.

> **After** electing option (2) explained above and **regardless** of whether you dispute any material fact alleged in the Administrative Complaint, you may **also** request the opportunity to discuss settlement of the case pursuant to Section 120.57(4), Florida Statutes.

3. Check option (3) if you **do not dispute** any material fact and waive your right to any form of hearing. This means that the Department will recommend a penalty on the charges set forth in the Administrative Complaint without input from you.

If the Department does not **receive** your Election of Rights within **21** days after you **receive** the Administrative Complaint, this will be considered a waiver of your right to elect any form of hearing and a Final Order may be entered against you imposing a penalty and fines.

YOU MUST LET THE COMMISSION KNOW IF YOUR MAILING ADDRESS CHANGES

THE ELECTION OF RIGHTS FORM AND ANY ATTACHMENTS SHOULD BE RECEIVED BY THE COMMISSION WITHIN 21 DAYS AND SENT TO:

Office of the General Counsel
Florida Gaming Control Commission
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399
Attention: Ebonie N. Lanier, Administrative Assistant III
Telephone: (850) 794-8072 Fax: (850) 563-8709
Email: Ebonie.Lanier@flgaming.gov

2024 MAY -3 AM 11:54

FLORIDA GAMING
CONTROL COMMISSION

Mr. Edward Gueits
4020 Galt Ocean Dr Apt 706
Fort Lauderdale, Fl. 33308
516-721-8735

To Florida Gaming Control Commission

Ref.: Case # 2024-009508

Please take a moment to review enclosed papers which I have prepared for your consideration in reviewing Complaint. (Work & Contributions to Families with Problems)

Henceforth I will keep my weapon home

I do not recall Security asking me to Sign Notice of exclusion., nor receiving any correspondence on this matter

Please review notice on prohibiting weapons on premises, It is not clear & my eyesight at age 93½ is Not what it use to be.

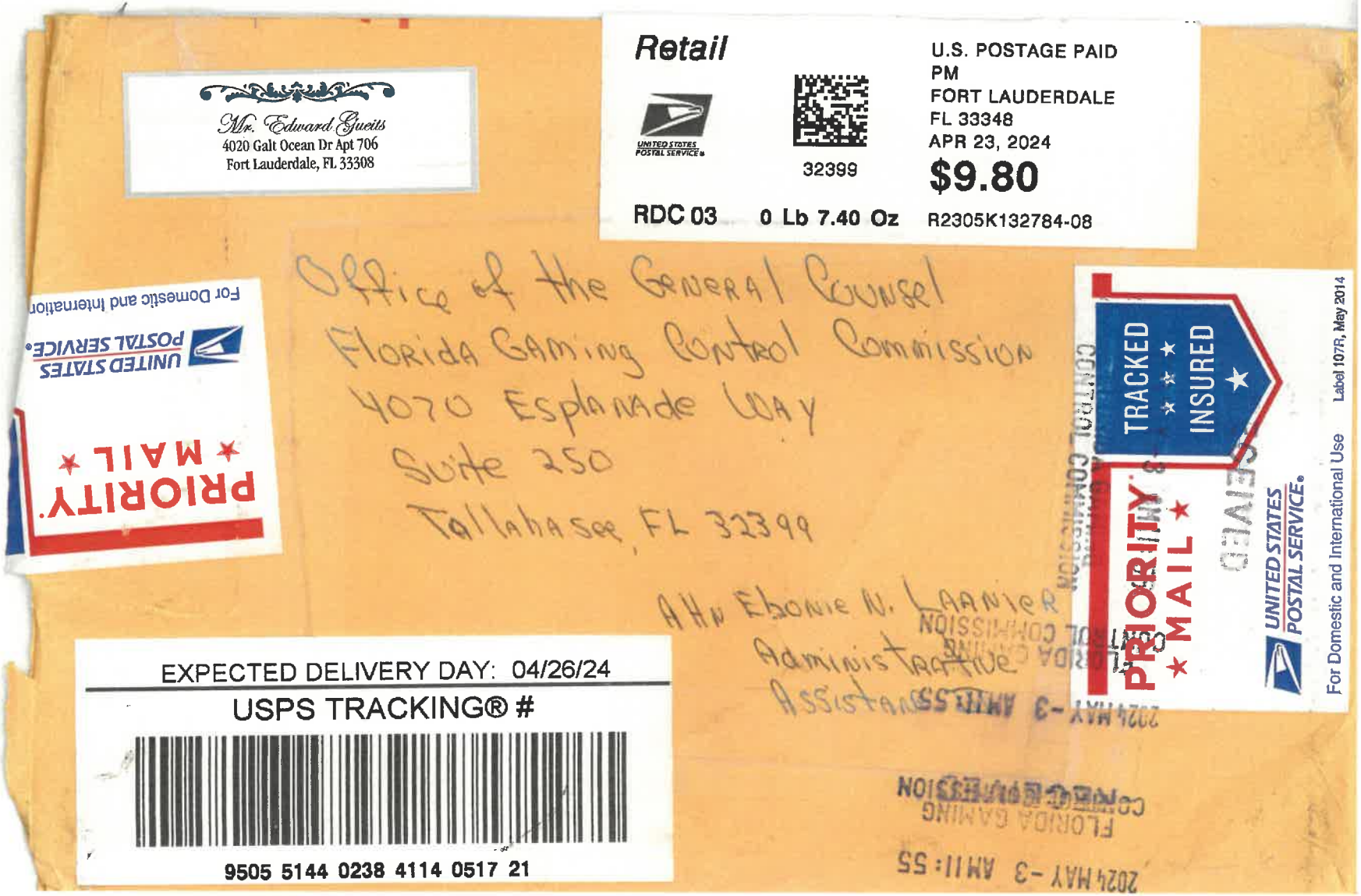
I trust & know your decision on this matter will be a fair one.

Regret this entire matter in its utmost

Sincerely,

Edward Gueits

PS: Donations made & work with Reed Rizzo family institute. We also made donations to various other Non-Profit Organizations helping the sick & Underprivileged


Mr. Edward Gueits
4020 Galt Ocean Dr Apt 706
Fort Lauderdale, FL 33308
Retail
UNITED STATES POSTAL SERVICE
32399
U.S. POSTAGE PAID
PM
FORT LAUDERDALE
FL 33348
APR 23, 2024
$9.80
RDC 03
0 Lb 7.40 Oz
R2305K132784-08
For Domestic and International
UNITED STATES POSTAL SERVICE
PRIORITY MAIL
Office of the General Counsel
Florida Gaming Control Commission
4070 Esplanade Way
Suite 250
Tallahasee, FL 32399
Attn Ebonie N. Laanier
Administrative
Assistant
EXPECTED DELIVERY DAY: 04/26/24
USPS TRACKING® #
9505 5144 0238 4114 0517 21
TRACKED
INSURED
PRIORITY MAIL
UNITED STATES POSTAL SERVICE
For Domestic and International Use
Label 107R, May 2014
2024 MAY -3 AM 11:55
FLORIDA GAMING CONTROL COMMISSION

London Broker with Lloydes

"Longmeads"
High Street
Ingatestone
Essex
CM4 0DY

22nd May 2007

Dear Eddie,

With your "D" date fast approaching I could not let it pass without at least expressing my appreciation and pride at having had the pleasure of knowing you and working with you for nearly 30 years. Where has the time gone? I think John Lennon got it right when he sang the words "Life is what happens to you when you are busy making other plans" - how true in a world which is so fast-paced nowadays and when we eventually manage to "sit a while and smell the roses", a decade has passed us by.

Our business lives probably exceeded all our hopes and aspirations when we first entered the insurance world but I think the pair of us were quite fortunate in having some good mentors in our early careers which, coupled with our own hard work, enabled us to provide a good income for our families.

Eddie, from the moment we first met you have never changed. You were and have always been totally honest, very loyal, generous and supportive. Without doubt you are one of the world's good guys. I have never met anyone who had a bad word to say about you and am sure that the many people you have encountered over the years must share some great memories of the times spent in your company. I am no exception. How can I ever forget the evening when you, me and Jerry decided to go swimming at your home after a very boozy evening; the trip to Atlantic City or the car journey from Manhattan to your home during a very heavy snow storm and your generosity in allowing me to choose a very expensive bottle of port. Wonderful times - but knowing the type of person you are I am sure that at least 50 other people can also recall similar events whilst in your company.

Life has not always been a bed of roses and on occasions you have certainly been through the wringer with some very painful personal experiences but even during the depths of those dark periods you still had time for others which says something about the man "Eddie" whom it has been a privilege to know.

The Clarks will always have a soft spot for you and your wonderful family and I hope that we will always keep in touch. Enjoy your retirement to the full and convey our best wishes to Livvie. Keep well and live long.

Your friend,

Jim

The New York Public Library

Fifth Avenue and 42nd Street, New York, New York 10018-2788

Office of the President

September 12, 2006

Mr. and Mrs. Edward Gueits
Admiral Walk
1077 River Road, Suite 411
Edgewater, NJ 07020

Dear Mr. and Mrs. Gueits:

It is my great pleasure to inform you that you were nominated by Ms. Maria Elena Girone of the Puerto Rican Family Institute, Inc. for the 2006 Brooke Russell Astor Award. This award was established at The New York Public Library in 1987 by David Rockefeller to honor an outstanding individual whose work has not yet been accorded significant public recognition, but whose dedication and perseverance have made an important contribution toward improving the quality of life in New York City.

As Chairman of the Astor Award selection committee, it is my pleasure to extend a special invitation to you to join us at a cocktail reception to announce the award's recipient and to honor all of the 2006 Brooke Russell Astor Award nominees. The reception will be held in the Trustees Room of The New York Public Library, Fifth Avenue and 42nd Street, on Wednesday, November 1, from 6:00-7:30 p.m.

If you have any further questions, please contact Jaime Kaufman in the Special Events Office at (212) 642-0155.

I look forward to personally congratulating you on your nomination in November.

Cordially,

Paul LeClerc

Paul LeClerc

The Puerto Rican Family Institute Inc.



1960 1993

The Puerto Rican Family Foundation Inc.

Twelfth Annual Gala Event
March 4, 1993



Father Joseph P. Fitzpatrick, S.J.
Professor, Fordham Univ.
President



Maria Jimenez Van Hoy
NYS Insurance Fund
First Vice-President



Frank F. Flores
President, Marsden Reproductions
Second Vice-President



Denisse Oller
Anchorperson, Channel 41
Secretary



Edward Gueits
V.P., Gueits, Adams, & Co.
Sub-Treasurer



Fran Austin
Pres., Telesector Resources Group



Joseph C. Bosch
Sr. V.P. George K. Baum & Co.



Maria Davila
Manager, Special Markets
Pepsi-Cola Bottling, Co. of NY



Magdalena Torres
Consultant



John P. Woods
President, John P. Woods & Co.

Not pictured:
Ramon Rodriquez
Treasurer

Stronghold for Families
Pathfinder for Children

The Puerto Rican Family Institute
&
The Puerto Rican Family Foundation

PUERTO RICAN FAMILY FOUNDATION BOARD

The Puerto Rican Family Foundation was established in 1989 to support the work of the Puerto Rican Family Institute. Its efforts are geared to solidify the Institute's assets and maximize its resources. It will serve as a not for profit holding corporation for the building the agency has purchased to house its Manhattan based programs and administration.

OFFICERS AND MEMBERS



[illegible], S.J.
[illegible]



Maria Jimenez Van Hoy
NYS Insurance Fund
First Vice-President



Frank F. Flores
President, Marsden Reproductions
Second Vice-President



Denisse Oller
Anchorperson, Channel 41
Secretary



[illegible]
[illegible], & Co.
[illegible]



Fran Austin
Pres., Telesector Resources Group



Joseph C. Bosch
Sr. V.P. George K. Baum & Co.



Maria Davila
Manager, Special Markets
Pepsi-Cola Bottling, Co. of NY



Magdalena Torres
Consultant



John P. Woods
President, John P. Woods & Co.

Not pictured:
Ramon Rodriquez
Treasurer

Stronghold for Families
Pathfinder for Children

PUERTO RICAN FAMILY INSTITUTE

Our 42nd Anniversary Gala











CELEBRATING
FAMILIES & CHILDREN
IN THE NEW CENTURY



A Night of Hope

Gueits, Adams & Company

New York Office

GUEITS, ADAMS & COMPANY

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

212-730-5800

FAX: 212-730-9337

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& Fine Arts Insurance Specialists
worldwide for half a century

EDWARD GUEITS

43rd Anniversary Gala

Celebrating Families & Children in the New Century



PUERTO RICAN FAMILY INSTITUTE

Past Honorary Chairpersons & Honorees

1992

Honorary Chairperson

Dennis E. Berberich

Honorees

WCBS • TV • David A. Conklin
Hon. Sally Hernandez Pinero • Hon. Jose E. Serrano
Hon. Miguel Hernandez Agosto

1993

Honorary Chairperson

Bud Carey

Honorees

Anheuser • Busch Companies, Inc. • WXTV - Channel 41
Hon. Nydia M. Velazquez • Cesar A. Perales
Celia Cruz

1994

Honorary Chairperson

Jesus Ragel

Honorees

Ruth Messinger • WNJU-Channel 47
McDonald's, Roberto Madam

1995

Honorary Chairperson

Raymond V. Gilmartin

Honorees

Goya Foods, Inc. • Hispanic Federation of NYC
Raul Julia • Rosie Perez

1996

Honorary Chairperson

Donald B. Reed

Honorees

Matsusita Consumer Electronics Co.
Miriam Colon Valle, PR Traveling Theater
Jane L. Delgado, COSSMHO

1997

Honorary Chairperson

Hon. Rudolph Giuliani

Honorees

Pfizer, Inc. • Rita Di Martino, AT&T
Luis Rojas Marcos, M.D., NYCHH Corp.
Clarence 0. Smith, Essence Communications

1998

Honorary Chairperson

Don Iwatani

Honorees

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Ralph Dickerson, Jr., LTWNYC • Iran Rodriguez

1999

Honorary Chairperson

Lawrence J. Tbal

Honorees

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Toni Fay, Time Warner •
Jose Antonio Torres, Banco Popular

2000

Honorary Chairperson

August A. Busch III

Honorees

Ronald Gade • Lawrence J. Toal
Millie Hernandez-Becker • Edward James Olmos
Magdalena Torres

2001

Honorary Chairperson

Jorge A. Junquera-Diez

Honorees

Liliam Barrios-Paoli • Maria Luisa Ferré Rangel
Francis D. Gómez • William M. Rooney

2002

Honorary Chairperson

Susan Sarandon

Honorees

Health Plus • Edward Gueits
Andy Unanue • Zulema T. Wischovitch

Our Mission

Founded in 1960, The Puerto Rican Family Institute's mission is to maintain families intact through timely and relevant interventions that address the risk factors and reduce family dysfunction in order to avert family disintegration.

43rd Anniversary Gala

* Edward Cuello



3



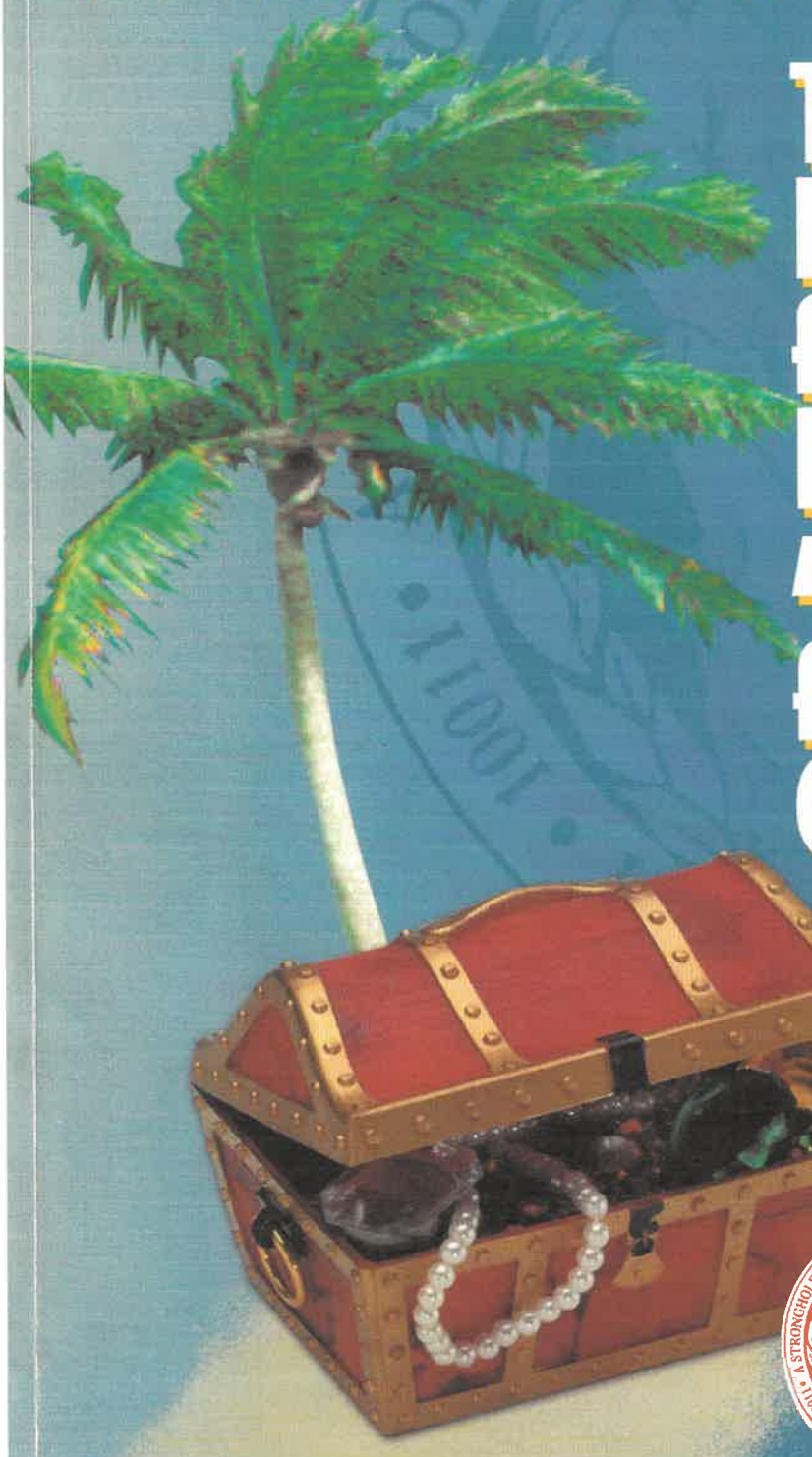
4



5

CELEBRATING FAMILIES AND CHILDREN IN THE NEW CENTURY

A TREASURE HUNT!



THE PUERTO RICAN FAMILY INSTITUTE 44TH ANNIVERSARY GALA

A STRONGHOLD FOR FAMILIES — A PATHFINDER FOR CHILDREN • 145 West 15th Street • New York • NY • 10011 •

THE PUERTO RICAN FAMILY INSTITUTE

Gueits, Adams & Company

New York Office

GUEITS, ADAMS & COMPANY

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

212-730-5800

FAX: 212-730-9337

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EDWARD GUEITS

45th THE PUERTO RICAN FAMILY INSTITUTE, INC. ANNIVERSARY GALA

Honoring Our Latino Families and Children



OUR 45th ANNIVERSARY GALA COMMITTEE

Maria Elena Girone
President & CEO

GALA/CHAIR
Walter Kloc
American Airlines

Cira Angeles
Gundy's & L.A. Brokerage, Inc.

Angela Cabrera
PRFI-Board

Anna Carbonell
NBC4/Telemundo

Ofelia Castiblanco-Grillo
NBC4/Telemundo

Jacqueline DaCosta
PRFF-Board

Maria Davila-Peguero
Citibank

Melba Fantauzzi
Grey Worldwide

Manolo Garcia-Oliva
HOLA/ACE

Jean Hodge
WCBS-Channel 2

June Jee
Verizon

Merel Julia
Actress

Nancy Kanson
Panasonic

Jaime Lucero
Casa Puebla

Franklyn Miranda
Univision Channel 41

Cecilia Noguera
Biotherm

Sofia Oviedo
PRFI

Rocco Papandrea
Merrill Lynch

Mario Paredes
Merill Lynch

Henry Queiro
Diverse Community

Veronica Ramirez
Casa Puebla

Robert Reed
JPMorgan Chase

Migdalia Rivera
PRFI

Iran Rodriguez
PRFF-Board

William Rooney
Panasonic

Patricia Ruiz
Lutheran Medical Center

Thomas Santiago
Microsoft

Felix Serrano
Merrill Lynch

Anthony Toliver
Affinia Hotel

Dora Torres
Verizon

Andres J. Vazquez
Nautica

Gunter G. Villegas
JPMorgan Chase

Sam Vitale
Xerox Premier Plus

June 29, 2005

Dr. Edward Gueits
President
Gueits & Adams Inc.
1155 Avenue of the Americas, 15th Floor
New York, New York 10036

Dear Guiets:

On Behalf of The Puerto Rican Family Institute's Board Of Directors, and this Years Gala Planning Committee, we would like to thank you for joining us at our recent 45th Anniversary Celebration at Chelsea Piers.

It was a memorable evening made possible, in part, by Gueits & Adams Inc. support and friendship. Many of our sponsors that evening have since called to share their benevolent feelings and enjoyment of the ambiance, music, food and entertainment. This enthusiasm energizes us to look forward to the 2006 Gala.

As always, rest assured that we deeply appreciate your support and active participation in our events. We look forward to continuing the collaboration we enjoy with Gueits & Adams Inc..

We will keep you apprised of our progress over the next year, and look forward to seeing you at the 2006 Gala.

If you have any questions or require that we complete supporting documentation, please contact Migdalia Rivera, Director of Development (212-414-7836).

Again, thank you for your support and generosity.

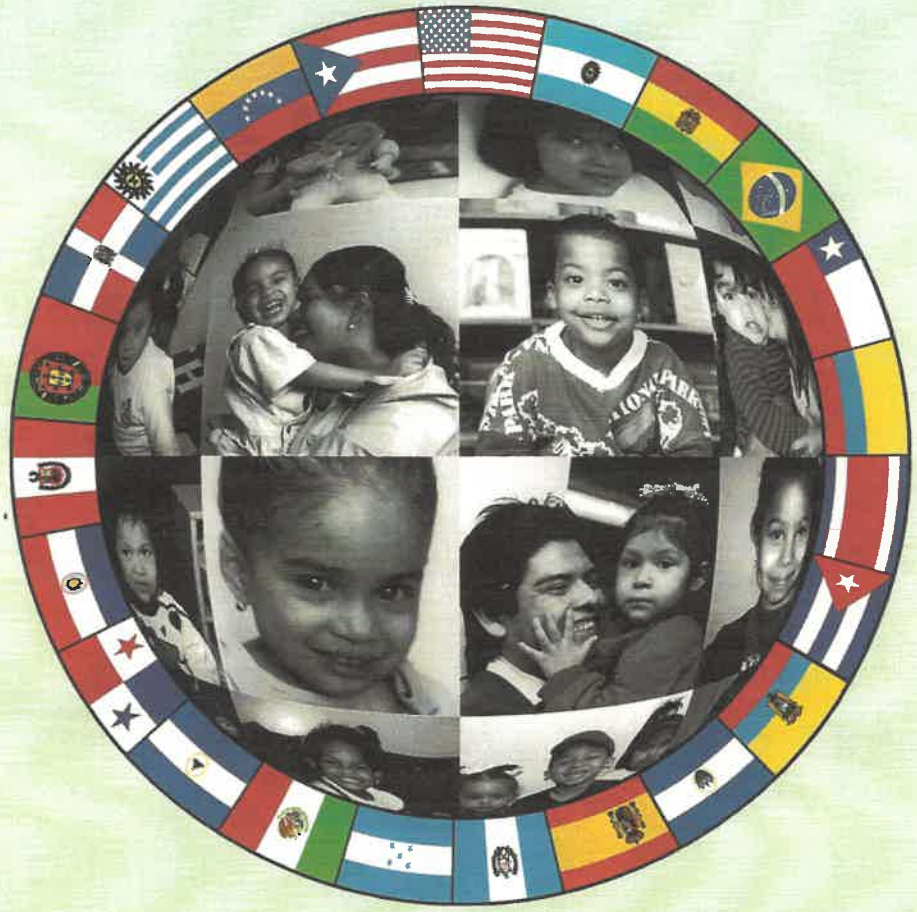
Un Million de gracias!

Sincerely,

Maria Elena Girone
President & CEO

Please note: We also want to make you aware that pursuant to Selection 170 (f)(8) of the Internal Revenue Code, your charitable contribution is tax deductible, less $175.00 for each individual dinner plate for your party.

The Puerto Rican Family Institute, Inc.



45th

ANNIVERSARY GALA

Honoring Our Latino Families and Children

A STRONGHOLD FOR FAMILIES — A PATHFINDER FOR CHILDREN
THE PUERTO RICAN FAMILY INSTITUTE
145 West 15th Street • New York • NY • 10011

Gueits, Adams & Company

New York Office

GUEITS, ADAMS & COMPANY

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

212-730-5800

FAX: 212-730-9337

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EDWARD GUEITS

IN LOVING MEMORY

David Gueits

August 11, 1973-January 1, 2004



"It were wiser to speak less of God, Whom we cannot understand,
and more of each other, whom we may understand.
Yet I would have you know that we are the breath
and the fragrance of God.
We are God, in leaf, in flower, and oftentimes in
fruit."

-Kahlil Gibran (1883-1931)

You have become one with God and you live
in the heart of those that love and miss you.

Mom and Dad
&
Your Family at the Puerto Rican Family Institute

PUERTO RICAN FAMILY INSTITUTE, INC.

46th ANNIVERSARY GALA

Building a Better Tomorrow for our Children and Families



2005
HOLIDAY CHRISTMAS APPEAL TOY DRIVE

Bank Of America
(Charlie Restivo)

BASICS
(Juan Cortez)

Betancourt, Maria I. M.D

Best Buy

Bianco, Victor

Bobis, Carmen

Bosch, Isora

Boyd's Collection Bears

Cookie Lee
(Robert Lee)

Circuit City

Da Costa, Jacqueline

Dr. Jay's

El Charro Español

Efron, Hyman

Gonzalez, Jose

The Gap

Guiets, Edward & Family

JP Morgan Chase
(Laura Winters)

Knowledge Adventures, Inc.

La Flor Mayor Express, Inc
(Frank Hernandez)

Latin Insights LLC

Leapfrog

Macy's

Mc Graw Hill

New York Cares
(Marsha Spaic)

Ramos, Luis

Robert, Norma

RC 2 Brands, Inc

Sanford LP

ScotiaBank

Schneider, Robert

Schneider, Peter

Torres, Magdalena

Toy's R' Us

The Today Show

(Samuel Stagenkhan

The Old Navy

Ugly Dolls

Velasquez, Gray

Virella, Fredvelia

IN LOVING MEMORY

David Gueits

August 11, 1973-January 1, 2004



"It were wiser to speak less of God, Whom we cannot understand,
and more of each other, whom we may understand. Yet I would have you know that we are the breath and the fragrance of God.
We are God, in leaf, in flower, and oftentimes in fruit."

-Kahlil Gibran (1883-1931)

You have become one with God and you live in the heart of those that love and miss you.

Mom and Dad
&
Your Family at the Puerto Rican Family Institute

CORPORATE DONORS

The Puerto Rican Family Institute, Inc.

BUILDING A BETTER TOMORROW FOR OUR CHILDREN AND FAMILIES

46th Anniversary Gala Corporate Donors

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Friends of The Institute

Andrews Technology Consultants, Inc
Billy's Locksmith
Broadview Networks, Inc
Committee For Hispanic Children and Families
Community Premier Plus
Fordham Tremont Clinic
Edward Gueits & Family
Esmeralda Magazine
Halco Pharmacy
Health Plus
Hispanic Federation
HSBC Bank USA
Inwood House
Isora Bosch
Jacqueline DaCosta
L'Oreal
Manhattan Fire & Safety Corp
M& T Plumbing & Heating
Moët Hennessy USA
Mukta Buckley
New York Transit Authority
Petro Heating Oil
St. Barnabas Hospital
St. Christophers, Inc
Sedgwick Pharmacy
Stefan Umaerus
Treeline Boro Hall LLC
University Settlement Society of New Y
Weinstein & Weinstein

The Puerto Rican Family Institute, Inc.

46TH ANNIVERSARY GALA

CORPORATE DINNER CHAIR

Avon Products, Inc.
Joyce Mullins-Jackson

GALA PLANNING CO- CHAIRS

American Airlines
Walter Kloc

Merrill Lynch & Co.
Felix Serrano

HONORARY CHAIR

Jacqueline Hernández-Fallous
Publisher
People en Español

HONOREES

Monica Faye Azare
Senior Vice President New York and Connecticut Verizon Communications

Livia Jane Gueits
Community Service Award

Ed Figueroa
Major League Baseball player
The Raul Julia Award

46th Anniversary Gala Committee

Maria Elena Girone
President & CEO, PRFI

Hector Velazquez
Chairman of the Board – PRFI

GALA PLANNING COMMITTEE CO-CHAIRS

Walter Kloc
American Airlines

Felix R. Serrano
Merrill Lynch

COMMITTEE MEMBERS

Maria Davila-Peguero
Citibank

Desireé Frazier
Nautica

Yasmin Harrison
Bliss/Remede

June Jee
Verizon

Merel Julia
Actress

Laura Lujan
Avocado Hass of Mexico

Joyce Mullins-Jackson
Avon Products, Inc.

Cecilia Noguera
Biotherm

Audrey Pass
WCBS-TV

Patricia Ruiz
Lutheran Medical C

Robert Smith
New World Associa

John Soto
Space-Craft Manufactur

Saundra Thom
WABC-TV

Dora Torres
Verizon

Esmeralda Vane
Esmeralda Magaz

Sam Vitale
Xerox Premier Pl

The Puerto Rican Family Institute, Inc.
46th Anniversary Gala

PROGRAM

Cocktail Reception
6:30 pm to 7:15 pm
Gala Dinner
8:00 pm to 11:30 pm

Opening Welcome by Master of Ceremony
Audrey Puente, Meteorologist - CBS 2
Paco Navarro – The Copacabana Nightclub
Nilda L. Rosario, Univision 41 – New York

National Anthem – Star Spangled Banner
Nicole Toro

Introduction of Mrs. Maria Elena Girone
President & CEO of the Puerto Rican Family Institute, Inc.
&
Hector Velazquez
Chairman of the Board

Introduction of Walter Kloc and Felix Serrano
Gala Planning Committee Co-Chairs

Introduction of Gala Honorary Chair
Jacqueline Hernandez-Fallous, Publisher, People en Español

Introduction of Corporate Dinner Chair
Joyce Mullins-Jackson, Avon Products, Inc.

Awards Presentation

Introduction of Merel Julia

Presentation of Raul Julia Award to Ed Figueroa

Presentation of Community Service Award
Mrs. Livia Gueits

Presentation of Corporate Award
Verizon

Glee Ballard
The Copacabana Nightclub

Benediction

Dinner Served

Live Auction
Paco Navarro

Entertainment - La Rebellion Orchestra

THE PUERTO RICAN FAMILY INSTITUTE, INC.

AND

THE PUERTO RICAN FAMILY FOUNDATION, INC.

PRESENTS

47TH ANNIVERSARY GALA

An evening of Cabaret



GIVING THE GIFT OF HOPE TO FAMILIES AND CHILDREN

IN LOVING MEMORY

David Gueits

August 11, 1973-January 1, 2004



"It were wiser to speak less of God, Whom we cannot understand,
and more of each other, whom we may understand. Yet I would have you know that we are the breath and the fragrance of God.
We are God, in leaf, in flower, and oftentimes in fruit."

-Kahlil Gibran (1883-1931)

You have become one with God and you live
in the heart of those that love and miss you.

Mom and Dad

&

Your Family at the Puerto Rican Family Institute

2006 GALA HIGHLIGHTS

Edward Guiets + wife Livia Guiets



8



9



10

8
Livia Guiets and
Edward Guiets

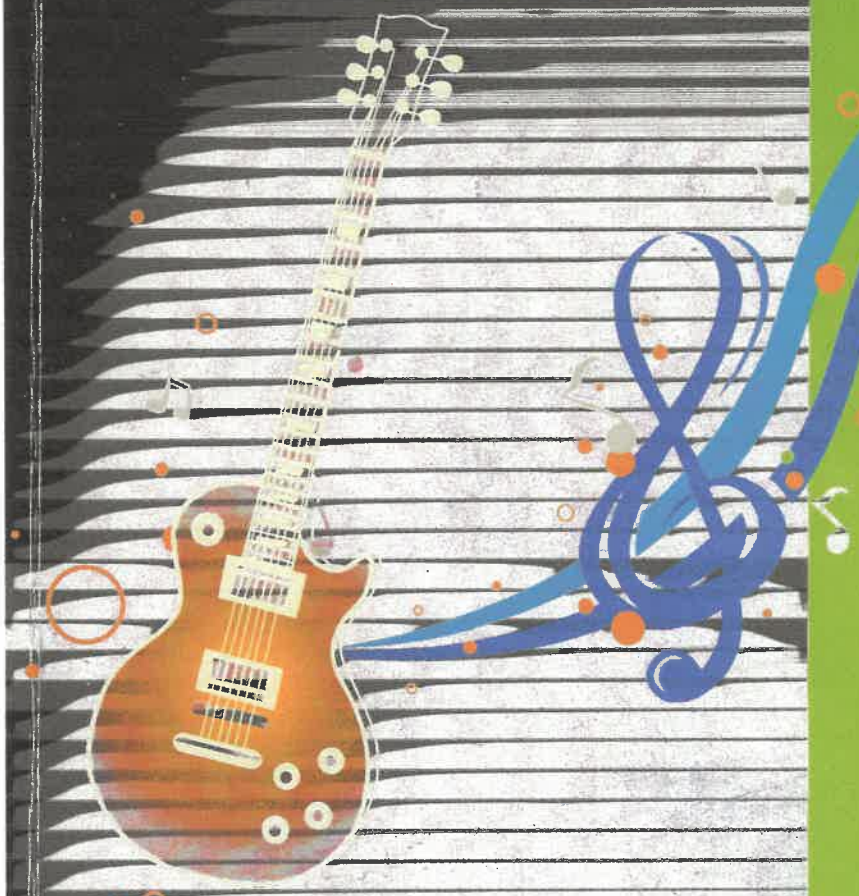
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Maria Elena Girone
Anthony Toliver

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Gloria Otoya,
Carmen Fontanez,
Migdalia Rivera, and
Guiets

PUERTO RICAN
FAMILY INSTITUTE, INC.
AND
PUERTO RICAN
FAMILY FOUNDATION, INC.
PRESENTS

48TH ANNIVERSARY GALA

A TOUCH OF PLENA AND ROCK & ROLL





THURSDAY JUNE 26, 2008

IN LOVING MEMORY

David Gueits

August 11, 1973-January 1, 2004



"It were wiser to speak less of God, Whom we cannot understand,
and more of each other, whom we may understand. Yet I would have you know that we are the breath and the fragrance of God.
We are God, in leaf, in flower, and oftentimes in fruit."

-Kahlil Gibran (1883-1931)

You have become one with God and you live in the heart of those that love and miss you.

Mom and Dad

&

The Children of the Puerto Rican Family Institute

8. Discussion of policies and procedures

Florida Gaming Control Commission	**FLORIDA GAMING CONTROL COMMISSION** **ADMINISTRATIVE POLICIES AND PROCEDURES**
TITLE: Investigation and Prosecution	**POLICY NUMBER** 02.15.01
EFFECTIVE DATE: REVISED:	**FGCC SECTION** Law Enforcement **AUTHORITY** CFA 5.22 - 15.01 (B), 15.01 (C), 15.02M, 15.01 (A), 15.05, 15.06M, 15.09M (E), 16.03M (A) or most recent version Section 934.01, F.S. et seq.

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement to investigate crimes thoroughly and with due diligence, and to evaluate and prepare criminal cases for appropriate clearance or submission to a prosecutor.

II. PURPOSE

The purpose of this policy is to set guidelines and requirements pertaining to the handling and dispositions of criminal investigations.

III. INITIAL INVESTIGATION

1. Special Agent Responsibilities

A. Make a preliminary determination of whether a crime has been committed by completing, at a minimum:

1. An initial statement from any witnesses or complainants.

2. A cursory examination for evidence.

B. If information indicates a crime has occurred, the special agent shall:

1. Preserve the scene and any evidence as required to complete the initial and follow-up investigation. The special agent shall prevent any possible disturbances to the crime scene, protecting it until all evidence has been collected. Such disturbances include:

i. Curious people, including well-meaning citizens trying to assist, or uninvolved police officers;

ii. Intentional alteration of the scene to conceal a crime, to simulate a crime for personal reasons, to confuse or discredit investigators, or to steal incriminating evidence; and

iii. Weather conditions, which might destroy or damage evidence.

2. Notify a supervisor of the nature of the crime, location, suspects, victim and witness statements, and any other relevant information regarding the investigation.

3. If a Crime Scene Technician (CST) is responding, notate the location of evidence without introducing items to the scene. The Crime Scene Technician will photograph, mark and collect the evidence. If a CST is not utilized, photograph and collect evidence marking the container that identifies it as evidence and who collected it.

4. Upon recovery of evidence, the applicable report will include a complete description of the evidence, where located or from whom obtained, and who recovered or collected the items.

5. Each time there is a transfer of custody of evidence said transfer will be documented on the applicable form (offense, supplement, or evidence-property receipt). This documentation shall include the date and time, persons involved, and reasons for the transfer.
6. Evidence should be transported carefully to avoid damage or destruction.

7. The special agent will always ascertain whether the evidence has been moved since the commission of the crime. A record must be made of all changes at the scene of the crime.

8. This Division fully adopts the procedures of the FDLE Crime Laboratory system as set out in the current edition of the FDLE Evidence Submission Manual, and the guidelines of the FBI Crime Lab.

9. All personnel who process a crime scene or evidence will document their actions in the appropriate report. This documentation will be sufficient to render the information legally admissible and useful.

10. During the processing of a scene, the special agent in charge, will supervise the activities of the CST, if one is utilized, who is trained in evidence and scene processing. The special agent shall inform the CST as to the particulars of the case and highlight what specific tasks must be undertaken. The CST will not be left alone at crime scenes.

11. If photographs are not taken or physical evidence is not recovered at the scene of a serious crime, the special agent will document the reasons for same in the appropriate report.

12. If photographs are taken, the photographer will document the following in the report: type of camera; type of film, including ASA (American Standards Association) speed if non- digital; lens description; date; and times identifying the beginning and ending of the photographic session. A brief description of the subject matter will also be documented.

    When photographing, overalls of the scene depicting the location and evidence should be taken first. Evidentiary articles should be photographed before removing them.

13. Take any appropriate law enforcement action, such as attempting to locate additional witnesses by conducting a neighborhood survey.

14. Complete and submit the appropriate reports and documentation.

2. Non-Sworn Member Responsibilities

A non-sworn member assigned to any investigation shall not make any attempt to locate, contact or interview a suspect face-to-face or take any enforcement action.

IV. FOLLOW-UP INVESTIGATIONS

1. Follow-up investigations may be conducted by either uniformed special agents or detectives, depending on the criteria established by this division. Investigative steps include, but are not limited to:

A. Reviewing and analyzing all previous reports, agency records, laboratory examination results, and external sources of information.

B. Conducting additional interviews.

C. Seeking additional information (e.g., from law enforcement officers or informants).

D. Conducting searches for additional evidence.

E. Identifying and apprehending suspects.

F. Determining the suspect's involvement in other crimes.

G. Checking the suspect's criminal history.

H. Preparing cases for court presentation.

2. Investigative Case Files

The Chief of Law Enforcement shall ensure that procedures are in place to address:

A. Types of records to be maintained in ongoing case files.

B. Accessibility of the case files.

C. Security of the case files.

D. Secure maintenance of active vice and organized crime investigation records separate from the central records system.

V. CUSTODIAL INTERROGATION REQUIREMENTS

In *Miranda vs. Arizona,* the U.S. Supreme Court held that criminal suspects must not be coerced by police officers into making incriminating statements. In other words, statements made by criminal suspects in police custody must be voluntary. To ensure such statements are voluntary, police must not only refrain from any overt coercion but must also advise the suspect that he need not say anything and has the right to talk with a lawyer. The burden is on the prosecution to demonstrate the voluntary nature of any statements of the suspect sought to be admitted at trial.

The *Miranda* warning does not need to be given to every criminal suspect immediately upon contact with police. There is no requirement that the warning be given to all persons arrested. There are two criteria for determining when or where to give the warning:

A. The suspect is to be subjected to custodial interrogation; and

B. The statements, or evidence to be obtained as a result of the statements, may be introduced as evidence at trial.

Custodial interrogation occurs when a person who reasonably believes he is not free to terminate contact with police at any time is subjected to questioning about possible criminal involvement. The full *Miranda* warning should be read to the subject prior to questioning in a custodial interrogation situation. The warning should be repeated prior to each separate interrogation.

Interrogation should stop immediately once the subject unequivocally invokes his right to remain silent or requests an attorney. Note that the request for an attorney must come from the subject, not from the attorney. Once the right to counsel is invoked, special agents should not question the subject again outside the presence of counsel unless the subject reinitiates the interrogation. If the subject reinitiates the interrogation, special agents are not required to read the *Miranda* warning before speaking with the subject, however, the special agent shall ensure that the interrogation is done freely, voluntarily, and without coercion.

Suspects who are in custody and subjected to an interrogation shall be given a *Miranda* warning unless an exception applies. Interview or interrogation of a juvenile shall be per the Temporary Custody of Juveniles Policy.

1. Audio/Video Recordings

All custodial interrogations, or any investigative interview, shall be recorded. The special agent shall identify themselves at the beginning of each recording, the date and time, and those present during the recorded interview. If at any time the special agent needs to turn off the recording device, the special agent shall state on the record that they are turning off the recording device, the current time and for what purpose. If the recording device malfunctions during an interview, the special agent shall document, either in writing or on the record when the recording device is back in use, such information.

No recording of a custodial interrogation should be destroyed or altered without written authorization from the prosecuting attorney and the Investigations supervisor. Copies of recorded interrogations or interviews may be made in the same or a different format as the original recording, provided the copies are true, accurate, and complete, and are made only for authorized and legitimate law enforcement purposes.

Recordings should not take the place of a thorough report and investigative interviews. Written statements from suspects should continue to be obtained when applicable.

2. Notice of Counsel

Any member approached by an attorney wanting to meet with a client who is being interviewed by special agents should promptly advise the special agents of the attorney's presence.

The person being interviewed should also be notified that an attorney is present and requesting to meet. This notice should be provided to any person being questioned, regardless of whether the person is in custody.

VI. COMPUTERS AND DIGITAL EVIDENCE

The collection, preservation, transportation and storage of computers, cell phones and other digital devices may require specialized handling to preserve the value of the related evidence. If it is anticipated that computers, slot machines (including their parts), or similar equipment will be seized, special agents should request that computer forensic examiners assist with seizing computers and related evidence. If a forensic examiner is unavailable, special agents should take reasonable steps to prepare for such seizure and use the resources that are available.

1. Procedures

The Chief of Law Enforcement should ensure the development of procedures for the collection, processing and preservation of digital evidence which should include:

A. Training specifications for any member who uses digital equipment.

B. Specific protocols for preserving and storing digital evidence.

C. Protocols for gathering, editing, and ensuring the authenticity of digital evidence.

VII. INVESTIGATIVE USE OF SOCIAL MEDIA AND INTERNET SOURCES

Use of social media and any other Internet source to access information for the purpose of criminal investigation shall comply with applicable laws and policies regarding privacy, civil rights and civil liberties. Information gathered via the Internet should only be accessed by members while on-duty and for purposes related to the mission of this division. If a member encounters information relevant to a criminal investigation while off-duty or while using his/her own equipment, the member should note the dates, times and locations of the information and report the discovery to his/her supervisor as soon as practicable. The member, or others who have been assigned to do so, should attempt to replicate the finding when on-duty and using division equipment.

Information obtained via the Internet should not be archived or stored in any manner other than division-established record keeping systems (see the Records Maintenance and Release and Criminal Organizations policies).

1. Access Restrictions

Information that can be accessed from any Division computer, without the need of an account, password, email address, alias or other identifier (unrestricted websites), may be accessed and used for legitimate investigative purposes without supervisory approval.

Accessing information from any Internet source that requires the use or creation of an account, password, email address, alias or other identifier, or the use of nongovernment IP addresses, requires supervisor approval prior to access. The supervisor will review the justification for accessing the information and consult with the Office of the General Counsel as necessary to identify any policy or legal

restrictions. Any such access and the supervisor approval shall be documented in the related investigative report.

Accessing information that requires the use of a third party's account or online identifier requires supervisor approval and the consent of the third party. The consent must be voluntary and shall be documented in the related investigative report.

Information gathered from any Internet source should be evaluated for its validity, authenticity, accuracy and reliability. Corroborative evidence should be sought and documented in the related investigative report.

Any information collected in furtherance of an investigation through an Internet source should be documented in the related report. Documentation should include the source of information and the dates and times that the information was gathered.

2. Intercepting Electronic Communication

Intercepting social media communications in real time may be subject to federal and state wiretap laws. Special agents should seek legal counsel before any such interception section 934.01, F.S. et seq.

VIII. MODIFICATION OF CHARGES FILED

Members are not authorized to recommend to the prosecutor or to any other official of the court that charges on a pending case be amended or dismissed without authorization. Any reduction, dismissal, or modification in charges shall be brought to a Supervising Special Agent for approval. Any authorized request to modify the charges or to recommend dismissal of charges shall be made to the attorney prosecuting the case.

This policy adopted by the Commission on:

_____ _____

Louis Trombetta
Executive Director

Date

Florida Gaming Control Commission	**FLORIDA GAMING CONTROL COMMISSION** **ADMINISTRATIVE POLICIES AND PROCEDURES**
TITLE: Missing Persons	**POLICY NUMBER** 02.59.01
EFFECTIVE DATE: REVISED:	**FGCC SECTION** Law Enforcement **AUTHORITY** Section 16.711, Fla. Stat. Section 16.712, Fla. Stat. Section 937.021, Fla. Stat. Section 937.0205, Fla. Stat. Section 937.031, Fla. Stat. **ACCREDITATION REFERENCES** CFA 5.22 - 15.12M

I. STATEMENT OF POLICY

Members of the Division of Gaming Enforcement shall accept reports of missing endangered persons in compliance with Florida Statute 937.021, which provides the requirements and procedures for law enforcement to accept, conduct, and, if applicable, refer reports of missing children and missing adults, including missing endangered persons.

II. PURPOSE

By adhering to this policy, the Division of Gaming Enforcement members ensure prompt and effective assistance to individuals reporting missing endangered persons, facilitating the initiation of investigations by the local law enforcement agency having jurisdiction in the county or municipality where the child or adult was last seen, using appropriate resources.

III. DEFINITIONS

A. **Missing Adult** - A person 18 years of age or older whose temporary or permanent residence is in, or is believed to be in, this state, whose location has not been determined, and who has been reported as missing to a law enforcement agency.

B. **Missing Child** - A person younger than 18 years of age whose temporary or permanent residence is in, or is believed to be in, this state, whose location has not been determined, and who has been reported as missing to a law enforcement agency.

C. **Missing Endangered Person** - A missing child; a missing adult younger than 26 years of age; a missing adult 26 years of age or older who is suspected by a law enforcement agency of being endangered or the victim of criminal activity; or a missing adult who meets the criteria for activation of the Silver Alert Plan of the Department of Law Enforcement.

D. **Florida Purple Alert** - Issued to assist in the location of missing adults suffering from a mental or cognitive disability that is not Alzheimer's disease or a dementia-related disorder; an intellectual disability or a developmental disability; a brain injury; other physical, mental, or emotional disabilities that are not related to substance abuse; or a combination of any of these and whose disappearance poses a credible threat of immediate danger or seriously bodily harm.

E. **Florida Silver Alert** - Issued to locate missing persons suffering from an irreversible deterioration of intellectual faculties. The Florida Silver Alert Plan outlines two levels of Silver Alert Activation: Local and State. Local and State Silver Alerts engage the public in the search for missing people and provide a standardized and coordinated community response.

IV. INITIATING PRELIMINARY INVESTIGATIONS FOR MISSING PERSONS.

A. Members encountering an individual who reports a missing endangered person as defined herein shall render assistance without delay. If possible, the member should obtain the reporting person's name, verified by driver's license or other identification, and primary and alternate contact information and the relationship to the missing

endangered person. Additionally, members shall gather preliminary investigative information, including missing endangered persons.

1. name, address, date of birth, age, sex, and ethnicity.

2. physical description: height, weight, build, hair, eye color, or other physical characteristics such as facial hair, glasses, teeth, scars, marks, tattoos, piercings, and clothing.

3. date, time, and last known location (identifying the city and county).

4. most recent digital photograph of the missing endangered person, if available vehicle information, if applicable.

5. circumstances that may indicate the disappearance was not voluntary or that the missing person may be at risk of injury or death.

6. medical or mental health history.

7. cell phone number and service provider, if known.

8. any other relevant preliminary information

B. Members shall conduct a National Crime Information Center (NCIC) and Florida Crime Information Center (FCIC) query of the person to determine if the missing person has been reported to a law enforcement agency and entered into NCIC/FCIC as missing. If the endangered person has been entered into NCIC/FCIC as missing, advise the reporting person of the investigating agency, including contact information, and direct them to Florida's Missing Endangered Persons Information Clearinghouse (MEPIC) for more information and resources related to missing persons/children.

V. REFERRING TO LOCAL LAW ENFORCEMENT WITH JURISDICTION

A. If the missing endangered person has not been reported missing and entered into NCIC/FCIC, and the member and reporting person is not located within, or close proximity to the county or municipality where the missing endangered person was last seen, members shall promptly contact the local law enforcement agency having jurisdiction in the county or municipality where the missing endangered person was last seen.

1. Members shall assist the reporting person with submitting a report to the local law enforcement agency. This includes providing requested information to the primary

law enforcement agency taking the report and guidance to the reporting person during the reporting process.

2. At the conclusion of assisting the reporting person, members shall obtain the agency's case or incident report number and the receiving officer's name and identification number for inclusion in a division Other Agency Assist report.

B. If the member and reporting person are located within, or in close proximity of, the county or municipality where the missing endangered person was last seen, members shall contact the local law enforcement agency with jurisdiction and request an officer response to continue the investigation as the primary agency.

1. Members shall remain with the reporting person until the arrival of local law enforcement. During this time, members should support and assist the reporting person while preparing field notes for the responding officer/agency.

2. Upon arrival of local law enforcement, members shall provide the responding officer with a briefing of the information provided by the reporting person, along with applicable field notes, which should include the member's actions taken and information provided by the reporting person. With supervisor approval, members should assist as requested by the primary law enforcement agency.

3. At the conclusion of assisting the reporting person and the responding agency, members shall obtain the primary reporting agency's case or incident report number and the name and agency identification number of the investigating officer taking the report for inclusion in a division Other Agency Assist report.

VI. MISSING PERSON INVESTIGATIONS

A. The Division of Gaming Enforcement refrains from investigating missing persons cases involving missing or endangered children, as well as instances concerning missing endangered adults where circumstances indicate the disappearance was involuntary, as the primary agency. All missing persons investigations shall be referred to the appropriate law enforcement agencies in accordance with this policy.

VII. RECOVERY OF OTHER AGENCY MISSING PERSON/MISSING CHILD

A. When a Division of Gaming Enforcement member encounters a missing person or missing child reported by another agency during their duties, they shall advise the located individuals that they are the subject of a missing person investigation and request local law enforcement of the county or municipality in which they are located to respond and take primary as the recovering agency.

B. Members shall remain with the missing person or missing child, providing assistance and support, including but not limited to:

1. Ensuring the safety and well-being of the missing person or missing child until local law enforcement arrives on the scene.

2. Assessing the situation to determine if medical assistance is required and promptly requesting such assistance if necessary.

3. Document any observable physical or emotional distress exhibited by the missing person or missing child.

4. Obtain information from witnesses or individuals present at the scene who may have relevant information.

5. Coordinating with local law enforcement upon arrival to provide a detailed briefing of the situation and the information gathered during interaction with the missing person or child.

C. At the conclusion of assisting the responding agency, members shall obtain the reporting agencies and responding recovery agency's case or incident report number and the name and agency identification number of the officer taking the recovery report for inclusion in a division Other Agency Assist report.

VIII. TRAINING

A. Agency sworn members shall complete the Florida Department of Law Enforcement Missing Endangered Persons Information Clearinghouse online training regarding Purple and Silver Alerts during Special Agent initial training.

This policy adopted by the Commission on:

_____ _____

Louis Trombetta **Date**
Executive Director

Florida Gaming Control Commission	**FLORIDA GAMING CONTROL COMMISSION** **ADMINISTRATIVE POLICIES AND PROCEDURES**
TITLE: Domestic Violence	**POLICY NUMBER** 02.60.01
EFFECTIVE DATE: REVISED:	**FGCC SECTION** Law Enforcement **AUTHORITY** CFA 5.22 - 15.09M (A), (B), (C), (F), (G) or the most recent version Section 16.711, Fla. Stat. Section 16.712, Fla. Stat. Section 741.28, Fla. Stat. Section 784.046, Fla. Stat. Section 901.15, Fla. Stat. Section 741.29, Fla. Stat.

I. STATEMENT OF POLICY

It is the policy of the Division to take appropriate action when confronted with cases of suspected domestic violence. However, by nature of the Division's mission, special agents will not ordinarily respond to or investigate cases of domestic violence.

II. PURPOSE

The purpose of this policy is to provide the guidelines necessary to deter, prevent and reduce domestic violence through vigorous enforcement and to address domestic violence as a serious crime against society. The policy specifically addresses the

commitment of the Division of Gaming Enforcement to take enforcement action when appropriate, to provide assistance to victims and to guide special agents in the investigation of domestic violence.

III. DEFINITIONS

Definitions related to this policy include:

Domestic violence - The offenses of assault, aggravated assault, battery, aggravated battery, sexual assault, sexual battery, stalking, aggravated stalking, kidnapping, false imprisonment or any criminal offense that results in physical injury or death between any of the following (Section 741.28, Fla. Stat.):

A. Past or present spouses.

B. Persons related by blood or marriage.

C. Persons residing together as if a family or who have resided together in the past as if a family in the same single dwelling unit.

D. Persons who are the parents of the same child regardless of whether they have been married.

Dating violence - Any assault, aggravated assault, battery, aggravated battery, sexual assault, sexual battery, stalking, aggravated stalking, kidnapping, false imprisonment, or any crime resulting in physical injury or death between individuals who have or have had a continuing and / or significant relationship of a romantic or intimate nature as detailed in section 784.046(1)(d), Fla. Stat., when:

A. A dating relationship must have existed within the past 6 months.

B. The nature of the relationship must have been characterized by the expectation of affection or sexual involvement between the parties.

C. The frequency and type of interaction between the persons involved in the relationship must have included that the persons have been involved over time and on a continuous basis during the course of the relationship.

For purposes of this policy references to domestic violence includes dating violence as defined in section 784.046, Fla. Stat.

Domestic / Dating Violence Injunction – A court order requiring a family or household member or a person in a dating / intimate relationship to do or refrain from doing a specified act or making contact with a specified person or persons.

Arrest Without Warrant - When a special agent investigates an allegation of an incident of domestic / dating violence, as defined in F.S. §741.28 or F.S. §784.046(1)(d), the special agent shall handle the incident pursuant to the arrest policy provided under F.S.§901.15, which authorizes a special agent to make a warrantless arrest when there is probable cause to believe that:

A. A person has committed an act of domestic / dating violence;

B. The act was a criminal violation of an injunction (refer to F.S. §741.31(4)); or

C. The act was a violation of a pre-trial release condition (refer to F.S. §903.047; 741.29(6), 901.15, and 903.047 when the original arrest was for an act of dating violence).

IV. OFFICER SAFETY

The investigation of domestic violence cases often places special agents in emotionally charged and sometimes highly dangerous environments. No provision of this policy is intended to supersede the responsibility of all special agents to exercise due caution and reasonable care in providing for the safety of any special agents and parties involved.

V. INVESTIGATIONS

The following guidelines should be followed by special agents when confronted with domestic violence cases:

A. By nature of the Division's mission, special agents will not ordinarily respond to or investigate cases of domestic violence. In the event a special agent is approached by an individual claiming to be a victim of domestic violence, the special agent is responsible for:

1. Assisting the victim to obtain medical treatment if such is required as a result of the alleged incident.

2. Ascertaining if the suspect is still present in the area.

3. Secure suspect for the local jurisdiction to respond.

4. Take control of any short-lived evidence. Turn over any evidence to the responding law enforcement agency.

5. Providing the individual with the Department of Law Enforcement's found at https://www.fdle.state.fl.us/CJSTC/Publications/Notice-of-Legal-Rights-and-Remedies.aspx.

i. This Legal Rights and Remedies Notice to Victims may also be obtained from the Chief of Law Enforcement.

6. Complying with the requirements of section 741.29, Fla. Stat., in regard to administering a state approved lethality assessment.

B. If the suspect is not in the area, the special agent should stay with the victim until local authorities arrive on scene.

C. If the offense took place in another jurisdiction, notify that jurisdiction to respond if possible.
   1. If the jurisdiction is unable to respond, provide the victim with the name, phone number and address where they can report the offense.

D. When a suspect is arrest by members of the Division, special agents should :

   1. Advise the victim that there is no guarantee the suspect will remain in custody.

   2. Provide the victim's contact information to the jail staff to enable notification of the victim upon the suspect's release from jail.

   3. Advise the victim whether any type of court order will be in effect when the suspect is released from jail.

VI. STANDARDS FOR ARRESTS

Special Agents becoming aware of a domestic violence report should consider the following:

A. Special Agents having probable cause to believe that a person has committed an act of domestic violence may make an arrest (Section 901.15, Fla. Stat.; Section 741.29, Fla. Stat.; Section 784.046, Fla. Stat.,).

VII. WARRANTLESS ARRESTS PURSUANT TO INJUNCTIONS FOR PROTECTION AGAINST DOMESTIC VIOLENCE

Before making an arrest for violation of any injunction, the special agent must first determine if an injunction exists, and if it does, whether the respondent has been served with notice of its existence.

A. Victims should be in possession of a certified copy of the injunction with a judge’s signature and a stamp or other notation indicating service on the respondent.

B. Verification of Injunctions Against Domestic Violence statewide, and their updated status, may be made through FCIC/NCIC.

C. For verification of service of the injunction, or if the warrant information has not been updated in the computer, the special agent may contact the Statewide Regional Communications Center.

D. If verification cannot be made, no arrest pursuant to the injunction can be made, but the special agent will take steps to ensure the safety of the victim. The special agents will:

1. Advise the victim to get assistance from friends and / or relatives; and
2. Make arrangements for temporary care at respective shelters.

E. Arrests (misdemeanor of the first degree) may be made upon determining that the terms of an injunction have been willfully violated by:

1. Refusing to vacate the dwelling that the parties share;
2. Going to, or being within, 500 feet of the petitioner's residence, school, place of employment, or a specified place frequented regularly by the petitioner and any named family or household member;
3. Committing an act of domestic violence to the petitioner;
4. Committing any other violation of the injunction through the intentional unlawful threat, word, or act to do violence against the petitioner;
5. Telephoning, contacting, or otherwise communicating with the petitioner directly or indirectly, unless the injunction specifically allows indirect contact through a third party;
6. Knowingly and intentionally coming within 100 feet of the petitioner's motor vehicle whether or not that vehicle is occupied;
7. Defacing or destroying the petitioner's personal property including the petitioner's motor vehicle; or
8. Refusing to surrender firearms or ammunition if ordered to do so by the court.

When there is probable cause to believe that a person has knowingly committed an act in violation of either an Injunction for Protection Against Domestic Violence, or Protection Against Repeat Violence, an arrest without warrant is lawful. Such an arrest may be made over the objection of the petitioner.

Arrests pursuant to either an Injunction for Protection Against Domestic Violence, or Protection Against Repeat Violence, may be made for assault, battery, sexual battery, or any criminal offense resulting in physical injury or death to a family or household member. The substantive charge should be cited in addition to the injunction violation.

All other conditions stipulated in the injunctions are considered civil in nature and violations of these conditions are not subject to police action. The violation should be documented in the Offense Incident Report for further action by the court.

VIII. REPORTS AND RECORDS

Special agents responding or participating in any fashion to reports of domestic violence shall prepare the necessary reports and submit those to their supervisor. The report must be complete and clearly indicate the alleged offense was an incident of domestic violence. The report shall be filed in a manner that will permit data on domestic violence cases to be compiled. If applicable, the reports should contain the following information (Section 741.29, Fla. Stat.; Section 784.046, Fla. Stat.):

A. A description of any physical injuries inflicted on the victim.

B. If an arrest was made by a special agent or in the alternative, if a dual arrest was made, the reasons for such decision.

C. If an arrest was not made, the grounds for not arresting anyone.

D. A statement which indicates that a copy of the Legal Rights and Remedies Notice was given to the victim.

E. A description of all actions taken before and after the arrival of the local law enforcement.

Whenever possible, special agents shall obtain a written statement from the victim and witnesses concerning the alleged domestic violence. The special agent shall submit the report to their supervisor.

The Records Section supervisor shall ensure that a copy of the initial report, as well as any subsequent supplemental report, is forwarded to the nearest locally certified domestic violence center within 24 hours after receipt of the report. The report shall not contain any victim or witness statements or any other materials that are part of an active criminal investigation and that are exempt from disclosure under chapter 119, Fla. Stat. (Section 741.29, Fla. Stat.; Section 784.046, Fla. Stat.,).

IX. ARREST OR INVESTIGATION OF A SWORN OR NON-SWORN MEMBER FOR DOMESTIC VIOLENCE

Special agents investigating a domestic violence offense allegation involving sworn law enforcement officers from this Division will follow the procedures as outlined herein. The investigating special agent will immediately notify his or her immediate supervisor, who will then notify the Chief of Law Enforcement or the Director of Law Enforcement, who

will notify the Executive Director, Deputy Executive Director, and the Office of Inspector General.

A. If any member of the Division receives information that another agency is responding to or handling a domestic violence case involving a member of the Florida Gaming Control Commission, the person receiving the information shall notify the Chief of Law Enforcement.

B. The Chief of Law Enforcement shall review the circumstances of the situation and make the following notifications:

    1. The Executive Director
    2. The Deputy Executive Director
    3. The Inspector General

Special agents investigating a domestic violence offense allegation involving sworn law enforcement officers or sworn correctional officer from another agency will follow the procedures as outlined herein and immediately notify his/her immediate supervisor. The supervisor will then notify the Chief of Law Enforcement or the Director of Law Enforcement, who will notify the Executive Director. The Executive Director, or his or her designee will notify a supervisor at the agency of the affected law enforcement officer or correctional officer.

This policy adopted by the Commission on:

_____ _____

Louis Trombetta **Date**
Executive Director

8.4. 03.06.01 – Dual and Secondary Employment (Held for next meeting)

8.5. 03.22.01 – Anti-Retaliation (Held for next meeting)

Florida Gaming Control Commission	**FLORIDA GAMING CONTROL COMMISSION** **ADMINISTRATIVE POLICIES AND PROCEDURES**
TITLE: Violence in the Workplace	**POLICY NUMBER** 03.23.01
EFFECTIVE DATE: REVISED:	**FGCC SECTION** Human Resource Management **AUTHORITY** Section 16.712, F.S. Section 110.1091, F.S. Section 119.07(1), F.S. Section 741.28(2), F.S. Section 741.313, F.S.

I. STATEMENT OF POLICY

A. The purpose of this policy is to provide the guidelines necessary to deter, prevent, and reduce violence in the workplace and to address the rights of employees who are a victim of domestic violence.

B. The FGCC is committed to a safe and secure work environment free from threats, intimidation, abusive behavior, and violence.

C. The Commission shall implement early intervention and awareness strategies as a first line of defense in order to avoid or minimize the occurrence and effects of violence in the workplace.

D. The Commission will provide, encourage and promote education and training programs for all Commission employees for the purpose of preventing violence in the

workplace. Special emphasis shall be placed on workplace security and safety and utilization of the state's Employee Assistance Program.

II. DEFINITIONS

A. Domestic Violence: As defined in section 741.28(2), F.S., means any assault, aggravated assault, battery, aggravated battery, sexual assault, sexual battery, stalking, aggravated stalking, kidnapping, false imprisonment, or any criminal offense resulting in physical injury or death between any of the following:

1. Past or present spouses;

2. Persons related by blood or marriage;

3. Persons residing together as if a family or who have resided together in the past as if a family; and

4. Persons who are the parents of the same child.

B. Employee Assistance Program (EAP): A program designed to promote health and wellness and to provide a referral to counseling for employees, experiencing problems of a personal nature, including but not limited to domestic violence, mental health issues, alcohol and substance use disorder, or financial instability.

C. Perpetrator: An individual who threatens or commits an act of violence, including domestic violence.

D. Threat: An expression of an intention, verbal or physical, to inflict pain, injury, evil, or punishment.

E. Victim: An individual who suffers personal physical injury or emotional trauma or death as a result of a threat or an act of violence.

III. PROCEDURES

A. **General Information:**

1. Any incident of violence in the workplace by an employee, who is the perpetrator, shall be handled in accordance with Policy 03.02.01, Employee Counseling and Discipline.

2. Pursuant to section 110.1091, F.S., any communication relative to an employee's participation in an EAP or equivalent program shall be a

confidential communication between the appropriate personnel of the Commission and the participating employee to the extent allowed by law.

3. The Bureau of Human Resource Management (HRM) shall maintain EAP records relating to victims or perpetrators of violence in the employee's confidential medical file.

4. With the exception of duly authorized persons performing official State duties, the possession, use, or threat of use of a weapon is not permitted in the workplace or on State property, including state vehicles. However, nothing in this policy is intended to prohibit an employee from possessing a legally owned firearm when such firearm is lawfully possessed and locked inside or locked to a private motor vehicle in a parking lot in accordance with Section 790.251(4)(a). Any employee who is aware of another employee possessing a weapon at the workplace without authorization must immediately notify his/her immediate supervisor.

B. **Reporting Incidents:**

1. Employees shall report incidents or threats of incidents of workplace violence to their supervisor.

2. The immediate supervisor shall notify the Chief of Law Enforcement Services or the Office of the Inspector General (OIG).

3. The Chief of Law Enforcement Services or the OIG shall contact the employee and confer with the immediate supervisor on safety measures to be taken to ensure the safety of the employee.

4. The Chief of Law Enforcement Services or the OIG shall confer with the Office of the General Counsel to determine whether to place the employee or alleged perpetrator on leave.

5. The OIG shall review and classify the incident and make an assessment and determination as to whether the incident will be assigned for investigation, assigned to management, or assigned for other appropriate handling.

C. **Workplace Violence:**

1. The employee may file a written request with his/her immediate supervisor to take leave with or without pay, or may request adjusted work schedules or work assignments, as appropriate to provide for the safety of the employee and the workplace.

2. The immediate supervisor shall work to provide for the safety and security of the employee, staff, and the workplace.

3. The HRM shall provide information to employees regarding EAP and respond to inquiries from management concerning the EAP.

IV. DOMESTIC VIOLENCE

A. The employee may contact the Office of the Attorney General at the Toll-Free Victim Services Information and Referral line at 1-800-226-6667, regarding questions concerning the Address Confidentiality Program for Victims of Domestic Violence.

B. In accordance with section 741.313, F.S., if the employee or a family or household member of the employee is the victim of domestic violence or sexual violence, employees who have been employed for at least 3 months may take up to 3 working days of leave, with or without pay, in any 12-month period, to:

1. Seek an injunction for protection against domestic violence or sexual violence or an injunction for protection in cases of repeat violence, dating violence, or sexual violence;

2. Obtain medical care or mental health counseling, or both, for the employee or a family or household member to address physical or psychological injuries resulting from the act of domestic violence or sexual violence;

3. Obtain services from a victim-services organization, including, but not limited to, a domestic violence shelter or program or a rape crisis center as a result of the act of domestic violence or sexual violence;

4. Make the employee's home secure from the perpetrator of the domestic violence or sexual violence or to seek new housing to escape the perpetrator; or

5. Seek legal assistance in addressing issues arising from the act of domestic violence or sexual violence or to attend and prepare for court-related proceedings arising from the act of domestic violence or sexual violence.

C. Employees are required to provide advance notice of the leave (except in cases of imminent danger to the health or safety of the employee or family or household member) in accordance with Policy 03.04.01, Attendance and Leave Policy.

D. The Commission shall not discharge, demote, suspend, retaliate, or in any other manner discriminate against an employee for exercising their rights provided for in section 741.313, F.S.

E. The Commission shall require documentation of the act of domestic violence or sexual violence and keep information relating to the employee's leave confidential to the extent authorized by law.

F. An FGCC employee who is arrested by another law enforcement agency for a domestic violence incident must immediately notify their supervisor in accordance with Policy 03.11.01, Reporting of Arrests, Convictions, and Court Orders.

This policy adopted by the Commission on:

_____ _____

Louis Trombetta **Date**
Executive Director

Florida Gaming Control Commission	**FLORIDA GAMING CONTROL COMMISSION** **ADMINISTRATIVE POLICIES AND PROCEDURES**
TITLE: Cybersecurity Incident Response Team (CSIRT) Policy	**POLICY NUMBER** 07.02.01
EFFECTIVE DATE: REVISED:	**FGCC SECTION** Information Technology **AUTHORITY** Section 282.318, Florida Statutes (F.S.), Cybersecurity Rule 60GG-2, Florida Administrative Code (F.A.C.), State of Florida Cybersecurity Standards Section 20.055, F.S., Agency Inspectors General Section 501.171, F.S., Security of Confidential Personal Information

I. STATEMENT OF POLICY

The Florida Gaming Control Commission ("FGCC" or the "Commission") Cybersecurity Incident Response Team (CSIRT) will respond to information security incidents that occur within the FGCC information technology environment, classifying and managing the incidents by following FGCC and state response procedures and reporting CSIRT findings to FGCC management in a manner consistent with sections 282.318 and 501.171(3)(a-c), F.S., and Rule 60GG-2, F.A.C., the rules that establish the minimum cybersecurity standards for information technology resources in the state of Florida.

II. PURPOSE

The purpose of this procedure is to establish the roles, responsibilities, and procedures for the CSIRT and Commission staff when responding to FGCC information security incidents. The CSIRT is an objective body with the technical skills and resources needed to identify and handle information security incidents.

III. SCOPE

This procedure applies to all Commission staff and to all FGCC information technology system resources, whether owned and operated by FGCC or operated on behalf of FGCC by third party entities.

IV. DEFINITIONS

A. Breach: Unauthorized access of data in electronic form containing personal information. Good faith access of personal information by an employee or agent of the Commission which acquires, maintains, stores, or uses the data does not constitute a breach of security, provided that the information is not used for a purpose unrelated to the business or subject to further unauthorized use.

B. External partners: Non-FGCC entities doing business with FGCC, including other governmental entities, third parties, contractors, vendors, suppliers, and partners. External partners do not include customers.

C. External stakeholders: Persons, groups, organizations, or agencies involved in or affected by a course of action related to FGCC-owned IT resources.

D. FGCC-owned: Any device, service, or technology owned, leased, or managed by FGCC for which FGCC through ownership, configuration management, or contract has established the right to manage security configurations, including provisioning, access control, and data management.

E. Incident: A violation or imminent threat of violation, whether such a violation is accidental or deliberate, of information technology resources, security, policies, or practices. An imminent threat of violation refers to a situation in which FGCC has a factual basis for believing that a specific incident is about to occur.

F. Information Security Manager (ISM): Person designated pursuant to section 282.318(4)(a), F.S.

G. Information system owner: The FGCC official responsible for the overall procurement, development, integration, modification, or operation and maintenance of an FGCC information system.

H. Information Technology Resources (IT Resources): Data processing hardware and software and services, communications, supplies, personnel, facility resources, maintenance, and training.

I. Staff: Employees, contractors, and vendors of FGCC.

J. Supplier (commonly referred to as vendor): Encompasses upstream product and service providers used for FGCC's internal purposes (e.g., IT infrastructure) or integrated into the products or services provided to FGCC.

K. Third-party: An entity that has been contracted to maintain, store, or process personal information on behalf of FGCC.

L. Threat: Any circumstance or event that has the potential to adversely impact an Agency's operations or assets through an information system via unauthorized access, destruction, disclosure, or modification of information or denial of service.

V. RESPONSIBILITIES

In support of the Commission's CSIRT efforts, Commission staff are required to:

1. Immediately report any breach of information security or other actions that could compromise the security of technology resources to the FGCC Help Desk.

2. Cooperate with CSIRT members during any analysis of suspected information security incidents by promptly providing all requested information to members of the CSIRT.

1. Maintain proper information security controls by conducting their daily duties as per the FGCC IT Acceptable Use Policy 07.01.01.

VI. CSIRT MEMBERSHIP

The FGCC CSIRT membership includes the Information Security Manager (ISM) and cybersecurity team, the Chief Information Officer (CIO), and a member of the Office of Inspector (OIG) General to function as an advisory capacity. In addition to the ISM, CIO and OIG, the FGCC CSIRT core team also includes the Chief Technology Officer (CTO) and the Chief Information Security Officer (CISO). These roles and/or their delegate or designee make up the core membership of the CSIRT.

The core CSIRT will meet with FGCC Subject Matter Experts (SMEs) as needed on an incident-by-incident basis. FGCC SMEs include but are not limited to representatives from the Office of General Counsel, Human Resources, the Office of the Executive Director, or any Division deemed relevant to the incident.

1. Information Security Manager: The ISM is responsible for all CSIRT activities and will ensure that the CSIRT operates according to state statutes, state administrative code, and FGCC policies. The ISM serves as the CSIRT leader and is responsible for managing incident response through the identification, protection, detection, response, and recovery phases. If the ISM is not available, the CIO will act as the CSIRT leader or designate a CSIRT leader to serve in the interim. The ISM also leads the post-incident review for each security incident, documenting the lessons learned for process and policy improvement purposes.

2. Chief Information Officer: The Chief Information Officer is responsible for ensuring operational support is available for the resolution and remediation of information security incidents. This includes providing coordinated oversight between the various Office of Information Technology (OIT) sections, establishing OIT priorities, and assisting in the prioritization of future OIT actions based on lessons learned. The CIO is responsible for communication to Executive Leadership and external partners.

3. Chief Information Security Officer: The CISO supports the ISM in timely and effective response to information security incidents through the identification, protection, detection, response, and recovery phases. The CISO also plays an active role in the post-incident review for each security incident, assisting the ISM in documenting the lessons learned to be used to improve incident response and to make policy and process improvements. The CISO assists with policy violation and legal matters associated with breaches as necessary. The CISO supports and partners with the ISM in maintaining the FGCC incident response plan and any other associated procedural documentation.

4. Chief Technology Officer: The CTO is responsible for assisting with the coordination of operational support for the resolution and remediation of information security incidents. This includes providing coordinated oversight between the various sections under their authority, establishing operational priorities during information security incidents, and assisting in the prioritization of future operations work based on lessons learned. The CTO will work closely with the CIO to detail the impact of individual incidents on OIT resources.

5. Office of Inspector General: Chapter 20.055, F.S., tasks the OIG with initiating, conducting, and coordinating investigations related to the programs and operations of the FGCC. The OIG will designate a representative of that Office to regularly serve on the CSIRT in an advisory capacity to ensure that CSIRT reviews are properly handled, and that alleged policy violations, possible fraud, or other abuses are identified during CSIRT incidents. If the role of Inspector General is vacant, this role will be assigned to the Office of the General Counsel.

6. FGCC Legal Lead: The person/role typically designated by the CIO Executive Director, or General Counsel responsible to notify DLA and discuss legal implications and requirements for FGCC, speaking on behalf of the Commission for an incident.

Members of the CSIRT should be familiar with all applicable statutes and rules regarding cyber security and should participate in professional development training related to their CSIRT roles and responsibilities, including but not limited to annual general incident response training. CSIRT meetings should include training sessions and reviews covering portions of the CSIRT procedures. Each CSIRT member should have an awareness of the duties of the other CSIRT members.

VII. CSIRT RESPONSIBILTIES

The CSIRT will meet at least once a quarter to support its response planning activities other duties. These quarterly CSIRT meetings will be planned, led, and documented by the ISM. CSIRT meetings will be held immediately upon identification of information security incidents and can be conducted in-person, virtually, via online chat or any other method that includes all members of CSIRT.

Responsibilities of CSIRT members include:

1. CSIRT members must meet at least quarterly to review, at a minimum, established processes, and escalation protocols. This meeting must include the CIO and ISM or their delegates.

2. All CSIRT members must complete incident response training annually as part of the FGCC information security program.

3. The CSIRT via the CIO must report information security incident findings to appropriate FGCC management.

4. The CSIRT will determine the appropriate response required for each information security incident.

5. The CSIRT must make sure their response process includes the required notification procedures established pursuant to section 501.171, F.S., and section 282.318, F.S., whenever they apply.

6. For each information security incident, the CSIRT must make sure any observed indicators of compromise (malicious IP addresses, uniform resource locator (URL) addresses, code file names and/or associated file hash values) are reported to the Florida Digital Service (FL[DS]) and the Florida Department of Law Enforcement (FDLE) Cybercrime Office. The ISM and CISO are responsible for these notifications, in coordination with the CIO.

VIII. CSIRT REPORTING REQUIREMENTS

The FGCC CSIRT reporting process includes both the reporting of information security incidents and any observed indicators of compromise to the FL[DS] and the FDLE Cybercrime Office as per section 282.318, F.S., and the breach notification procedures required by section 501.171, F.S.

Sample reporting process, subject to change to comply with FL[DS] and FDLE processes.

1. Information security incidents and their associated indicators of compromise are to be reported to the FL[DS] Cyber Security Operations Center at csoc@digital.fl.gov,, and the FDLE CyberCrime Office at HQIFSCyberCrimeOffice@fdle.state.fl.us. Requests for assistance with information security events can also be made via the FL[DS] portal at https://ir.digital.fl.gov/

   For each cybersecurity incident FGCC reports, the following information will be provided:

   (a) A summary of the facts surrounding the information security incident.

   (b) The date on which FGCC most recently backed up its data in the affected system, the physical location of the backup, whether the backup was created using cloud computing or not, and whether the backup was affected during the incident.

   (c) The types of data compromised by the information security incident.

   (d) The estimated financial impact of the information security incident.

   (e) In the case of a ransomware incident, details about the ransom demanded.

2. For any breach of information security affecting 500 or more individuals in the state of Florida, the FGCC Legal Lead shall notify the Department of Legal Affairs (DLA) within 30 days after the determination of the breach or reason to believe a breach occurred.

   (a) The written notice to the DLA must include:

      i. A synopsis of the events surrounding the breach at the time the notice is provided;
      ii. The number of individuals in the state of Florida who were or potentially have been affected by the breach;
      iii. A description of any identity theft protection services being offered or scheduled to be offered, without charge, by the Commission to individuals and instructions as to how to use such services;

iv. A copy of the notice sent to the individuals whose personal information believed to have been accessed in the breach or an explanation of other actions taken; and
v. The name, address, telephone number, and e-mail address of the FGCC Legal Lead who will be providing the DLA updates for the duration of the breach response.

(b) The CSIRT will notify the FGCC Office of the General Counsel whenever section 501.171, F.S., may apply to an ongoing information security incident and follow any legal advice given.

(c) If FGCC Legal Lead determines that good cause exists to request an additional 15 days to notify the DLA, the FGCC Legal Lead shall submit a request to the DLA in writing within 30 days after the determination of the breach or reason to believe a breach occurred.

(d) FGCC CSIRT shall provide the following information to the DLA upon request:

i. A police report, incident report, or computer forensics report.
ii. A copy of the FGCC policies regarding breaches is in place.
iii. Steps that have been taken to repair the breach.

(e) FGCC Legal Lead shall notify the affected individuals as expeditiously as practicable, but no later than thirty (30) days after the breach unless a delay is appropriately authorized. These thirty (30) days are to give FGCC time to determine the scope of the breach, identify the affected individuals, and to restore secure business functions.

i. FGCC shall delay notifying affected individuals upon the written request of a federal, state, or local law enforcement agency for a specified period that the law enforcement agency determines is reasonably necessary.
ii. Notice is not required if, after an appropriate investigation and consultation with relevant federal, state, or local law enforcement agencies, FGCC reasonably determines that the breach has not and will not likely result in identity theft or any other financial harm to the affected individual. This determination shall be documented in writing and maintained for at least 5 years. FGCC shall provide the written determination to the DLA within 30 days after the determination.

IX. CSIRT INCIDENT CATEGORIZATION

The FGCC CSIRT shall rate information security incidents by level and follow the reporting timeframes in Section 282.318 (3)(c):

1. Level 5 incidents are emergency-level incidents within the specified jurisdiction that pose an imminent threat to the provision of wide-scale critical infrastructure services; national, state, or local government security; or the lives of the country's, state's, or local government's residents. FGCC will report all severity level 5 incidents to the FL[DS] Cybersecurity Operations Center and the FDLE Cybercrime Office as soon as possible but no later than forty-eight (48) hours after discovery of the cybersecurity incident and no later than twelve (12) hours after discovery of the ransomware incident.

2. Level 4 incidents are severe and likely to result in a significant impact in the affected jurisdiction to public health or safety; national, state, or local security; economic security; or civil liberties. FGCC will report all severity level 4 incidents to the FL[DS] Cybersecurity Operations Center and the FDLE Cybercrime Office as soon as possible but no later than forty-eight (48) hours after discovery of the cybersecurity incident and no later than twelve (12) hours after discovery of the ransomware incident.

3. Level 3 incidents are high-level and likely to impact state or local security or public confidence. FGCC will report all ransomware incidents and any cybersecurity incident categorized as severity level 3 to the FL[DS] Cybersecurity Operations Center and the FDLE Cybercrime Office as soon as possible but no later than forty-eight (48) hours after discovery and no later than twelve (12) hours after discovery of the ransomware incident.

4. Level 2 incidents are medium-level and may impact state or local security or public confidence. FGCC will report level 2 incidents to the FL[DS] Cybersecurity Operations Center and the FDLE Cybercrime Office as soon as possible.

5. Level 1 incidents are low-level and unlikely to impact state or local security or public confidence. FGCC will report level 1 incidents to the FL[DS] Cybersecurity Operations Center and the FDLE Cybercrime Office as soon as possible.

X. CSIRT INCIDENT RESPONSE PROCESS

For each CSIRT incident level, with the exception of level 1, the ISM will lead the team through response procedures while completing the FGCC Incident Handling Checklist, documenting the details for the following steps taken by the CSIRT as it responds to each incident in the manner described below:

1. Detection of Causation.

   (a) Identifying and containing attack vectors.

(b) Documenting the detected causes of the incident as appropriate (log collection, screenshots, etc.).

(c) Communicating incident priorities to all Commission staff involved in incident response activities.

2. Analysis:

(a) Analyzing related and ensuing activity throughout the incident.

(b) Notifying Commission staff of any impact to FGCC business activity.

(c) Notifying external stakeholders of any impact to FGCC business activity.

(d) Documenting all analysis work and notifications during the incident.

3. Containment and Recovery:

(a) Documenting the containment strategy and recovery steps identified by OIT in consultation with appropriate SMEs and approved by leadership.

(b) Tracking and documenting the mitigation and containment steps while gathering associated evidence (screen shots, logs, etc.) until completion.

(c) Completing containment and recovery by identifying any remaining resources that are needed and bringing them to CIO attention.

4. Post-Incident Activity:

(a) The FGCC Incident Handling Checklist for each incident should be completed, documented, and archived.

(b) A final post-incident report using the FGCC Incident Report Form should be completed by the ISM and contain at a minimum:

i. A summary of the event section that describes the attack vectors and the initial indicators of compromise detected, the containment strategy and the key mitigation and containment steps taken, an assessment of the impact from the incident, and the recovery plan and key steps being taken toward preventing further similar events.

ii. A lessons learned section that describes the threats and vulnerabilities that contributed to the event becoming an incident, including recommendations to support mitigation and prevention of further such events and incidents.

iii. Any plans for using the lessons learned for improving FGCC response activities and plans, including updating FGCC incident response procedures.

(c) The event is closed when the final post-incident report has been approved by the CIO and the final report has been provided to all CSIRT members.

For each Level above Level 1 the ISM will complete a FGCC Cybersecurity Incident Response Team Report, providing a summary of the incident, documenting any stakeholders involved in incident response activities and describing the actions taken during the incident response and recovery phases.

XI. ENFORCEMENT

Violations of information security policies and procedures may result in loss or limitations on use of information technology resources, disciplinary action up to and including termination of employment or contractual relationship, and/or referral for civil or criminal prosecution as provided by law.

This policy was adopted by the Commission on:

_____ _____

Louis Trombetta **Date**
Executive Director

Florida Gaming Control Commission	**FLORIDA GAMING CONTROL COMMISSION** **ADMINISTRATIVE POLICIES AND PROCEDURES**
TITLE: Change Control Procedure	**POLICY NUMBER** 07.03.01
EFFECTIVE DATE: REVISED:	**FGCC SECTION** Information Technology **AUTHORITY**

I. STATEMENT OF POLICY

This operating procedure details the process and roles for requesting, evaluating, and implementing changes to systems, applications, infrastructure, and information technology (IT) processes. The Florida Gaming Control Commission (FGCC) Office of Information Technology (OIT) shall support and administer adequate controls for technology resources. This Change Control Policy will be periodically reviewed and revised as necessary to ensure its effectiveness and relevance.

Commission employees are expected to know and adhere to change control policies and procedures.

II. PURPOSE

The purpose of this Change Control Policy is to establish a structured and controlled process for requesting, evaluating, approving, and implementing changes to systems, applications, IT processes, and infrastructure within the Commission. This policy aims to minimize risks, ensure compliance, and maintain the stability and integrity of our enterprise environment.

III. SCOPE

This policy applies to all employees, contractors, and third-party vendors involved in implementing changes within the FGCC, regardless of the type or scale of the change.

Questions concerning this procedure document should be directed to the Enterprise and Strategic Applications unit.

IV. DEFINITIONS

A. Change Control Board (CCB): The CCB consists of the CIO, CTO, CISO, ESAM, and Help Desk Manager The CCB provides guidance to IT staff in identifying, planning, coordinating, and implementing changes to the FGCC information technology environment while focused on minimizing any potential disruption to customer service while preventing the potential for increased risk to FGCC data.

B. Change Control Team (CCT): IT and contracted resources responsible for planning, testing, and implementing changes.

C. Change Request Owner (CRO): The FGCC IT staff person to which a Request for Change ticket has been assigned. The CRO is responsible for bringing a change to the CCB meetings for review and approval prior to production implementation. The CRO manages change throughout the procedure for each assigned ticket, closing out the ticket upon completion.

D. Corrective Maintenance: Corrective maintenance is a maintenance task performed to identify, isolate, and rectify a fault so that the failed equipment, machine, or system can be restored to an operational condition within the tolerances or limits established for in-service operations.

E. System Enhancement: A system enhancement involves making functional enhancements to the system in addition to the activities to increase the system's performance even when the changes have not been suggested by faults.

F. Chief Information Officer (CIO): The Chief Information Officer is responsible for ensuring operational support is available for the resolution and remediation of information security incidents. This includes providing coordinated oversight between the various Office of Information Technology (OIT) sections, establishing OIT priorities, and assisting in the prioritization of future OIT actions based on lessons learned. The CIO is responsible for communication to Executive Leadership and external partners.

G. Chief Information Security Officer (CISO): The CISO supports the ISM in timely and effective response to information security incidents through the identification, protection, detection, response, and recovery phases. The CISO also plays an active role in the post-incident review for each security incident, assisting the ISM

in documenting the lessons learned to be used to improve incident response and to make policy and process improvements. The CISO assists with policy violation and legal matters associated with breaches as necessary. The CISO supports and partners with the ISM in maintaining the FGCC incident response plan and any other associated procedural documentation.

H. Chief Technology Officer (CTO): The CTO is responsible for assisting with the coordination of operational support for the resolution and remediation of information security incidents. This includes providing coordinated oversight between the various sections under their authority, establishing operational priorities during information security incidents, and assisting in the prioritization of future operations work based on lessons learned. The CTO will work closely with the CIO to detail the impact of individual incidents on OIT resources.

I. Enterprise and Strategic Applications Manager (ESAM): ESAM is responsible for overseeing and managing an organization's suite of software applications to ensure they align with business goals, enhance operational efficiency, and meet user needs. They focus on integrating and optimizing applications across various departments.

V. PROCEDURES

The CCB meets monthly or as needed. The CCB meeting goal is to establish the criticality level of the requested change and determine how to work out any identified problems and manage the proposed changes. After the CCB meeting, the CRO will add the criticality level to the ticket. Necessary changes to the FGCC systems and network environment arise from circumstances that include but are not limited to:

a. Periodic Planned Maintenance

b. User Enhancement Requests

c. Hardware and/or Software Upgrades

d. Acquisition of New Hardware and/or Software

e. Performance and Configuration Tuning

f. Federal, Legislature, or Governor Mandates

g. Changes in State Law

h. Unplanned Events (natural disasters, cybersecurity incidents)

1. Initiation: Any individual or department requiring a change must submit a Change Request Form to the Change Control Board (CCB). This form should include a clear description of the proposed change, its objectives, and the potential impact, and submitted via the IT Ticketing system.

2. Evaluation: The CCB will review the Change Request and assess its potential impact on systems, security, compliance, and other relevant factors. An initial risk assessment will be conducted.

3. Approval: Based on the evaluation, the CCB will decide whether to approve or reject the change request. If approved, a Change Control Team (CCT) will be assigned to plan and implement the change.

4. Planning: The CCT will create a detailed Change Plan, including timelines, resources, and rollback procedures. A communication plan will also be established to inform stakeholders of the upcoming change.

5. Testing: Changes will be thoroughly tested in a controlled environment before implementation. Testing should cover functional, performance, and security aspects.

6. Implementation: The CCT will carry out the change as per the Change Plan. Continuous monitoring will be in place during the implementation.

7. Verification and Validation: Post-implementation, the CCT will validate the change's success and ensure that it meets the intended objectives.

VI. CHANGE CONTROL CATEGORIES

Changes may be categorized as follows:

- Minor Changes: Low risk and minimal impact.
- Significant Changes: Moderate risk and impact.
- Major Changes: High risk and significant impact.

The categorization will determine the level of scrutiny and approval required.

VII. ROLES AND RESPONSIBILITIES

Change Request Owner (CRO): Person requesting a change to modify an

existing function, to correct a problem, or to add a new feature.

Change Control Team (CCT): Responsible for planning, testing, and implementing changes.

Change Control Board (CCB): Reviews and approves change requests, ensuring alignment with organizational goals and minimizing risks.

VIII. COMPLIANCE

Employees who do not adhere to this operating procedure are subject to disciplinary actions up to and including dismissal.

This policy adopted by the Commission on:

_____ _____

Louis Trombetta **Date**
Executive Director

9. Discussion of rulemaking

NOTICE OF PROPOSED RULE

FLORIDA GAMING CONTROL COMMISSION

RULE NO.: RULE TITLE:
75-5.003 Exemptions from Fingerprinting

PURPOSE AND EFFECT: The purpose and effect of this proposed rulemaking is to update the exemptions from fingerprinting for occupational licenses to clarify fingerprinting requirements.

SUMMARY: The rule amendment will remove the exemption from fingerprinting requirements of Section 550.105 F.S. for any person applying for a pari-mutuel occupational license who has applied for and been granted a pari-mutuel occupational license by the division in the previous three state fiscal years.

SUMMARY OF STATEMENT OF ESTIMATED REGULATORY COSTS AND LEGISLATIVE RATIFICATION: The Agency has determined that this will not have an adverse impact on small business or likely increase directly or indirectly regulatory costs in excess of $200,000 in the aggregate within one year after the implementation of the rule. A SERC has not been prepared by the Agency.

The Agency has determined that the proposed rule is not expected to require legislative ratification based on the statement of estimated regulatory costs or if no SERC is required, the information expressly relied upon and described herein: The Agency's economic analysis of the adverse impact or potential regulatory costs of the proposed rule did not exceed any of the criteria established in Section 120.541(2)(a), Florida Statutes. No person or interested party submitted additional information regarding the economic impact.

Any person who wishes to provide information regarding a statement of estimated regulatory costs or provide a proposal for a lower cost regulatory alternative must do so in writing within 21 days of this notice.

RULEMAKING AUTHORITY: 550.0251(3), 550.105(2)(b), (10)(a) FS.
LAW IMPLEMENTED: 550.105 FS.

IF REQUESTED WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, A HEARING WILL BE SCHEDULED AND ANNOUNCED IN THE FAR.

THE PERSON TO BE CONTACTED REGARDING THE PROPOSED RULE IS: Elizabeth K. Stinson, Chief Attorney, Florida Gaming Control Commission, 4070 Esplanade Way, Tallahassee, Florida 32399.

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THE FULL TEXT OF THE PROPOSED RULE IS:

75-5.003 Exemptions from Fingerprinting.

The following occupations or groups of persons are exempt from the fingerprint requirements of Section 550.105, F.S.:

~~(1) Any person applying for a pari-mutuel occupational license who has applied for and been granted a pari-mutuel occupational license by the division in the previous three state fiscal years;~~

(1)~~(2)~~ A sworn law enforcement or corrections officer certified pursuant to Section 943.1395, F.S., who provides the division evidence of current certification from the Florida Criminal Justice Standards and Training Commission and is working in a security or safety position;

(3) An applicant who has been granted a diplomatic status by the United States Government;

(4) Any person whose fingerprints have been deemed "unclassifiable" twice by the Federal Bureau of Investigations; and,

(5) An applicant who is under 18 years of age.

Rulemaking Authority 550.0251(3), 550.105(2)(b), (10)(a) FS. Law Implemented 550.105 FS. History–New 10-20-96, Amended 4-12-06, 9-11-11, 8-10-15, 9-1-20, _____, Formerly 61D-5.003.

NAME OF PERSON ORIGINATING PROPOSED RULE: Florida Gaming Control Commission
NAME OF AGENCY HEAD WHO APPROVED THE PROPOSED RULE: Florida Gaming Control Commission
DATE PROPOSED RULE APPROVED BY AGENCY HEAD:
DATE NOTICE OF PROPOSED RULE DEVELOPMENT PUBLISHED IN FAR: